# EUROPEAN PATENT OFFICE U.S. PATENT AND TRADEMARK OFFICE

# CPC NOTICE OF CHANGES 932

# DATE: AUGUST 1, 2020

## PROJECT RP0593

# The following classification changes will be effected by this Notice of Changes:

Action	<u>Subclass</u>	Group(s)	
SCHEME:			
Symbols New:	B21J	5/068	
Warnings New:	B21J	5/068, 5/12	
		,	
DEFINITIONS:			
Definitions New:	B21J	5/068	
Definitions Modified:	B21J	5/066	
	B21J	5/12	

No other subclasses/groups are impacted by this Notice of Changes.

**This Notice of Changes includes the following** [Check the ones included]:

I. CLA	ASSIF	ICATION SCHEME CHANGES
	$\boxtimes$	A. New, Modified or Deleted Group(s)
	$\boxtimes$	B. New, Modified or Deleted Warning(s)
		C. New, Modified or Deleted Note(s)
		D. New, Modified or Deleted Guidance Heading(s)
2. DEF	INIT	IONS
	$\boxtimes$	A. New or Modified Definitions (Full definition template)
	$\boxtimes$	B. Modified or Deleted Definitions (Definitions Quick Fix)
3. 🛛	REV	ISION CONCORDANCE LIST (RCL)
4. 🛛	CHA	NGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)
5.	CHA	NGES TO THE CROSS-REFERENCE LIST (CRL)

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#### 1. CLASSIFICATION SCHEME CHANGES

#### A. New, Modified or Deleted Group(s)

#### SUBCLASS B21J FORGING; HAMMERING; PRESSING METAL; RIVETING; FORGE FURNACES

Type*	<u>Symbol</u>	Indent Level Number of dots (e.g. 0, 1, 2)	Title  "CPC only" text should normally be enclosed in {curly brackets}**	<u>Transferred to</u> #
U	B21J 5/06	1	for performing particular operations	
U	B21J 5/066	3	{Flow drilling}	
N	B21J 5/068	2	{Shaving, skiving or scarifying for forming lifted portions, e.g. slices or barbs, on the surface of the material}	
С	B21J 5/12	2	Forming profiles on internal or external surfaces (making screw-thread by forging, pressing, or hammering B21K)	B21J 5/12, B21J 5/068

<sup>\*</sup>N = new entries where reclassification into entries is involved; C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; T = existing entries with enlarged file scope, which receive documents from C or D entries, e.g. when a limiting reference is removed from the entry title; M = entries with no change to the file scope (no reclassification); D = deleted entries; F = frozen entries will be deleted once reclassification of documents from the entries is completed; U = entries that are unchanged.

- \*\*No {curly brackets} are used for titles in CPC only <u>subclasses</u>, e.g. C12Y, A23Y; 2000 series symbol titles of groups found at the end of schemes (orthogonal codes); or the Y section titles. The {curly brackets} <u>are</u> used for 2000 series symbol titles found interspersed throughout the main trunk schemes (breakdown codes).
- U groups: it is obligatory to display the required "anchor" symbol (U group), i.e. the entry immediately preceding a new group or an array of new groups to be created (in case new groups are not clearly subgroups of C-type groups). Always include the symbol, indent level and title of the U group in the table above.
- All entry types should be included in the scheme changes table above for better understanding of the overall scheme change picture. Symbol, indent level, and title are required for all types.
- "Transferred to" column <u>must</u> be completed for all C, D, F, and Q type entries. F groups will be deleted once reclassification is completed.
- When multiple symbols are included in the "Transferred to" column, avoid using ranges of symbols in order to be as precise as possible.
- For administrative transfer of documents, the following text should be used: "<administrative transfer to XX>", "<administrative transfer to XX and YY simultaneously>", or "<administrative transfer to XX, YY, ...and ZZ simultaneously>" when administrative transfer of the same documents is to more than one place.
- Administrative transfer to main trunk groups is assumed to be the source allocation type, unless otherwise indicated.
- Administrative transfer to 2000/Y series groups is assumed to be "additional information".
- If needed, instructions for allocation type should be indicated within the angle brackets using the abbreviations "ADD" or "INV": <administrative transfer to XX ADD>, <administrative transfer to XX INV>, or < administrative transfer to XX ADD, YY INV, ... and ZZ ADD simultaneously>.
- In certain situations, the "D" entries of 2000-series or Y-series groups may not require a destination ("Transferred to") symbol, however it is required to specify "<no transfer>" in the "Transferred to" column for such cases.
- For finalisation projects, the deleted "F" symbols should have <no transfer> in the "Transferred to" column.
- For more details about the types of scheme change, see CPC Guide.

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# B. New, Modified or Deleted Warning(s)

# SUBCLASS B21J FORGING; HAMMERING; PRESSING METAL; RIVETING; FORGE FURNACES

Type*	Location	Old Warning	New/Modified Warning
N	B21J 5/068		Group B21J 5/068 is incomplete pending reclassification of documents from group B21J 5/12. Groups B21J 5/12 and B21J 5/068 should be considered in order to performa complete search.
N	B21J 5/12		Group B21J 5/12 is impacted by reclassification into group B21J 5/068. Groups B21J 5/12 and B21J 5/068 should be considered in order to perform a complete search.

<sup>\*</sup>N = new warning, M = modified warning, D = deleted warning

NOTE: The ``Location" column only requires the symbol PRIOR to the location of the warning. No further directions such as ``before" or ``after" are required.

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# 2. A. DEFINITIONS (new)

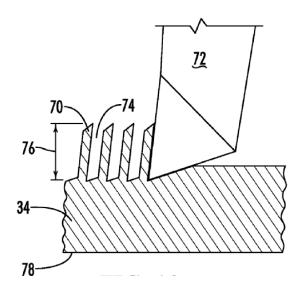
Insert: the following new Definition.

# **B21J 5/068**

# **Definition statement**

This place covers:

All aspects relating to shaving, skiving or scarifying for forming lifted portions, e.g. slices or barbs, on the surface of the material.



# References

# Informative references

Attention is drawn to the following places, which may be of interest for search:

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Making helical or similar guides in or on tubes without removing material, e.g. by drawing same over mandrels, by pushing same through dies	B21C37/20
Other methods for working sheet metal, metal tubes, metal profiles	B21D31/00
Making heat exchangers, e.g. radiators, condensers, of metal tubes	B21D53/06
Making heat exchangers	B23P15/26
Tubular elements or assemblies thereof with means for	F28F1/26,
increasing heat-transfer area being integral with the element	F28F1/422
Plate-like elements or assemblies thereof with means for increasing heat-transfer area with recesses, grooves, or microchannels	F28F3/048
Attachment of friction linings	F16D69/04
Modifications to facilitate cooling, ventilating, or heating of electrical apparatuses	H05K7/20
Details of semiconductor with cooling facilitated by foil-like cooling fins or heat sinks	H01L23/3672

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# 2. B. DEFINITIONS QUICK FIX

Symbol	Location of	Existing reference symbol or text	Action; Newsymbol; New text
-,	change (e.g., section title)		
B21J 5/066	Limiting references	Forming branched tubes by flow drilling B21C37/298	Delete the entire Limiting references section.
B21J 5/066			Insert a new Informative References section with the following reference:  Informative references Attention is drawn to the following places, which may be of interest for search:  Forming branched tubes by flow drilling B21C 37/298
B21J 5/12	Special Rules		Insert a new Special rules section with the following text:  Special rules of classification  Reference B21K is non-limiting in the subgroup B21J5/12. CPC will be updated/corrected once this inconsistency is resolved in IPC.

- The table above is used for corrections or modifications to existing definitions, e.g. delete an entire definition or part thereof; propose new wording or modify wording of a section, change the symbol the definition is associated with, change or delete a reference symbol, etc.
- Do not delete (F) symbol definitions.

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## 3. REVISION CONCORDANCE LIST (RCL)

Type*	From CPC Symbol (existing)	To CPC Symbol(s)
С	B21J 5/12	B21J 5/12, B21J 5/068

<sup>\*</sup> C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; D = deleted entries; F = frozen entries will be deleted once reclassification of documents from the entries is completed.

- Only C, D, F, and Q type entries are included in the table above.
- When multiple symbols are included in the "To" column, do not use ranges of symbols.
- For administrative transfer of documents, the following text should be used: "< administrative transfer to XX>", "<administrative transfer to XX and YY simultaneously>", or "<administrative transfer to XX, YY, ...and ZZ simultaneously>" when administrative transfer of the same documents is to more than one place.
- Administrative transfer to main trunk groups is assumed to be the source allocation type, unless otherwise indicated.
- Administrative transfer to 2000/Y series groups is assumed to be "additional information".
- If needed, instructions for allocation type should be indicated within the angle brackets using the abbreviations "ADD" or "INV": <administrative transfer to XX ADD>, <administrative transfer to XX INV>, or < administrative transfer to XX ADD, YY INV, ... and ZZ ADD simultaneously>.
- In certain situations, the "D" entries of 2000-series or Y-series groups may not require a destination ("To") symbol, however it is required to specify "<no transfer>" in the "To" column for such cases.
- RCL is not needed for finalisation projects.

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## 4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)

CPC	<u>IPC</u>	Action*
B21J 5/068	B21J 5/06	NEW

#### \*Action column:

- For an (N) or (Q) entry, provide an IPC symbol and complete the Action column with "NEW."
- For an existing CPC main trunk entry or indexing entry where the existing IPC symbol needs to be changed, provide an updated IPC symbol and complete the Action column with "UPDATED."
- For a (D) CPC entry or indexing entry complete the Action column with "DELETE." IPC symbol does not need to be included in the IPC column.
- For an (N) 2000 series CPC entry which is positioned within the main trunk scheme (breakdown code) provide an IPC symbol and complete the action column with "NEW".
- For an (N) 2000 series CPC entry positioned at the end of the CPC scheme (orthogonal code), with no IPC equivalent, complete the IPC column with "CPCONLY" and complete the action column with "NEW".

- F symbols are <u>not</u> included in the CICL table above.
- T and M symbols are not included in the CICL table above unless a change to the existing IPC is desired.