

EUROPEAN PATENT OFFICE  
U.S. PATENT AND TRADEMARK OFFICE

CPC NOTICE OF CHANGES 814

DATE: FEBRUARY 1, 2020

PROJECT RP0607

**The following classification changes will be effected by this Notice of Changes:**

| <u>Action</u>         | <u>Subclass</u> | <u>Group(s)</u>                      |
|-----------------------|-----------------|--------------------------------------|
| <b>SCHEME:</b>        |                 |                                      |
| Titles Changed:       | C09K            | 9/00                                 |
|                       | G02F            | (Subclass)                           |
|                       | G02F            | 1/05, 1/13, 1/1347, 1/29, 1/35, 1/39 |
|                       | G02F            | 2/00, 2/02                           |
|                       | G02F            | 3/00                                 |
| <b>DEFINITIONS:</b>   |                 |                                      |
| Definitions New:      | G02F            | 1/1347, 1/1514, 1/39                 |
| Definitions Modified: | C09K            | 9/00                                 |
|                       | G02F            | (Subclass)                           |
|                       | G02F            | 1/05, 1/13, 1/29, 1/35               |
|                       | G02F            | 2/00, 2/02                           |
|                       | G02F            | 3/00                                 |

**No other subclasses/groups are impacted by this Notice of Changes.**

**This Notice of Changes includes the following [Check the ones included]:**

1. CLASSIFICATION SCHEME CHANGES

- A. New, Modified or Deleted Group(s)
- B. New, Modified or Deleted Warning(s)
- C. New, Modified or Deleted Note(s)
- D. New, Modified or Deleted Guidance Heading(s)

2. DEFINITIONS

- A. New or Modified Definitions (Full definition template)
- B. Modified or Deleted Definitions (Definitions Quick Fix)

3.  REVISION CONCORDANCE LIST (RCL)

4.  CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)

5.  CHANGES TO THE CROSS-REFERENCE LIST (CRL)

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1. CLASSIFICATION SCHEME CHANGES

A. New, Modified or Deleted Group(s)

**SUBCLASS C09K - MATERIALS FOR MISCELLANEOUS APPLICATIONS, NOT PROVIDED FOR ELSEWHERE**

| <u>Type*</u> | <u>Symbol</u> | <u>Indent Level Number of dots (e.g. 0, 1, 2)</u> | <u>Title</u><br>“CPC only” text should normally be enclosed in {curly brackets}**   | <u>Transferred to#</u> |
|--------------|---------------|---|---|------------------------|
| M            | C09K 9/00     | 0   | Tenebrescent materials, i.e. materials for which the range of wavelengths for energy absorption is changed as a result of excitation by some form of energy |                        |

**SUBCLASS G02F - DEVICES OR ARRANGEMENTS, THE OPTICAL OPERATION OF WHICH IS MODIFIED BY CHANGING THE OPTICAL PROPERTIES OF THE MEDIUM OF THE DEVICES OR ARRANGEMENTS FOR THE CONTROL OF THE INTENSITY, COLOUR, PHASE, POLARISATION OR DIRECTION OF LIGHT, e.g. SWITCHING, GATING, MODULATING OR DEMODULATING; TECHNIQUES OR PROCEDURES FOR THE OPERATION THEREOF; FREQUENCY-CHANGING; NON-LINEAR OPTICS; OPTICAL LOGIC ELEMENTS; OPTICAL ANALOGUE/DIGITAL CONVERTERS**

| <u>Type*</u> | <u>Symbol</u> | <u>Indent Level Number of dots (e.g. 0, 1, 2)</u> | <u>Title</u><br>“CPC only” text should normally be enclosed in {curly brackets}**   | <u>Transferred to#</u> |
|--------------|---------------|---|---|------------------------|
| M            | G02F          | (Subclass)  | DEVICES OR ARRANGEMENTS, THE OPTICAL OPERATION OF WHICH IS MODIFIED BY CHANGING THE OPTICAL PROPERTIES OF THE MEDIUM OF THE DEVICES OR ARRANGEMENTS FOR THE CONTROL OF THE INTENSITY, COLOUR, PHASE, POLARISATION OR DIRECTION OF LIGHT, e.g. SWITCHING, GATING, MODULATING OR DEMODULATING; TECHNIQUES OR PROCEDURES FOR THE OPERATION THEREOF; FREQUENCY-CHANGING; NON-LINEAR OPTICS; OPTICAL LOGIC ELEMENTS; OPTICAL ANALOGUE/DIGITAL CONVERTERS |                        |

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| <u>Type*</u> | <u>Symbol</u> | <u>Indent Level Number of dots (e.g. 0, 1, 2)</u> | <u>Title</u><br><u>“CPC only” text should normally be enclosed in {curly brackets}**</u>   | <u>Transferred to#</u> |
|--------------|---------------|---|--|------------------------|
| M            | G02F 1/05     | 3   | with ferro-electric properties (G02F 1/035, G02F 1/055 take precedence)  |                        |
| M            | G02F 1/13     | 2   | based on liquid crystals, e.g. single liquid crystal display cells   |                        |
| M            | G02F 1/1347   | 5   | Arrangement of liquid crystal layers or cells in which the final condition of one light beam is achieved by the addition of the effects of two or more layers or cells                   |                        |
| M            | G02F 1/29     | 1   | for the control of the position or the direction of light beams, i.e. deflection   |                        |
| M            | G02F 1/35     | 1   | Non-linear optics  |                        |
| M            | G02F 1/39     | 2   | for parametric generation or amplification of light, infra-red, or ultra-violet waves<br>{(arrangements of plural nonlinear devices for generating multi-colour light beams G02F1/3532)} |                        |
| M            | G02F 2/00     | 0   | Demodulating light; Transferring the modulation of modulated light; Frequency-changing of light (G02F 1/35 takes precedence)   |                        |
| M            | G02F 2/02     | 1   | Frequency-changing of light, e.g. by quantum counters  |                        |
| M            | G02F 3/00     | 0   | Optical logic elements; Optical bistable devices   |                        |

\*N = new entries where reclassification into entries is involved; C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; T = existing entries with enlarged file scope, which receive documents from C or D entries, e.g. when a limiting reference is removed from the entry title; M = entries with no change to the file scope (no reclassification); D = deleted entries; F = frozen entries will be deleted once reclassification of documents from the entries is completed; U = entries that are unchanged.

## NOTES:

- \*\*No {curly brackets} are used for titles in CPC only subclasses, e.g. C12Y, A23Y; 2000 series symbol titles of groups found at the end of schemes (orthogonal codes); or the Y section titles. The {curly brackets} are used for 2000 series symbol titles found interspersed throughout the main trunk schemes (breakdown codes).
- U groups: it is obligatory to display the required “anchor” symbol (U group), i.e. the entry immediately preceding a new group or an array of new groups to be created (in case new groups are not clearly subgroups of C-type groups). Always include the symbol, indent level and title of the U group in the table above.
- All entry types should be included in the scheme changes table above for better understanding of the overall scheme change picture. Symbol, indent level, and title are required for all types.
- “Transferred to” column must be completed for all C, D, F, and Q type entries. F groups will be deleted once reclassification is completed.
- When multiple symbols are included in the “Transferred to” column, avoid using ranges of symbols in order to be as precise as possible.
- For administrative transfer of documents, the following text should be used: “<administrative transfer to XX>”, “<administrative transfer to XX and YY simultaneously>”, or “<administrative transfer to XX, YY, ...and ZZ simultaneously>” when administrative transfer of the same documents is to more than one place.

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- Administrative transfer to main trunk groups is assumed to be the source allocation type, unless otherwise indicated.
- Administrative transfer to 2000/Y series groups is assumed to be “additional information”.
- If needed, instructions for allocation type should be indicated within the angle brackets using the abbreviations “ADD” or “INV”: <administrative transfer to XX ADD> , <administrative transfer to XX INV>, or < administrative transfer to XX ADD, YY INV, ... and ZZ ADD simultaneously>.
- In certain situations, the “D” entries of 2000-series or Y-series groups may not require a destination (“Transferred to”) symbol, however it is required to specify “<no transfer>” in the “Transferred to” column for such cases.
- For finalisation projects, the deleted “F” symbols should have <no transfer> in the “Transferred to” column.
- For more details about the types of scheme change, see CPC Guide.

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## 2. A. DEFINITIONS (new)

**Insert**: the following new Definitions.

### G02F 1/1347

#### References

#### Informative references

*Attention is drawn to the following places, which may be of interest for search:*

|   |                             |
|---|-----------------------------|
| Colour projection displays with liquid crystal valves | <a href="#">H04N 9/3197</a> |
|---|-----------------------------|

### G02F1/1514

#### References

#### Informative references

*Attention is drawn to the following places, which may be of interest for search:*

|  |                           |
|--|---------------------------|
| Materials for which the range of wavelengths for energy absorption is changed as a result of excitation by electric energy | <a href="#">C09K 9/00</a> |
|--|---------------------------|

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**G02F 1/39**

**References**

**Limiting references**

*This place does not cover:*

|  |                             |
|--|-----------------------------|
| Arrangements of plural nonlinear devices for generating multi-colour light beams | <a href="#">G02F 1/3532</a> |
|--|-----------------------------|

**Informative references**

*Attention is drawn to the following places, which may be of interest for search*

|                                  |                           |
|----------------------------------|---------------------------|
| Electrical parametric amplifiers | <a href="#">H03F 7/00</a> |
|----------------------------------|---------------------------|

## 2. A. DEFINITIONS (modified)

### C09K9/00

#### Definition statement

*This place covers:*

**Replace**: the existing Definition statement with the following.

Tenebrescent materials, i.e. materials for which the range of wavelength for energy absorption is changed as a result of excitation by some form of energy.

**Delete**: the entire Relationships with other classification places section.

#### References:

**Delete**: the entire Limiting references section.

**Insert**: the following new Application-oriented references section.

#### ***Application-oriented references:***

*Examples of places where the subject matter of this place is covered when specially adapted, used for a particular purpose, or incorporated in a larger system:*

|   |           |
|---|-----------|
| Phototropic or photochromic glass   | C03C4/06  |
| Measuring temperature by using change of colour or translucency   | G01K11/12 |
| Photochromic filters  | G02B5/23  |
| Devices or arrangements for the control of the intensity, colour, phase, polarisation or direction of light arriving from an independent light source, e.g. switching, gating, or modulating; Non-linear optics | G02F1/00  |
| Photosensitive materials for photographic purposes  | G03C      |

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|                        |                           |
|------------------------|---------------------------|
| Cathodochromic screens | <a href="#">H01J29/14</a> |
|------------------------|---------------------------|

**Informative references:**

Attention is drawn to the following places, which may be of interest for search:

**Add:** the following new row to the Informative references table.

|                          |                           |
|--------------------------|---------------------------|
| Liquid crystal materials | <a href="#">C09K19/00</a> |
|--------------------------|---------------------------|

**Special rules of classification**

**Replace:** the existing text with the following text.

Markush formulae or generic formulae are not classified, only concrete embodiments or examples are classified. Simple lists of known compounds (without application in an example or embodiment) are not classified.

[C09K9/02](#) covers organic tenebrescent materials, [C09K11/06](#) covers organic photoluminescent materials, e.g. phosphors.

The use of Indexing Codes of [C09K2211/00](#) for specific organic compounds is compulsory.

Further classification has to be made in other places of section C, e.g. C07 or C08, whenever appropriate.

If an application of tenebrescent materials is described, e.g. semiconductor devices or markers in biotechnology, then classification has also to be allocated in the relevant application place.

## G02F

### Definition statement

*This place covers:*

**Replace**: the existing text with the following text.

Devices, the optical operation of which is modified by changing the optical properties (refraction, birefringence, absorption, nonlinear susceptibility) of the medium of the devices.

The term "optical" applies not only to visible light, but also to ultra-violet, infra-red radiation or Terahertz radiation ([G02F1/3534](#)).

The following optical elements are therefore covered, the list being not exhaustive:

- thermo-optic elements.
- electro-optic elements.
- magneto-optic elements.
- elasto-optic elements.
- acousto-optic elements.
- liquid crystal devices.
- electrochromic elements.
- electrophoretic elements.
- non-linear optics, i.e. devices or arrangements in which the electric or magnetic field component of the light beam influences the optical properties of the medium.

Control of light beams by electromagnetic waves, e.g. radio waves, or by electrons or other elementary particles.

Demodulating light: Transferring the modulation of modulated light, i.e. transferring the information from one optical carrier of a first wavelength to a second optical carrier of a second wavelength, insofar these demodulators are based in substantial manner on elements which are provided for under the bullets above.

Transferring the modulation of modulated light, i.e. transferring the information from one optical carrier of a first wavelength to a second optical carrier of a second wavelength.

Frequency changing of light, e.g. by quantum counters.

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Optical logic elements: Optical bistable devices, i.e. devices exhibiting two different optical output states for a same optical input value, Optical logic elements, insofar these demodulators are based in substantial manner on elements which are provided for under the bullets above.

Optical analogue/digital converters: Optical bistable devices, i.e. devices exhibiting two different optical output states for a same optical input value, Optical logic elements, insofar these devices are based in substantial manner on elements which are provided for under the bullets above.

### **Relationships with other classification places**

**Replace**: the existing text with the following text.

Group G02B 26/00 covers optical devices or arrangements for controlling light using movable or deformable elements, as opposed to subclass G02F that covers devices or arrangements involving a modification or control of the optical properties of the medium of said devices or arrangements.

Group G05D 25/00 covers control of light in general, e.g. by using electric or mechanical means, as opposed to subclass G02F that covers devices or arrangements involving a modification or control of the optical properties of the medium of said devices or arrangements.

Group G09F 9/35 covers indicating arrangements in which characters are formed on a support by combining individual liquid crystal elements, as opposed to group G02F 1/13 that covers devices or arrangements for the control of the intensity, phase, polarization or colour based on liquid crystals. Classification should be given in G09F 9/35 when the emphasis is on the indicating aspects, and in the relevant subgroups of G02F 1/13 when the emphasis is on the devices or arrangements aspects of the liquid crystal cells involved.

### **References:**

**Delete**: the entire Limiting references section.

### ***Application-oriented references:***

*Examples of places where the subject matter of this place is covered when specially adapted, used for a particular purpose, or incorporated in a larger system:*

**Replace**: the existing table with the following.

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|  |            |
|--|------------|
| Optical computing devices, e.g. devices in which mathematical operations are carried out with optical elements | G06E 3/00  |
| Modulators for heads used in optical recording or reproducing  | G11B 7/125 |

**Informative references:**

Attention is drawn to the following places, which may be of interest for search:

**Replace:** the existing table with the following.

|   |            |
|---|------------|
| Liquid crystal materials  | C09K 19/00 |
| Non-portable lighting devices in general  | F21S       |
| Features or details of lighting devices, e.g. use of light guides   | F21V       |
| Optical transfer means between sensing member and indicating or recording part in connection with measuring               | G01D 5/26  |
| Testing of optical apparatus  | G01M 11/00 |
| Investigating or analysing materials by the use of optical means  | G01N21/00  |
| Optical devices, systems or arrangements per se, e.g. devices with movable or deformable element [DMD] or electro-wetting | G02B 26/00 |
| Control of light in general, e.g. by using electric means   | G05D 25/00 |
| Electrical signal transmission systems using optical means to convert the input signal                                    | G08C 19/36 |
| Indicating arrangements for variable information by selection or combination of individual elements                       | G09F9/35   |
| Displays using movable, e.g. rotatable, elements  | G09F 9/37  |
| Control arrangements or circuits for visual indicators other than cathode-ray tubes                                       | G09G3/00   |
| Optical recording associated with non-optical reproducing, or optical reproducing associated with non-optical recording   | G11B11/00  |
| Static digital stores using optical elements  | G11C 13/04 |

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|   |  |
|---|--|
| Photoconductive antenna for Terahertz radiation | H01Q 9/00  |
| Semiconductor devices sensitive to radiation    | H01L 31/00   |
| Modulation of electromagnetic waves             | H03C 7/00  |
| Transmission systems employing light            | H04B 10/00   |
| Optical multiplex systems                       | H04J 14/00   |
| Projection devices for colour picture display   | H04N 9/31  |
| Control of light sources                        | H05B35/00 –<br>H05B41/00,<br>H05B46/00,<br>H05B47/00 |

**Insert:** the following new Synonyms and Keywords section.

### **Synonyms and Keywords**

*In patent documents the following abbreviations are often used:*

|                |   |
|----------------|---|
| AMLCD          | Active Matrix Liquid Crystal Display        |
| G-H            | Guest-Host                                  |
| IPS-LCD        | In Plane Switching Liquid Crystal Display   |
| LCD            | Liquid Crystal Display                      |
| MQW            | Multiple Quantum Well                       |
| PALC (display) | Plasma Addressed Liquid Crystal (display)   |
| PDLC           | Polymer Dispersed Liquid Crystal            |
| SEED           | Self Electro-optic Effect Device            |
| SHG            | Second Harmonic Generation                  |
| SLM            | Spatial Light Modulator                     |
| STN-LC         | Super-Twisted Nematic Liquid Crystal        |
| TFT-LCD        | Thin Film Transistor Liquid Crystal Display |
| TN-LC          | Twisted Nematic Liquid Crystal              |

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**G02F 2/00****Definition statement***This place covers:***Replace**: the existing text with the following.

Demodulating light; Transferring the modulation of modulated light.

Frequency-changing of light, e.g. by quantum counters:

- Up-converter, e.g. Infrared to visible converter,
- Down-converter.

Frequency-changing of light using nonlinear optical effects.

**References****Limiting references***This place does not cover:***Delete**: the following five rows from the Limiting references table.

|  |                           |
|--|---------------------------|
| Measuring optical wavelength   | <a href="#">G01J3/00</a>  |
| Measuring optical phase difference   | <a href="#">G01J9/00</a>  |
| Photoelectric discharge tubes not involving the ionisation of a gas  | <a href="#">H01J40/00</a> |
| Semiconductor devices sensitive to infra-red radiation, light, electromagnetic radiation of shorter wavelength or corpuscular radiation and adapted either for the conversion of the energy of such radiation into electrical energy or for the control of electrical energy by such radiation; processes or apparatus peculiar to the manufacture or treatment thereof or of parts thereof; details thereof | <a href="#">H01L31/00</a> |
| Controlling the intensity, frequency, phase, polarisation or direction or the emitted radiation (of lasers), e.g. switching, gating, modulating or demodulating  | <a href="#">H01S3/10</a>  |

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**Application-oriented references**

*Examples of places where the subject matter of this place is covered when specially adapted, used for a particular purpose, or incorporated in a larger system:*

**Add:** the following five new rows to the Application-oriented references table.

|  |                           |
|--|---------------------------|
| Measuring optical wavelength   | <a href="#">G01J3/00</a>  |
| Measuring optical phase difference   | <a href="#">G01J9/00</a>  |
| Photoelectric discharge tubes not involving the ionisation of a gas  | <a href="#">H01J40/00</a> |
| Semiconductor devices sensitive to infra-red radiation, light, electromagnetic radiation of shorter wavelength or corpuscular radiation and adapted either for the conversion of the energy of such radiation into electrical energy or for the control of electrical energy by such radiation; processes or apparatus peculiar to the manufacture or treatment thereof or of parts thereof; details thereof | <a href="#">H01L31/00</a> |
| Controlling the intensity, frequency, phase, polarisation or direction or the emitted radiation (of lasers), e.g. switching, gating, modulating or demodulating  | <a href="#">H01S3/10</a>  |

**Informative references**

*Attention is drawn to the following places, which may be of interest for search:*

**Replace:** the existing table with the following.

|  |                            |
|--|----------------------------|
| Phase antenna array  | <a href="#">H01Q3/2676</a> |
| RF synthesiser   | <a href="#">H03B21/00</a>  |
| Demodulation of electromagnetic waves, or transferring modulation of electromagnetic waves from one carrier to another | <a href="#">H03D 9/00</a>  |

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## 2. B. DEFINITIONS QUICK FIX

| <u>Symbol</u> | <u>Location of change</u><br>(e.g., section title) | <u>Existing reference symbol</u><br><u>or text</u>                                      | <u>Action; New symbol; New text</u>  |
|---------------|--|---|--|
| G02F1/05      |  |   | <p><b>Insert</b> a new Application-oriented references section using the following new reference.</p> <p><b>Application-oriented references</b><br/><i>Examples of places where the subject matter of this place is covered when specially adapted, used for a particular purpose, or incorporated in a larger system:</i></p> <p>Domain inversion in ferro-electric materials<br/><a href="#">G02F 1/3558</a></p> |
| G02F1/05      | Limiting references                                | Domain inversion on ferro-electric materials<br>G02F 1/3558                             | <b>Delete</b> this reference.  |
| G02F1/13      | Limiting references                                | Liquid crystal materials<br>C09K 19/00  | <p><b>Relocate</b> to Informative references and <b>Delete</b> the entire Limiting references section.</p> <p>Liquid crystal materials<br/><a href="#">C09K 19/00</a></p>  |
| G02F1/29      | Application-oriented references                    | Working and shaping a Laser beam<br>B23K 26/06<br><br>Scanning arrangement<br>H04N 1/04 | <b>Relocate</b> these two references to Informative references.  |

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| <u>Symbol</u> | <u>Location of change</u><br>(e.g., section title) | <u>Existing reference symbol</u><br><u>or text</u>  | <u>Action; New symbol; New text</u>  |
|---------------|--|---|--|
| G02F1/29      | Limiting references                                | Working and shaping a Laser beam<br>B23K 26/06<br><br>Scanning arrangement<br>H04N 1/04   | <b>Delete</b> these two references.  |
| G02F1/29      | Limiting references                                | Optical coupling means<br>G02B 6/26<br><br>Scanning systems<br>G02B 26/10<br><br>Static stores<br>G11C                            | <b>Relocate</b> these three references to Informative references.  |
| G02F1/29      | Limiting references                                | Lasers provided with means to change the location from which, or the direction in which, laser radiation is emitted<br>H01S 3/101 | <b>Relocate</b> this reference to Application-oriented references.   |
| G02F1/35      | Limiting references                                | Optical bistable devices<br>G02F 3/02<br><br>Photoconductive Terahertz emitter (antenna) (Auston switch)<br>H01L 31/00, H01Q 9/00 | <b>Relocate</b> these two references to Informative references.  |
| G02F1/35      | Limiting references                                | Brillouin, Raman laser<br>H01S 3/30   | <b>Relocate</b> this reference to Application-oriented references and <b>Delete</b> the Limiting references section. |

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| <u>Symbol</u> | <u>Location of change</u><br>(e.g., section title) | <u>Existing reference symbol</u><br><u>or text</u>  | <u>Action; New symbol; New text</u>   |
|---------------|--|---|---|
| G02F2/02      | Limiting references                                | Luminescent, e.g. electroluminescent, chemiluminescent materials<br>C09K 11/00  | <b><u>Relocate</u></b> this reference to Informative references and <b><u>Delete</u></b> the Limiting references section.       |
| G02F3/00      | Limiting references                                | Optical computing<br>G06E   | <b><u>Relocate</u></b> this reference to Application-oriented references.   |
| G02F3/00      | Limiting references                                | Electric-pulse generators using opto-electronic devices as active elements<br>H03K 3/42<br><br>Logic circuits using opto-electronic devices<br>H03K 19/14 | <b><u>Relocate</u></b> these two references to Informative references and <b><u>Delete</u></b> the Limiting references section. |

NOTES:

- The table above is used for corrections or modifications to existing definitions, e.g. delete an entire definition or part thereof; propose new wording or modify wording of a section, change the symbol the definition is associated with, change or delete a reference symbol, etc.
- Do not delete (F) symbol definitions.