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PTO Form 1480 (Rev 9/2006)

OMB No. 0651-0009 (Exp 12/31/2014)

Certification Mark Form, Principal Register

Version 5.0

NOTE: For an instructional video providing an overview of the most important issues you should be aware of when filing a trademark application, [click here](#).

To file the application electronically, please complete the following steps:

1. Answer the first question below to create an application form showing only sections relevant to your specific filing.
2. For help at any point, click on any underlined word on any page.
3. After answering the first wizard question, click on the CONTINUE button at bottom of the page.
4. Once in the actual form, complete all fields with a * symbol, since they are mandatory fields for TEAS filing purposes.
5. Validate the form, using the "Validate" button at the end of the form. If there are errors, return to the form to make the correction. A "Warning" may be corrected or by-passed.
6. Double-check all entries through the links displayed on the Validation page.
7. You may save your work for submission at a later time by clicking on the Download Portable Data button at the bottom of the Validation page.
8. When ready to file, use the Pay/Submit button at the bottom of the Validation page. This will allow you to choose from three (3) different payment methods: credit card, automated deposit account, or electronic funds transfer.
9. After accessing the proper screen for payment, and making the appropriate entries, you will receive a confirmation screen if your transmission is successful. This screen will say SUCCESS! and will provide your assigned serial number.
10. You will receive an e-mail acknowledgement of your submission, which will repeat the assigned serial number and provide a summary of your submission.

Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review.

Important: ONCE YOU SUBMIT AN APPLICATION ELECTRONICALLY, THE USPTO WILL IMMEDIATELY ISSUE AN ELECTRONIC ACKNOWLEDGMENT OF RECEIPT. Please contact TEAS@uspto.gov if you do not receive this acknowledgment within 24 hours of transmission.

Contact Points:

- **General trademark information:** Please review the information posted at [Where Do I Start](#). If you have remaining questions, e-mail TrademarkAssistanceCenter@uspto.gov, or telephone 1-800-786-9199.
- **Help:** For instructions on how to *use* the electronic forms, or help in resolving *technical* glitches, please e-mail TEAS@uspto.gov. Please include your telephone number in your e-mail, so we can talk to you directly, if necessary. Also, include the relevant serial number or registration number, if existing.

NOTE: The TEAS Support Team focuses on problems related to the process of completing the electronic forms, **not** on what information would be correct for entry within a form, or other broader trademark issues. Please route those types of inquiries to the Trademark Assistance Center. However, please be aware that neither group can provide any sort of information in the nature of "legal advice." For legal advice, please consider contacting an attorney who specializes in intellectual property.

- **Bug Report:** If you think there is a "bug" within one of the electronic forms, please click [Bug Report](#).
- **Status Information:** For an application with an assigned serial number, check [Trademark Status & Document Retrieval](#) to view current status information, as well as the complete prosecution history. Do **not** attempt to check status until at least 7-10 days after submission of a filing, to allow sufficient time for all USPTO databases to be updated. You can view **all** items listed in the prosecution history section online at [Trademark Status & Document Retrieval](#), including all office actions sent by the USPTO.

WARNING: This form has a session time limit of 60 minutes. Your "session" began as soon as you accessed this initial Form Wizard page. If you exceed the 60-minute time limit, the form will not validate and you must begin the entire process again; you can, however, [extend the time limit](#). You should always try to have all information required to complete the form prior to starting any session.

1. Is an [attorney](#) filing this application?

Yes No

2. [OPTIONAL] To access **previously-saved data**, use the "Browse/Choose File" button below to access the file from your local drive. **NOTE:** For specific instructions, please click [here](#). **FAILURE TO FOLLOW THESE NEW INSTRUCTIONS WILL RESULT IN THE DISPLAY OF YOUR DATA IN AN XML FORMAT THAT CANNOT BE EDITED.** **NOTE:** Do NOT attempt to use the button below to upload an image file (for example, a specimen). You must use the button that will be presented for that purpose *within the proper section of the actual form*.

Continue

[Burden/Privacy Statement](#)

The information collected on this form allows the PTO to determine whether a mark may be registered on the Principal register and provides notice of an applicant's claim of ownership of the mark. Responses to the request for information are required to obtain the benefit of a registration on the Principal register. 15 U.S.C. §1051-1054, 1061-1063, 1091, 1094, 1095, and 1126 and 37 C.F.R. Part 2, 2.32, 2.34-2.38, 2.41-2.47, 2.51-2.54, 2.56, 2.59, 2.76, and 2.80-2.89. All information collected will be made public. Gathering, preparing and submitting this information will require an estimated 18 to 25 minutes to complete (depending if the application is based on an intent to use the mark in commerce, use of the mark in commerce, or a foreign application or registration). Please direct comments on the time needed to complete this form, and/or suggestions for reducing this burden to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Please note that the PTO may not conduct or sponsor a collection of information using a form that does not display a valid OMB control number.

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PTO Form 1480 (Rev 9/2006)
OMB No. 0651-0009 (Exp 12/31/2014)

Certification Mark Form, Principal Register

Version 5.0

NOTE: This identifies who owns the mark, not necessarily who is filing the application. For an instructional video focusing on what is meant by the term "applicant," [click here](#).

Applicant Information

Note: This identifies who **owns** the mark, **not** necessarily who is **filing** the application.

Note: If there is more than one owner of the mark, complete the information for the first owner, and then click on the "Add Owner" button at the bottom of this page. Repeat, as necessary, for the appropriate listing of all owners. **Warning:** It is important to determine whether, in fact, the applicants are [joint applicants](#), or some other entity type listed below.

<p>* Owner of Mark</p> <p><input type="checkbox"/> DBA (doing business as) <input type="checkbox"/> AKA (also known as) <input type="checkbox"/> TA (trading as) <input type="checkbox"/> Formerly</p>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p style="color: red; font-size: small;">(If an individual, use the following format: Last Name, First Name Middle Initial or Name, if applicable)</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<p>Entity Type</p> <p><input type="radio"/> Individual</p> <p><input type="radio"/> Corporation</p> <p><input type="radio"/> Limited Liability Company</p> <p><input type="radio"/> Partnership</p> <p><input type="radio"/> Limited Partnership</p> <p><input type="radio"/> Joint Venture</p> <p><input type="radio"/> Sole Proprietorship</p> <p><input type="radio"/> Trust</p> <p><input type="radio"/> Estate</p> <p><input type="radio"/> Other</p>	<p><== Click the appropriate circle on the left to indicate the applicant's entity type. The form will then display the field(s) for entering information corresponding to that specific entity type. If your entity type is not one of the options displayed directly to the left, you must click on "Other" and then select the appropriate entry from the relevant pull-down box.</p>
<p>Internal Address</p>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<p>* Street Address</p>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p>NOTE: You must limit your entry here, and for all remaining fields within this overall section (except City, see below), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.</p>
<p>* City</p>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p>NOTE: You must limit your entry here to no more than 22 characters.</p>
<p>* State (Required for U.S. applicants)</p> <p>* Country or U.S. Territory</p>	<div style="border: 1px solid black; background-color: #e0e0e0; padding: 2px;">Select State</div> <p>NOTE: You must include as part of the "city" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.</p> <div style="border: 1px solid black; background-color: #e0e0e0; padding: 2px;">Select Country or U.S. Territory</div>
<p>* Zip/Postal Code</p>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>

(Required for U.S. applicants only)	
<u>Phone Number</u>	<input type="text"/>
<u>Fax Number</u>	<input type="text"/>
<u>Internet E-mail Address</u>	<input type="text"/>  While the application may list an e-mail address for the applicant, only the e-mail address of applicant's attorney or domestic representative will be used for actual correspondence purpose, in accordance with Office policy .
<u>Website address</u>	<input type="text"/>

Go Back	Add Owner	Continue
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Burden/Privacy Statement

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Mark Information

Before the USPTO can register your mark, exactly what the mark is must be clear. You may present your [mark](#) as: (1) [standard characters](#), if not claiming a particular font, style, size, and/or color; or (2) [special form](#), if the mark includes a design or word(s) combined with a design, or **is** displayed in a particular font, style, size, and/or color; or (3) sound mark. In this section, do **not** upload your specimen of use (sample of actual use, e.g., a label or advertisement. This will be required in a different part of the form, if appropriate for your filing basis.) **WARNING:** You may submit only **one** mark per application, and any application that includes multiple marks may be denied a filing date or refused registration.

When you click on one of the three circles presented below, and follow the specific instructions, the form will automatically create a separate page that displays the mark for which you are applying or provides access to the applicant-supplied file if a sound mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately identifies your mark. You may not be able to [change or correct your mark](#) after filing this application. While minor changes in the mark are *sometimes* permitted, any [material alteration](#) will not be permitted and will result in the USPTO issuing a refusal on that ground.

WARNING: AFTER [SEARCHING](#) THE USPTO DATABASE, EVEN IF YOU THINK THE RESULTS ARE "O.K.," DO NOT ASSUME THAT YOUR MARK CAN BE REGISTERED. AFTER YOU FILE AN APPLICATION, THE USPTO WILL PERFORM ITS OWN SEARCH AND OTHER REVIEW, AND MIGHT [REFUSE TO REGISTER](#) YOUR MARK.

NOTE: For an instructional video on the importance of conducting a search of existing trademarks before filing your application, [click here](#).

* Click the appropriate circle to indicate the Mark type: [Standard Characters](#) [Special Form \(Stylized and/or Design\)](#) [Sound mark](#)

NOTE: For an instructional video on the importance of selecting the proper mark type, [click here](#).

Enter the mark here: (**Note:** The entry can be in capital letters, lower case letters, or a combination thereof. Do **not** include the TM, SM, ®, or © symbols after the mark entry, because they are **not** part of the actual mark. If using Internet Explorer, the entry cannot exceed **2036** characters; otherwise, you must switch to another browser.)

SAMPLE MARK

Preview USPTO-Generated Image

NOTE: For how the USPTO determines what the display of the entered mark will be, click [here](#).

NOTE: For information about mark display in USPTO databases, click [here](#)

The "Additional Statement" section of this form is to enter various statement(s) that may pertain to the mark, for example, a disclaimer or translation. You are not required to enter any statement(s) at the time of filing; however, you may be required to add a statement(s) to the record during examination of the application. If you are unsure whether you should make such a statement, the examining attorney assigned to your application will issue a requirement, if appropriate.

Check here to display the full listing of additional statements from which you may make your selection.

Additional Statement

- To select a statement, enter any required information specific to your mark (or, for some statements, check the box in front of the statement). If you now realize that no statement is needed, you must click on the box that produced this section of the form to

"uncheck" it, and the entire "Additional Statement" section below will be removed.

WARNING: Additional statements are not commonly used and are for special circumstances that only exist in certain applications. Selecting items that do not apply may delay the processing of your application. Note: Do NOT include quotation marks within any entry made below.

DISCLAIMER: "No claim is made to the exclusive right to use [] apart from the mark as shown."

PRIOR REGISTRATION(S): "The applicant claims ownership of U.S. Registration Number(s) [], [], []."

NOTE: Entry must not include any commas, and must be 7 numerals long (if necessary, add leading 0's to number, e.g., 0086417).

"and others": Check here to indicate there are additional prior U.S. Registration Number(s).

TRANSLATION:

"The English translation of [] in the mark is []."

"The wording [] has no meaning in a foreign language."

TRANSLITERATION: (NOTE: Not required for any standard character marks.)

"The non-Latin characters in the mark transliterate to [] and this means [] in English."

"The non-Latin characters in the mark transliterate to [] and this has no meaning in a foreign language."

MEANING OR SIGNIFICANCE OF WORDING, LETTER(S), OR NUMERAL(S):

"[] appearing in the mark means or signifies or is a term of art for [] in the relevant trade or industry or as applied to the goods/services listed in the application."

"[] appearing in the mark has no significance nor is it a term of art in the relevant trade or industry or as applied to the goods/services listed in the application, or any geographical significance."

"The word(s) [] has no meaning in a foreign language."

§2(f) Claim of Acquired Distinctiveness, based on Use: "The mark has become distinctive of the goods/services through the applicant's substantially exclusive and continuous use in commerce that the [U.S. Congress](#) may lawfully regulate for at least the five years immediately before the date of this statement. "

§2(f) Claim of Acquired Distinctiveness, based on Prior Registration(s): "The mark has become distinctive of the goods/services as evidenced by the ownership on the Principal Register for the same mark for related goods or services of U.S. Registration No(s). []."

§2(f) Claim of Acquired Distinctiveness, based on Evidence: "The mark has become distinctive of the goods/services, as demonstrated by the attached evidence."

[Click here to Attach/Remove §2\(f\) Evidence](#)

§2(f) Claim of Acquired Distinctiveness, IN PART, based on Use: "[] has become distinctive of the goods/services through the applicant's substantially exclusive and continuous use in commerce that the [U.S. Congress](#) may lawfully regulate for at least the five years immediately before the date of this statement. "

§2(f) Claim of Acquired Distinctiveness, IN PART, based on Prior Registration(s): "[] has become distinctive of the goods/services as evidenced by the ownership on the Principal Register for the same mark for related goods or services of U.S. Registration No(s). []."

§2(f) Claim of Acquired Distinctiveness, IN PART, based on Evidence: "[] has become distinctive of the goods/services, as demonstrated by the attached evidence."

[Click here to Attach/Remove §2\(f\) Evidence](#)

NAME(S), PORTRAIT(S), SIGNATURE(S) OF INDIVIDUAL(S):

"The name(s), portrait(s), and/or signature(s) shown in the mark identifies [], whose consent(s) to register is made of record."

[Click here to Attach/Remove Consent\(s\)](#)

"The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual."

USE OF THE MARK IN ANOTHER FORM: "The mark was first used anywhere in a different form other than that sought to be registered at least as early as [], and in commerce at least as early as []."

NOTE: If the use in another form claim does not relate to all classes in a multi-class application, specify within the miscellaneous

statement section, below, the exact class(es) the claim covers.

CONCURRENT USE: Enter the appropriate concurrent use information, e.g., specify the goods and the geographic area for which registration is sought. **WARNING:** Enter text in the box only if you (1) intend to initiate a concurrent use registration proceeding before the Trademark Trial and Appeal Board; or (2) have a final determination by a court establishing your concurrent right to use the same or similar mark in commerce in a limited geographic area.

MISCELLANEOUS STATEMENT: Enter information for which no other section of the form is appropriate.

[Click here to Attach/Remove Miscellaneous](#)

[Go Back](#)

[Continue](#)

Burden/Privacy Statement

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Goods and/or Services Information

Instructions:

Step 1: Click on the "Add Goods/Services" button.

Step 2: After creating the complete list of goods and/or services for this application, you will then be able to designate the filing basis (or bases) appropriate for each listed item.

NOTE: For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

Add Goods/Services

Remove Checked Goods/Services

NOTE: Clicking "Go Back" will take you directly back to the MARK section of the form.

Go Back

[Burden/Privacy Statement](#)

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Basis for Filing

NOTE: For an instructional video on what is meant by "basis for filing," [click here](#).

Applicant requests registration of the certification mark identified previously with the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. §1051 *et seq.*) for the Goods and/or Services entered below.

Instructions for assigning filing basis(es):

After selecting a class number (if known) and entering the goods/services listing for that class, you may assign a specific filing basis, or if appropriate, multiple bases. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, click [here](#).

For complete step-by-step instructions on how correctly to assign the filing basis(es), click on the heading that corresponds to the factual scenario for this specific filing, *below*. For examples of filings corresponding to each of the heading descriptions, click on the link "*Examples*."

- [One class or multiple classes, with ONE filing basis for ALL listed items](#) *Examples*
NOTE: This is the most common correct choice for any filing. The following are other options, but are much less likely to be appropriate:
- [One class or multiple classes, with same multiple filing bases for ALL listed items in class\(es\)](#) *Examples*
- [One class, with different filing basis\(es\) for different goods/services within the same class](#) *Examples*
- [Multiple classes, with different filing basis\(es\) for different overall classes](#) *Examples*

NOTE: For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

Add Class(es) of Goods/Services

Remove Checked Class(es)

Click on the above button to create the next class. For more instructions, click [here](#).

Click on this button to remove a class entirely. For more instructions, click [here](#).

<input checked="" type="checkbox"/>	International Class	* Goods and/or Services	Assigned Filing Basis(es)
Select All			
<input checked="" type="checkbox"/>	<input style="width: 50px; height: 20px;" type="text" value="A"/> If known, select class number A or B	<p>NOTE: Do not enter a Class Number or any other numeric notation in the field below. You must enter only the common common commercial name for the specific goods and/or services associated with the mark; separate each item in the list by either a semi-colon or comma. Do not include html or other programming code or language that may create embedded links. Do not use abbreviations. You may wish to review the USPTO Goods/Services ID Manual before entering items below.</p> <div style="border: 1px solid black; padding: 10px; min-height: 40px;"> <p style="text-align: center; margin: 0;">GOODS EXAMPLE</p> </div>	

NOTE: The 4 **BUTTONS** below identify the choices of filing basis to be assigned to the items listed in the table, *above*. For an explanation of each basis, click [here](#). Because assignment of the correct basis to each item is critical, please read the explanations if you have *any* questions as to which basis(es) to select, before clicking the button(s), *below*, to begin the assignment of the basis(es). **Since assignment of a filing basis is not a requirement within this form, you can by-pass this step by clicking on the Continue button, below, if necessary.**

WARNING: Registration Subject to Cancellation for Fraudulent Statements

You must ensure that statements made in filings to the USPTO are accurate, as inaccuracies may result in the cancellation of a trademark registration. The lack of a bona intention to use the mark with **all** goods and/or services included in an application, or the lack of use on **all** goods and/or services for which you claim use, could jeopardize the validity of the registration and result in its cancellation.

Section 1(a)

Actually using mark in commerce now

Section 1(b)

No use of mark yet, intending to use

Section 44(d)

Foreign application exists for same goods/services

Section 44(e)

Foreign registration exists for same goods/services

CERTIFICATION

Enter a statement of the characteristic(s), standard(s), or other feature(s) that is certified or intended to be certified by the mark in the "certification statement" field. The following format is suggested: The certification mark, as used or intended to be used by persons authorized by the certifier, certifies or is intended to certify that the goods and/or services provided have ^<specify>.

* [Certification Statement](#)

NOTE: Clicking "Go Back" will take you directly back to the MARK section of the form.

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Burden/Privacy Statement

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Basis for Filing

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Applicant requests registration of the certification mark identified previously with the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. §1051 *et seq.*) for the Goods and/or Services entered below.

Instructions for assigning filing basis(es):

After selecting a class number (if known) and entering the goods/services listing for that class, you may assign a specific filing basis, or if appropriate, multiple bases. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, click [here](#).

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- [One class or multiple classes, with ONE filing basis for ALL listed items](#) *Examples*
NOTE: This is the most common correct choice for any filing. The following are other options, but are much less likely to be appropriate:
- [One class or multiple classes, with same multiple filing bases for ALL listed items in class\(es\)](#) *Examples*
- [One class, with different filing basis\(es\) for different goods/services within the same class](#) *Examples*
- [Multiple classes, with different filing basis\(es\) for different overall classes](#) *Examples*

NOTE: For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

Add Class(es) of Goods/Services

Remove Checked Class(es)

Click on the above button to create the next class. For more instructions, click [here](#).

Click on this button to remove a class entirely. For more instructions, click [here](#).

<input checked="" type="checkbox"/>	International Class	* Goods and/or Services	Assigned Filing Basis(es)
<input checked="" type="checkbox"/>	<div style="border: 1px solid black; padding: 2px; width: 50px; margin-bottom: 5px;">A</div> If known, select class number A or B	<p>NOTE: Do not enter a Class Number or any other numeric notation in the field below. You must enter only the common common commercial name for the specific goods and/or services associated with the mark; separate each item in the list by either a semi-colon or comma. Do not include html or other programming code or language that may create embedded links. Do not use abbreviations. You may wish to review the USPTO Goods/Services ID Manual before entering items below.</p> <div style="border: 1px solid black; padding: 10px; min-height: 40px; margin-top: 10px;"> GOODS EXAMPLE </div>	

Section 44(e), Based on Foreign Registration: The applicant has a bona fide intention to exercise legitimate control over the use of the mark in commerce by its members on or in connection with the identified goods/services, and will submit a copy of , the foreign registration certificate and translation thereof, if appropriate. 15 U. S.C. Section 1126(e), as amended.

Remove this 44(e)

Country of Foreign Registration	<input type="text" value="Select Country"/>
Foreign Registration Number	<input type="text"/>
Foreign Registration Date	<input type="text"/> (MM/DD/YYYY)
Date Foreign Registration Renewed (if applicable)	<input type="text"/> (MM/DD/YYYY)

<u>Expiration Date of Foreign Registration</u>	<input type="text"/> (MM/DD/YYYY)
<u>Attach Foreign Registration/Translation</u>	<input type="button" value="Attach/Remove Foreign Registration"/>

<input type="button" value="Section 1(a)"/>	<input type="button" value="Section 1(b)"/>	<input type="button" value="Section 44(d)"/>	<input type="button" value="Section 44(e)"/>
Actually using mark in commerce now	No use of mark yet, intending to use	Foreign application exists for same goods/services	Foreign registration exists for same goods/services

CERTIFICATION

Enter a statement of the characteristic(s), standard(s), or other feature(s) that is certified or intended to be certified by the mark in the "certification statement" field. The following format is suggested: The certification mark, as used or intended to be used by persons authorized by the certifier, certifies or is intended to certify that the goods and/or services provided have ^<specify>.

* <u>Certification Statement</u>	<input style="width: 100%; height: 40px;" type="text"/>
---	---

NOTE: To assign the selected filing basis, click on "Assign Filing Basis" button, *below*. If you selected the wrong basis, click on the "Remove this [basis]" button, *above*, and start over. To assign multiple bases, click on another basis button and complete the section (and repeat process again, if appropriate) before clicking on the "Assign Filing Basis" button.

<input type="button" value="Assign Filing Basis"/>	<input type="button" value="Exit"/>
--	-------------------------------------

Burden/Privacy Statement

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NOTE: You must "**Browse/Choose File**" AND "**Attach**" each file, as described in Steps 1 and 2 below. If you do NOT click on the "**Attach**" button after selecting the correct file via the "**Browse/Choose File**" button, the file will not be attached to the form.

WARNING: The file **MUST** be in the **JPG/PDF** format, and the size cannot exceed **5 megabytes** per attachment.

To attach an image, please complete the following steps:

1. Click on "**Browse/Choose File**" button to select the scanned file (JPG/PDF format only) from your local drive.
2. Click on "**Attach**" button to attach the selected file.
3. To attach additional file(s), return to step 1.
4. To remove unwanted file(s), simply clicking "**Remove**" button right below the file(s).
5. Click on "**Return to Application**" and return back to the form, but **ONLY** once you see the file(s) loaded above.

WARNING: A submission must be complete within the "4 corners" of the actual transmitted document. Information only accessible through a link, but not part of the submission itself, will **NOT** be considered to be made of record. E.g., if you wish a catalogue to be considered as evidence, you must present the actual pages of the catalogue, and not merely reference that the catalogue is available for viewing at a particular url. The url will **NOT** be independently accessed as part of the examination process, and any materials presented only "by reference" will not constitute part of the actual file.

Click on the "**Browse/Choose File**" button to select a file you wish to upload:

Status:

File Uploaded:



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- Check here if an attorney is filing this form on behalf of applicant(s). If an attorney is not filing, simply click on the box if currently checked to "uncheck" that box.
- Check here if the applicant wishes to appoint a Domestic Representative. A Domestic Representative is OPTIONAL if the applicant's address is outside the United States. Once checked, a separate section of the form will appear to enter the Domestic Representative information.

Attorney Information

* Correspondent Attorney Name	<input type="text"/>
Individual Attorney Docket/Reference Number	<input type="text"/>
Other Appointed Attorney(s)	<input type="text"/>
Firm Name	<input type="text"/>
Internal Address	<input type="text"/>
* Street Address	<input type="text"/> NOTE: You must limit your entry here, and for all remaining fields within this overall section (except City, see below), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, <i>e.g.</i> , St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.
* City	<input type="text"/> NOTE: You must limit your entry here to no more than 22 characters.
* State (Required for U.S. applicants)	<input type="text" value="Select State"/> NOTE: You must include as part of the "city" entry any information related to geographical regions (<i>e.g.</i> , provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (<i>e.g.</i> , Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.
* Country or U.S. Territory	<input type="text" value="Select Country or U.S. Territory"/>
* Zip/Postal Code (Required for U.S. applicants only)	<input type="text"/>
Phone Number	<input type="text"/>
Fax Number	<input type="text"/>

[Internet
E-mail Address](#)

An e-mail address for communication with the appointed attorney may be provided. The attorney must keep this address current in the Office's records. Specific authorization for this communication may be made, *below*.

Check here to [authorize](#) the USPTO to communicate with the appointed attorney via e-mail. (Informal communication is permissible without authorization.)

NOTE: By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of its application through the [Trademark Status & Document Retrieval \(TSDR\)](#) database, to see if the assigned examining attorney has e-mailed an Office Action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's security or anti-spam software, or any problems within the applicant's e-mail system. All sent actions can be viewed on-line, from [Trademark Status & Document Retrieval](#).

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Correspondence Information

Note: Where an attorney or domestic representative has been appointed, the USPTO will correspond ONLY with the listed appointment. Do NOT attempt to change the correspondence address to the owner's address.

* Name	<input type="text" value="John Smith"/>
Firm Name	<input type="text" value="The Trademark Law Firm"/>
Internal Address	<input type="text" value="Suite A"/>
* Street Address	<input type="text" value="123 45th Street, N.W."/> NOTE: You must limit your entry here, and for all remaining fields within this overall section (except City, see below), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.
* City	<input type="text" value="Washington"/> NOTE: You must limit your entry here to no more than 22 characters.
* State (Required for U.S. applicants)	<input type="text" value="District of Columbia"/> NOTE: You must include as part of the "city" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.
* Country or U.S. Territory	<input type="text" value="United States"/>
* Zip/Postal Code (Required for U.S. applicants only)	<input type="text" value="22202"/>
Phone Number	<input type="text" value="555-555-5555"/>
Fax Number	<input type="text" value="555-555-5555"/>
Internet E-mail Address	<p>Primary Email Address <input type="text"/></p> <p>Secondary Email Address(es) <input type="text"/></p> <p>Enter up to 4 addresses, separated by either a semicolon or a comma.</p> <p>Only one e-mail address may be used for correspondence, in accordance with Office policy. The applicant must keep this address current in the Office's records.</p>

Check here to [authorize](#) the USPTO to communicate with the appointed attorney via e-mail. (Informal communication is permissible without authorization.)

NOTE: By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of its application through the [Trademark Status & Document Retrieval \(TSDR\)](#) database, to see if the assigned examining attorney has e-mailed an Office Action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's security or anti-spam software, or any problems within the applicant's e-mail system. All sent actions can be viewed on-line, from [Trademark Status & Document Retrieval](#).

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Fee Information

Note: The total fee is computed based on the Number of Classes in which the goods and/or services associated with the mark are classified. If the free-text approach for the goods/services has been used, and different filing bases exist within the same class, neither the displayed Number of Classes nor Total Fee Due will be correct. You must manually adjust the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

Note: Three payment options ([credit card](#), [automated deposit account](#), and [Electronic Funds Transfer](#)) will appear after clicking on the **Pay/Submit** button, which is available on the bottom of the *Validation Page* after completing and validating this form.

Number of Classes	1
Fee per class	\$325
Total Fee Due	\$325
* Total Paid Fees	\$325

Signature Information

Click to choose ONE [signature method](#):

[Sign directly](#) [E-mail Text Form to second party for signature](#) [Handwritten pen-and-ink signature](#) [Submit application unsigned](#)

Electronic Signature

The application will not be "signed" in the sense of a traditional paper document. To verify the contents of the application, the signatory must enter any alpha/numeric character(s) or combination thereof of **his or her choosing**, preceded and followed by the forward slash (/) symbol. The USPTO does **not** determine or pre-approve what the entry should be, but simply presumes that this specific entry has been adopted to serve the function of the signature. Most signatories simply enter their names between the two forward slashes, although acceptable "signatures" could include /john doe/; /jd/; or /123-4567/. The application may still be validated to check for missing information or errors even if the **signature** and **date signed** fields are left blank; however, you must specifically click the button for "Submit application unsigned," *above*.

DECLARATION

The undersigned being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. Section 1001, and that such willful false statements and the like may jeopardize the validity of the application or document or any registration resulting therefrom, declares that he/she is properly authorized to execute this application on behalf of applicant; he/she believes the applicant to be the owner of the certification mark sought to be registered and he/she has been exercising legitimate control, or if the application is being filed under 15 U.S.C. Section 1051(b), Section 1126(d) or Section 1126(e), he/she believes the applicant has had a bona fide intention to exercise legitimate control over the use of the certification mark in commerce as of the application filing date, and that he/she believes the applicant is entitled to exercise legitimate control over the use of the mark in commerce; applicant is not engaged in, or will not engage in, the production or marketing of the goods or services to which the mark is applied; the facts set forth in the application are true and correct; to the best of his/her knowledge and belief, no other person, firm, corporation, or association has the right to use the mark in commerce, either in the identical form thereof or in such near resemblance thereto as to be likely, when used on or in connection with the goods/services of such other person, firm, corporation, or association to cause confusion, or to cause mistake, or to deceive; and that all statements made of his/her own knowledge are true; and all statements made on information and belief are believed to be true.

* Signature	<input type="text"/>	* Date Signed	<input type="text"/> (MM/DD/YYYY)
* Signatory's Name	<input type="text"/>		
* Signatory's Position	<input type="text"/>		
	NOTE: Enter the appropriate title or the relationship to the applicant - if an individual, enter "Owner;" if an attorney, enter "Attorney of record, [specify at least one state] bar member;" if an authorized signatory of a business entity enter, e.g., "President," "Vice President," "General Partner" (if a partnership), or "Principal" (if a limited liability company).		
Signatory's Phone Number	<input type="text"/>		

NOTE: If there are multiple signatories, click on the "Add Signatory" button below, and repeat signature process. Otherwise, Click on the [Validate](#) button, or if necessary, the Go Back button.

Go Back

Add Signatory

Validate

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Certification Mark Form, Principal Register

Version 5.0 - Validation Page

On _____ You completed all mandatory fields and successfully validated the form. It has NOT been filed to the USPTO at this point. Please complete all steps below to submit the application.

■ **STEP 1:** Review the application data in various formats, by clicking on the phrases under Application Data. Use the print function within your browser to print these pages for your own records. If the Mark and Specimens appear huge, click [here](#).

Note: It is important that you review this information for accuracy and completeness now. Corrections after submission may not be permissible, thereby possibly affecting your legal rights.

Note: If you are using the e-signature approach or the handwritten pen-and-ink signature approach, you must click on the final link to access the specific "text form" for that purpose.

Application Data

■ [Input](#)

■ [Mark](#)

■ [Registration\(s\)](#)

■ [XML File](#)

■ [Text Form](#)

■ **STEP 2:** If there are no errors and you are ready to file this application electronically, confirm the e-mail address for acknowledgment. Once you submit the form electronically, we will send an electronic acknowledgment of receipt to the e-mail address entered below. If no e-mail address appears, you must enter one. If we should send the acknowledgment to a different e-mail address, or to an additional address(es), please enter the proper address or additional address(es). For **multiple addresses/receipts**, please separate e-mail addresses by either a **semicolon** or a **comma**.

NOTE: This e-mail address is only for the purpose of receiving the acknowledgment that the transmission reached the USPTO, and is not related to the e-mail that will be used for correspondence purposes (although it could be the same address. The official e-mail address that the USPTO will use for any future communication is whatever appears in the specific correspondence section of the form.)

* E-mail for acknowledgment

To ensure we can deliver your e-mail confirmation successfully, please re-enter your e-mail address(es) here:

* E-mail for acknowledgment

■ **STEP 3:** To download and save the form data, click on the [Download Portable Data](#) button at the bottom of this page. The information will be saved to your local drive. To begin the submission process with saved data, you must open a new form, and click on the "Browse/Choose File" button displayed on the initial form wizard page, at "[OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file from your local drive." REMINDER: Do NOT try to open the saved .obj/.xml form directly. You must return to the very first page of the form, as if starting a brand new form, and then use the specific "Browse/Choose File" button on that page to import the saved file. Clicking on the "Continue" button at the bottom of that first page will

then properly open the saved version of your form.

■ **STEP 4: Read and check the following:**

Important Notice:

Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review. This is true regardless of how soon after submission you might attempt to request cancellation of the filing. Therefore, please review **ALL** information carefully prior to transmission.

All information you submit to the USPTO at any point in the application and/or registration process will become public record, including your name, phone number, e-mail address, and street address. By filing this application, you acknowledge that **YOU HAVE NO RIGHT TO CONFIDENTIALITY** in the information disclosed. The public will be able to view this information in the USPTO's on-line databases and through internet search engines and other on-line databases. This information will remain public even if the application is later abandoned or any resulting registration is surrendered, cancelled, or expired. To maintain confidentiality of banking or credit card information, only enter payment information in the secure portion of the site after validating your form. For any information that may be subject to copyright protection, by submitting it to the USPTO, the filer is representing that he or she has the authority to grant, and is granting, the USPTO permission to make the information available in its on-line database and in copies of the application or registration record.

If you have read and understand the above notice, please check the box before you click on the **Pay/Submit** button.

■ **STEP 5: If you are ready to file electronically:**

Click on the **Pay/Submit** button *below*, to access the site where you will select one of three possible payment methods. After successful entry of payment information, you can complete the submission to the USPTO. A valid transaction will result in a screen that says **SUCCESS!** Also, we will send an e-mail acknowledgment within 24 hours.

WARNING: Click on the **Pay/Submit** button **ONLY** if you are now entirely prepared to complete the **Pay/Submit** process. After clicking the button, you can **NOT** return to the form, since you will have left the **TEAS** site entirely. Once in the separate payment site, you **must** complete the **Pay/Submit** process within **30 minutes**. If you are not prepared to complete the process now, you should select the "Download Portable Data" option to save your form, and then complete the **Pay/Submit** process later. Or, if you have discovered any error, use the "Go Back to Modify" button to make a correction.

WARNING: Fee payments by credit card may **not** be made from 2 a.m. to 6 a.m. Sunday, Eastern Standard Time. If you are attempting to file during that specific period, you **must** use either (1) the deposit account or electronic funds transfer payment method; or (2) the "Download Portable Data" option to save your form, and then complete the **Pay/Submit** process later for a credit card payment.

Go Back to Modify

Download Portable Data

Pay/Submit

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Certification Mark Form, Principal Register

*NOTE: Data fields with the * are mandatory. The wording "(if applicable)" appears where the field is only mandatory under the facts of the particular application.*

The table below presents the data as entered.

Input Field	Entered
SERIAL NUMBER	N/A
MARK INFORMATION	
*MARK	mark.jpg
STANDARD CHARACTERS	YES
USPTO-GENERATED IMAGE	YES
LITERAL ELEMENT	SAMPLE MARK
MARK STATEMENT	The mark consists of standard characters, without claim to any particular font, style, size, or color.
APPLICANT INFORMATION	
*OWNER OF MARK	ABC Company, Inc.
INTERNAL ADDRESS	Suite 123
*STREET	1234 Anywhere Place
*CITY	Washington DC
*STATE (Required for U.S. applicants)	District of Columbia
*COUNTRY	United States
*ZIP/POSTAL CODE (Required for U.S. applicants only)	22202
PHONE	555-555-5555
FAX	555-555-5555
LEGAL ENTITY INFORMATION	
TYPE	corporation
STATE/COUNTRY OF INCORPORATION	District of Columbia
GOODS AND/OR SERVICES AND BASIS INFORMATION	
INTERNATIONAL CLASS	A
*IDENTIFICATION	GOODS EXAMPLE
FILING BASIS	SECTION 44(e)
FOREIGN REGISTRATION NUMBER	1234567

FOREIGN REGISTRATION COUNTRY	Benelux
FOREIGN REGISTRATION DATE	04/24/2013
FOREIGN REGISTRATION EXPIRATION DATE	04/24/2023
FOREIGN REGISTRATION FILE NAME(S)	reg-1016014471-140006508_sample_benelux_registration_certificate.jpg
STANDARD CHARACTERS OR EQUIVALENT	NO
CERTIFICATION	
*CERTIFICATION STATEMENT	The certification mark, as used by authorized persons, certifies that the goods conform to the design, safety, durability, and performance requirements designated by the certifier.
ADDITIONAL STATEMENTS SECTION	
DISCLAIMER	No claim is made to the exclusive right to use MARK apart from the mark as shown.
ATTORNEY INFORMATION	
NAME	John Smith
ATTORNEY DOCKET NUMBER	ABC.123
FIRM NAME	The Trademark Law Firm
INTERNAL ADDRESS	Suite A
STREET	123 45th Street, N.W.
CITY	Washington
STATE	District of Columbia
COUNTRY	United States
ZIP/POSTAL CODE	22202
PHONE	555-555-5555
FAX	555-555-5555
OTHER APPOINTED ATTORNEY	Jane Doe, John Doe, Jane Smith
CORRESPONDENCE INFORMATION	
NAME	John Smith
FIRM NAME	The Trademark Law Firm
INTERNAL ADDRESS	Suite A
STREET	123 45th Street, N.W.
CITY	Washington
STATE	District of Columbia
COUNTRY	United States
ZIP/POSTAL CODE	22202

PHONE	555-555-5555
FAX	555-555-5555
FEE INFORMATION	
NUMBER OF CLASSES	1
FEE PER CLASS	325
*TOTAL FEE DUE	325
*TOTAL FEE PAID	325
SIGNATURE INFORMATION	
SIGNATURE	/test/
SIGNATORY'S NAME	test
SIGNATORY'S POSITION	tester
SIGNATORY'S PHONE NUMBER	555-555-5555
DATE SIGNED	04/24/2013

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Mark (USPTO-generated image for standard characters):

SAMPLE MARK

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Certification Mark Form, Principal Register

Class # A

Registration:reg-1016014471-140006508_.sample_benelux_registration_certificate.jpg

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Certification Mark Form, Principal Register

To the Commissioner for Trademarks:

MARK: SAMPLE MARK (Standard Characters, see [mark](#))

The literal element of the mark consists of SAMPLE MARK.

The mark consists of standard characters, without claim to any particular font, style, size, or color.

The applicant, ABC Company, Inc., a corporation of District of Columbia, having an address of
Suite 123
1234 Anywhere Place
Washington DC, District of Columbia 22202
United States

requests registration of the Certification Mark identified above in the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. Section 1051 et seq.), as amended, for the following:

International Class A: GOODS EXAMPLE

Based on Foreign Registration: Applicant has a bona fide intention to exercise legitimate control over the use of the mark in commerce by its members on or in connection with the above identified goods/services, and submits a copy of Benelux registration number 1234567, registered 04/24/2013 with a renewal date of _____ and an expiration date of 04/24/2023, and translation thereof, if appropriate. 15 U. S.C. Section 1126(e), as amended.

[Foreign Registration-1](#) [reg-1016014471-140006508_._sample_benelux_registration_certificate.jpg]

Certification Statement: The certification mark, as used by authorized persons, certifies that the goods conform to the design, safety, durability, and performance requirements designated by the certifier.

No claim is made to the exclusive right to use MARK apart from the mark as shown.

The applicant's current Attorney Information:

John Smith and Jane Doe, John Doe, Jane Smith of The Trademark Law Firm
Suite A
123 45th Street, N.W.
Washington, District of Columbia 22202
United States.

The attorney docket/reference number is ABC.123

The applicant's current Correspondence Information:

John Smith
The Trademark Law Firm
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123 45th Street, N.W.
Washington, District of Columbia 22202
555-555-5555(phone)

555-555-5555(fax)

A fee payment in the amount of \$325 will be submitted with the application.

Declaration

The undersigned, being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. Section 1001, and that such willful false statements may jeopardize the validity of the form or any resulting registration, declares that he/she is properly authorized to execute this form on behalf of the applicant; he/she believes the applicant to be the owner of the certification mark sought to be registered, or, if the form is being filed under 15 U.S.C. Section 1051(b), he/she believes applicant to be entitled to exercise legitimate control over use of the mark in commerce; to the best of his/her knowledge and belief no other person, firm, corporation, or association has the right to use the mark in commerce, either in the identical form thereof or in such near resemblance thereto as to be likely, when used on or in connection with the goods and/or services of such other person, to cause confusion, or to cause mistake, or to deceive; and that all statements made of his/her own knowledge are true; and that all statements made on information and belief are believed to be true.

Declaration Signature

Signature: /test/ Date: 04/24/2013

Signatory's Name: test

Signatory's Position: tester

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United States Patent and Trademark Office

Notice of Patent Expiration Mailed Erroneously for Some Patents

The Notice of Patent Expiration was inadvertently printed and mailed around March 15, 2013 for some patents that did NOT expire. To verify the current status of the patent, please enter the patent/application numbers and select the "Get Bibliographic Data" button on the ["https://ramps.uspto.gov/eram/patentMaintFees.do"](https://ramps.uspto.gov/eram/patentMaintFees.do) Patent Maintenance Fees page (which displays the correct information), or call the Maintenance Fee Branch at 571-272-6500 for assistance. If you received a Notice erroneously, please discard it. If your patent has expired for failure to pay the patent maintenance fee, a petition to reinstate must be filed as indicated in the Notice. We apologize for any confusion and inconvenience that this may have caused. Thank you.

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