

**United States Patent and Trademark Office  
Electronic Information Products Division**

**Trademark Applications Document Type Definition (DTD) V 2.0**

<?xml version='1.0' encoding='UTF-8' ?>

<!--Generated by Turbo XML 2.3.1.100.-->

<!--Comment: date & version number updated - this line does not appear in the DTD>

<!-- DOCUMENT TYPE DEFINITION FOR THE TRADEMARK DAILY XML FILE

Reference this DTD as PUBLIC "-//USPTO//DTD TRADEMARK-APPLICATIONS-DAILY V2.0 2004-11-08//EN"

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Note: duplicate (same) element names are defined only once which can be reused under multiple elements in the source view

-->

<!-- \*\*\*\*\* START REVISION HISTORY \*\*\*\*\*

2003-03-05 - MD

- 1) Renamed element classes to classifications; and element class to classification. Change requested by Java users since "class" is a reserved word.
- 2) Changed the "0 or 1 times" reoccurrence of element "assignment-name-change" in case-file-owner, to "0 or more times" reoccurrence.
- 3) Renamed element assignment-name-change to name-change-explanation. Change requested by program manager.

2003-08-26 - SS

- 1) Added group element for international-registrations and associated individual elements

2003-10-06 - SS

- 1) Added additional elements for data related to international-registrations
- 2) Renamed data-processed-in to data-available-code.
- 3) Added application-information to trademark-applications-daily.
- 4) Moved data-available-code and file-segments to application-information.

2003-11-06 - SS

- 1) Added additional element standard-characters-claimed-in to case-file-header group element

2004-08-31 - SS

- 1) Added additional element abandonment-date to case-file-header group element
- 2) Added group element madrid-international-records and associated elements to capture outgoing transactions from the USPTO to the International Bureau under Madrid Protocol Implementation Act
- 3) Reorder of tags in the case-file-header group

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2004-09-09 - SS

- 1) Reorder of tags in the case-file-owner group (remove name-1, name-2, name-3, name-overflow - replaced with party-name
- 2) Added international-renewal-date to Madrid-international-filing-record

2004-09-16 - SS

- 1) Moved attorney-name to the case-file-header group
- 2) Moved domestic-representative-name to the case-file-header group
- 3) Created new group correspondent with elements address-1 through address-5
- 4) Added Correspondent group to case-file group
- 5) Correspondent information previously shown in case-file-owner group now appears in the Correspondent group
- 6) Begin use of 2 position country code for elements country and nationality
- 7) Name tag changed to party-name

2004-09-24 - SS

- 1) Modified nationality to include country or state

2004-11-01 – SS

- 1) Modified nationality to also include other element

2004-11-08 – SS

- 1) Added other to foreign-application group
- 2) Added other to case-file owner group
- 3) Added current-location element to case-file-header group

\*\*\*\* END REVISION HISTORY \*\*\*\* -->

```
<!ELEMENT trademark-applications-daily (version , application-information)>
<!ELEMENT version (version-no , version-date)>
<!ELEMENT version-no (#PCDATA)>
<!ELEMENT version-date (#PCDATA)>
<!ELEMENT application-information (data-available-code | file-segments*)>
<!ELEMENT data-available-code (#PCDATA)>
<!ELEMENT file-segments (file-segment* , action-keys*)>
<!ELEMENT file-segment (#PCDATA)>
<!ELEMENT action-keys (action-key* , case-file*)>
<!ELEMENT action-key (#PCDATA)>
<!--Comment: New tag added madrid-international-filing-requests - this line does not appear in the DTD.>
<!--Comment: New tag added correspondent - this line does not appear in the DTD.>
<!ELEMENT case-file (serial-number , registration-number? , transaction-date? , case-file-header? , case-
file-statements? , case-file-event-statements? , prior-registration-applications? , foreign-applications? ,
classifications? , correspondent? , case-file-owners? , design-searches? , international-registration? ,
madrid-international-filing-requests?)>
<!ELEMENT serial-number (#PCDATA)>
<!ELEMENT registration-number (#PCDATA)>
<!ELEMENT transaction-date (#PCDATA)>
<!--Comment: Tags reordered and new tags abandonment-date and location-date added to group - this
line does not appear in the DTD.>
<!--Comment: Tags moved into this group and removed from case-file-owner group domestic-
representative-name and attorney-name - this line does not appear in the DTD.>
```

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<!--Comment – current-location element added – this line does not appear in the DTD)  
<!ELEMENT case-file-header (filing-date? , registration-date? , status-code? , status-date? , mark-  
identification? , mark-drawing-code? , published-for-opposition-date? , amend-to-register-date? ,  
abandonment-date? , cancellation-code? , cancellation-date? , republished-12c-date? , domestic-  
representative-

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name , attorney-docket-number? , attorney-name , principal-register-amended-in? , supplemental-register-amended-in? , trademark-in? , collective-trademark-in? , service-mark-in? , collective-service-mark-in? , collective-membership-mark-in? , certification-mark-in? , cancellation-pending-in? , published-concurrent-in? , concurrent-use-in? , concurrent-use-proceeding-in? , interference-pending-in? , opposition-pending-in? , section-12c-in? , section-2f-in? , section-2f-in-part-in? , renewal-filed-in? , section-8-filed-in? , section-8-partial-accept-in? , section-8-accepted-in? , section-15-acknowledged-in? , section-15-filed-in? , supplemental-register-in? , foreign-priority-in? , change-registration-in? , intent-to-use-in? , intent-to-use-current-in? , filed-as-use-application-in? , amended-to-use-application-in? , use-application-currently-in? , amended-to-itu-application-in? , filing-basis-filed-as-44d-in? , amended-to-44d-application-in? , filing-basis-current-44d-in? , filing-basis-filed-as-44e-in? , filing-basis-current-44e-in? , amended-to-44e-application-in? , without-basis-currently-in? , filing-current-no-basis-in? , color-drawing-filed-in? , color-drawing-current-in? , drawing-3d-filed-in? , drawing-3d-current-in? , standard-characters-claimed-in? , filing-basis-filed-as-66a-in? , filing-basis-current-66a-in? , renewal-date? , law-office-assigned-location-code? , current-location? ,location-date? , employee-number?)>

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<!ELEMENT filing-date (#PCDATA)>  
<!ELEMENT registration-date (#PCDATA)>  
<!ELEMENT status-code (#PCDATA)>  
<!ELEMENT status-date (#PCDATA)>  
<!ELEMENT mark-identification (#PCDATA)>  
<!ELEMENT mark-drawing-code (#PCDATA)>  
<!ELEMENT published-for-opposition-date (#PCDATA)>  
<!ELEMENT amend-to-register-date (#PCDATA)>  
<!ELEMENT abandonment-date (#PCDATA)>  
<!ELEMENT cancellation-code (#PCDATA)>  
<!ELEMENT cancellation-date (#PCDATA)>  
<!ELEMENT republished-12c-date (#PCDATA)>  
<!ELEMENT domestic-representative-name (#PCDATA)>  
<!ELEMENT attorney-docket-number (#PCDATA)>  
<!ELEMENT attorney-name (#PCDATA)>  
<!ELEMENT principal-register-amended-in (#PCDATA)>  
<!ELEMENT supplemental-register-amended-in (#PCDATA)>  
<!ELEMENT trademark-in (#PCDATA)>  
<!ELEMENT collective-trademark-in (#PCDATA)>  
<!ELEMENT service-mark-in (#PCDATA)>  
<!ELEMENT collective-service-mark-in (#PCDATA)>  
<!ELEMENT collective-membership-mark-in (#PCDATA)>  
<!ELEMENT certification-mark-in (#PCDATA)>  
<!ELEMENT cancellation-pending-in (#PCDATA)>  
<!ELEMENT published-concurrent-in (#PCDATA)>  
<!ELEMENT concurrent-use-in (#PCDATA)>  
<!ELEMENT concurrent-use-proceeding-in (#PCDATA)>  
<!ELEMENT interference-pending-in (#PCDATA)>  
<!ELEMENT opposition-pending-in (#PCDATA)>  
<!ELEMENT section-12c-in (#PCDATA)>  
<!ELEMENT section-2f-in (#PCDATA)>  
<!ELEMENT section-2f-in-part-in (#PCDATA)>  
<!ELEMENT renewal-filed-in (#PCDATA)>  
<!ELEMENT section-8-filed-in (#PCDATA)>  
<!ELEMENT section-8-partial-accept-in (#PCDATA)>  
<!ELEMENT section-8-accepted-in (#PCDATA)>  
<!ELEMENT section-15-acknowledged-in (#PCDATA)>  
<!ELEMENT section-15-filed-in (#PCDATA)>

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```
<!ELEMENT supplemental-register-in (#PCDATA)>
<!ELEMENT foreign-priority-in (#PCDATA)>
<!ELEMENT change-registration-in (#PCDATA)>
<!ELEMENT intent-to-use-in (#PCDATA)>
<!ELEMENT intent-to-use-current-in (#PCDATA)>
<!ELEMENT filed-as-use-application-in (#PCDATA)>
<!ELEMENT amended-to-use-application-in (#PCDATA)>
<!ELEMENT use-application-currently-in (#PCDATA)>
<!ELEMENT amended-to-itu-application-in (#PCDATA)>
<!ELEMENT filing-basis-filed-as-44d-in (#PCDATA)>
<!ELEMENT amended-to-44d-application-in (#PCDATA)>
<!ELEMENT filing-basis-current-44d-in (#PCDATA)>
<!ELEMENT filing-basis-filed-as-44e-in (#PCDATA)>
<!ELEMENT amended-to-44e-application-in (#PCDATA)>
<!ELEMENT filing-basis-current-44e-in (#PCDATA)>
<!ELEMENT without-basis-currently-in (#PCDATA)>
<!ELEMENT filing-current-no-basis-in (#PCDATA)>
<!ELEMENT color-drawing-filed-in (#PCDATA)>
<!ELEMENT color-drawing-current-in (#PCDATA)>
<!ELEMENT drawing-3d-filed-in (#PCDATA)>
<!ELEMENT drawing-3d-current-in (#PCDATA)>
<!-- Standard characters claim made by applicant-->
<!ELEMENT standard-characters-claimed-in (#PCDATA)>
<!-- Request for extension of protection used as filing basis for US application-->
<!ELEMENT filing-basis-filed-as-66a-in (#PCDATA)>
<!-- Request for extension of protection used as current basis for US application-->
<!ELEMENT filing-basis-current-66a-in (#PCDATA)>
<!ELEMENT renewal-date (#PCDATA)>
<!ELEMENT law-office-assigned-location-code (#PCDATA)>
<!ELEMENT current-location (#PCDATA)>
<!ELEMENT location-date (#PCDATA)>
<!ELEMENT employee-number (#PCDATA)>
<!ELEMENT case-file-statements (case-file-statement*)>
<!ELEMENT case-file-statement (type-code? , text*)>
<!ELEMENT type-code (#PCDATA)>
<!ELEMENT text (#PCDATA)>
<!ELEMENT case-file-event-statements (case-file-event-statement*)>
<!ELEMENT case-file-event-statement (code? , type? , description-text? , date? , number?)>
<!ELEMENT code (#PCDATA)>
<!ELEMENT type (#PCDATA)>
<!ELEMENT description-text (#PCDATA)>
<!ELEMENT date (#PCDATA)>
<!ELEMENT number (#PCDATA)>
<!ELEMENT prior-registration-applications (other-related-in* , prior-registration-application*)>
<!ELEMENT other-related-in (#PCDATA)>
<!ELEMENT prior-registration-application (relationship-type? , number?)>
<!ELEMENT relationship-type (#PCDATA)>
<!ELEMENT foreign-applications (foreign-application*)>
<!--Comment: Foreign application group modified to include other element to identify 3 digit value
appropriately - this line does not appear in the DTD.>
<!ELEMENT foreign-application (filing-date? , registration-date? , registration-expiration-date? ,
registration-renewal-date? , registration-renewal-expiration-
```

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```
date? , entry-number? , application-number? , country? , other? , registration-number? , renewal-number?
, foreign-priority-claim-in?)>
<!ELEMENT registration-expiration-date (#PCDATA)>
<!ELEMENT registration-renewal-date (#PCDATA)>
<!ELEMENT registration-renewal-expiration-date (#PCDATA)>
<!ELEMENT entry-number (#PCDATA)>
<!ELEMENT application-number (#PCDATA)>
<!ELEMENT country (#PCDATA)>
<!ELEMENT renewal-number (#PCDATA)>
<!ELEMENT foreign-priority-claim-in (#PCDATA)>
<!ELEMENT classifications (classification*)>
<!ELEMENT classification (international-code-total-no? , us-code-total-no? , international-code* , us-
code* , status-code? , status-date? , first-use-anywhere-date? , first-use-in-commerce-date? , primary-
code?)>
<!ELEMENT international-code-total-no (#PCDATA)>
<!ELEMENT us-code-total-no (#PCDATA)>
<!ELEMENT international-code (#PCDATA)>
<!ELEMENT us-code (#PCDATA)>
<!ELEMENT first-use-anywhere-date (#PCDATA)>
<!ELEMENT first-use-in-commerce-date (#PCDATA)>
<!ELEMENT primary-code (#PCDATA)>
<!--Comment: Correspondent group element added - this line does not appear in the DTD.>
<!ELEMENT correspondent (address-1?, address-2?, address-3?, address-4?, address-5?)>
<!ELEMENT address-1 (#PCDATA)>
<!ELEMENT address-2 (#PCDATA)>
<!ELEMENT address-3 (#PCDATA)>
<!ELEMENT address-4 (#PCDATA)>
<!ELEMENT address-5 (#PCDATA)>
<!ELEMENT case-file-owners (case-file-owner*)>
<!--Comment: Tags reordered and tags domestic-representative-name and attorney-name moved to
case-file-header group this line does not appear in the DTD.>
<!--Comment: New group CORRESPONDENT, name-1, name-2, name-3, name-overflow modified to be
single tag party-name - this line does not appear in the DTD.>
<!--Comment: Name tag changed to party-name - this line does not appear in the DTD.>
<!--Comment: Nationality tag modified to include state/country elements to identify 2 digit value
appropriately - this line does not appear in the DTD.>
<!--Comment: Case file owner modified to include Other tag to identify 3 digit value appropriately - this
line does not appear in the DTD.>
<!--Comment: Nationality tag modified to include other element to identify 3 digit value appropriately - this
line does not appear in the DTD.>
<!ELEMENT case-file-owner (entry-number? , party-type? , nationality? , legal-entity-type-code? , entity-
statement? , party-name, address-1? , address-2? , city? , state? , country? , other? , postcode? , dba-
aka-text? , composed-of-statement? , name-change-explanation* )>
```

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<!ELEMENT party-type (#PCDATA)>  
<!ELEMENT nationality (state | country | other)>  
<!ELEMENT legal-entity-type-code (#PCDATA)>  
<!ELEMENT entity-statement (#PCDATA)>  
<!ELEMENT party-name (#PCDATA)>  
<!ELEMENT city (#PCDATA)>  
<!ELEMENT state (#PCDATA)>  
<!ELEMENT other (#PCDATA)>  
<!ELEMENT postcode (#PCDATA)>  
<!ELEMENT dba-aka-text (#PCDATA)>

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```
<!ELEMENT composed-of-statement (#PCDATA)>
<!ELEMENT name-change-explanation (#PCDATA)>
<!ELEMENT design-searches (design-search*)>
<!ELEMENT design-search (code?)>

<!-- Indicates a Request for Extension of Protection in the United States has been received from the
International Bureau -->
<!ELEMENT international-registration (international-registration-number , international-registration-date ,
international-publication-date , international-renewal-date , auto-protection-date , international-death-
date? , international-status-code , international-status-date , priority-claimed-in , priority-claimed-date? ,
first-refusal-in)>
<!-- International registration number assigned by the International Bureau-->
<!ELEMENT international-registration-number (#PCDATA)>
<!-- Date International registered by the International Bureau-->
<!ELEMENT international-registration-date (#PCDATA)>
<!-- Date International registration published by the International Bureau-->
<!ELEMENT international-publication-date (#PCDATA)>
<!-- Date the International Bureau must renew the International Registration-->
<!ELEMENT international-renewal-date (#PCDATA)>
<!-- Date the US application will automatically be assigned a US registration number except when the
US has issued a First Refusal Notification to the International Bureau -->
<!ELEMENT auto-protection-date (#PCDATA)>
<!-- Date the International Registration has expired-->
<!ELEMENT international-death-date (#PCDATA)>
<!-- Code that defines the last known status of the International Registration-->
<!ELEMENT international-status-code (#PCDATA)>
<!-- Date of which the International Registration status code became effective-->
<!ELEMENT international-status-date (#PCDATA)>
<!-- Indicates International Registration has claimed priority-->
<!ELEMENT priority-claimed-in (#PCDATA)>
<!-- Date Priority claimed in the International Registration-->
<!ELEMENT priority-claimed-date (#PCDATA)>
<!-- True/False value, when True indicates the US has issued a Notice of First Refusal to the International
Bureau-->
<!ELEMENT first-refusal-in (#PCDATA)>
<!-- Indicates the USPTO has processed a International filing requesting extension of protection in
another country using a national application as the filing basis -->
<!-- Indicates one or more International filings have transpired for a given domestic application-->
<!--Comment: Added an s to madrid-international-filing request tag - this line does not appear in the
DTD>
<!ELEMENT madrid-international-filing-requests (madrid-international-filing-record+)>
<!--Comment: Tag international-renewal-date added to group - this line does not appear in the DTD>
<!ELEMENT madrid-international-filing-record (entry-number, reference-number, original-filing-date-
uspto, international-registration-number?, international-registration-date?, international-status-code?,
international-status-date?, irregularity-reply-by-date?, international-renewal-date?, madrid-history-
events?)>
<!-- sequential number -->
<!--ELEMENT entry-number (#PCDATA) !!!Already defined see above!!!! -->
<!-- unique tracking identification number assigned by USPTO-->
```

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```
<!ELEMENT reference-number (#PCDATA)>
<!-- Date international filing request was received in the USPTO-->
<!ELEMENT original-filing-date-uspto (#PCDATA)>
<!-- International registration number assigned by the International Bureau-->
<!--ELEMENT international-registration-number (#PCDATA) !!!Already defined!!!-->
<!-- Date International registration registered by the International Bureau-->
<!--ELEMENT international-registration-date (#PCDATA) !!!Already defined!!! -->
<!-- Code that defines the last known status of the International filing-->
<!-- ELEMENT international-status-code (#PCDATA) !!!Already defined!!! -->
<!-- Date of which the International filing status code became effective-->
<!-- ELEMENT international-status-date (#PCDATA) !!!Already defined!!! -->
<!-- Date of which the International filing requires a reply-->
<!ELEMENT irregularity-reply-by-date (#PCDATA)>
<!-- Date the International Bureau must renew the International Registration-->
<!--ELEMENT international-renewal-date (#PCDATA)!!!Already defined!!!-->
<!-- Defines the transactions applied against the international filing/registration related to the International
filing-->
<!ELEMENT madrid-history-events (madrid-history-event+)>
<!--Comment: Tag entry-number added to group - this line does not appear in the DTD>
<!ELEMENT madrid-history-event (code, date, description-text, entry-number)>
<!-- Defines the code associated with the history event-->
<!-- ELEMENT code (#PCDATA) !!!Already defined!!! -->
<!-- Indicates the date on which a transaction was applied to the International filing-->
<!-- ELEMENT date (#PCDATA) !!!Already defined!!! -->
<!-- A textual description describing the history event-->
<!-- ELEMENT description-text (#PCDATA) !!!Already defined!!! -->
<!-- A sequential number assigned during the extraction process to each event -->
<!-- ELEMENT entry-number (#PCDATA) !!!Already defined!!! -->
```