

## Reexaminations - FY 2014



Pending Reexaminations	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Processing	19	6	8	
Awaiting Order/Denial	35	29	31	
Between Order and First Action on the Merits	96	73	75	
Between FAOM and NIRC	1571	1503	1414	
Between NIRC and Publication of Certificate	50	86	73	
Total	1771	1697	1601	

## Volume of Proceedings

	YTD Through Quarter 1	YTD Through Quarter 2	YTD Through Quarter 3	YTD Through Quarter 4
Filings	108	192	294	
Ex Parte	102	178	270	
Inter Partes	NA	NA	NA	
Supplemental Examinations (SE)	6	14	24	
Supplemental Examinations (SE) SNQ Determination	6	14	23	
SE SNQ-Yes	6	11	17	
SE SNQ-No	0	3	6	
Orders Granting Reexamination	110	208	299	
Ex Parte Orders	104	196	284	
Inter Partes Orders	NA	NA	NA	
SE/EP Orders	6	12	15	
Denials	4	8	13	
Ex Parte Denials	4	8	13	
Inter Partes Denials	NA	NA	NA	
First Action on the Merits (FAOM)	90	195	288	
Ex Parte FAOMs	84	182	272	
Inter Partes FAOMs	3	5	5	
SE/EP FAOM	3	8	11	
Notices of Intent to Issue a Reexamination Certificate (NIRC)	211	403	582	
Ex Parte NIRCs	118	245	359	
Inter Partes NIRCs	88	149	208	
SE/EP NIRC	5	9	15	
Reexamination Certificates	226	395	583	
Ex Parte Certificates	141	240	376	
Inter Partes Certificates	85	155	207	
SE/EP Certificates	0	0	0	

## Timing of Proceedings

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to SE Determination	2.34	2.45	1.87	
Average Months to SE SNQ-Yes	2.34	2.28	1.96	
Average Months to SE SNQ-No	0.00	2.74	1.70	
Average Months from Filing to Order	1.38	1.59	1.32	
Average Months to Ex Parte Order	1.26	1.34	1.26	
Average Months to Inter Partes Order	NA	NA	NA	
Average Months to SE/EP Order	3.49	5.42	3.11	
Average Months from Filing to Denial	1.26	0.00	1.27	
Average Months to Ex Parte Denial	1.26	0	1.27	
Average Months to Inter Partes Denial	NA	NA	NA	
	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to FAOM	5.46	4.79	5.45	
Average Months to Ex Parte FAOM	5.23	4.99	5.37	
Average Months to Inter Partes FAOM	14.25	3.13	17.79	
Average Months to SE/EP FAOM	3.03	7.028	3.61	
Average Months from Filing to NIRC	26.09	28.59	28.67	
Average Months to Ex Parte NIRC	21.85	23.76	20.29	
Average Months to Inter Partes NIRC	32.79	40.15	43.29	
Average Months to SE/EP NIRC	6.26	5.82	10.33	
Average Months from Filing to Certificate	25.22	28.81	32.24	
Average Months to Ex Parte Certificate	19.70	22.66	26.39	
Average Months to Inter Partes Certificate	34.43	37.51	47.56	
Average Months to Supplemental Examination Certificate	0	0	0	

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

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## Reexaminations - FY 2013



Pending Reexaminations	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Processing	2	12	10	11
Awaiting Order/Denial	43	35	32	37
Between Order and First Action on the Merits	226	71	72	85
Between FAOM and NIRC	1698	1978	1845	1679
Between NIRC and Publication of Certificate	64	58	80	87
<b>Total</b>	<b>2033</b>	<b>2154</b>	<b>2039</b>	<b>1899</b>

## Volume of Proceedings

	YTD Through Quarter 1	YTD Through Quarter 2 <sup>1</sup>	YTD Through Quarter 3 <sup>1</sup>	YTD Through Quarter 4
Filings	70	139	225	324
Ex Parte	65	128	208	299
Inter Parte	NA	NA	NA	NA
Supplemental Examinations (SE)	5	11	17	25
Supplemental Examinations (SE) SNQ Determination	0	5	12	13
SE SNQ-Yes	0	4	9	9
SE SNQ-No	0	1	3	4
Orders Granting Reexamination	390	132	539	317
Ex Parte Orders	69	132	212	303
Inter Parte Orders	321	NA	321	NA
SE/EP Orders	0	0	6	14
Denials	42	49	56	62
Ex Parte Denials	17	24	31	37
Inter Parte Denials	25	25	25	25
First Action on the Merits (FAOM)	372	611	745	868
Ex Parte FAOMs	86	313	431	538
Inter Parte FAOMs	286	298	309	317
SE/EP FAOM	0	0	5	13
Notices of Intent to Issue a Reexamination Certificate (NIRC)	140	332	568	784
Ex Parte NIRCs	102	230	396	542
Inter Parte NIRCs	38	102	172	242
SE/EP NIRC	0	0	0	0
Reexamination Certificates	191	397	622	828
Ex Parte Certificates	146	283	444	580
Inter Parte Certificates	45	114	178	248
SE/EP Certificates	0	0	0	0

## Timing of Proceedings

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to SE Determination	0	2.802	2.07	1.84
Average Months to SE SNQ-Yes	0	2.91	1.85	1.84
Average Months to SE SNQ-No	0	2.37	2.51	0
Average Months from Filing to Order	2.07	1.62	1.39	1.59
Average Months to Ex Parte Order	1.96	1.62	1.29	1.39
Average Months to Inter Parte Order	2.15	NA	NA	NA
Average Months to SE/EP Order	0	0	2.98	3.54
Average Months from Filing to Denial	2.10	1.78	1.56	1.8
Average Months to Ex Parte Denial	1.68	1.78	1.56	1.8
Average Months to Inter Parte Denial	2.38	NA	NA	NA
	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to FAOM	2.64	6.38	7.21	7.24
Average Months to Ex Parte FAOM	4.35	6.32	7.19	7.23
Average Months to Inter Parte FAOM	2.13	7.45	9.12	11.15
Average Months to SE/EP FAOM	0	0	3.54	3.54
Average Months from Filing to NIRC	20.06	26.08	25.00	27.45
Average Months to Ex Parte NIRC	16.33	19.68	19.15	21.74
Average Months to Inter Parte NIRC	30.06	39.29	38.86	39.62
Average Months to SE/EP NIRC	0	0	0	8.65
Average Months from Filing to Certificate	23.35	29.04	27.07	28.64
Average Months to Ex Parte Certificate	21.18	24.63	21.12	22.1
Average Months to Inter Parte Certificate	30.38	37.49	42.05	41.3
Average Months to Supplemental Examination Certificate	0	0	0	0

1 Quarter 2 and 3 data has corrected to show YTD numbers

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

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# Reexaminations - FY 2012



Pending Reexaminations	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Processing	79	44	33	251
Awaiting Order/Denial	114	112	119	355
Between Order and First Action on the Merits	196	188	155	130
Between FAOM and NIRC	1615	1700	1541	1588
Between NIRC and Publication of Certificate	113	119	176	108
Total	2117	2163	2024	2432

## Volume of Proceedings

	YTD Through Quarter 1	YTD Through Quarter 2	YTD Through Quarter 3	YTD Through Quarter 4
Filings	258	508	746	1449
Ex Parte	160	316	476	788
Inter Parte	98	192	270	661
Orders Granting Reexamination	224	465	677	917
Ex Parte Orders	140	286	422	566
Inter Parte Orders	84	179	255	351
Denials	32	59	82	92
Ex Parte Denials	23	43	53	57
Inter Parte Denials	9	16	29	35
First Action on the Merits	264	522	763	1008
Ex Parte FAOMs	167	233	475	623
Inter Parte FAOMs	97	200	288	385
Notices of Intent to Issue a Reexamination Certificate	183	387	661	911
Ex Parte NIRCs	158	327	541	738
Inter Parte NIRCs	25	60	120	173
Reexamination Certificates	238	436	671	969
Ex Parte Certificates	206	378	567	804
Inter Parte Certificates	32	58	104	165

## Timing of Proceedings

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to Order	1.9	1.8	1.8	1.8
Average Months to Ex Parte Order	1.8	1.6	1.7	1.7
Average Months to Inter Parte Order	2	2	2	1.9
Average Months from Filing to Denial	1.8	1.9	1.8	2.0
Average Months to Ex Parte Denial	1.9	1.9	1.8	2.3
Average Months to Inter Parte Denial	1.6	2	1.8	1.8
Average Months from Filing to FAOM	4.8	4.6	4.8	3.9
Average Months to Ex Parte FAOM	5.7	6	6	5.7
Average Months to Inter Parte FAOM	3.1	2.7	2.9	2.0
Average Months from Filing to NIRC	20.7	20.7	22.7	30.1
Average Months to Ex Parte NIRC	18.7	18.4	19.9	27.0
Average Months to Inter Parte NIRC	33.1	31.1	35.2	33.3
Average Months from Filing to Certificate	22.3	23.8	23.7	34.4
Average Months to Ex Parte Certificate	20.2	21.5	21.2	30.3
Average Months to Inter Parte Certificate	35.9	38.8	37.4	38.4

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

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## Reexaminations – FY 2011



Pending Reexaminations	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Preprocessing	100	69	52	84
Awaiting Order/Denial	100	132	130	113
Between Order and First Action on the Merits	290	242	255	222
Between FAOM and NIRC	1419	1465	1489	1566
Between NIRC and Publication of Certificate	215	254	176	135
Total	2124	2162	2102	2120

## Volume of Proceedings

	YTD Through Quarter 1	YTD Through Quarter 2	YTD Through Quarter 3	YTD Through Quarter 4
Filings	288	572	852	1133
Ex Parte	188	392	581	759
Inter Partes	100	180	271	374
Orders Granting Reexamination	275	520	785	1027
Ex Parte Orders	186	347	530	685
Inter Partes Orders	89	143	255	342
Denials	26	64	89	112
Ex Parte Denials	24	50	70	88
Inter Partes Denials	2	14	19	24
First Actions on the Merits	252	550	796	1077
Ex Parte FAOMs	171	396	551	741
Inter Partes FAOMs	81	154	245	336
Notices of Intent to Issue a Reexamination Certificate	215	464	698	897
Ex Parte NIRCs	179	404	614	788
Inter Partes NIRCs	36	60	84	109
Reexamination Certificates	212	440	733	987
Ex Parte Certificates	183	377	645	873
Inter Partes Certificates	29	63	88	114

## Timing of proceedings

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to Order	1.9	1.8	1.8	1.8
Average Months to Ex Parte Order	1.8	1.7	1.7	1.8
Average Months to Inter Partes Order	2.0	1.9	1.9	1.9
Average Months from Filing to Denial	2.0	1.7	1.9	2.0
Average Months from Ex Parte Denial	2.1	1.6	1.9	1.9
Average Months to Inter Partes Denial	1.5	2.0	2.0	2.2
Average Months from Filing to FAOM	4.7	5.3	4.7	5.0
Average Months to Ex Parte FAOM	5.5	6.0	5.7	5.8
Average Months to Inter Partes FAOM	3.0	3.0	2.9	3.2
Average Months from Filing to NIRC	27.7	22.5	20.6	15.6
Average Months to Ex Parte NIRC	26.4	21.2	19.7	15.3
Average Months to Inter Partes NIRC	34.1	34.2	28.4	33.9
Average Months from Filing to Certificate	27.9	32.7	27.3	22.2
Average Months to Ex Parte Certificate	26.5	31.8	26.3	21.1
Average Months to Inter Partes Certificate	37.0	37.9	38.0	31.9

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

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## Reexaminations – FY 2010



Pending Reexaminations	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Preprocessing	87	83	58	64
Awaiting Order/Denial	96	109	128	156
Between Order and First Action on the Merits	343	279	271	278
Between FAOM and NIRC	1345	1395	1377	1378
Between NIRC and Publication of Certificate	162	197	207	218
Total	2033	2063	2041	2094

## Volume of Proceedings

	YTD Through Quarter 1	YTD Through Quarter 2	YTD Through Quarter 3	YTD Through Quarter 4
Filings	242	470	728	1061
Ex Parte	168	344	539	780
Inter Partes	74	126	189	281
Orders Granting Reexamination	205	396	586	831
Ex Parte Orders	153	282	423	607
Inter Partes Orders	52	114	163	224
Denials	10	23	45	62
Ex Parte Denials	10	22	40	55
Inter Partes Denials		1	5	7
First Actions on the Merits	186	451	643	877
Ex Parte FAOMs	122	319	451	621
Inter Partes FAOMs	64	132	192	256
Notices of Intent to Issue a Reexamination Certificate	183	389	610	850
Ex Parte NIRCs	165	351	549	760
Inter Partes NIRCs	18	38	61	90
Reexamination Certificates	254	445	624	859
Ex Parte Certificates	224	395	560	771
Inter Partes Certificates	30	50	64	88

## Timing of proceedings

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to Order	1.9	1.9	1.6	1.8
Average Months to Ex Parte Order	1.9	1.8	1.6	1.7
Average Months to Inter Partes Order	2.2	2.1	1.8	2.0
Average Months from Filing to Denial	2.0	1.9	1.6	1.7
Average Months from Ex Parte Denial	2.0	2.0	1.7	1.6
Average Months to Inter Partes Denial		1.6	1.1	1.8
Average Months from Filing to FAOM	6.5	6.9	6.2	6.4
Average Months to Ex Parte FAOM	7.6	7.8	7.4	7.5
Average Months to Inter Partes FAOM	4.4	4.2	3.6	3.3
Average Months from Filing to NIRC	24.1	24.1	28.2	26.1
Average Months to Ex Parte NIRC	23.0	23.8	27.4	25.0
Average Months to Inter Partes NIRC	34.6	26.9	34.8	33.5
Average Months from Filing to Certificate	31.8	27.6	28.5	30.5
Average Months to Ex Parte Certificate	31.1	26.5	28.4	29.7
Average Months to Inter Partes Certificate	37.3	37.3	29.5	37.6

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

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## Reexaminations – FY 2009



### Pending Reexaminations

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Preprocessing	42	77	56	89
Awaiting Order/Denial	127	110	127	101
Between Order and First Action on the Merits	438	373	339	323
Between FAOM and NIRC	1336	1356	1373	1349
Between NIRC and Publication of Certificate	171	224	207	258
Total	2114	2140	2102	2120

### Volume of Proceedings

	YTD Through Quarter 1	YTD Through Quarter 2	YTD Through Quarter 3	YTD Through Quarter 4
Filings	222	441	676	916
Ex Parte	154	308	481	658
Inter Partes	68	133	195	258
Orders Granting Reexamination	191	392	583	794
Ex Parte Orders	153	288	427	576
Inter Partes Orders	38	104	156	218
Denials	17	27	41	51
Ex Parte Denials	10	18	29	38
Inter Partes Denials	7	9	12	13
First Actions on the Merits	195	449	686	910
Ex Parte FAOMs	154	332	519	701
Inter Partes FAOMs	41	117	167	209
Notices of Intent to Issue a Reexamination Certificate	137	372	581	822
Ex Parte NIRCs	130	338	521	735
Inter Partes NIRCs	7	34	60	87
Reexamination Certificates	171	346	571	797
Ex Parte Certificates	160	324	527	724
Inter Partes Certificates	11	22	44	73

### Timing of proceedings

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Average Months from Filing to Order	2.0	1.8	1.8	1.9
Average Months to Ex Parte Order	1.9	1.7	1.7	1.8
Average Months to Inter Partes Order	2.3	2.1	1.9	2.1
Average Months from Filing to Denial	2.4	2.2	1.6	1.7
Average Months from Ex Parte Denial	2.1	2.3	1.7	1.8
Average Months to Inter Partes Denial	2.8	1.8	1.0	1.0
Average Months from Filing to FAOM	9.5	8.7	7.7	7.5
Average Months to Ex Parte FAOM	10.3	10.6	8.7	8.2
Average Months to Inter Partes FAOM	6.1	4.2	3.7	4.7
Average Months from Filing to NIRC	24.2	28.9	28.3	25.8
Average Months to Ex Parte NIRC	23.5	28.1	28.2	24.7
Average Months to Inter Partes NIRC	36.6	35.2	29.3	34.5
Average Months from Filing to Certificate	32.9	31.0	33.8	31.1
Average Months to Ex Parte Certificate	32.3	31.1	33.0	30.5
Average Months to Inter Partes Certificate	41.7	28.9	41.7	35.2

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

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## Reexaminations – FY 2008



### Pending Reexaminations

Preprocessing  
 Awaiting Order/Denial  
 Between Order and First Action on the Merits  
 Between FAOM and NIRC  
 Between NIRC and Publication of Certificate  
 Total

Quarter 1\*    Quarter 2\*    Quarter 3\*    Quarter 4

### Volume of Proceedings

	YTD Through Quarter 1*	YTD Through Quarter 2*	YTD Through Quarter 3*	YTD Through Quarter 4
Filings	210	412	615	848
Ex Parte	165	330	487	680
Inter Partes	45	82	128	168
Orders Granting Reexamination	185	372	579	768
Ex Parte Orders	153	305	468	626
Inter Partes Orders	32	67	111	142
Denials	7	20	41	48
Ex Parte Denials	5	13	33	40
Inter Partes Denials	2	7	8	8
First Actions on the Merits	182	400	613	895
Ex Parte FAOMs	157	334	506	752
Inter Partes FAOMs	25	66	107	143
Notices of Intent to Issue a Reexamination Certificate	138	249	377	504
Ex Parte NIRCs	131	234	357	471
Inter Partes NIRCs	7	15	20	33
Reexamination Certificates	175	279	408	597
Ex Parte Certificates	174	273	392	575
Inter Partes Certificates	1	6	16	22

### Timing of proceedings

	Quarter 1*	Quarter 2*	Quarter 3*	Quarter 4
Average Months from Filing to Order	2.0	2.0	1.8	1.9
Average Months to Ex Parte Order	1.9	1.9	1.8	1.8
Average Months to Inter Partes Order	2.3	2.3	2.1	2.2
Average Months from Filing to Denial	2.2	2.3	2.5	1.6
Average Months from Ex Parte Denial	2.1	2.1	2.5	1.6
Average Months to Inter Partes Denial	2.7	2.8	1.9	0
Average Months from Filing to FAOM	10.8	10.9	11.2	11.3
Average Months to Ex Parte FAOM	12.0	12.2	12.5	12.1
Average Months to Inter Partes FAOM	3.5	5.1	5.6	6.3
Average Months from Filing to NIRC	24.2	26.4	25.5	26.3
Average Months to Ex Parte NIRC	23.5	26.3	25.4	25.2
Average Months to Inter Partes NIRC	36.6	27.5	28.2	38.5
Average Months from Filing to Certificate	32.9	31.6	37.6	31.8
Average Months to Ex Parte Certificate	32.9	31.3	38.0	31.7
Average Months to Inter Partes Certificate	27.2	38.3	32.7	36.0

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

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\*Prior to the fourth quarter of Y 2008, PALM data was retrieved as of April 2009. Starting in the fourth quarter of 2008, data is from the end of the respective quarter.

## Reexaminations – FY 2007



### Pending Reexaminations

	Quarter 1	Quarter 2	Quarter 3	Quarter 4*
Preprocessing				
Awaiting Order/Denial				
Between Order and First Action on the Merits				
Between FAOM and NIRC				
Between NIRC and Publication of Certificate				
Total				

### Volume of Proceedings

	YTD Through Quarter 1	YTD Through Quarter 2	YTD Through Quarter 3	YTD Through Quarter 4*
Filings				769
Ex Parte				643
Inter Partes				126
Orders Granting Reexamination				693
Ex Parte Orders				575
Inter Partes Orders				118
Denials				20
Ex Parte Denials				19
Inter Partes Denials				1
First Actions on the Merits				499
Ex Parte FAOMs				416
Inter Partes FAOMs				83
Notices of Intent to Issue a Reexamination Certificate				417
Ex Parte NIRCs				409
Inter Partes NIRCs				8
Reexamination Certificates				394
Ex Parte Certificates				391
Inter Partes Certificates				3

### Timing of proceedings

	Quarter 1	Quarter 2	Quarter 3	Quarter 4*
Average Months from Filing to Order				2.0
Average Months to Ex Parte Order				2.0
Average Months to Inter Partes Order				2.4
Average Months from Filing to Denial				2.1
Average Months from Ex Parte Denial				2.1
Average Months to Inter Partes Denial				0
Average Months from Filing to FAOM				12.3
Average Months to Ex Parte FAOM				14.2
Average Months to Inter Partes FAOM				3.2
Average Months from Filing to NIRC				28.3
Average Months to Ex Parte NIRC				28.3
Average Months to Inter Partes NIRC				27.7
Average Months from Filing to Certificate				39.6
Average Months to Ex Parte Certificate				39.9
Average Months to Inter Partes Certificate				27.1

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

\*Prior to the fourth quarter of Y 2008, PALM data was retrieved as of April 2009. Starting in the fourth quarter of 2008, data is from the end of the respective quarter.