

Actions and Disposals		Sum of counts for each FY	
Office Actions	A count of Office actions in the period selected from restriction, rejections, allowance, Quayle action, interference actions, advisory actions, interference actions, suspensions, independent rule 105 communications, advisory actions and abandonments. Abandonments for failure to respond are not included in the count of actions.	2011	1309932
		2012	1413957
		2013	1506217
Disposals	A count of disposal counts awarded in the period awarded where the counts are from allowances, interference actions, examiner's answers and abandonments.	2011	526054
		2012	566839
		2013	596387
Actions per Disposals	Office Actions divided by Disposals	2011	2.5
		2012	2.5
		2013	2.5
Total Allowance Disposals	A count of allowance disposal counts. Can be used to calculate % Allowed of Disposals when used with the "Disposals" count above.	2011	263296
		2012	301832
		2013	330090
All FAOM Allows	A count of all First Action allowances in an application. Actions are selected from rejections, allowance, Quayle action, interference actions, advisory actions, suspension independent rule 105 communications, and abandonments.	2011	93933
		2012	104856
		2013	119572
Original FAOMs	The number of original FAOMs done in cases during the period. No FAOMs after RCE included.	2011	390498
		2012	432428
		2013	437445
Original FAOM Allowances	A count of the original first action allowances done in cases during the period. No FAOMs after RCE included.	2011	52493
		2012	60921
		2013	62557
Total Abandonment Disposals	A sum of the disposal counts awarded for abandonment type transactions (Failure to Respond, Express, for RCE and after prior disposal).	2011	249941
		2012	252949
		2013	255501
Total Balanced Disposals	The balanced disposals (the sum of FAOM counts + disposal counts divided by two) for the pay period in the ORIGINAL PALM count system.	2011	525306
		2012	565062
		2013	607081
CSI - Total Balanced Disposals	The balanced disposals (the sum of FAOM, Intermediate, and disposal counts divided by two) for the pay period in the PALM-CSI system	2011	508263
		2012	549832
		2013	607378
Allowances	The number of allowances (CNTA) done by the examiner in the period.	2011	266522
		2012	305612
		2013	334702
Abands. Not. Inc. RCEs	A sum of the disposal counts awarded for abandonment type transactions (Failure to Respond, Express and after prior disposal) but not for RCEs.	2011	115950
		2012	114662
		2013	114296

2nd+ and 3rd+ NonFinals		Sum of counts for each FY
Original 2nd+ Action NFs	A count of original second –plus-action non-final rejections in cases during the period where the examiner of the second-plus-action non-final rejection is recorded (e.g., not necessarily the same as the examiner of any earlier action). No actions after RCE included. Actions are selected from rejections, allowance, Quayle action, interference actions, advisory actions, suspensions, independent rule 105 communications, advisory actions and abandonments.	2011 41278
		2012 38360
		2013 38240
Original 2nd+ Action NFs - Same Examiner	A count of original second-plus-action non-final rejections in cases during the period where the examiner of the second-plus-action non-final rejection is the same examiner as the examiner of at least one earlier action. No actions after RCE included. Actions are selected from rejections, allowance, Quayle action, interference actions, advisory actions, suspensions, independent rule 105 communications, advisory actions and abandonments.	2011 39254
		2012 36751
		2013 36158
Original 2nd + NFs - After Restriction	A count of original second-plus-action non-final rejections in cases during the period where there was an earlier restriction in the case. No actions after RCE included. Actions are selected from restrictions, rejections, allowance, Quayle action, interference actions, advisory actions, suspensions, independent rule 105 communications, advisory actions and abandonments.	2011 11408
		2012 9467
		2013 8997
3rd+ Non-Final Rejections	A count of third (or more) non-final rejections in cases during the period where the examiner of the third-plus-non-final rejection is recorded (e.g., not necessarily the same as the examiner of any earlier non-final rejection). Actions after RCE included, but counting of non-finals restarts with an RCE.	2011 11778
		2012 7538
		2013 6125
3rd+ Non-Final Rejections - Same Examiner	A count of third (or more) non-final rejections in cases during the period where the examiner of the third-plus-non-final rejection is recorded and is the same examiner as at least two earlier non-final rejections. Actions after RCE included, but counting of non-finals restarts with an RCE.	2011 10511
		2012 6877
		2013 5538
Consecutive Non-Final Rejections	The number of applications in which consecutive non-final rejections were entered. This category includes post-RCE non-finals. The date and examiner associated with the later of the consecutive non-finals are used.	2011 38801
		2012 36024
		2013 35417
2nd+ Non-Finals after RCE	A count of second –plus-action non-final rejections in cases where both+ non-finals took place after a common RCE and where examiner of the second-plus-action non-final rejection is recorded (e.g., not necessarily the same as the examiner of the 1st action).	2011 18570
		2012 13556
		2013 10478
RCE-2nd+ Non-Final - Same Examiner	A count of second-plus-action non-final rejections in cases during the period where both non-finals took place after an RCE and where the examiner of the second-plus-action non-final rejection is the same examiner as the examiner of at least one earlier action). Actions are selected from rejections, allowance, Quayle action, interference actions, advisory actions, interference actions, suspensions, independent rule 105 communications, advisory actions and abandonments.	2011 11133
		2012 8246
		2013 7024

Final Rejections		Sum of counts for each FY	
Final Rejections	The number of final rejections (CTFR) done by the examiner in the period.	2011	232780
		2012	252173
		2013	268584
Reopens after Final	The number of applications where prosecution is reopened (CTNF) after final rejection (CTFR). This category includes post-RCE reopens, but those situations where there is an intervening RCE filing between the final rejection and reopening non-final rejection are NOT included. The date and examiner associated with the reopening actions are used.	2011	8759
		2012	6823
		2013	6272
Reopens after Final - Same Examiner	The number of applications where prosecution is reopened (CTNF) after final rejection (CTFR) having the same examiner associated with both office actions. This category includes post-RCE reopens, but those situations where there is an intervening RCE filing between the final rejection and reopening non-final rejection are NOT included. The date and examiner associated with the reopening actions are used.	2011	8378
		2012	6561
		2013	6065
Original 2nd Actions Made Final	A count of the 2nd Action final rejections done in cases during the period. Restrictions are not counted as actions in selecting this data. No actions after RCE included	2011	148426
		2012	174800
		2013	190391
Consecutive Final Rejections	The number of applications in which consecutive final rejections were entered. This category includes post-RCE consecutive final rejections. The date and examiner associated with the later of the consecutive final rejections are used.	2011	1567
		2012	1348
		2013	1379

Restriction Related		Sum of counts for each FY	
All Restrictions	The number of applications in which a restriction/election requirement was imposed. This category includes post-RCE restrictions. The date and examiner associated with the restriction/election requirements are used.	2011	87933
		2012	104426
		2013	95289
Original First Action Restrictions	The number of original first action restrictions done by the examiner during the period.	2011	82985
		2012	99908
		2013	95441
Restrictions ON 2nd Action	The number of applications in which a restriction / election requirement was imposed on the 2nd action. This category includes post-RCE restrictions. The date and examiner associated with the 2nd action restrictions are used.	2011	3574
		2012	3391
		2013	2669
Restrictions after 2nd Action	The number of applications in which a restriction / election requirement was imposed on the 3rd+ action. This category includes post-RCE restrictions. The date and examiner associated with the 3rd+ action restrictions are used.	2011	895
		2012	697
		2013	566
3rd+ Restrictions	A count of third (or more) restrictions in cases during the period where the examiner of the third-plus-restriction is recorded (e.g., not necessarily the same as the examiner of any earlier restriction). The count of restrictions does NOT restart with an RCE.	2011	168
		2012	141
		2013	90
Multiple Restrictions	The number of applications in which a 2nd+ restriction / election requirement was imposed. This category includes post-RCE restrictions. The date and examiner associated with the 2nd+ restrictions are used.	2011	3527
		2012	3191
		2013	2432
Consecutive Restrictions	The number of applications in which consecutive restriction / election requirements were imposed. This category includes post-RCE restrictions. The date and examiner associated with the later of the consecutive restrictions are used.	2011	2382
		2012	2316
		2013	1857

RCE Related		Sum of counts for each FY	
RCE Abandonments (a.k.a., total RCE filings) A count of the abandonments for RCE (ABN9) recorded in the period. This number is the same as the total number of RCEs.		2011	152879
		2012	157396
		2013	162086
RCE Disposals The sum of the disposal counts awarded for abandonments for RCE (ABN9).		2011	133991
		2012	138287
		2013	141205
FAOMs after RCE The number of FAOMs done after RCE in cases during the period.		2011	131707
		2012	128062
		2013	180308
FAOM Allowances after RCE The number of FAOMs done after RCE.		2011	41440
		2012	43935
		2013	57015
1st Action Final after RCE A count of the first action final rejections done in cases after any RCE filing.		2011	3244
		2012	2897
		2013	3953
Allowances after RCE A count of the allowances done in cases after any RCE filing.		2011	73423
		2012	78337
		2013	92726
Improper RCEs A count of the improper RCEs (IRCE) received for which the examiner owned the prior Office action (typically a final rejection that resulted in the RCE submission).		2011	710
		2012	736
		2013	608
2nd + RCE Filed in Same Case A count of the 2nd+ RCE filings in cases recorded in the period.		2011	45552
		2012	40958
		2013	38406

Board Related		Sum of counts for each FY	
All Board Decisions	The number of original BPAI decisions (Affirmed, Affirmed in Part, Reversed) where the examiner drafted the last-filed examiner's answer.	2011	6292
		2012	8635
		2013	10399
Board Decisions - Affirmance	The number of original BPAI decisions where the examiner who drafted the last-filed examiner's answer was either affirmed or affirmed in part.	2011	3193
		2012	4424
		2013	5770
Board Decisions - Reversal	The number of Board decisions where the examiner who drafted the last filed-examiner's answer (APEA, APE2) was reversed.	2011	2193
		2012	2954
		2013	3163
Board Decisions - Affirmed in Part	The number of Board decisions where the examiner who drafted the last-filed examiner's answer (APEA, APE2) was affirmed in Part.	2011	906
		2012	1257
		2013	1466
Pre-APC Requests	The number of pre-appeal conference requests (AP.C) received on cases for which the examiner owned the prior Office action (typically the final rejection).	2011	12094
		2012	11210
		2013	10192
Pre-APC Proceed	The number of pre-appeal conference decisions to proceed with the appeal (APCP) during the period received on cases for which the examiner owned the prior Office action (typically the final rejection).	2011	6894
		2012	6884
		2013	6587
Pre-APC Reopens / Allows	The number of pre-appeal conference decisions to withdraw the rejection (APCA) or to reopen prosecution (APCR) where the examiner was the owner of the latest action.	2011	4697
		2012	3964
		2013	3391
2nd+ Action Non Final after Pre-Appeal Conference	The number of 2nd+ action non-final rejections done as the next action after any pre-appeal conference.	2011	3594
		2012	2564
		2013	2257
Allowance after Pre- Appeal Conference	The number of Allowances done as the next action after any pre-appeal conference.	2011	2285
		2012	2646
		2013	2192
Appeal Briefs with Answer	The number of appeal briefs that received an Examiner's Answer during the period.	2011	12517
		2012	11913
		2013	10650
Appeal Briefs Reopened	The number of appeal briefs that received an Office action reopening prosecution in response to the appeal brief.	2011	4405
		2012	3143
		2013	2823
Board Remands	The number of remands by the Board of cases in which the examiner was the owner of the latest examiner's answer ((APEA), or supplemental examiner's answer (APE2)). PALM remand entries are selected from TCRD, APRD, AP.R, APAR and APPR.	2011	2428
		2012	1825
		2013	1508

Board Related <small>continued</small>		Sum of counts for each FY	
Examiner's Answers	The number of examiner's answers done by the examiner during the period.	2011	12541
		2012	11923
		2013	10660
Allowance after Board Decision	The number of Allowances done as the next significant action after any Board decision (no intervening RCE). An allowance preceded by a Quayle action after Board decision will be included.	2011	2892
		2012	3637
		2013	4456
Reopen Prosecution after Board Decision	The number of cases where prosecution was reopened after any Board decision (no intervening RCE).	2011	400
		2012	402
		2013	490
Abandonment after Board Decision	The number of Abandonments done as the next significant action after any Board decision (no intervening RCE).	2011	3417
		2012	4147
		2013	6133
Appeal Briefs	The number of appeal briefs received for which the examiner owned the prior Office action (typically the final rejection or advisory action).	2011	20661
		2012	18574
		2013	9929
Appeal Briefs Allowed	The number of appeal briefs that received an Office action allowing the case in response to the appeal brief.	2011	3570
		2012	3863
		2013	3129
Appeal Briefs Reopened Resulting in Allowance	The number of appeal briefs that received an Office action reopening prosecution in response to the appeal brief that were subsequently allowed.	2011	1948
		2012	1727
		2013	1103
Defective Appeal Briefs (APBD)	A count of the non-compliant appeal brief holdings (either by the LIE or the Examiner) for which the examiner owned the prior Office action (typically a final rejection that resulted in the appeal brief submission).	2011	1921
		2012	1200
		2013	1026
2+ Defective Appeal Briefs - Same Case	A count of the multiple non-compliant appeal brief holdings (either by the LIE or the Examiner) in the same case for which the examiner owned the prior Office action (typically a final rejection that resulted in the appeal brief submission).	2011	139
		2012	81
		2013	73
Status 119 & 149 Cases (Returns of Appeals)	The number of cases that ENTER into status 119 or 149 (TC Return of Appeal or BPAI Return of Appeal, respectively). Returns are attributed to the examiner owner of the latest examiner's answer (APEA), or supplemental examiner's answer (APE2). Cases are reported for the pay period in which the application enters status 119 or 149.	2011	2302
		2012	1765
		2013	1449

Misc./Specialized		Sum of counts for each FY	
Notice of Informal or Non-Responsive Amendment (NINA)	A count of the NINA holdings (either by the LIE or the Examiner) for which the examiner owned the prior Office action	2011	11691
		2012	11816
		2013	11395
Printer Rushes	The number of printer rushes (PUBTC) returned during the period where the examiner was the owner of the allowance.	2011	31006
		2012	57709
		2013	70206
181 Petitions	The number of 181 Petitions decided during the period where the examiner was the owner of the last office action. "181 Petitions" include those for invoking supervisory authority including review of final restrictions, premature final rejections, refusal to enter amendments and miscellaneous (610, 699).	2011	1405
		2012	1386
		2013	1243
Withdrawn Allowances	The number of allowances withdrawn (W/N=) in the period for cases in which the examiner was the owner of the latest allowance (CNTA)	2011	40
		2012	44
		2013	43
Allowed Cases Returned to Examiners (ACREs)	The number of ACREs for cases in which the examiner was the owner of the latest allowance (CNTA).	2011	29671
		2012	32826
		2013	36906
Terminal Disposals	A count of issues plus non-RCE abandonments where the last action in those cases took place during the period. When combined with "Actions in Terminal Disposals," the user receives a different view of an "actions per disposal" count.	2011	367609
		2012	404044
		2013	330930
Actions in Terminal Disposals	A count of Office actions in cases that were "Terminal Disposals" in the period. Actions are selected from restriction, rejections, allowance, Quayle action, interference actions, advisory actions, suspensions, independent rule 105 communications, and abandonments. Abandonments for failure to respond are not included in the count of actions.	2011	1133903
		2012	1185601
		2013	977939
Terminal Disclaimers Filed	The number of Terminal Disclaimers approved in the TC for which the examiner owned the prior Office action.	2011	48111
		2012	48618
		2013	52503
Examiner Interviews Recorded	The number of Examiner Interviews recorded in PALM for which the examiner owned the prior Office action.	2011	108788
		2012	18001
		2013	848
Special Cases - Actions in Terminal Disposals	A count of Office actions in special cases that were "Terminal Disposals" in the period. Special cases are those under accelerated examination, Patent Prosecution Highway, or where a Petition to Make Special has been granted.	2011	21260
		2012	27743
		2013	39867
Special Cases - Terminal Disposals	A count of issues plus non-RCE abandonments in special cases where the last action in those cases took place during the period. Special cases are those under accelerated examination, Patent Prosecution Highway, or where a Petition to Make Special has been granted.	2011	8480
		2012	10510
		2013	14446
Amendment after Notice of Appeal	The number of cases that ENTER into status 127 (Amendment after Notice of Appeal). The application is attributed to the examiner owner of the last Office action. Cases are reported for the pay period in which the application enters status 127.	2011	2227
		2012	3622
		2013	2022

Quayle Actions		Sum of counts for each FY	
Quayle Action Followed by Non-Final	The number of occurrences where a Quayle action is followed by a non-final rejection.	2011	272
		2012	228
		2013	213
Quayle Action Followed by Final Rejection	The number of occurrences where a Quayle action is followed by a final rejection.	2011	159
		2012	132
		2013	152
Ex Parte Quayle actions	The number of ex Parte Quayle action (CTEQ) done by the examiner in the period.	2011	7434
		2012	8140
		2013	8437
2nd+ Ex Parte Quayle Actions	The number of applications in which a 2nd + ex Parte Quayle action is mailed. This category includes post-RCE ex Parte Quayle actions. The date and examiner associated with the 2nd + ex Parte Quayle action are used.	2011	147
		2012	104
		2013	114
Consecutive Ex Parte Quayle Actions	The number of applications in which consecutive ex Parte Quayle actions were mailed. This category includes post-RCE ex Parte Quayle actions. The date and examiner associated with the later of the consecutive ex Parte Quayle action are used.	2011	171
		2012	114
		2013	126

Patent Term Adjustment		Sum of counts for each FY	
4 Month PTA CAses	The number of cases where the examiner failed to respond to a qualifying applicant response within 4 months per the Patent Term Adjustment rules. Any application coming out of status 71, 77, 80, 120, or 121 at the time of examiner's action is eligible for review.	2011	18393
		2012	38803
		2013	49070
8 Month Status Cases	The number of cases that have been in key statuses for over 8 months. The statuses are selected from statuses 39 through 89 (pending normal prosecution), 119-123 and 149 (pre-appeal), 135-139 (post appeal) and status 140 (suspended). Cases are reported by the examiner to which the case was assigned at the end of the pay period.	2011	64449
		2012	50832
		2013	126287

COPA		Sum of counts for each FY	
COPA 2012 First Actions	The number of original First Actions done on COPA 2012 cases during the period. This data is populated for each fiscal year by looking at original first actions during the FY where the application was 13 months old+ at the beginning of the FY.	2011	0
		2012	266938
		2013	0
COPA 2012 Cases on Docket	The number of COPA 2012 cases docketed to the examiner at the beginning of each pay period. This data is populated for each fiscal year by looking at applications docketed to the examiner where the application was 13 months old+ at the beginning of the FY.	2011	0
		2012	5472833
		2013	0