

PATENT TRIAL AND APPEAL BOARD
AIA PROGRESS
Statistics (as of 10/16/14):

NUMBER OF AIA PETITIONS

| FY | Total | IPR | CBM | PGR | DER |
|------------|-------|-------|-----|-----|-----|
| 2012 | 25 | 17 | 8 | - | - |
| 2013 | 563 | 514 | 48 | - | 1 |
| 2014 | 1,494 | 1,310 | 177 | 2 | 5 |
| 2015 | 75 | 67 | 8 | - | - |
| Cumulative | 2,157 | 1,908 | 241 | 2 | 6 |

AIA PETITION TECHNOLOGY BREAKDOWN

| Technology | Number of Petitions | Percentage |
|---------------------|---------------------|------------|
| Electrical/Computer | 1,542 | 71.5% |
| Mechanical | 327 | 15.2% |
| Chemical | 158 | 7.2% |
| Bio/Pharma | 122 | 5.7% |
| Design | 8 | 0.4% |

NUMBER OF PATENT OWNER PRELIMINARY RESPONSES

| FY | IPR | | CBM | |
|------|-------|--------|-------|--------|
| | Filed | Waived | Filed | Waived |
| 2013 | 237 | 63 | 33 | 2 |
| 2014 | 829 | 202 | 116 | 18 |
| 2015 | 65 | 2 | - | - |

AIA TRIALS INSTITUTED/DISPOSALS

| | | Trials Instituted | Joinders | Denials | Total No. of Decisions on Institution | Disposals | | | |
|-----|------|-------------------|-----------------|---------|---------------------------------------|-----------|------|-------|----------|
| | | | | | | Settled | FWD* | RAJ** | Other*** |
| IPR | FY13 | 167 | 10 ⁺ | 26 | 203 | 38 | - | 2 | 1 |
| | FY14 | 557 | 15 ⁺ | 193 | 765 | 210 | 130 | 39 | 1 |
| | FY15 | 46 | 18 ⁺ | 10 | 74 | 3 | 11 | 1 | - |
| CBM | FY13 | 14 | - | 3 | 17 | 3 | 1 | - | - |
| | FY14 | 91 | 1 ⁺ | 30 | 122 | 27 | 13 | 3 | 2 |
| | FY15 | 4 | - | 1 | 5 | 4 | 2 | - | - |
| DER | FY14 | - | - | 3 | 3 | - | - | - | - |

⁺44 cases joined to 36 base trials for a total of 80 cases involved in joinder.

*Final Written Decisions on the merits.

**Judgments based on Request for Adverse Judgment.

***Includes terminations due to dismissal.

AIA PETITION FILINGS BY MONTH

| Month | Number of Petitions Filed | | | | Total |
|--------------------------|---------------------------|------------|----------|----------|--------------|
| | IPR | CBM | PGR | DER | |
| Sep-12 | 17 | 8 | | | 25 |
| Oct-12 | 24 | 5 | | | 29 |
| Nov-12 | 24 | 2 | | | 26 |
| Dec-12 | 32 | | | | 32 |
| Jan-13 | 25 | | | | 25 |
| Feb-13 | 30 | | | | 30 |
| Mar-13 | 38 | 2 | | | 40 |
| Apr-13 | 27 | 4 | | | 31 |
| May-13 | 45 | 7 | | | 52 |
| Jun-13 | 65 | 8 | | 1 | 74 |
| Jul-13 | 69 | 3 | | | 72 |
| Aug-13 | 62 | 9 | | | 71 |
| Sep-13 | 73 | 8 | | | 81 |
| Oct-13 | 77 | 19 | | 2 | 98 |
| Nov-13 | 89 | 18 | | 1 | 108 |
| Dec-13 | 101 | 11 | | | 112 |
| Jan-14 | 60 | 9 | | | 69 |
| Feb-14 | 54 | 12 | | 1 | 67 |
| Mar-14 | 76 | 22 | | | 98 |
| Apr-14 | 143 | 14 | | 1 | 158 |
| May-14 | 131 | 19 | | | 150 |
| Jun-14 | 184 | 6 | | | 190 |
| Jul-14 | 116 | 10 | | | 126 |
| Aug-14 | 159 | 16 | 1 | | 176 |
| Sep-14 | 120 | 21 | 1 | | 142 |
| Oct-14 | 67 | 8 | | | 75 |
| Cumulative Totals | 1,908 | 241 | 2 | 6 | 2,157 |