

PATENT TRIAL AND APPEAL BOARD

AIA PROGRESS

*Statistics (as of 9/18/14):*

NUMBER OF AIA PETITIONS

| FY         | Total | IPR   | CBM | PGR | DER |
|------------|-------|-------|-----|-----|-----|
| 2012       | 25    | 17    | 8   | -   | -   |
| 2013       | 563   | 514   | 48  | -   | 1   |
| 2014       | 1,436 | 1,267 | 162 | 2   | 5   |
| Cumulative | 2,024 | 1,798 | 218 | 2   | 6   |

AIA PETITION TECHNOLOGY BREAKDOWN

| Technology          | Number of Petitions | Percentage |
|---------------------|---------------------|------------|
| Electrical/Computer | 1,450               | 71.7%      |
| Mechanical          | 312                 | 15.4%      |
| Chemical            | 140                 | 6.9%       |
| Bio/Pharma          | 114                 | 5.6%       |
| Design              | 8                   | 0.4%       |

NUMBER OF PATENT OWNER PRELIMINARY RESPONSES

| FY   | IPR   |        | CBM   |        |
|------|-------|--------|-------|--------|
|      | Filed | Waived | Filed | Waived |
| 2013 | 237   | 63     | 33    | 2      |
| 2014 | 793   | 197    | 115   | 17     |

AIA TRIALS INSTITUTED/DISPOSALS

|     |      | Trials Instituted | Joinders        | Denials | Total No. of Decisions on Institution | Disposals |      |       |          |
|-----|------|-------------------|-----------------|---------|---------------------------------------|-----------|------|-------|----------|
|     |      |                   |                 |         |                                       | Settled   | FWD* | RAJ** | Other*** |
| IPR | FY13 | 167               | 10 <sup>+</sup> | 26      | 203                                   | 38        | -    | 2     | 1        |
|     | FY14 | 526               | 15 <sup>+</sup> | 172     | 713                                   | 204       | 118  | 37    | 1        |
| CBM | FY13 | 14                | -               | 3       | 17                                    | 3         | 1    | -     | -        |
|     | FY14 | 74                | 1 <sup>+</sup>  | 26      | 101                                   | 26        | 13   | 3     | 2        |
| DER | FY14 | -                 | -               | 3       | 3                                     | -         | -    | -     | -        |

<sup>+</sup>26 cases joined to 24 base trials for a total of 50 cases involved in joinder.

\*Final Written Decisions on the merits.

\*\*Judgments based on Request for Adverse Judgment.

\*\*\*Includes terminations due to dismissal.

## AIA PETITION FILINGS BY MONTH

| Month             | Number of Petitions Filed |     |     |     | Total |
|-------------------|---------------------------|-----|-----|-----|-------|
|                   | IPR                       | CBM | PGR | DER |       |
| Sep-12            | 17                        | 8   |     |     | 25    |
| Oct-12            | 24                        | 5   |     |     | 29    |
| Nov-12            | 24                        | 2   |     |     | 26    |
| Dec-12            | 32                        |     |     |     | 32    |
| Jan-13            | 25                        |     |     |     | 25    |
| Feb-13            | 30                        |     |     |     | 30    |
| Mar-13            | 38                        | 2   |     |     | 40    |
| Apr-13            | 27                        | 4   |     |     | 31    |
| May-13            | 45                        | 7   |     |     | 52    |
| Jun-13            | 65                        | 8   |     | 1   | 74    |
| Jul-13            | 69                        | 3   |     |     | 72    |
| Aug-13            | 62                        | 9   |     |     | 71    |
| Sep-13            | 73                        | 8   |     |     | 81    |
| Oct-13            | 77                        | 19  |     | 2   | 98    |
| Nov-13            | 89                        | 18  |     | 1   | 108   |
| Dec-13            | 101                       | 11  |     |     | 112   |
| Jan-14            | 60                        | 9   |     |     | 69    |
| Feb-14            | 54                        | 12  |     | 1   | 67    |
| Mar-14            | 76                        | 22  |     |     | 98    |
| Apr-14            | 143                       | 14  |     | 1   | 158   |
| May-14            | 131                       | 19  |     |     | 150   |
| Jun-14            | 184                       | 6   |     |     | 190   |
| Jul-14            | 116                       | 10  |     |     | 126   |
| Aug-14            | 159                       | 16  | 1   |     | 176   |
| Sep-14            | 77                        | 6   | 1   |     | 84    |
| Cumulative Totals | 1,798                     | 218 | 2   | 6   | 2,024 |