

PATENT TRIAL AND APPEAL BOARD

AIA PROGRESS

Statistics (as of 9/4/14):

NUMBER OF AIA PETITIONS

FY	Total	IPR	CBM	PGR	DER
2012	25	17	8	-	-
2013	563	514	48	-	1
2014	1,374	1,211	156	2	5
Cumulative	1,962	1,742	212	2	6

AIA PETITION TECHNOLOGY BREAKDOWN

Technology	Number of Petitions	Percentage
Electrical/Computer	1,402	71.5%
Mechanical	307	15.7%
Chemical	133	6.8%
Bio/Pharma	112	5.7%
Design	8	0.4%

NUMBER OF PATENT OWNER PRELIMINARY RESPONSES

FY	IPR		CBM	
	Filed	Waived	Filed	Waived
2013	237	63	33	2
2014	735	187	114	16

AIA TRIALS INSTITUTED/DISPOSALS

		Trials Instituted	Joinders	Denials	Total No. of Decisions on Institution	Disposals			
						Settled	FWD*	RAJ**	Other***
IPR	FY13	167	10 ⁺	26	203	38	-	2	1
	FY14	496	13 ⁺	157	666	160	112	35	1
CBM	FY13	14	-	3	17	3	1	-	-
	FY14	72	1 ⁺	25	98	25	11	1	-
DER	FY14	-	-	3	3	-	-	-	-

⁺24 cases joined to 22 base trials for a total of 46 cases involved in joinder.

*Final Written Decisions on the merits.

**Judgments based on Request for Adverse Judgment.

***Includes terminations due to dismissal.

AIA PETITION FILINGS BY MONTH

Month	Number of Petitions Filed				Total
	IPR	CBM	PGR	DER	
Sep-12	17	8			25
Oct-12	24	5			29
Nov-12	24	2			26
Dec-12	32				32
Jan-13	25				25
Feb-13	30				30
Mar-13	38	2			40
Apr-13	27	4			31
May-13	45	7			52
Jun-13	65	8		1	74
Jul-13	69	3			72
Aug-13	62	9			71
Sep-13	73	8			81
Oct-13	77	19		2	98
Nov-13	89	18		1	108
Dec-13	101	11			112
Jan-14	60	9			69
Feb-14	54	12		1	67
Mar-14	76	22			98
Apr-14	143	14		1	158
May-14	131	19			150
Jun-14	184	6			190
Jul-14	116	10			126
Aug-14	159	16	1		176
Sep-14	21		1		22
Cumulative Totals	1,742	212	2	6	1,962