Trial Statistics
IPR, PGR, CBM
Patent Trial and Appeal Board
October 2017
Petitions by Trial Type
(All Time: 9/16/12 to 10/31/17)

Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM).
Petitions Filed by Technology in FY18
(FY18 to date: 10/1/17 to 10/31/17)

- Electrical/Computer: 70 (54%)
- Mechanical & Business Method: 33 (26%)
- Chemical: 6 (5%)
- Bio/Pharma: 19 (15%)
- Design: 0 (0%)

Total: 128
Petitions Filed by Month
(October 2017 and Previous 12 Months: 10/1/16 to 10/31/17)

- **IPR**
  - October 2017: 119
  - September 2017: 124
  - August 2017: 119
  - July 2017: 116
  - June 2017: 237
  - May 2017: 116
- **PGR**
  - October 2017: 4
  - September 2017: 7
  - August 2017: 4
  - July 2017: 4
  - June 2017: 0
- **CBM**
  - October 2017: 5
  - September 2017: 0
  - August 2017: 0
  - July 2017: 0
  - June 2017: 10
Preliminary Response Filing Rates
Pre- and Post-Rule To Allow New Testimonial Evidence (NTE)
(All Time: 9/16/12 to 10/31/17)

The rule to allow new testimonial evidence was effective May 2, 2016.
Institution Rates
(FY13 to FY18: 10/1/12 to 10/31/17)

Institution rate for each fiscal year is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.
Institution Rates by Technology
(All Time: 9/16/12 to 10/31/17)

<table>
<thead>
<tr>
<th>Technology</th>
<th>Rate</th>
<th>(Petitions Instituted)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bio/Pharma</td>
<td>61%</td>
<td>(337 of 550)</td>
</tr>
<tr>
<td>Chemical</td>
<td>66%</td>
<td>(258 of 388)</td>
</tr>
<tr>
<td>Design</td>
<td>41%</td>
<td>(17 of 41)</td>
</tr>
<tr>
<td>Electrical/Computer</td>
<td>69%</td>
<td>(2,311 of 3,364)</td>
</tr>
<tr>
<td>Mechanical &amp; Business Method</td>
<td>69%</td>
<td>(997 of 1,441)</td>
</tr>
</tbody>
</table>

Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.
Settlement rate for each year is calculated by dividing pre-institution settlements by the sum of proceedings instituted, denied institution, dismissed, terminated with a request for adverse judgment, and settled before decision on institution.

<table>
<thead>
<tr>
<th>Settlement Rate</th>
<th>Settlements</th>
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<tbody>
<tr>
<td>FY13</td>
<td>23</td>
</tr>
<tr>
<td>FY14</td>
<td>127</td>
</tr>
<tr>
<td>FY15</td>
<td>291</td>
</tr>
<tr>
<td>FY16</td>
<td>242</td>
</tr>
<tr>
<td>FY17</td>
<td>249</td>
</tr>
<tr>
<td>FY18</td>
<td>18</td>
</tr>
</tbody>
</table>
Settlement rate for each year is calculated by dividing post-institution settlements by proceedings terminated post-institution (i.e., settled, dismissed, terminated with a request for adverse judgment, and final written decision), excluding joined cases.

<table>
<thead>
<tr>
<th>Settlement Rate</th>
<th>FY13</th>
<th>FY14</th>
<th>FY15</th>
<th>FY16</th>
<th>FY17</th>
<th>FY18</th>
</tr>
</thead>
<tbody>
<tr>
<td>90%</td>
<td>18</td>
<td>110</td>
<td>212</td>
<td>194</td>
<td>187</td>
<td>6</td>
</tr>
<tr>
<td>40%</td>
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</table>
These figures reflect the latest status of each petition. The outcomes of decisions on institution responsive to requests for rehearing are incorporated. Once joined to a base case, a petition remains in the Joined category regardless of subsequent outcomes.