Trial Statistics
IPR, PGR, CBM
Patent Trial and Appeal Board
February 2018
Petitions by Trial Type
(All Time: 9/16/12 to 2/28/18)

Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM).
Petitions Filed by Technology in FY18
(FY18 to date: 10/1/17 to 2/28/18)

- **Electrical/Computer**: 403 (62%)
- **Bio/Pharma**: 85 (13%)
- **Chemical**: 45 (7%)
- **Design**: 0 (0%)
- **Total**: 653

**Mechanical & Business Method**: 120 (18%)
Petitions Filed by Month
(February 2018 and Previous 12 Months: 2/1/17 to 2/28/18)

- **IPR**
  - Total: 618 IPRs in FY18
  - February 2018: 136
  - Previous 12 Months:
    - February 2017: 195
    - March 2017: 129
    - April 2017: 126

- **PGR**
  - Total: 20 PGRs in FY18
  - February 2018: 7
  - Previous 12 Months:
    - February 2017: 2
    - March 2017: 5
    - April 2017: 108

- **CBM**
  - Total: 15 CBMs in FY18
  - February 2018: 6
  - Previous 12 Months:
    - February 2017: 0
    - March 2017: 3
Preliminary Response Filing Rates
Pre- and Post-Rule To Allow New Testimonial Evidence (NTE)
(All Time: 9/16/12 to 2/28/18)

The rule to allow new testimonial evidence was effective May 2, 2016.
Institution rate for each fiscal year is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.
Institution Rates by Technology
(All Time: 9/16/12 to 2/28/18)

<table>
<thead>
<tr>
<th>Technology</th>
<th>Rate</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bio/Pharma</td>
<td>62%</td>
<td>377 of 608</td>
</tr>
<tr>
<td>Chemical</td>
<td>67%</td>
<td>277 of 416</td>
</tr>
<tr>
<td>Design</td>
<td>41%</td>
<td>17 of 41</td>
</tr>
<tr>
<td>Electrical/Computer</td>
<td>68%</td>
<td>2,492 of 3,638</td>
</tr>
<tr>
<td>Mechanical &amp; Business Method</td>
<td>69%</td>
<td>1,073 of 1,553</td>
</tr>
</tbody>
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Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.
Settlement rate for each year is calculated by dividing pre-institution settlements by the sum of proceedings instituted, denied institution, dismissed, terminated with a request for adverse judgment, and settled before decision on institution.
Settlement rate for each year is calculated by dividing post-institution settlements by proceedings terminated post-institution (i.e., settled, dismissed, terminated with a request for adverse judgment, and final written decision), excluding joined cases.
These figures reflect the latest status of each petition. The outcomes of decisions on institution responsive to requests for rehearing are incorporated. Once joined to a base case, a petition remains in the 'Joined' category regardless of subsequent outcomes.