

EFS-Web

Quick Start Guide

Prioritized Examination for Non-Provisional Utility Applications



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1. Introduction

Prioritized Examination for newly filed applications (Track One) and for requests for continued examination (PE-RCE) provides applicants with greater control over when their applications are examined and promotes greater efficiency in the patent examination process. Prioritized Examination allows applicants who submit a request and pay an additional fee to have their application accorded special status during prosecution before the patent examiner.

The resource page for Prioritized Examination can be located at: <u>http://www.uspto.gov/patents/init_events/Track_One.jsp</u>

2. Basic Guidelines for Filing a Request for Prioritized Examination:

- User must be able to access EFS-Web.
- User must be a registered eFiler, except that an unregistered eFiler may file a new application which includes a request for Track One prioritized application.
- Applicants are strongly advised to transmit their electronic filings sufficiently early in the day to allow time for alternative filing such as EFS-Web Contingency when transmission cannot be initiated or correctly completed.
 - It is strongly recommended that applicants use the Office's certification and request form to request prioritized examination, but the form is not required. For applications before September 16, 2012, form PTO/SB/424 may be used; for applications filed on or after September 16, 2012, form PTO/AIA/424 may be used. This Guide will refer to PTO/SB/424; however, these references apply equally to PTO/AIA/424. The form is available on the Office's Internet Web site at http://www.uspto.gov/forms/index.jsp. Failure to use form PTO/SB/424 could result in the Office not recognizing the request or delays in processing the request. If applicant decides to use an applicant-created form for requesting prioritized examination (Track One or PE-RCE), applicant's form should be an equivalent to the Office's form. See the Federal Register Notice titled "Changes to Implement the Prioritized Examination Track (Track One) of the Enhanced Examination Timing Control Procedures under the Leahy-Smith America Invents Act" available at http://www.uspto.gov/patents/init_events/Track_One.jsp.
- Consult the current fee schedule available at <u>http://www.uspto.gov/about/offices/cfo/finance/fees.jsp</u> for the correct fee amounts. The fees required to be paid on a utility patent application upon filing a request for prioritized examination of that application are:
 - Basic filing fee, as set forth in 37 CFR 1.16(a).
 - Search fee, as set forth in 37 CFR 1.16(k).
 - Examination fee, as set forth in 37 CFR 1.16(o).
 - Publication fee, as set forth in 37 CFR 1.18(d).
 - Prioritized examination processing fee, as set forth in 37 CFR 1.17(i)(1).

- Prioritized examination fee, as set forth in 37 CFR 1.17(c).
- If applicable, any application size fee, due because the specification and drawings exceed 100 sheets of paper, as set forth in 37 CFR 1.16(s).
- If applicable, any excess independent claim fee, due because the number of independent claims exceeds three, as set forth in 37 CFR 1.16(h).
- If applicable, any excess claim fee, due because the number of claims exceeds twenty, as set forth in 37 CFR 1.16(i).
- If requesting prioritized examination for an RCE , RCE fee, as set for in 37 CFR 1.17(e).

Prioritized Examination requests via EFS-Web are accepted only for nonprovisional utility applications. Requests for prioritized examination for plant applications must be filed by paper.

- If a Prioritized Examination request has been submitted but a necessary component has been omitted, the omitted component must be submitted as a follow-on paper on the same day as the original submission. Prioritized Examination requests that are not complete on the day they are submitted will be dismissed.
- For more information on submitting applications electronically via EFS-Web please review the EFS-Web Guidance and Resources page located at <u>http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp</u>. For the complete details and requirements for prioritized examination, see the guidance posted.

Warning: For your protection, your EFS-Web sessions will time-out after one hour of inactivity. A Prioritized Examination request that has not been submitted or saved after one hour of inactivity will be lost. You will need to login to EFS-Web again and re-enter the Prioritized Examination from the start.

3. Filing a Request for Prioritized Examination of a Newly Filed Application (Track One):

Application Process

Once you have signed on to EFS-Web as a registered user, you will need to certify that you are the certificate holder or working under the authority of the certificate holder. You also have the ability to submit this request as an unregistered eFiler.

To submit a Track One – Prioritized Examination on the certification screen select the radio button "**New Application**". The Web screen will expand to display additional options. Select the radio button located next to "**Utility**" to display more options and then select the radio button "**Track One Prioritized Examination – Nonprovisional Application under 35 USC 111(a)**". Next, to view the "Application Data" screen, click on the "**Continue**" button.

Your Digital Certificate has been authenticated - please certify your identity:
• I certify that I am the certificate holder Joe Inventor
© I certify that I am working under the authority of the certificate holder: Joe Inventor
- *Main Functions
New application
(This includes new filings of continuation, divisional, and continuation-in-part applications. A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents and must be filed as a registered eFiler.)
r*Select Type of New Application
Utility (Please select a type below)
🍳 🚳 Track I Prioritized Examination - Nonprovisional Application under 35 USC 111(a) 👔
C Accelerated Exam 👔
O Nonprovisional Application under 35 USC 111(a) 6
O Provisional 🕧
C Reexam 👔
O Reissue 👔
C U.S. National Stage under 35 USC 371 🥡
C Design (Please select a type below) 👔
C International Application for filing in the US receiving office 👔
C Existing application/patent (
C Express Filing (Zip submission) 👔
C My Workplace
Brivacy Cancel Continue

Application Data

On the "Application Data" screen please complete the bibliographical data. If you are a registered eFiler you will be able to select a Customer Number from a drop down menu or key in a valid Customer Number. If you do not have a Customer Number you may provide a Correspondence Address. To reach the "Attach Documents" screen, click on the "**Continue**" button.

EFS Registered	
Registered Please Read	Application Attach Review Calculate Confirm & Pay Receipt Data Documents Documents Submit Fees
Application Data	
	en filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the e Acknowledgement Receipt is evidence of this submission. required.
* Title of Invention	My Important Invention
Attorney Docket Numbe	
First Named Invento	n 🚯
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Middle Name	
 Last Name 	Inventor
Correspondence Ad	dress: 🕜
Enter a customer number	for correspondence or provide an address where USPTO should send correspondence for this application.
Customer Number	Please select a valid Customer Number Please enter a valid Customer Number
OR	
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	Privacy Cancel Continue

Attach Documents

On the "Attach Documents" screen, upload the Certification and Request for Prioritized Examination form (**PTO/SB/424**) or its equivalent. Failure to use form (**PTO/SB/424**) or its equivalent could result in the Office not recognizing the request or delays in processing the request. Please click on the "**Browse**" button to upload your completed document. Once the form has been attached, you will need to index the document correctly. Help text displayed below the application type provides the correct indexing of the document. For the "Category" drop down menu you will select "**Track One – Prioritized Examination**" and on the "Document Description" drop down menu you will select "**TrackOne Request**". Once the appropriate selections have been made, you will then click on the button "**Upload & Validate**". You will need to attach and index each of your application documents.

EFS Registered							
Registered eFilers Announcements	Application Attach Re Data Documents Docu	view Calculate Calculate Calculate	onfirm & Pay Submit Fees	Receipt			
Attach Documents							
A submission has not been Eastern Time. The Acknow	i filed officially at the US	PTO until the e-file dence of this subm	r executes the Si	ubmit functio	on and the documen	its are received at	the USPTO
This is the application				incorrect, p	please edit the Ap	plication Data	
Title of Invention	My Important Invention						
First Named Inventor	Joe Inventor						
Customer Number or Correspondence Address	59 USPTO Test Customer Nu Patent Electronic Busines 600 DULANY STREET 8TH FLOOR ALEXANDRIA12 VA 22314 US est11g@email.com 999-999-9999						
Filed By	Joe Inventor						
Attorney Docket Number							
Application Type	Track I Prioritized Examin	ation - Nonprovisior	nal Application un	der 35 USC 1	11(a)		
A Track I application mus	t include the PTO/SB/42						
Category TRACK I - Prioritized Examin	nation	Document Des TrackOne Requ		Doc (TRAC	Code K1.REQ		
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Review Documents

On the "Review Documents" screen a message will appear to include the Certification and Request for Prioritized Examination form (PTO/SB/424), although the user is able to proceed without attaching the PTO/SB/424. Once you have uploaded all of the necessary documents for your submission and received no validation errors click on the "**Continue**" button to reach the "Calculate Fees" screen.

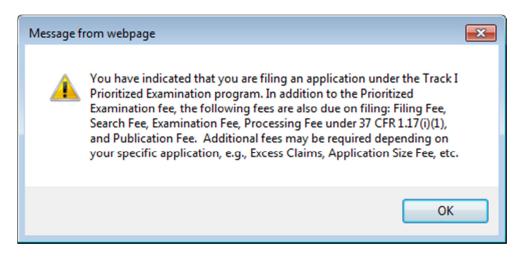
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Application	Туре	Track I Prioritiz	ed Ex	amination - Nonprovisional Applicatio	on under 35	USC 111(a)		
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PASS	<u>sb0014.pdf</u>		NO	Application Data Sheet	4	1023022 bytes	Edit	Remove
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Calculate Fees

On the "Calculate Fees" screen, under the Utility Patent Application Filing Fees section, select the checkbox for "**Track One – Prioritized Exam**".

Note - When filing a Track One request, the correct processing fee is **"Processing fee, except in provisional applications" (fee code 1830/2830/3830)**; the current Regular Undiscounted fee amount is \$140.

Once that box is checked it will display the following message. -



You will be able to continue by selecting OK. For Track One – Prioritized Examination the processing and publication fees are required at filing. Once you have checked any additional appropriate boxes, click on the **"Calculate"** button to add up the selected fees. Next, click on the **"Continue"** button to reach the Confirm & Submit screen.

If you choose to pay fees in a follow-on submission, the fee payment must be processed before midnight 11:59:59 pm (Eastern Time) on the same day under Track One requirements. You will receive a warning message if required fees are unchecked.

Message f	rom webpage	—X
?	Warning: Please ensure that your Track I application includes all the required fees; i.e., Track I Prioritized Examination, Processing Fee under 37 CFR 1.17(i)(1), Publication, Filing, Search, Examination, and any required Excess Claims or Application Size Fees). You may pay fees online as part of your current filing or pay later by filing a follow-on submission before Midnight Eastern Time.	
	OK	el

Calculate Fees	
If you are not paying fees for this filing at this time, you may continue to <u>Confirm/Submit</u>	
Failure to pay these fees on filing a new application will result in a surcharge. See <u>37 CFR 1.15 (f) and (q)</u> .	
A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The A	cknowledgement Receipt is evidence of this submission.
Fees Displayed: Regular Undiscounted	
Entity Status for Fee Purposes: 1	
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Confirm & Submit

On the Confirm & Submit screen, Registered eFilers will have the opportunity to save their submissions to file at a later time. To do so, click on the button "**Save for Later Submission**" listed at the bottom of the screen. Saved submissions will be available for seven calendar days from the initial date saved. You may also review a document by clicking on the document name. To submit your application click on the "**Submit**" button located at the bottom of the screen.

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To Review equence 1 2 3 4 5	/ a Document, Files to be Su sb0424.pdf No validation sb0014.pdf No validation Specification.p No validation Claims.pdf No validation Drawings.pdf No validation fee-info.pdf No validation fee-info.pdf	please cliu ubmitted on errors fou on errors fou on errors fou on errors fou	ck on Page Count 2 ind. 1 ind. 1 ind. 1 ind. 2	Total Fees Due: \$ In the document name. Total Fees Due: \$ In the document Description TrackOne Request Application Data Sheet Specification Claims Drawings	File Size () 132635 byte 1023022 byte 23860 byte 21826 byte 23467 byte	Validation Status Message PASS PASS PASS PASS PASS PASS

After clicking the "**Submit**" button the next screen will indicate that the USPTO has received your submission. EFS-Web will display the application number, confirmation number, EFS-ID number, and the total fees due. You have the option of paying the fees immediately or paying the fees later. As a registered eFiler you may choose to pay fees later as a follow–on submission. If you are filing as an unregistered eFiler and wish to pay fees online, your payment should be included with the current submission, since unregistered eFilers cannot file a follow-on submission. Fee payment is considered timely if paid before midnight Eastern Time on the day the request is submitted. If you choose to pay now click on the "**YES! I want to pay now**" button.

EFS Registered	
Registered Please Read eFilers M Announcements	Application Attach Review Calculate Confirm & Pay Receipt Data Documents Documents Fees Submit Fees
Congratulations! You a	are ready to pay fees associated with your submission.
16:21:37 Eastern Time on	19-SEP-2011
receiving the Acknow their submission and	eived your submission. An Acknowledgement Receipt will be received in due course. Prior to ledgement Receipt, registered users may check "My Workplace" to confirm receipt by the USPTO of access Private PAIR to view the actual documents filed. You may continue by paying fees. For the EBC. See the <u>EBC</u> Web Page for contact info and hours.
The following identification of the following identification o	ation numbers are associated with your submission.
Application Number	59946043
Confirmation Number	9425
EFS ID	4369463
The following fees are	due.
	Total Fees Due: \$
YES	NO - I will pay later (additional charges may be incurred)

Pay Fees

When paying fees, you have the option of paying via Charge USPTO Deposit Account, Charge Credit Card, or Electronic Funds Transfer. Select the radio button that coincides with your method of payment. The review fees section of the Pay Fees screen will display the fees being collected for the application. The Total Payment is listed on the bottom right of the screen. To make a payment, click the **"Start online payment process"** button located at the bottom of the screen.

Application Type	Track I Prioritized	d Examination - Nonprovis	ional Application under	35 USC 111(a)
The following fees wi	II be collected t	for this application.		
Fee Description	Fee Code	Quantity	Fee Amount	Fee Total
Utility application filing	1011	1	280	280
Utility Search Fee	1111	1	600	600
Utility Examination Fee	1311	1	720	720
Request for Prioritized Examination	1817	1	4800	4800
Publ. Fee- early, voluntary, or normal	1504	1	300	300
PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140
				Total Payment \$ 684
Select method of payn The U.S. Patent and Tradem about the security of your tr Charge USPTO De Charge Credit Ca Electronic Funds	ark Office supports ansaction. eposit Account rd	Secure Sockets Layer (SS	SL) for the security of all	transactions. Read more.
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Note: When using the USPTO Deposit Account as a method of payment, the fee associated with 37 CFR 1.18 is not available as an option for a pre-authorized payment. It is strongly recommended to include an authorization to file any additional necessary fees under 37 CFR 1.16, 37 CFR 1.17, and 37 CFR 1.18 in order to ensure that the Track One request is not dismissed for insufficient fees upon filing."

Acknowledgement Receipt

Once you have provided all of the necessary payment information and your payment is processed successfully, you will receive your Acknowledgement Receipt on the screen. You also have several actions you may take, such as printing or saving the receipt. If you submit your application as a registered eFiler you also have the option of viewing the acknowledgement receipt under My Workplace of EFS-Web. The Acknowledgement Receipt displays the application details of the submission, including the total amount paid.

Registered eFilers Please Read Announcements Data		Calculate Confirm & Pay Fees Submit Fees	Receipt		
Acknowledgement Receipt					
The USPTO has received ye	our submission at $f 1$	7:01:18 EST on 20-SE	P-2011 .		
fee paid by e-Filer with <u>RA</u>	M Confirmation Number: 2	2430.			
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EFS ID	4369890				
Application Number	59946050			E-mail Receipt In	<u>nfo</u>
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Title of Invention	My Important Inven	tion		Save Receipt	
First Named Inventor	Joe Inventor			File Another App	olication
Customer Number or Correspondence Address	59			File an Assignm	ent of Ownership
Filed By	Joe Inventor			Pay Maintenanc	e Fees
Attorney Docket Number				Access Private P	PAIR
Filing Date					
Receipt Date	20-SEP-2011				
Application Type	Utility under 35 USC	2 111(a)			
Application Details					
Submitted Files	Page Count	Document Description	File Size		Warnings
sb0424.pdf	2	TrackOne Request		132635 bytes	PASS
sb0014.pdf	4	Application Data Sheet		1023022 bytes	PASS
Specification.pdf	1	Specification		23860 bytes	PASS
Claims.pdf	1	Claims		21826 bytes	PASS
Abstract.pdf	1	Abstract		22206 bytes	PASS
Drawings.pdf	1	Drawings		23467 bytes	PASS
fee-info.pdf	2	Fee Worksheet (PTO-06)		39143 bytes	PASS
The acknowledgement receipt ma the electronic application file, wh			urther, a copy	of the acknowledgement	receipt has been placed in

To ensure that your application is complete and loaded correctly to USPTO internal systems, you can review your filed application in Private PAIR as a Registered eFiler. Submissions are available in Private PAIR approximately 15 minutes after they are submitted in EFS-Web.

If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email <u>ebc@uspto.gov</u> or telephone 866-217-9197.

4. Filing a Request for Prioritized Examination for an RCE:

Application Process

Prioritized Examination may also be requested for an existing application in which an RCE has been filed, or is concurrently being filed. Once you have signed on to EFS-Web as a registered user, you will need to certify that you are the certificate holder or working under the authority of the certificate holder.

To submit a request for Prioritized Examination for an RCE, on the certification screen select the radio button "**Existing Application**". The Web screen will expand to display additional options. Select the radio button located next to "**Documents/Fees for an existing application**" to display more options and then enter the application number and confirmation number for the application.

(A r	Documents/Fees for an existing appl request for continued examination (Re uments)	lication CE) and continued prosecution application (CPA) are considered existing
	*Application Number PCT/USYY/9	(EXAMPLE: 99999999 , 99/999999, 99/999,999 or 99999) 👔
	*Confirmation Number	(EXAMPLE: 1234)
		and immediate grant, if all petition requirements are met)

Next, to view the Application Data Screen, click on "**Continue**". The procedure will differ depending on whether the application was filed as an original U.S. application under 35 U.S.C. 111 (see part A), or filed as a national stage entry of an international application under 35 U.S.C. 371 (see part B).

A. Process for an original U.S. application filed under 35 U.S.C. 111

After entering the application identifier as discussed above, the "Attach Documents" screen will appear.

Attach Documents

This is the application	data associated wit	h your filing. If any informati	on is incorrect, please click <u>cancel</u>			
Application Number	5900000					
Title of Invention	Invention Test Invention for Prioritized Examination					
First Named Inventor	Joe Inventor					
Customer Number or Correspondence Address	59					
Filed By						
Attorney Docket Number	123456					
Application Type	Utility under 35 USC 1	11(a)				
Files to be Sub	mitted 🕡	Category 👔	Document Description 👔			
2:\Prioritized_Examination\	sb0424.pdf Browse	Track I - Prioritized Examination	TrackOne Request	Delete		
Does your PDF file contain	multiple documents?	Yes No				
3 C:\Prioritized_Examination	n\RCE.pdf Browse	Continued Prosecution	 Request for Continued Examination 	(RCE) • Delete		
Does your PDF file contair	multiple documents?	Yes No	4			
				Add File		
	atent or trademark reco		Submission of the credit card payment forr rs choosing to pay with a credit card shoul			
		Privacy Cancel Uploa	d & Validate Review			

Check to make sure that the application type is listed as "Utility under 35 USC 111(a)." If the application type is listed as "U.S. National Stage under 35 USC 371," please follow the guidance in part B, below.

On the Attach Documents screen, upload the Certification and Request for Prioritized Examination form (**PTO/SB/424**). Failure to use form (**PTO/SB/424**) or its equivalent could result in the office not recognizing the request or in delays in processing the request. Please click on the "**Browse**" button to upload your completed document. Once the form has been attached, you will need to index the document correctly. For the Category drop down menu you will select "**Track One – Prioritized Examination**" and on the Document Description drop down menu you will select "**TrackOne Request**". Once the appropriate selections have been made, you will then click on the button "**Upload & Validate**". You will need to attach and index any additional documents.

The above screen image represents a situation in which an applicant is filing a request for prioritized examination concurrently with a request for continued examination. Applicant has filled out and attached form PTO/SB/424 and a request for continued examination. If applicant had previously filed a request for continued examination, applicant would only attach form PTO/SB/424.

After clicking on the "**Upload & Validate**" button, the "Review Documents" screen will appear. This screen will list all files attached to the current EFS-Web submission.

Review Documents

To Review a Document, please click on the document name. To add more files please Attach Files						
Validation Status	Files to be Submitted	Multi- Doc PDF	Document Description	Page Count	Size 👔	
PASS	<u>sb0424.pdf</u>	NO	TrackOne Request	2	101159 bytes	Edit Remove
	No validation errors for	und.				
PASS	<u>RCE.pdf</u>	NO	Request for Continued Examination (RCE)	3	627265 bytes	Edit Remove
	No validation errors for	und				

No validation errors found.

△ Warning: When requesting prioritized examination for a new original utility nonprovisional application filed under 35 USC 111(a), the PTO/SB/424 (Track I Certification and Request) form must be filed on the same day (before Midnight Eastern Time) as the initial application.

The warning text (in red font) applies only to Track One submissions, and does not apply to a request for prioritized examination for an RCE. Once you have uploaded all of the necessary documents for your submission and received no validation errors click on "**Continue**" to reach the "Calculate Fees" screen.

Calculate Fees

On the "Calculate Fees" screen, under the Utility Patent Application Filing Fees section, select the checkbox for "**Track One – Prioritized Exam**".

Note - When filing a Track One request, the correct processing fee is **"Processing fee, except in provisional applications" (fee code 1830/2830/3830)**; the current Regular Undiscounted fee amount is \$140.

For Track One – Prioritized Examination the processing and publication fees are required at filing. Once you have checked any additional appropriate boxes, click on the **"Calculate**" button to add up the selected fees. Next, click on the **"Continue**" button to reach the Confirm & Submit screen.

If you choose to pay fees in a follow-on submission, the fee payment must be processed before midnight 11:59:59 pm (Eastern Time) on the same day under Track One requirements.

Fees Displayed: Regular Undiscounted	
Entity Status for Fee Purposes: 🚯	
Regular Undiscounted Small Entity Micro Entity	
Fee Calculator	
	Total Fees Due: \$ 0
Was this application originally filed in paper? O Yes No	
Utility Patent Application Filing Fees (select all that apply)	
🗌 Filing 🗌 Search 📄 Exam 🗹 Track I - Prioritized Exam 👔	
Amount \$280 \$600 \$720 \$4000	
Fee Code 1011 1111 1311 1817	Sub-Total \$
Application Size Fee - Number of Pages in the Specification (including any external tables) and drawings	
Number of Pages 0 * .75 = - 100 =	/ 50 = *\$ 400 = Sub-Total \$
Claims (select all that apply)	-
Independent claims in excess of three 0 * \$420 = \$	
Multiple Dependent Claims(\$ 780)	= \$ Sub-Total \$
Miscellaneous Fees: (select all that apply)	
Non-English Translation (\$ 140)	
Processing fee, except in provisional applications (\$ 140) Requests for prioritized examination (Track I) require payment of the processing fee.	
Publication for early, voluntary or normal publication (\$ 300)	
The publication fee for normal publication is not due until the application is allowed.	
Requests for early publication must include a separate statement requesting early publication. Requests for prioritized examination (Track I) require payment of the publication fee if not previously paid.	
Surcharge - Late filing fee, search fee, examination fee or oath or declaration (\$ 140)	Sub-Total \$
Petition Filing Fees (select all that apply)	
Miscellaneous Patent Fees (select all that apply)	
Select fees (use CTRL+Cursor to select multiple fees)	Selected fees (to be paid)
1801 \$1200 Request for Continued Examination 1802 \$900 Request Expedited Exam of Design Appl	1801 \$1200 Request for Continued Examination
1803 \$130 Request for Voluntary Publication or Rep 1806 \$180 Submission- Information Disclosure Stmt	
1807 \$50 Processing Fee for Provis. Applications	
1808 \$130 OTHER PUBLICATION PROCESSING FEE 1809 \$840 Submission After Final Rejection	
1810 \$840 Each Additional Invention Examined	
1819 \$600 Correction of Inventorship on Merits	
8001 \$3 Printed Copy of Patent - No Color	* \$3 = \$
1814 \$160 Statutory or Terminal Disclaimer	* \$160 = \$
	Sub-Total Miscellaneous Fees \$
Post Allowance & Post Issuance Fees (select all that apply)	
Extension of Time Fees ()	
Patent Appeals and Interference Fees (select all that apply)	
Privacy Cancel Calculate	Clear Continue

If the publication fee has previously been paid for the application, please uncheck the related box. A pop-up window will remind you of the fee requirements:

Message f	rom webpage	8
	Reminder: prioritized examination is only available to be requested on the date of filing the application, or after a proper RCE has been filed. Please ensure that your Track I request includes the Track I Prioritized Examination fee and the Track I Processing fee under 37 CFR 1.17(i)(1), the publication fee if not previously paid, and any required excess claims or application size fees.	
	ОК	

If you choose to pay fees later in another follow-on submission, the fee payment must be processed before midnight 11:59:59 pm (Eastern Time) on the same day under Prioritized Examination requirements.

Once you have checked any additional appropriate boxes, click on the "**Calculate**" button to add up the selected fees. Next, click on the "**Continue**" button to reach the "Confirm & Submit" screen.

Note: When using the USPTO Deposit Account as a method of payment, the fee associated with 37 CFR 1.18 is not available as an option for a pre-authorized payment. It is strongly recommended to include an authorization to file any additional necessary fees under 37 CFR 1.16, 37 CFR 1.17, and 37 CFR 1.18 in order to ensure that the Track One request is not dismissed for insufficient fees upon filing."

Confirm & Submit

On the "Confirm & Submit" screen, you will have the opportunity to save your submission to file at a later time. To do so click on the button "**Save for Later Submission**" listed at the bottom of the screen. Saved submissions will be available for seven calendar days from the initial date saved. You may also review a document by clicking on the document name. To submit your application click on the "**Submit**" button located at the bottom of the screen.

United States Patent and Trademark Office Home Site Index Search FAQ Glossary Guides Contacts eBusiness eBiz Alerts News Help					
Portal Home	Patents	Trademarks	Other Sign-Off	Authenticated Session	
EFS Registere	ed				
	Please Read	Application Attach Data Documer		firm & Pay Receipt bmit Fees	
	has not bee		t the USPTO until the e-fil nt Receipt is evidence of th		ion and the documents are received at the
			with your submission.		
Application Nu		5900000			
Title of Inventi	on	Test Invention for	Prioritized Examination		
First Named In	ventor	Joe Inventor			
Customer Num Correspondence		59			
Filed By		Inchest Personnes			
Attorney Docke	et Number	123456			
Application Typ	pe	Utility under 35 US	SC 111(a)		
This is the fe	e data ass	ociated with you		wing amount is incorrect, p s Due: \$ 5230	please edit the <u>Fee Calculation</u>
To Review	a Documer	t plazea click o	n the document name.	5 Due. \$ 5250	
	Files to be	Page		File Size 🗿	Validation Status
Sequence 1	Submitted sb0424.pdf	2	Document Description TrackOne Request	101159 byte	Message
	▲No valida	tion errors found.			•
2	RCE.pdf	3	Request for Continued	627265 byte	DASS
-		_	Examination (RCE)	027200 0700	
		tion errors found.			
3	fee-info.pdf	2	Fee Worksheet (SB06)	32754 byte	PASS
	◆No valida	tion errors found.			
Edit Attache	ed Files				
	99	Privacy Sav	ve for Later Submission	Cancel Submit Please	e click Submit only once
	41	"Olicy"		Please	, ener Submit only once
If you need he	lp:				
9197 (td • Send ge • If you e 9199.	oll free) or sei neral question xperience tecl	nd email to <u>EBC@us</u> ns about USPTO pro hnical difficulties or p	<u>pto.gov</u> , grams to the <u>USPTO Contact (</u> problems with this application,	Center (UCC) . please report them via e-mail to	Patent Electronic Business Center at (866) 217- Electronic Business Support or call 1 800-786- tions Help Desk at 1 800-786-9199.
			Home Site Index Search	eBusiness Help Privacy Polic	Υ

The "Confirm & Submit" screen will show all attached files, including the "fee-info.pdf" file which is generated when the filer has selected fees in the Calculate Fees screen.

Pay Fees

After clicking the submit button the next screen will indicate that the USPTO has received your submission. EFS-Web will display the application number, confirmation number, EFS-ID number, and

the total fees due. You have the option of paying the fees immediately or paying the fees later. As a registered eFiler you may choose to pay fees later as a follow-on submission. Fee payment is considered timely if paid before midnight Eastern Time on the day the request is submitted. If you choose to pay now, click on the button "YES! I want to pay now".

EFS Registered	
Registered eFilers Please Read Announcements	Application Attach Review Calculate Confirm & Pay Receipt Data Documents Documents Fees Submit Fees
Congratulations! You a	ire ready to pay fees associated with your submission.
16:21:37 Eastern Time on	19-SEP-2011
receiving the Acknow their submission and	eived your submission. An Acknowledgement Receipt will be received in due course. Prior to ledgement Receipt, registered users may check "My Workplace" to confirm receipt by the USPTO of access Private PAIR to view the actual documents filed. You may continue by paying fees. For he EBC. See the <u>EBC</u> Web Page for contact info and hours.
The following identification of the following identification o	ation numbers are associated with your submission.
Application Number	59946043
Confirmation Number	9425
EFS ID	4369463
The following fees are	due.
	Total Fees Due: \$
YES	I want to pay now NO - I will pay later (additional charges may be incurred)

When paying fees, you have the option of paying via Charge USPTO Deposit Account, Charge Credit Card, or Electronic Funds Transfer. Select the radio button that coincides with your method of payment. The review fees section of the Pay Fees screen will display the fees being collected for the application. The Total Payment is listed on the bottom right of the screen. To make a payment, click the "**Start online payment process**" button located at the bottom of the screen.

Note: When using the USPTO Deposit Account as a method of payment, the fee associated with 37 CFR 1.18 is not available as an option for a pre-authorized payment. It is strongly recommended to include an authorization to file any additional necessary fees under 37 CFR 1.16, 37 CFR 1.17, and 37 CFR 1.18 in order to ensure that the Track One request is not dismissed for insufficient fees upon filing."

Acknowledgement Receipt

Once you have provided all of the necessary payment information and your payment is processed successfully, you will receive your Acknowledgement Receipt on the screen. You also have several actions you may take, such as printing or saving the receipt. You also have the option of viewing the acknowledgement receipt under My Workplace of EFS-Web. The Acknowledgement Receipt displays the application details of the submission, including the total amount paid.

To ensure that your application is complete and loaded correctly to USPTO internal systems, you can - review your filed application in Private PAIR as a Registered eFiler. Submissions are available in Private PAIR approximately 15 minutes after they are submitted in EFS-Web.

If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email <u>ebc@uspto.gov</u> or telephone 866-217-9197.

B. Process for a national stage entry of an international application under 35 U.S.C. 371

After entering the application identifier as discussed above, the "Attach Documents" screen will appear.

Attach Documents

Application Type U.S. Nat	ional Stage und	der 35 USC 371		
Files to be Submitted 👔)	Category 👔	Document Description	
1 C:\efs\SB424.pdf	Browse Tr	rack I - Prioritized Examination	 TrackOne Request 	•
Does your PDF file contain multiple	documents?	Yes No		
2 C:\efs\RCE.pdf	Browse Co	ontinued Prosecution	✓ Request for Continued Exa	mination (RCE) -
Does your PDF file contain multiple	documents? ©	Yes 🖲 No		Add File
Users are advised not to submit crea in the form being included among the utilize the on-line payment method a	e patent or trad	lemark records open for public in		
	Priva Police	cy Cancel Upload & Please Upload & Validate I		

The above screen image represents a situation in which an applicant is filing a request for prioritized examination concurrently with a request for continued examination. Applicant has filled out and attached form PTO/SB/424 and a request for continued examination. If applicant had previously filed a request for continued examination, applicant would only attach form PTO/SB/424.

On the "Attach Documents" screen, upload the Certification and Request for Prioritized Examination form (**PTO/SB/424**). Failure to use form (**PTO/SB/424**) or its equivalent could result in the office not recognizing the request or delays in processing the request. Please click on the "**Browse**" button to upload your completed document. Once the form has been attached, you will need to index the document correctly. For the Category drop down menu you will select "**Track One – Prioritized Examination**" and on the Document Description drop down menu you will select "**TrackOne Request**".

Once the appropriate selections have been made, you will then click on the button "**Upload & Validate**". You will need to attach and index any additional documents. After clicking on the "**Upload & Validate**" button, the "Review Documents" screen will appear. This - screen will list all files attached to the current EFS-Web submission.

Review Documents

Application	Туре	U.S. Nationa	l Stage under 35 USC 371			
To Revie	ew a Documer		lick on the document na	me. To ad	d more f	iles please <u>Attach Files</u>
Validation Status	Files to be Submitted	Multi- Doc PDF	Document Description	Page Count	Size 👔	
PASS	<u>SB424.pdf</u>	NO	TrackOne Request	2	133071 bytes	Edit Remove
	♦No validation	errors found				
PASS	<u>RCE.pdf</u>	NO	Request for Continued Examination (RCE)	3	626977 bytes	Edit Remove
	♦No validation	errors found				
		Privacy Policy	Cancel Attach Files	Reval	idate	Continue

After clicking on the "Continue" button, the "Calculate Fees" screen will appear.

Calculate Fees

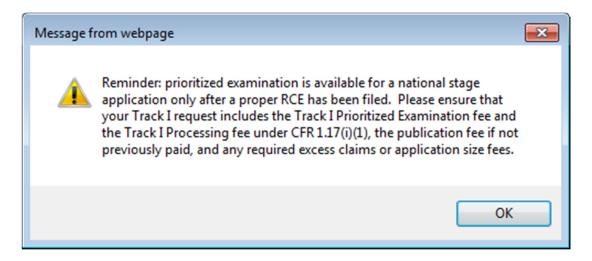
Applicants should use the "Calculate Fees" screen to select the "Track One – Prioritized Exam" fee.

Note - When filing a Track One request, the correct processing fee is **"Processing fee, except in provisional applications" (fee code 1830/2830/3830)**; the current Regular Undiscounted fee amount is \$140.

The "Calculate Fees" Tab should also be used to select the RCE fee (listed under "Miscellaneous Patent Fees" as #1801) if these have not been previously paid.

Fees Displayed: Regular Undiscounted			
Entity Status for Fee Purposes: 🚺			
Regular Undiscounted Small Entity Micro Entity			
Fee Calculator			
			Total Fees Due: \$ 0
National Stage 371 Patent Application Filing Fees			
		Fee Code	Amount
Basic National Stage Fee		1631	\$280
🗹 Track I - Prioritized Exam 👔		1817	\$4000
Search - US was the ISA		1641	\$120
Search - Report Prepared and Provided to USPTO		1642	\$480
Search - All Other Situations		1632	\$600
Exam - All Other Situations		1633	\$720
			Sub-Total \$
Application Size Fee - Number of Pages in the Specification (including any ex	ernal tables) and drawings 🚺		
Number of Pages 0 - 100 =	/ 50 =	* \$ 400 =	Sub-Total \$
Claims (select all that apply)			
Claims in excess of twenty 0	* \$80 - \$		
Independent claims in excess of three 0	* \$420 = \$		
Multiple Dependent Claims(\$780)		= \$	Sub-Total \$
		- >)	Sub-rocar \$
Miscellaneous Fees: (select all that apply)			
Oath or declaration after thirty months from priority date (\$ 140) English Translation after thirty months from priority date (\$ 140)			
Processing fee, except in provisional applications (\$ 140)			
Requests for prioritized examination (Track I) require payment of the processing fee.			
Publication Fee for early, voluntary or normal publication (\$ 300)			
Requests for prioritized examination (Track I) require payment of the publication fee if	not previously paid.		Sub-Total \$
Petition Filing Fees (select all that apply)			Sub-Total \$ 1
Petuton Fining Fees (select all that apply) Miscellaneous Patent Fees (select all that apply)			
Select fees (use CTRL+Cur	sor to select multiple fees)	Selected fees (to be paid)	
1801 \$1200 Request for Continu	ied Examination	1801 \$1200 Request for Continued Examination	
1802 \$900 Request Expedited E 1803 \$130 Request for Voluntar	y Publication or Rep Add Fee		
1806 \$180 Submission- Informa 1807 \$50 Processing Fee for Pro			
1808 \$130 OTHER PUBLICATION 1809 \$840 Submission After Fin.	PROCESSING FEE Remove Fee		
1810 \$840 Each Additional Inve	ntion Examined 🗸 🗸		
1819 \$600 Correction of Invento	orship on Merits		=
8001 \$3 Printed Copy of Patent - No Color		* \$3 = \$	
1814 \$160 Statutory or Terminal Disclaimer		* \$160 = \$	
			Sub-Total Miscellaneous Fees \$
Post Allowance & Post Issuance Fees (select all that apply)			
Extension of Time Fees			
Patent Appeals and Interference Fees (select all that apply)			
(and approximate a second	Privacy Consol Colculate	Class Continue	

The following warning message will appear once the Prioritized Examination fee is selected. -



Once you have checked the appropriate boxes, click on the "**Calculate**" button to add up the selected fees. Next, click on the "**Continue**" button to reach the "Confirm & Submit" screen.

Confirm & Submit

On the "Confirm & Submit" screen, you will have the opportunity to save you submissions to file at a later time. To do so click on the button "**Save for Later Submission**" listed at the bottom of the screen. Saved submissions will be available for seven calendar days from the initial date saved. You may also review a document by clicking on the document name. To submit your application click on the "**Submit**" button located at the bottom of the screen.

Application ⁻	Туре	U.S. Nation	al Stage under 35 USC 37	1			
		sociated w	ith your submission	. If the foll	owing amo	ount is incorrect, please	e edit the
Fee Calcula	<u>ition</u>						
			Total Fees [Due: \$ 6160			
To Revie	w a Docume	nt, please	click on the docume	nt name.			
Sequence	Files to be Submitted	Page Count	Document Description	F	ile Size 👔	Validation Status Message	
1	SB424.pdf	2	TrackOne Request		133071 byte	PASS	
	♦No validatio	on errors foun	d.				
2	RCE.pdf	3	Request for Continued Examination (RCE)		626977 byte	PASS	
	◆No validatio	on errors foun	d.				
3	fee-info.pdf	2	Fee Worksheet (SB06)		36992 byte	PASS	
	♦No validatio	on errors foun	d.				
Edit Atta	ched Files						
	Privacy Policy	Save for	Later Submission	Cancel	Submit P	lease click Submit only once	

The "Confirm & Submit" Screen will show all attached files, including the "fee-info.pdf" file which is generated when the filer has selected fees in the "Calculate Fees" screen.

Pay Fees

After clicking the submit button the next screen will indicate that the USPTO has received your submission. EFS-Web will display the application number, confirmation number, EFS-ID number, and the total fees due. You have the option of paying the fees immediately or paying the fees later. As a registered eFiler you may choose to pay fees later as a follow-on submission. Fee payment is considered timely if paid before midnight Eastern Time on the day the request is submitted. If you choose to pay now click on the "YES! I want to pay now" button.

EFS Registered					
Registered Please Read eFilers Announcements	Application Attach Review Calculate Confirm & Pay Receipt Data Documents Documents Fees Submit Fees				
Congratulations! You a	are ready to pay fees associated with your submission.				
16:21:37 Eastern Time on	19-SEP-2011				
The USPTO has received your submission. An Acknowledgement Receipt will be received in due course. Prior to receiving the Acknowledgement Receipt, registered users may check "My Workplace" to confirm receipt by the USPTO of their submission and access Private PAIR to view the actual documents filed. You may continue by paying fees. For questions, contact the EBC. See the <u>EBC</u> Web Page for contact info and hours.					
-	ation numbers are associated with your submission.				
Application Number	59946043				
Confirmation Number	9425				
EFS ID	4369463				
The following fees are	due.				
	Total Fees Due: \$				
The USPTO's RAM payment credit cards: American Expre successful online payment (Would you like to pay your					
YES	NO - I will pay later (additional charges may be incurred)				

When paying fees, you have the option of paying via Charge USPTO Deposit Account, Charge Credit Card, or Electronic Funds Transfer. Select the radio button that coincides with your method of payment. The review fees section of the Pay Fees screen will display the fees being collected for the application. The Total Payment is listed on the bottom right of the screen. To make a payment, click the "**Start online payment process**" button located at the bottom of the screen.

Note: When using the USPTO Deposit Account as a method of payment, the fee associated with 37 CFR 1.18 is not available as an option for a pre-authorized payment. It is strongly recommended to include an authorization to file any additional necessary fees under 37 CFR 1.16, 37 CFR 1.17, and 37 CFR 1.18 in order to ensure that the Track One request is not dismissed for insufficient fees upon filing."

Acknowledgement Receipt

Once you have provided all of the necessary payment information and your payment is processed successfully, you will receive your Acknowledgement Receipt on the screen. You also have several actions you may take, such as printing or saving the receipt. You also have to option of viewing the acknowledgement receipt under My Workplace of EFS-Web. The Acknowledgement Receipt displays the application details of the submission, including the total amount paid.

To ensure that your application is complete and loaded correctly to USPTO internal systems, you can review your filed application in Private PAIR as a Registered eFiler. Submissions are available in Private PAIR approximately 15 minutes after they are submitted in EFS-Web.

If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email ebc@uspto.gov or telephone 866-217-9197.