

CERTIFICATION AND PETITION TO MAKE SPECIAL UNDER THE CLIMATE CHANGE MITIGATION PILOT PROGRAM

First Named Inventor:		Nonprovisional Application Number (if known):	
Title of Invention:			

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND PETITIONS FOR ADVANCEMENT OF EXAMINATION UNDER THE CLIMATE CHANGE MITIGATION PILOT PROGRAM FOR THE ABOVE-IDENTIFIED APPLICATION.

1. The claim(s) of the above-identified application (the application) cover(s) a product or process that mitigates climate change (i.e., the claim(s) correspond(s) to one or more of the technical concepts within subclass Y02A, Y02B, Y02C, Y02D, Y02E, Y02P, Y02T or Y02W in the Cooperative Patent Classification (CPC) system). The full schedule of the Y02 class is available at <https://www.uspto.gov/web/patents/classification/cpc/html/cpc-Y.html#Y02>.
2. The product or process is designed to reduce greenhouse gas emissions.
3. The applicant has a good faith belief that expediting patent examination will likely have a positive impact on the climate.
4. The application is a non-continuing original utility nonprovisional application or an original utility nonprovisional application that claims the benefit of only one prior nonprovisional application or one prior international application designating the United States.
5. The application is or was filed electronically using Patent Center.
6. The specification, claim(s) and abstract on filing or on national stage entry are or were submitted in DOCX format.
7. A properly signed application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is or was filed in the application.
8. No nonpublication request was filed for the application or if such a request was previously filed, the nonpublication request is or has been rescinded. Form PTO/SB/36 may be used to rescind the nonpublication request.
9. The basic filing fee, search fee, and examination fee are filed with this request or have been already been paid in the application. The applicant understands that any required application size fee must be paid for the application. The fee for a petition to make special under 37 CFR 1.102(d) has been waived for this pilot program.
10. The applicant agrees to the following: (a) the application may not contain, or be amended to contain, more than three independent claims, more than twenty total claims, or any multiple dependent claims, (b) any reply to a non-final Office action may not cancel all claims that meet the eligibility requirements of this pilot program, and (c) if a telephone restriction requirement is made, applicant must make an election without traverse to an invention that meets the eligibility requirements of this pilot program.
11. The inventor or any joint inventor has not been named as the inventor or a joint inventor on more than four other nonprovisional applications in which a petition to make special under this program has been filed.
12. This petition is either (a) electronically filed using Patent Center with the filing of the application or entry into the National Stage as to the United States, or (b) electronically filed using Patent Center within 30 days of the filing date of the application or entry into the National Stage as to the United States.

Signature	Date
Name (Printed/Typed)	Practitioner Registration Number

Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required.*

*Total of _____ forms are submitted.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects the information in this record under authority of 35 U.S.C. 2. The USPTO's system of records is used to manage all applicant and owner information including name, citizenship, residence, post office address, and other information with respect to inventors and their legal representatives pertaining to the applicant's/owner's activities in connection with the invention for which a patent is sought or has been granted. The applicable Privacy Act System of Records Notice for the information collected in this form is COMMERCE/PAT-TM-7 Patent Application Files, available in the Federal Register at [78 FR 19243 \(March 29, 2013\)](#).

Routine uses of the information in this record may include disclosure to: 1) law enforcement, in the event that the system of records indicates a violation or potential violation of law; to a Federal, state, local, or international agency, in response to its request; 2) a contractor of the USPTO having need for the information in order to perform a contract; 3) the Department of Justice for determination of whether the Freedom of Information Act (FOIA) requires disclosure of the record; 4) a Member of Congress submitting a request involving an individual to whom the record pertains, when the individual has requested the Member's assistance with respect to the subject matter of the record; 5) a court, magistrate, or administrative tribunal, in the course of presenting evidence, including disclosures to opposing counsel in the course of settlement negotiations; 6) the Administrator, General Services Administration (GSA), or their designee, during an inspection of records conducted by GSA under authority of 44 U.S.C. 2904 and 2906, in accordance with the GSA regulations and any other relevant (i.e., GSA or Commerce) directive, where such disclosure shall not be used to make determinations about individuals; 7) another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)); 8) the Office of Personnel Management (OPM) for personnel research purposes; and 9) the Office of Management and Budget (OMB) for legislative coordination and clearance.

If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.

Additional Uses

Additional USPTO uses of the information in this record may include disclosure to: 1) the International Bureau of the World Intellectual Property Organization, if the record is related to an international application filed under the Patent Cooperation Treaty; 2) the public i) after publication of the application pursuant to 35 U.S.C. 122(b), ii) after issuance of a patent pursuant to 35 U.S.C. 151, iii) if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections, or an issued patent, or iv) without publication of the application or patent under the specific circumstances provided for by 37 CFR 1.14(a)(1)(v)-(vii); and/or 3) the National Archives and Records Administration, for inspection of records.