

CERTIFICATION AND REQUEST TO TREAT AN APPLICATION FILED DURING A DESIGNATED SIGNIFICANT UNPLANNED ELECTRONIC BUSINESS SYSTEM OUTAGE AS AN APPLICATION FILED BY THE USPTO'S ELECTRONIC FILING SYSTEM	
Application Number	Filing Date
First Named Inventor	Attorney Docket Number
Title of Invention	
<p>APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS THAT THE ABOVE-IDENTIFIED APPLICATION BE TREATED AS AN APPLICATION FILED BY THE USPTO PATENT ELECTRONIC FILING SYSTEM.</p> <p>1. The above-identified application was filed during a designated significant unplanned electronic business system outage by an alternative filing method. In addition, a copy of the application is being filed with this form via USPTO patent electronic filing system no later than: (1) one month from the date a filing receipt was first issued for the application if the non-electronic filing fee has been paid; or (2) the expiration of the period for reply to a notice requiring payment of the non-electronic filing fee (<i>e.g.</i>, a notice to file missing parts under 37 CFR 1.53(f)) if the non-electronic filing fee has not been paid.</p> <p>2. The copy of the application filed with this form via USPTO patent electronic filing system is a true copy of the original application as filed by the alternative filing method. The copy of the application is being filed as a follow-on paper to the above-identified application, and not as a new application.</p> <p>3. Applicant requests a refund of any fees that were previously paid (<i>e.g.</i>, the non-electronic filing fee) that are not due as a result of treating the application as an application filed by the USPTO patent electronic filing system. If appropriate, applicant requests that any fees currently due be recalculated and that any fees available as a result of the recalculation be reapplied to fees remaining due on the filing of this application as needed and that any remaining funds be refunded according to 37 CFR 1.26.</p>	
<p>NOTE: <i>This form must be signed in accordance with 37 CFR 1.33. Please see 37 CFR 1.4(d) for the signature requirements. Submit multiple forms if more than one signature is required – see below.*</i></p>	
Signature	Date
Name	Registration Number
<p>*Total of _____ forms are submitted.</p>	

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects the information in this record under authority of 35 U.S.C. 2. The USPTO's system of records is used to manage all applicant and owner information including name, citizenship, residence, post office address, and other information with respect to inventors and their legal representatives pertaining to the applicant's/owner's activities in connection with the invention for which a patent is sought or has been granted. The applicable Privacy Act System of Records Notice for the information collected in this form is COMMERCE/PAT-TM-7 Patent Application Files, available in the Federal Register at 78 FR 19243 (March 29, 2013), <https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>.

Routine uses of the information in this record may include disclosure to: 1) law enforcement, in the event that the system of records indicates a violation or potential violation of law; 2) a federal, state, local, or international agency, in response to its request; 3) a contractor of the USPTO having need for the information in order to perform a contract; 4) the Department of Justice for determination of whether the Freedom of Information Act (FOIA) requires disclosure of the record; 5) a Member of Congress submitting a request involving an individual to whom the record pertains, when the individual has requested the Member's assistance with respect to the subject matter of the record; 6) a court, magistrate, or administrative tribunal, in the course of presenting evidence, including disclosures to opposing counsel in the course of settlement negotiations; 7) the Administrator, General Services Administration (GSA), or their designee, during an inspection of records conducted by GSA under authority of 44 U.S.C. 2904 and 2906, in accordance with the GSA regulations and any other relevant (i.e., GSA or Commerce) directive, where such disclosure shall not be used to make determinations about individuals; 8) another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)); 9) the Office of Personnel Management (OPM) for personnel research purposes; and 10) the Office of Management and Budget (OMB) for legislative coordination and clearance.

If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.