

**UNITED STATES  
PATENT AND TRADEMARK OFFICE**



# **PTAB Trial Statistics FY23 Q1 Outcome Roundup IPR, PGR**

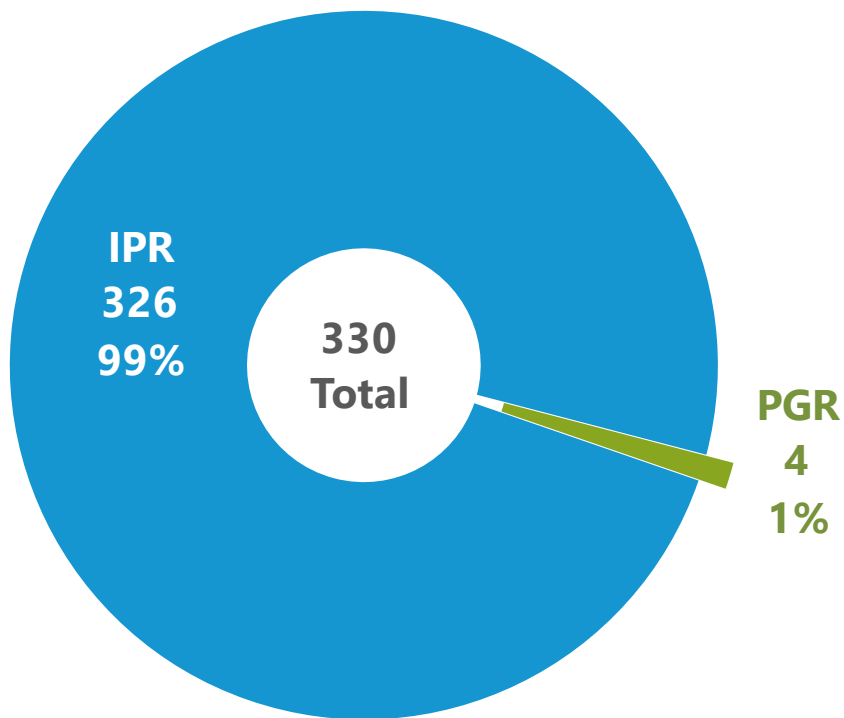
Patent Trial and Appeal Board  
Fiscal Year 2023 1<sup>st</sup> Quarter

UNITED STATES  
PATENT AND TRADEMARK OFFICE



# Petitions filed by trial type

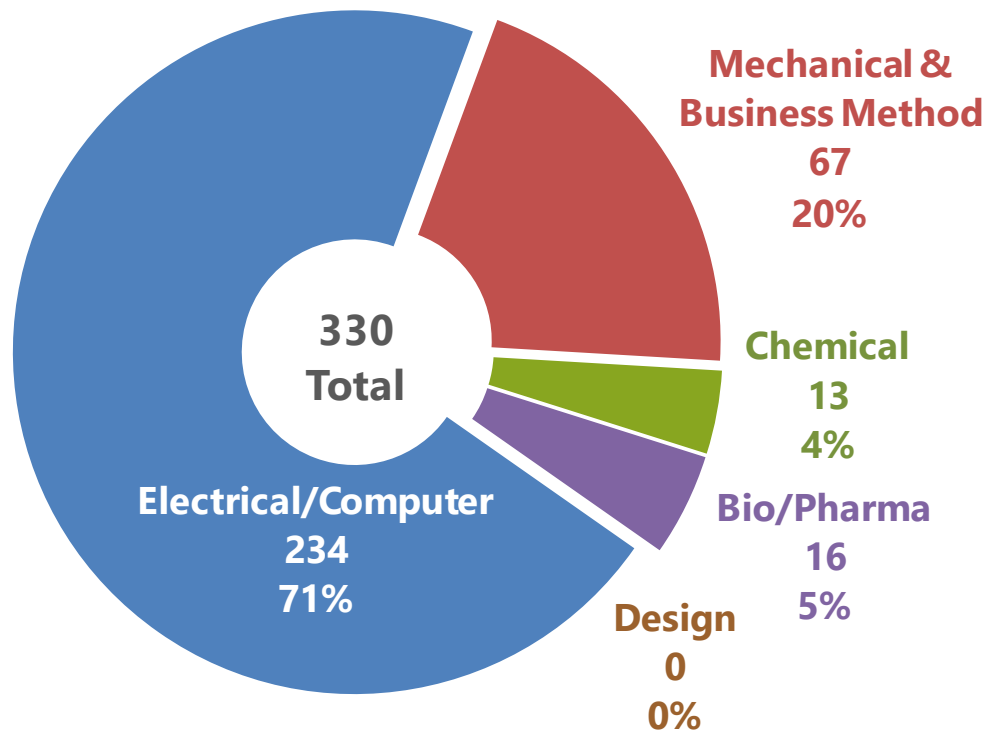
(FY23 through Q1: Oct. 1, 2022 to Dec. 31, 2022)



Trial types include Inter Partes Review (IPR) and Post Grant Review (PGR).

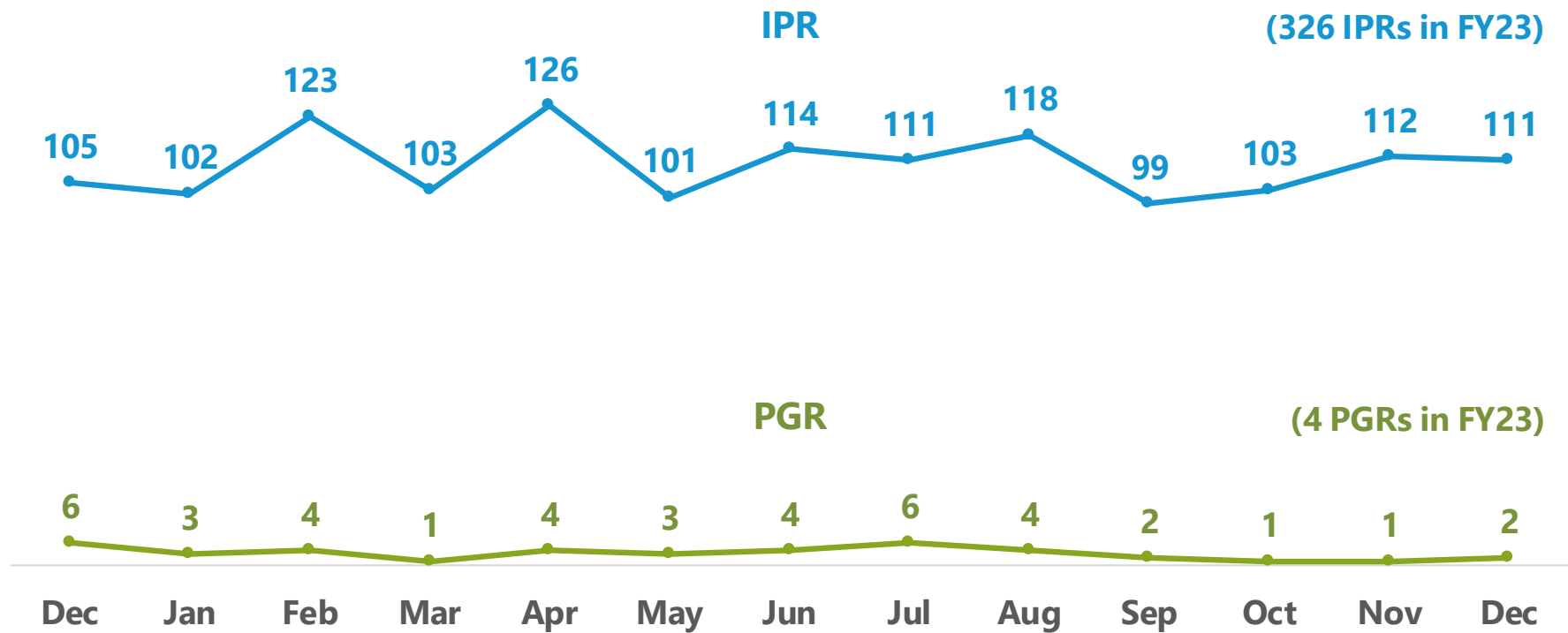
# Petitions filed by technology

(FY23 through Q1: Oct. 1, 2022 to Dec. 31, 2022)



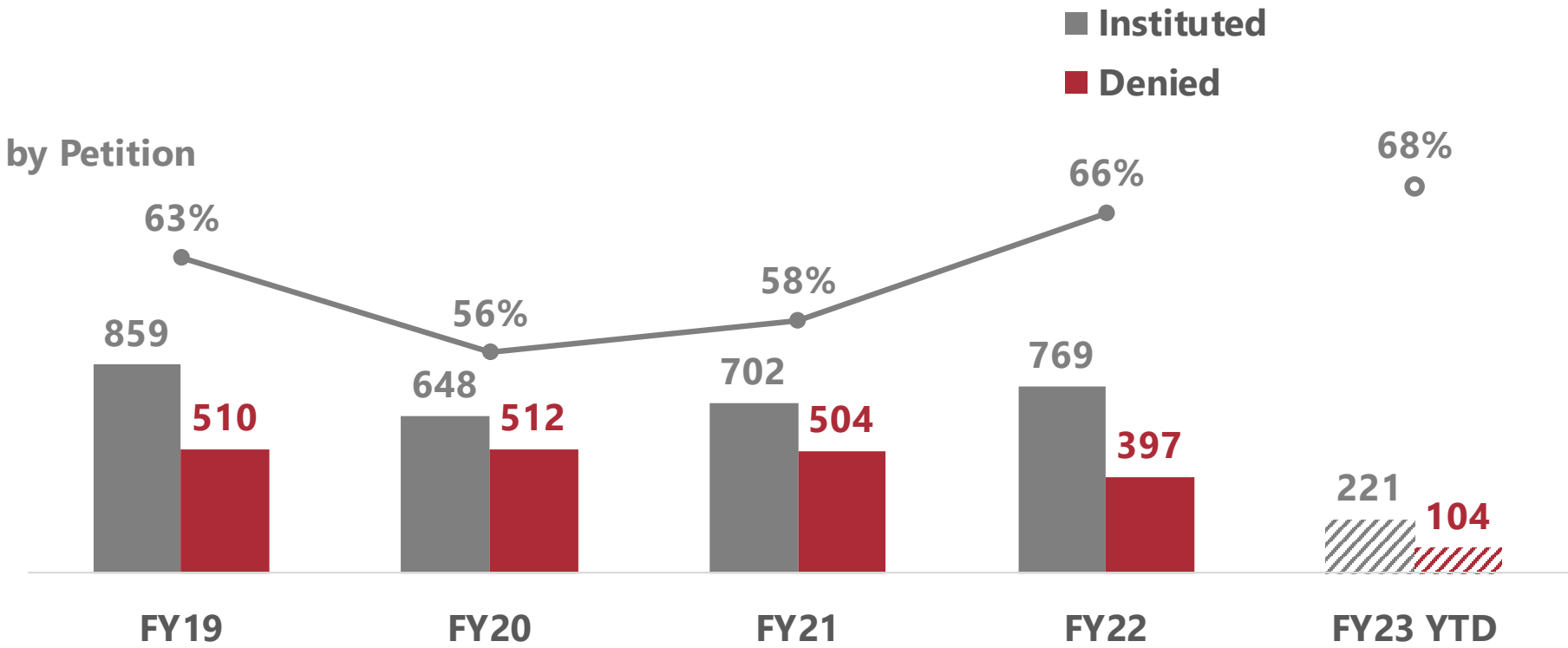
# Petitions filed by month

(Dec. 2022 and Previous 12 Months: Dec. 1, 2021 to Dec. 31, 2022)



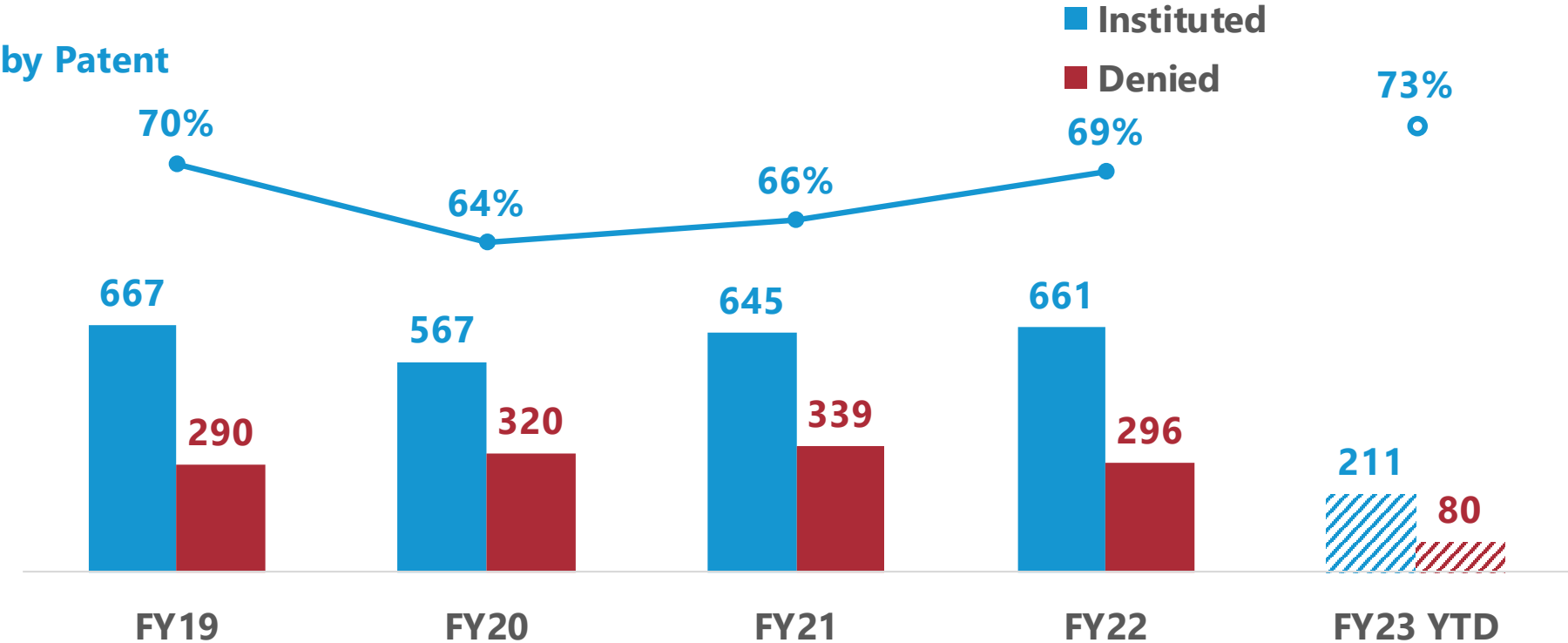
# Institution rates by petition

(FY19 to FY23 through Q1: Oct. 1, 2018 to Dec. 31, 2022)



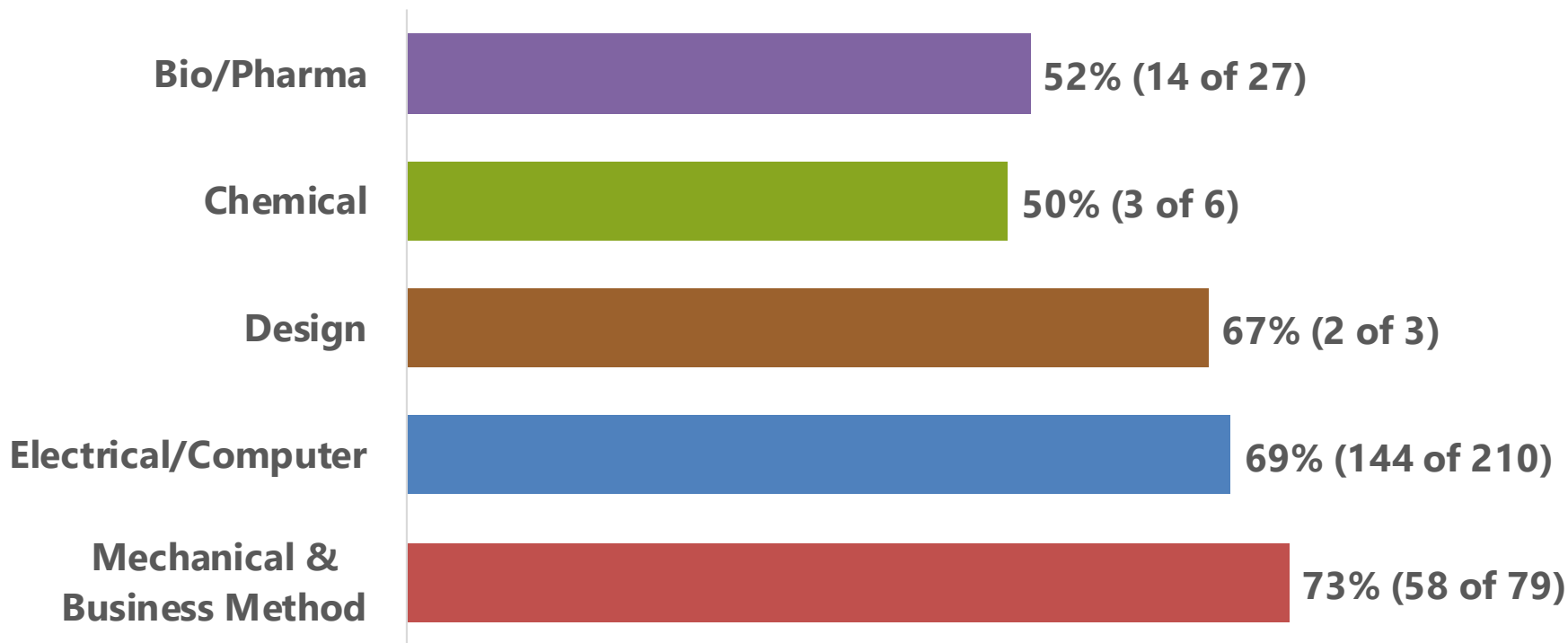
# Institution rates by patent

(FY19 to FY23 through Q1: Oct. 1, 2018 to Dec. 31, 2022)



# Institution rates by technology

(FY23 through Q1: Oct. 1, 2022 to Dec. 31, 2022)

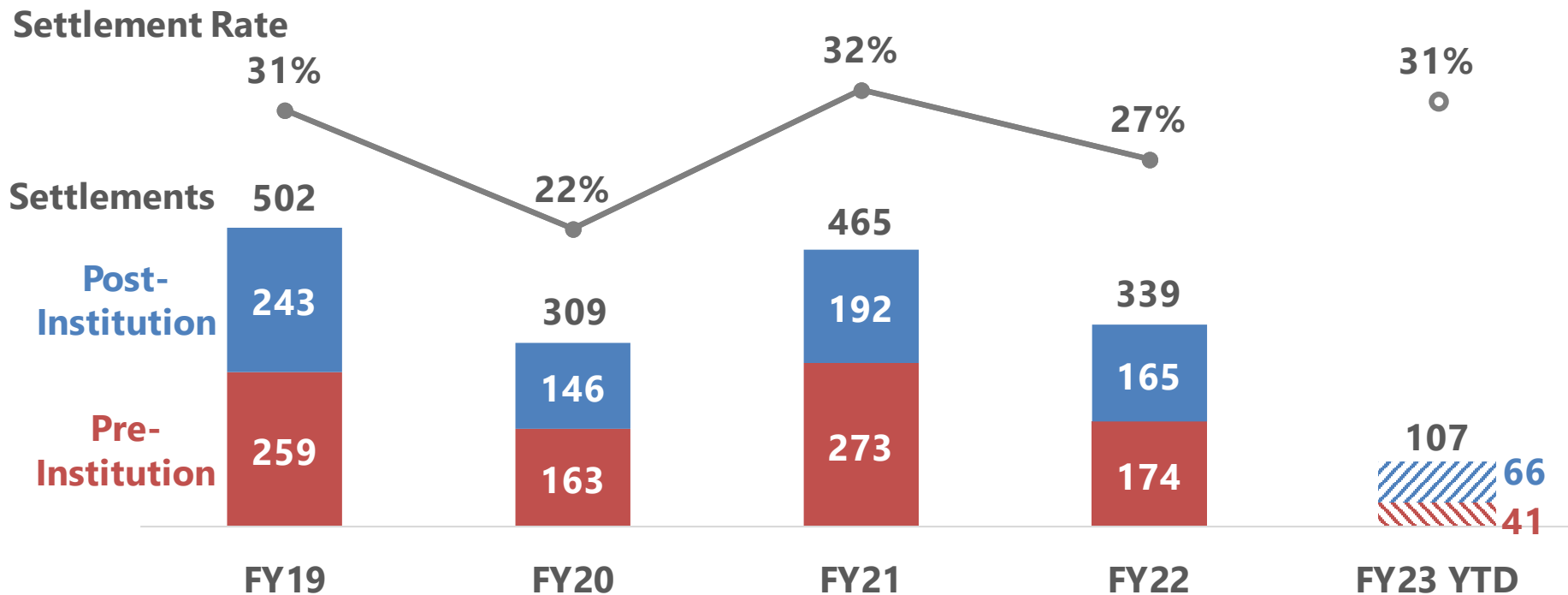


Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.



# Settlements

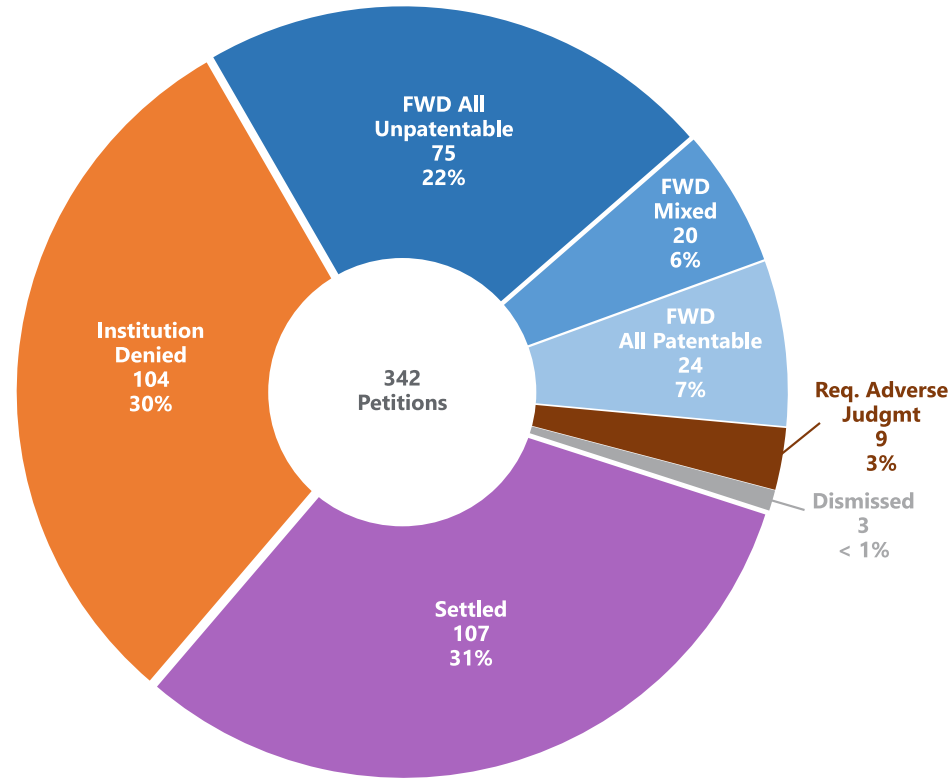
(FY19 to FY23 through Q1: Oct. 1, 2018 to Dec. 31, 2022)



Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.

# Outcomes by petition

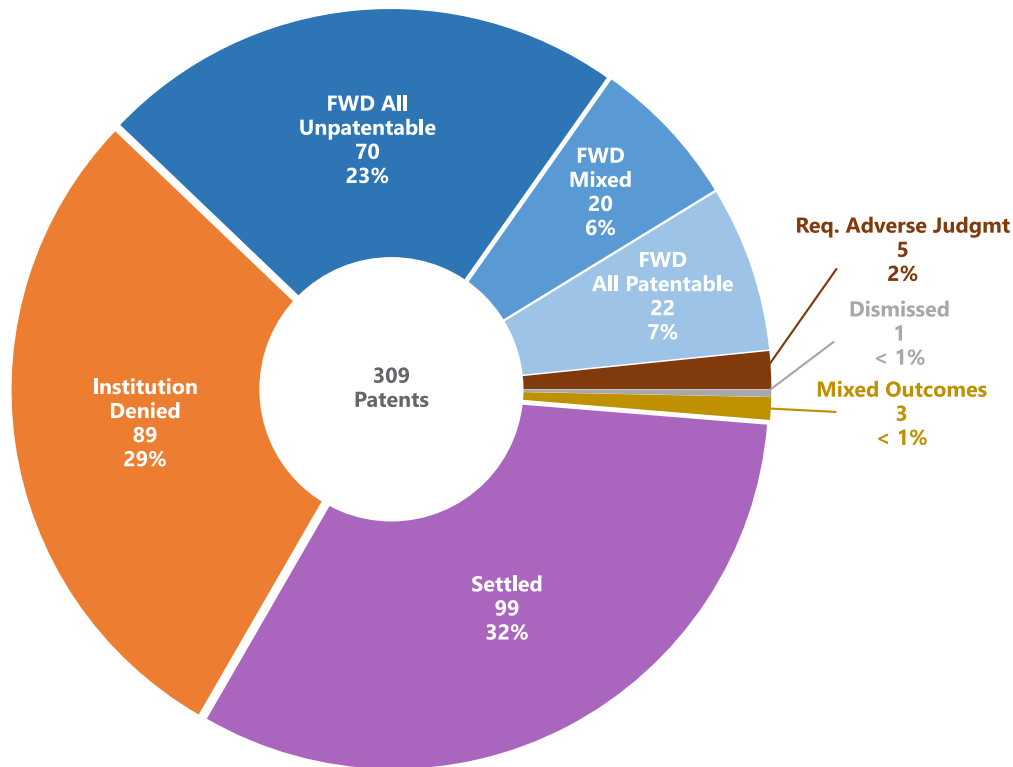
(FY23 through Q1: Oct. 1, 2022 to Dec. 31, 2022)



FWD patentability or unpatentability reported with respect to the claims at issue in the FWD. Joined cases are excluded.

# Outcomes by patent

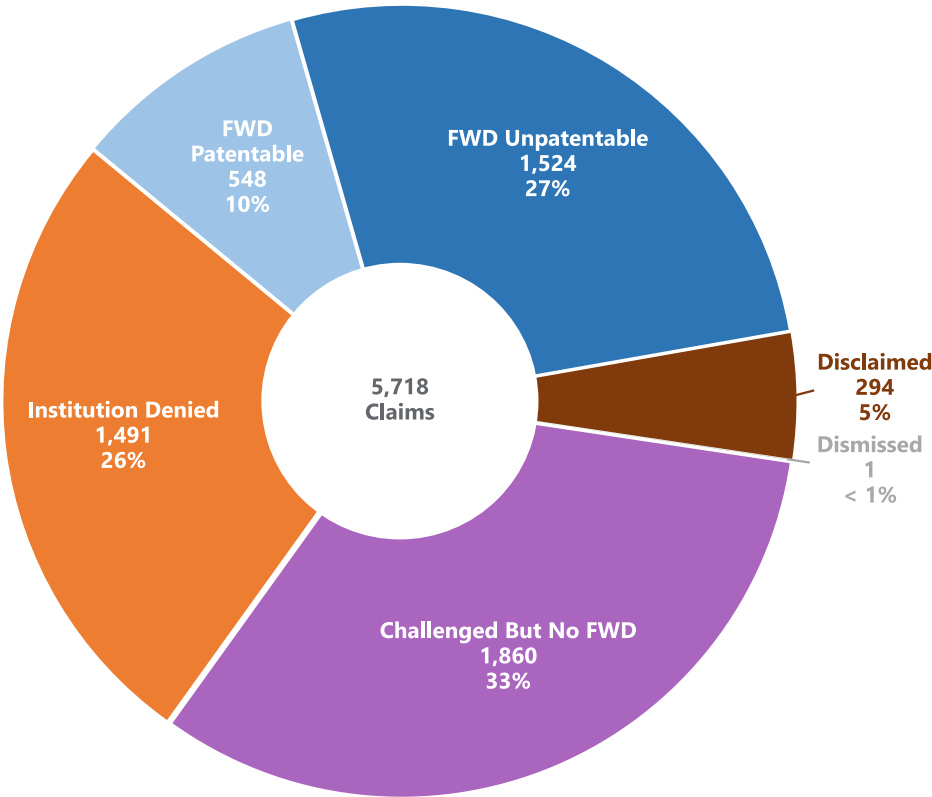
(FY23 through Q1: Oct. 1, 2022 to Dec. 31, 2022)



FWD patentability or unpatentability reported with respect to the claims at issue in the FWD. "Mixed Outcome" is shown for patents receiving more than one type of outcome from the list of: denied, settled, dismissed, and/or req. adverse judgement only. A patent is listed in a FWD category if it ever received a FWD, regardless of other outcomes.

# Outcomes by claim challenged

(FY23 through Q1: Oct. 1, 2022 to Dec. 31, 2022)



# Claim outcomes

(FY23 through Q1: Oct. 1, 2022 to Dec. 31, 2022)

