

**UNITED STATES
PATENT AND TRADEMARK OFFICE**



PTAB Trial Statistics FY22 Q3 Outcome Roundup IPR, PGR

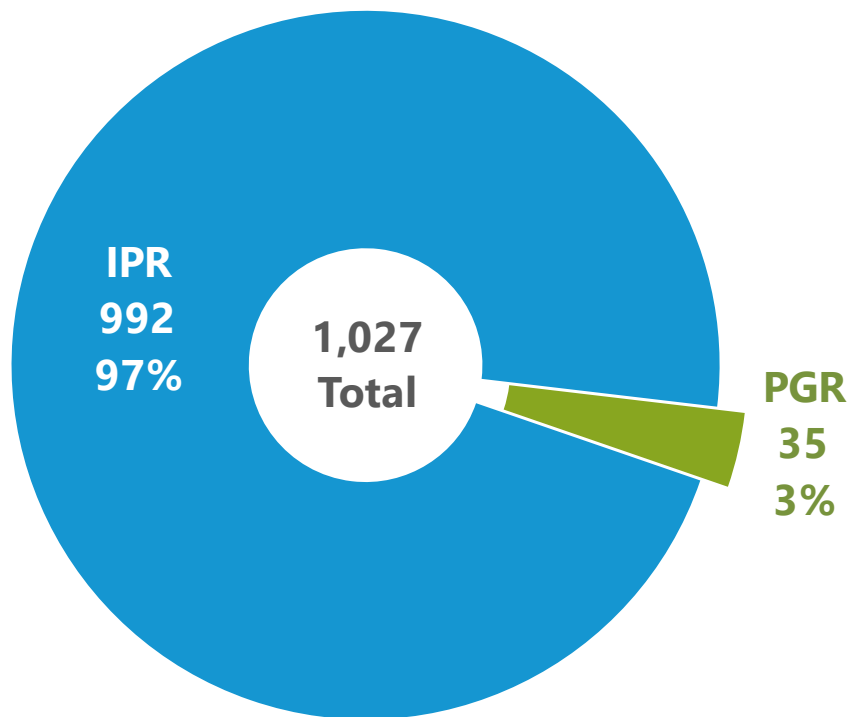
Patent Trial and Appeal Board
Fiscal Year 2022 3rd Quarter

UNITED STATES
PATENT AND TRADEMARK OFFICE



Petitions filed by trial type

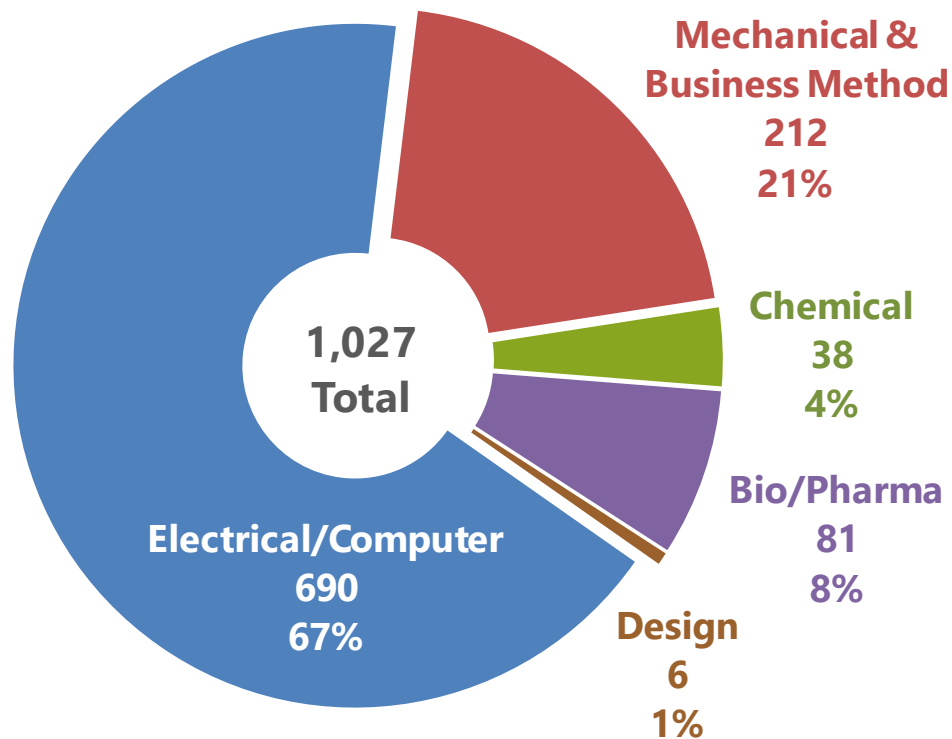
(FY22 through Q3: Oct. 1, 2021 to Jun. 30, 2022)



Trial types include Inter Partes Review (IPR) and Post Grant Review (PGR).

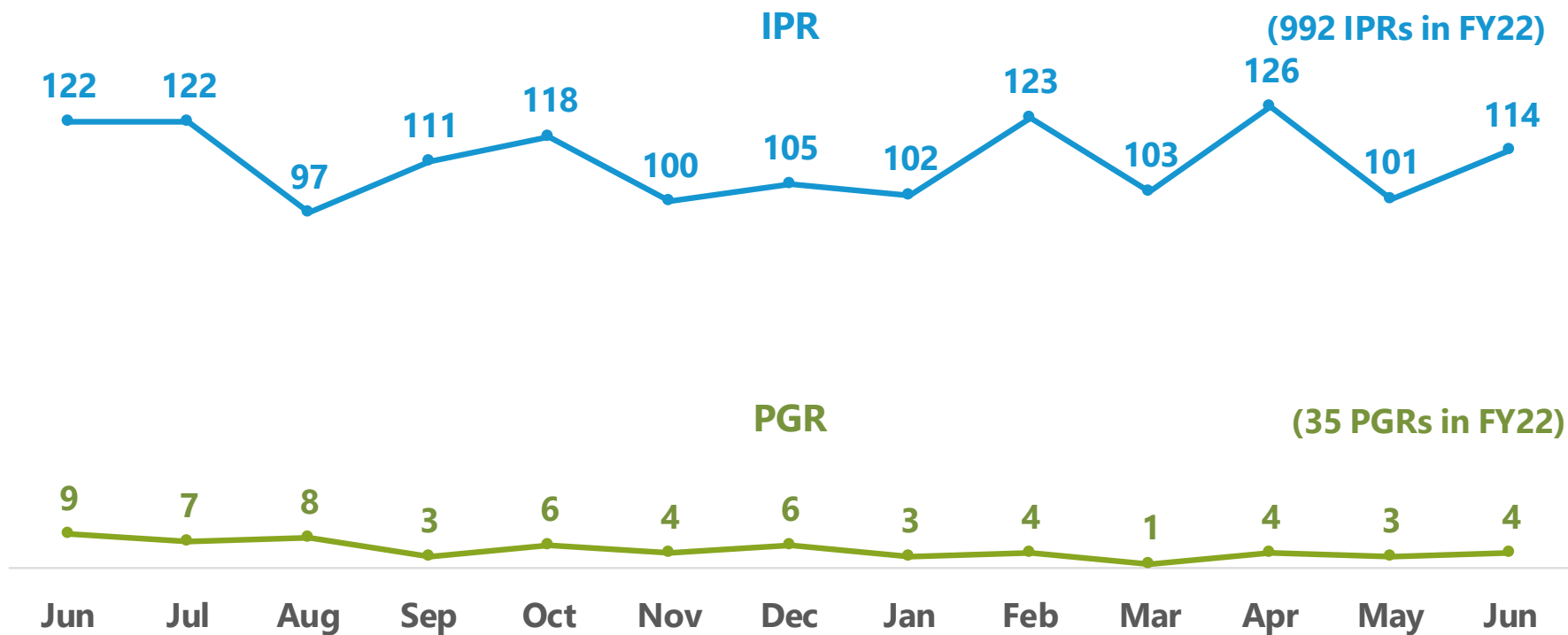
Petitions filed by technology

(FY22 through Q3: Oct. 1, 2021 to Jun. 30, 2022)



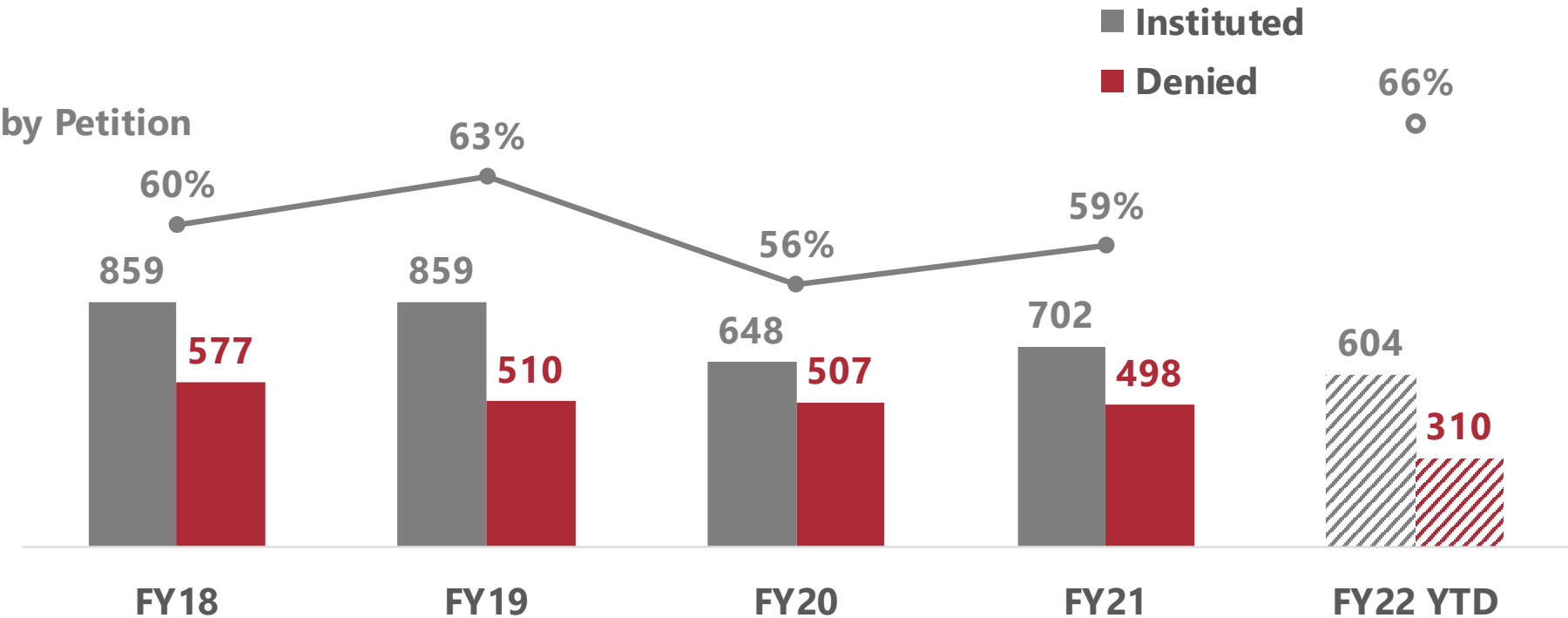
Petitions filed by month

(Jun. 2022 and Previous 12 Months: Jun. 1, 2021 to Jun. 30, 2022)



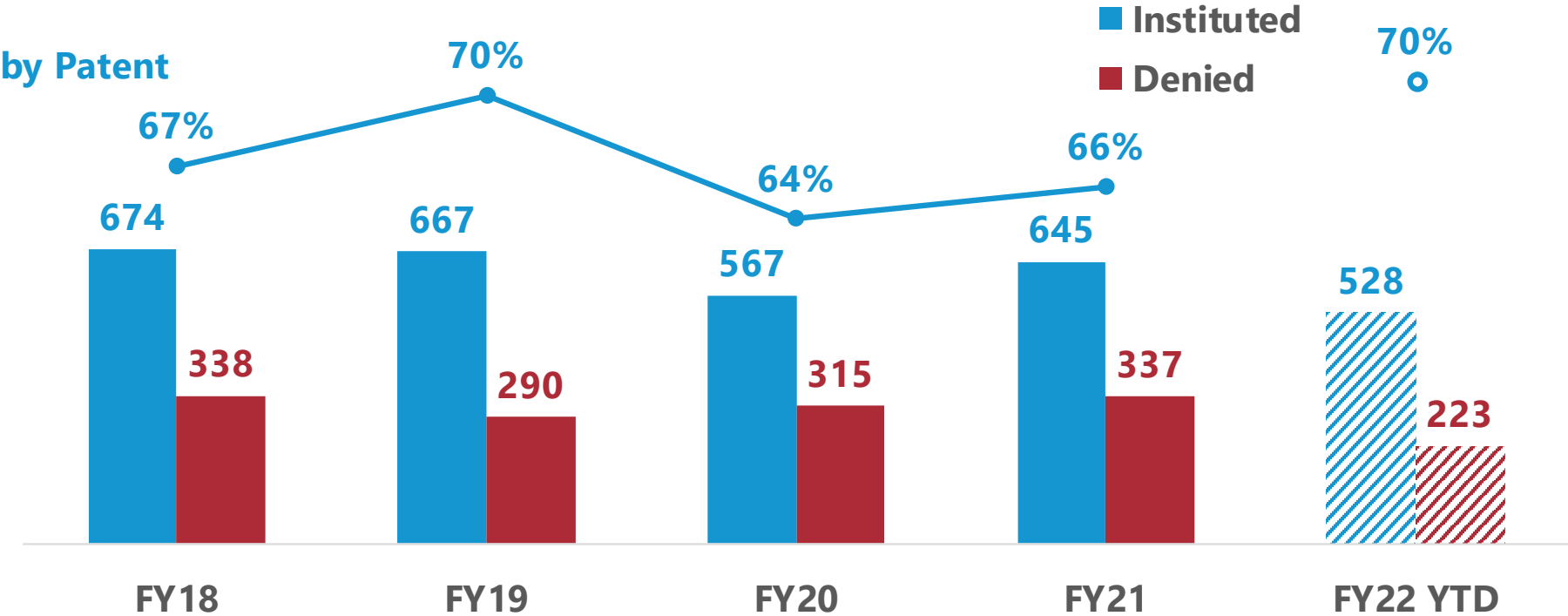
Institution rates by petition

(FY18 to FY22 through Q3: Oct. 1, 2017 to Jun. 30, 2022)



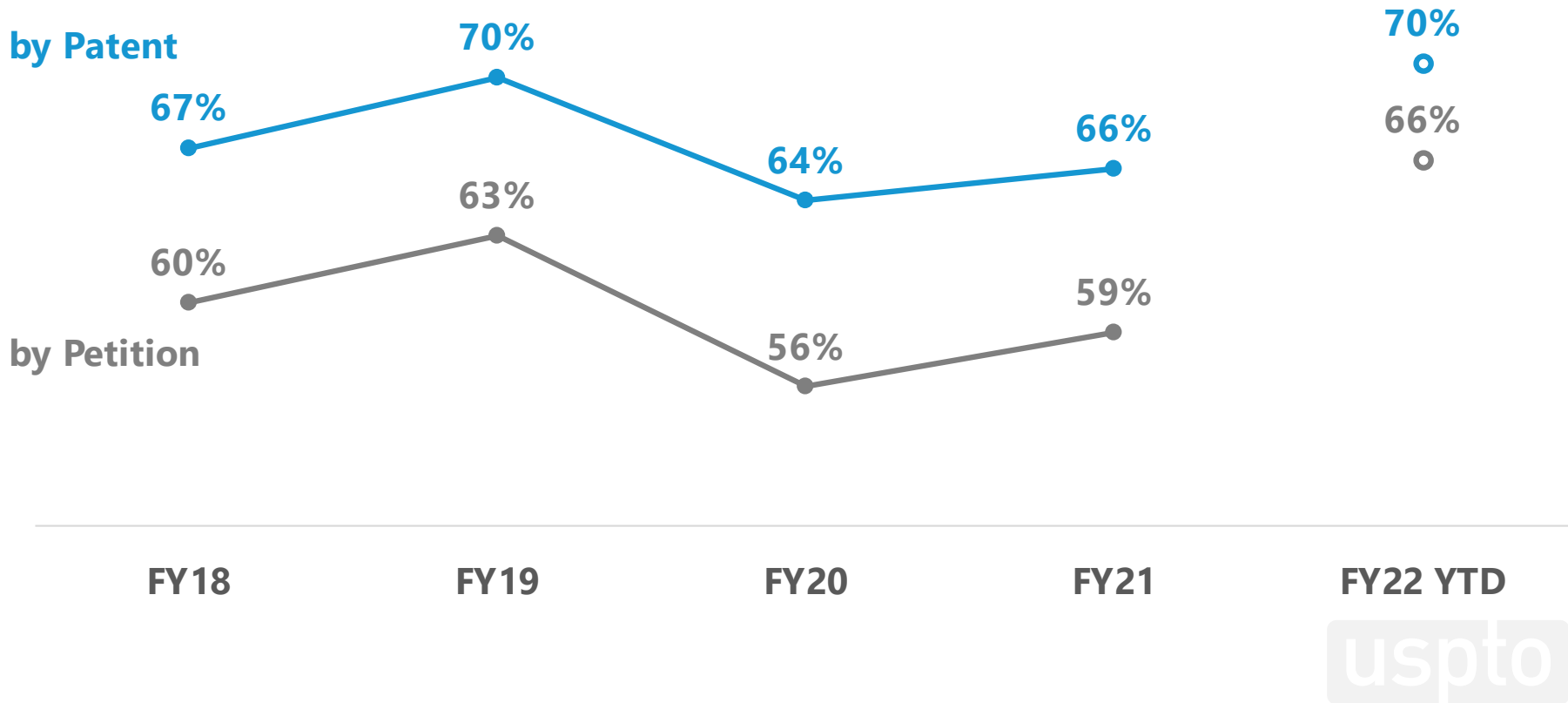
Institution rates by patent

(FY18 to FY22 through Q3: Oct. 1, 2017 to Jun. 30, 2022)



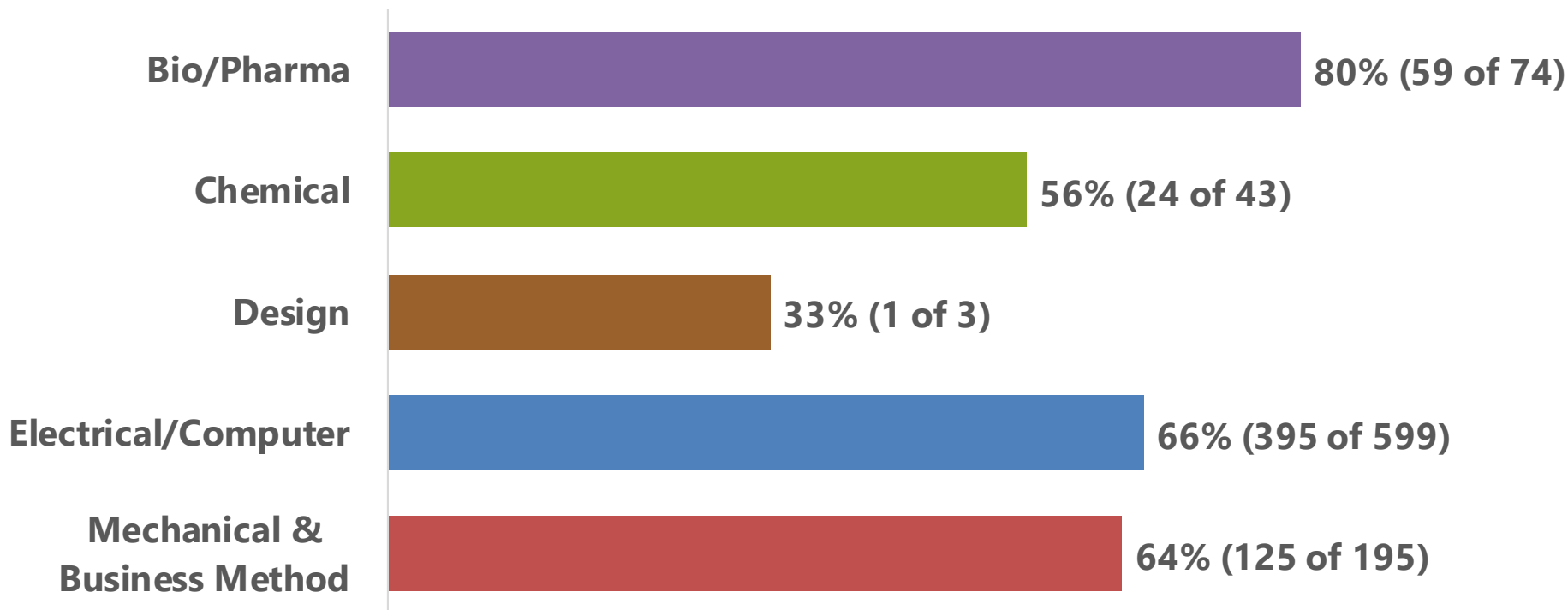
Institution rates by patent and by petition

(FY18 to FY22 through Q3: Oct. 1, 2017 to Jun. 30, 2022)



Institution rates by technology

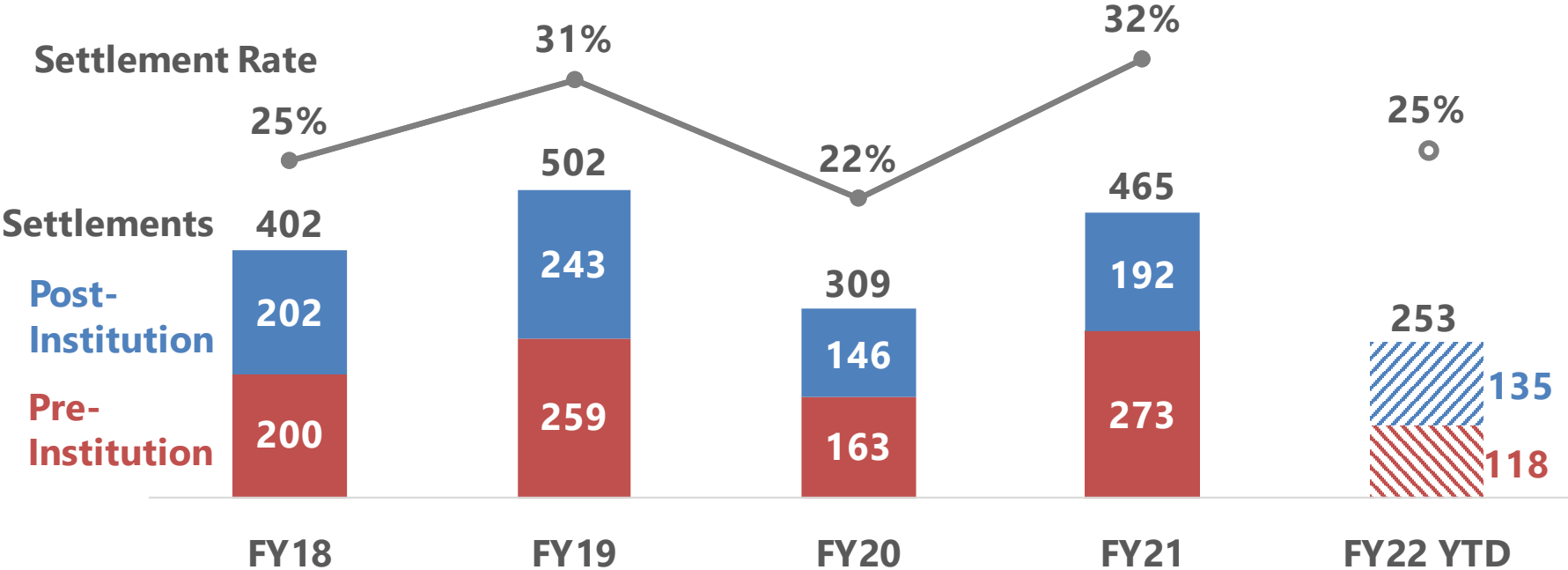
(FY22 through Q3: Oct. 1, 2021 to Jun. 30, 2022)



Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.

Settlements

(FY18 to FY22 through Q3: Oct. 1, 2017 to Jun. 30, 2022)

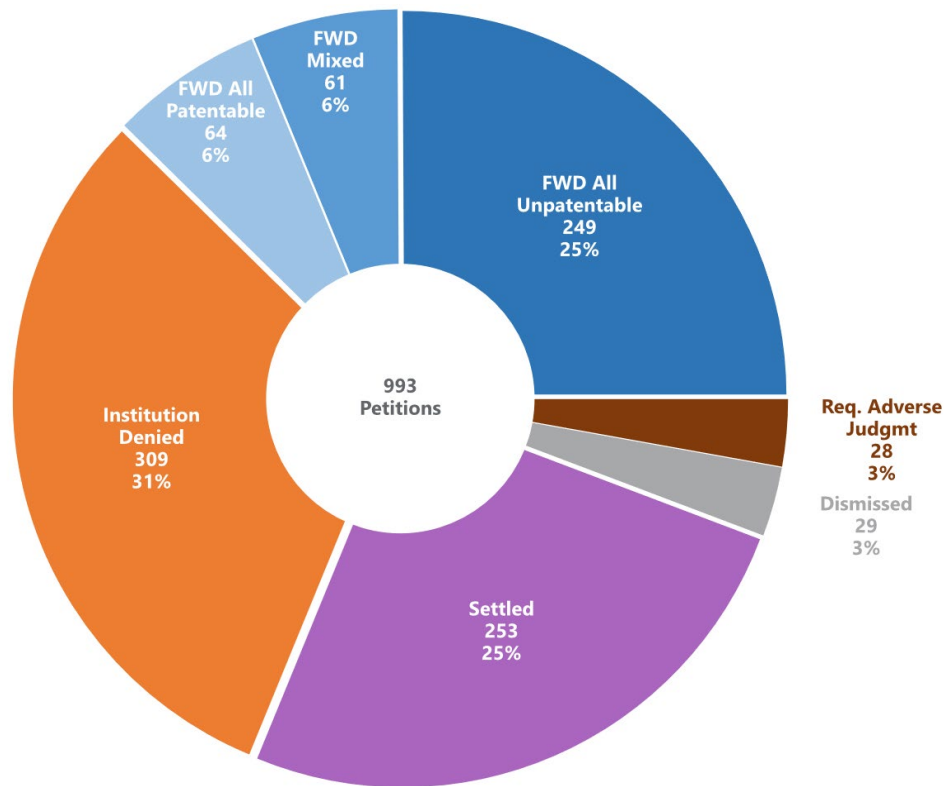


Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joint cases.



Outcomes by petition

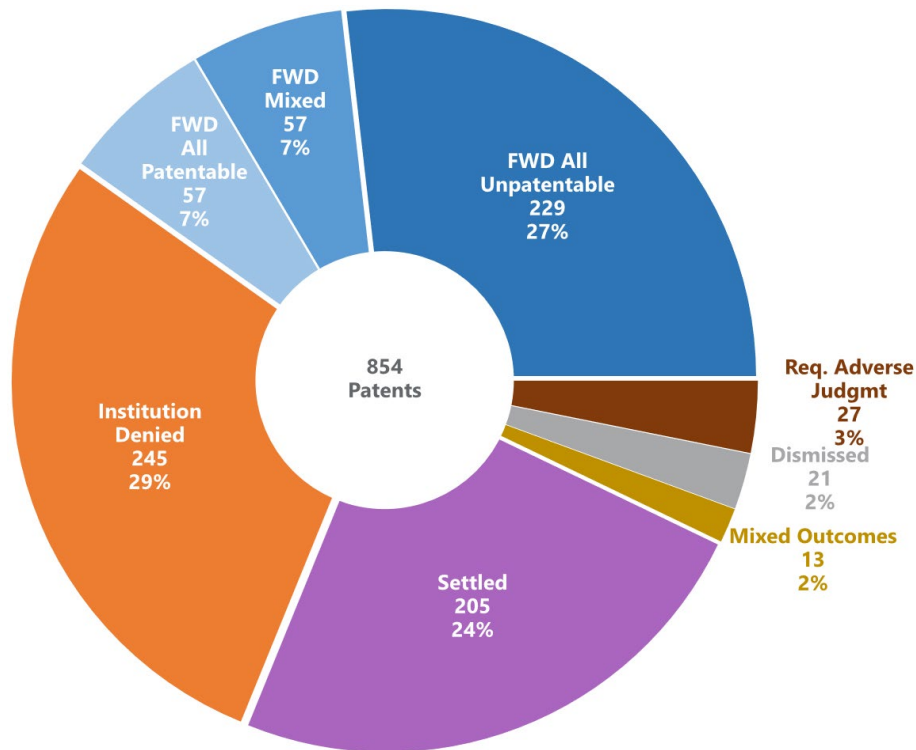
(FY22 through Q3: Oct. 1, 2021 to Jun. 30, 2022)



FWD patentability or unpatentability reported with respect to the claims at issue in the FWD. Joined cases are excluded.

Outcomes by patent

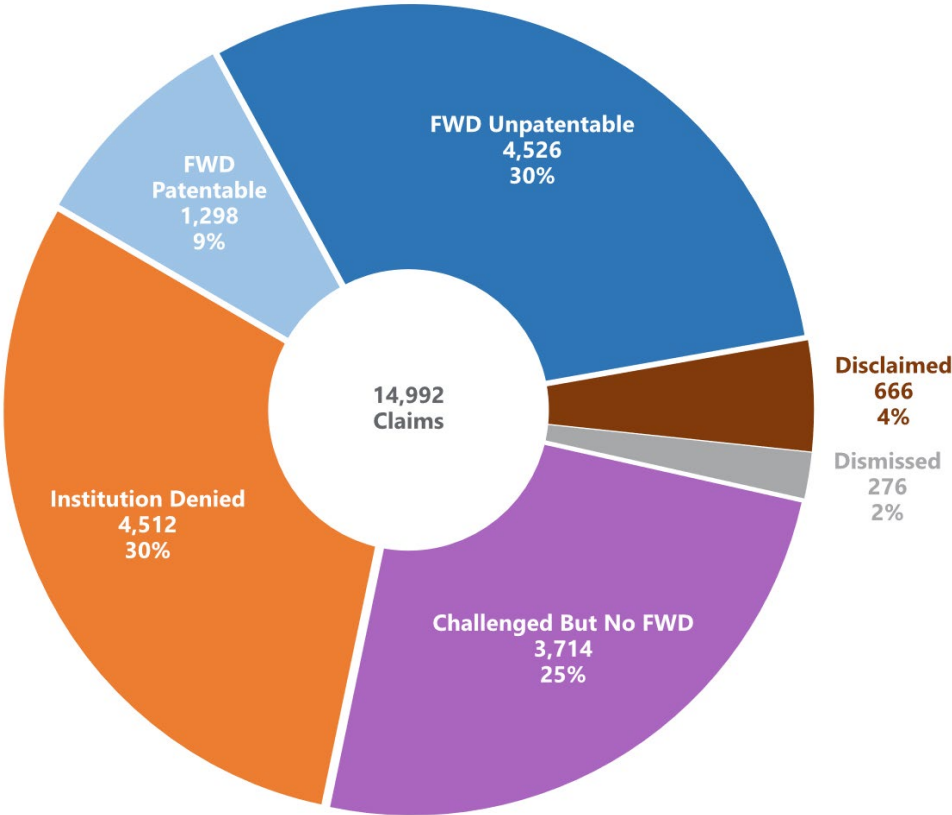
(FY22 through Q3: Oct. 1, 2021 to Jun. 30, 2022)



FWD patentability or unpatentability reported with respect to the claims at issue in the FWD. "Mixed Outcome" is shown for patents receiving more than one type of outcome from the list of: denied, settled, dismissed, and/or req. adverse judgement only. A patent is listed in a FWD category if it ever received a FWD, regardless of other outcomes.

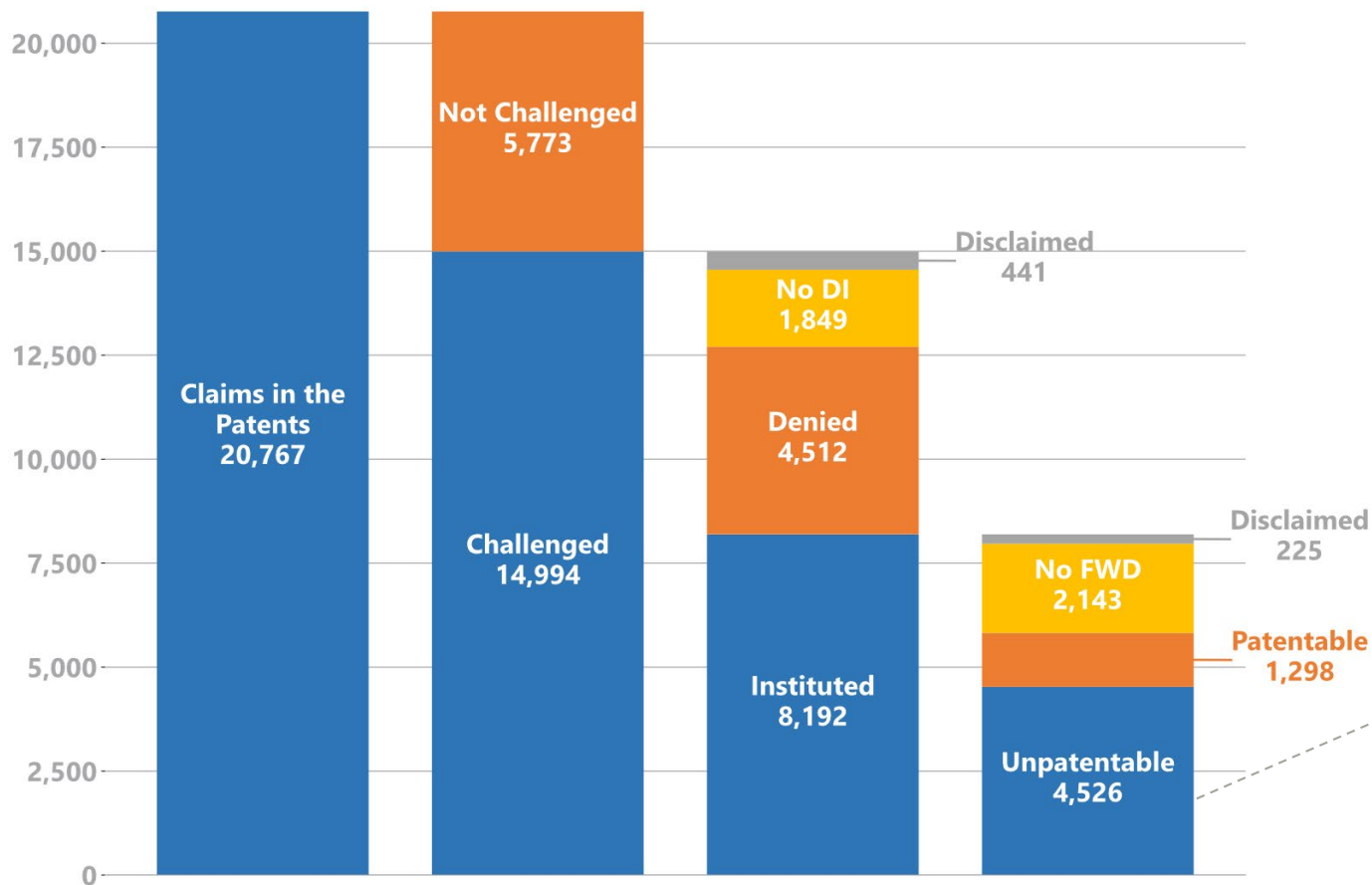
Outcomes by claim challenged

(FY22 through Q3: Oct. 1, 2021 to Jun. 30, 2022)



Claim outcomes

(FY22 through Q3: Oct. 1, 2021 to Jun. 30, 2022)



"No DI" and "No FWD" means the claim was challenged but not addressed in a DI/FWD, e.g., due to settlement.

30% of challenged claims and 55% of instituted claims were found unpatentable by a preponderance of the evidence.



