

**UNITED STATES
PATENT AND TRADEMARK OFFICE**





PTAB Trial Statistics

April 2021

IPR, PGR, CBM

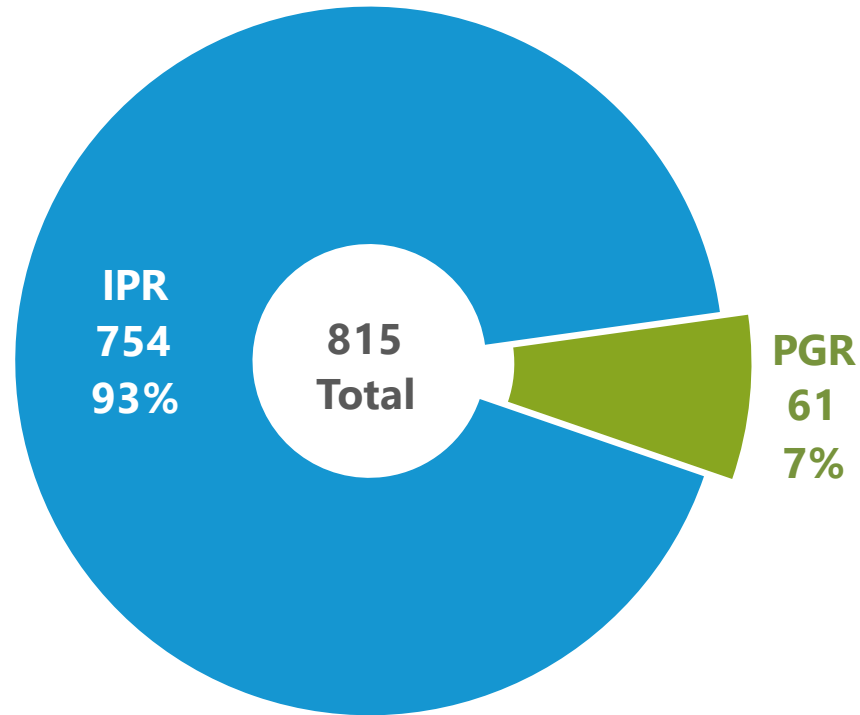
Patent Trial and Appeal Board

UNITED STATES
PATENT AND TRADEMARK OFFICE



Petitions filed by trial type

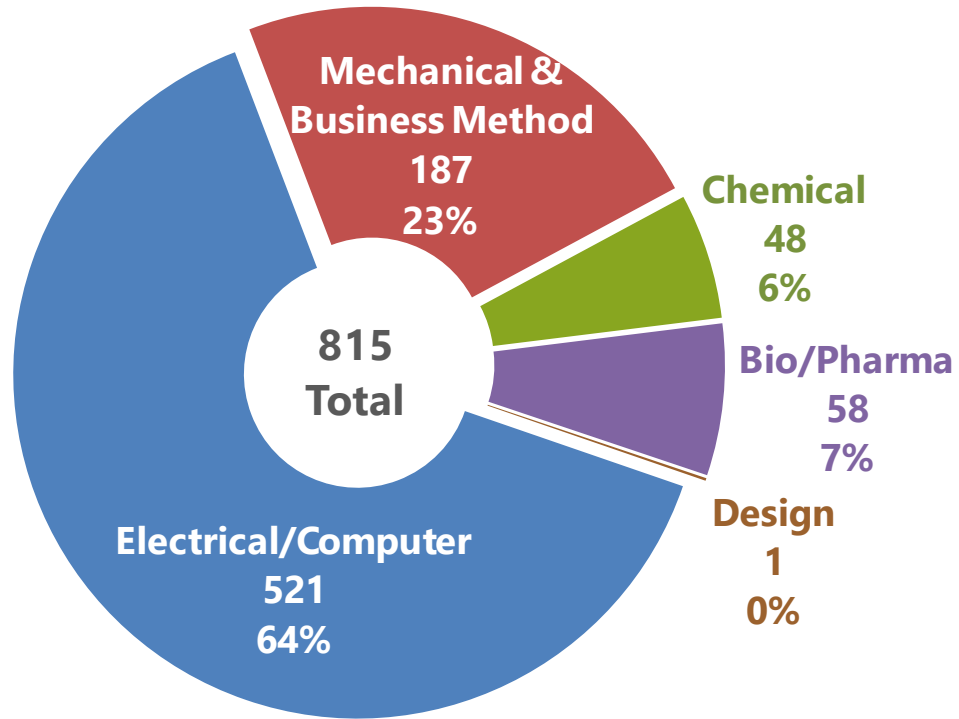
(FY21 through April: Oct. 1, 2020 to Apr. 30, 2021)



Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM). The Office will not consider a CBM petition filed on or after September 16, 2020.

Petitions filed by technology

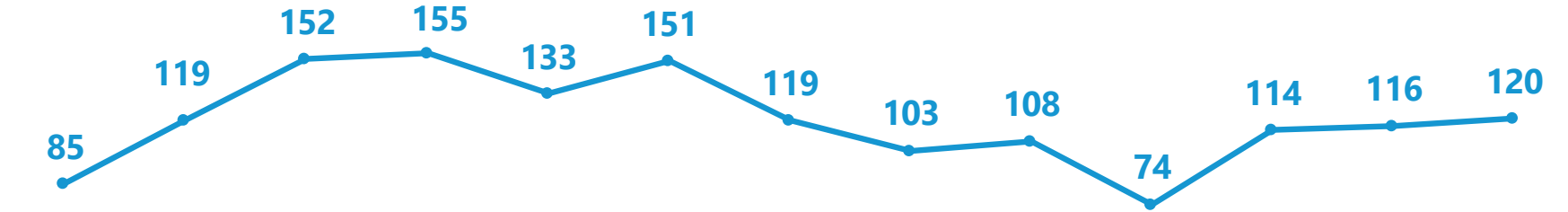
(FY21 through April: Oct. 1, 2020 to Apr. 30, 2021)



Petitions filed by month

(Apr. 2021 and Previous 12 Months: Apr. 1, 2020 to Apr. 30, 2021)

(754 IPRs in FY21)

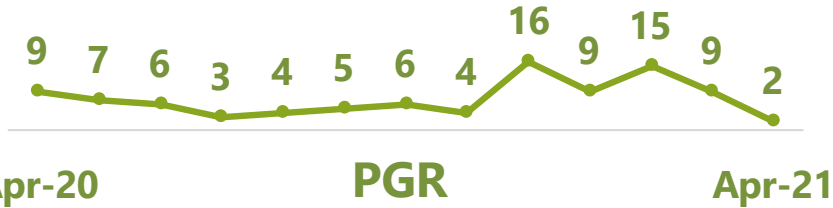


Apr-20

IPR

Apr-21

(61 PGRs in FY21)

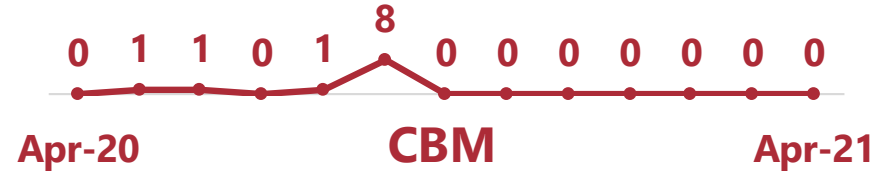


PGR

Apr-20

Apr-21

(0 CBMs in FY21)



CBM

Apr-20

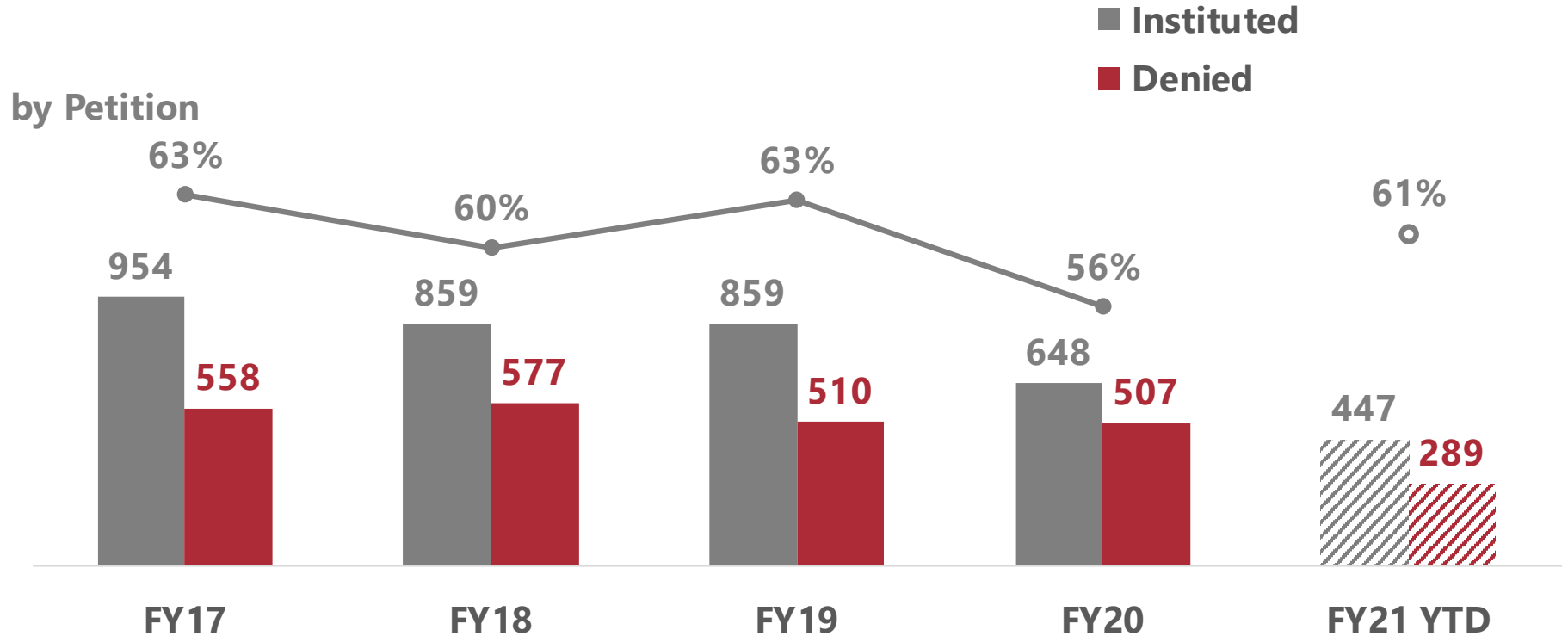
Apr-21



The Office will not consider a CBM petition filed on or after September 16, 2020.

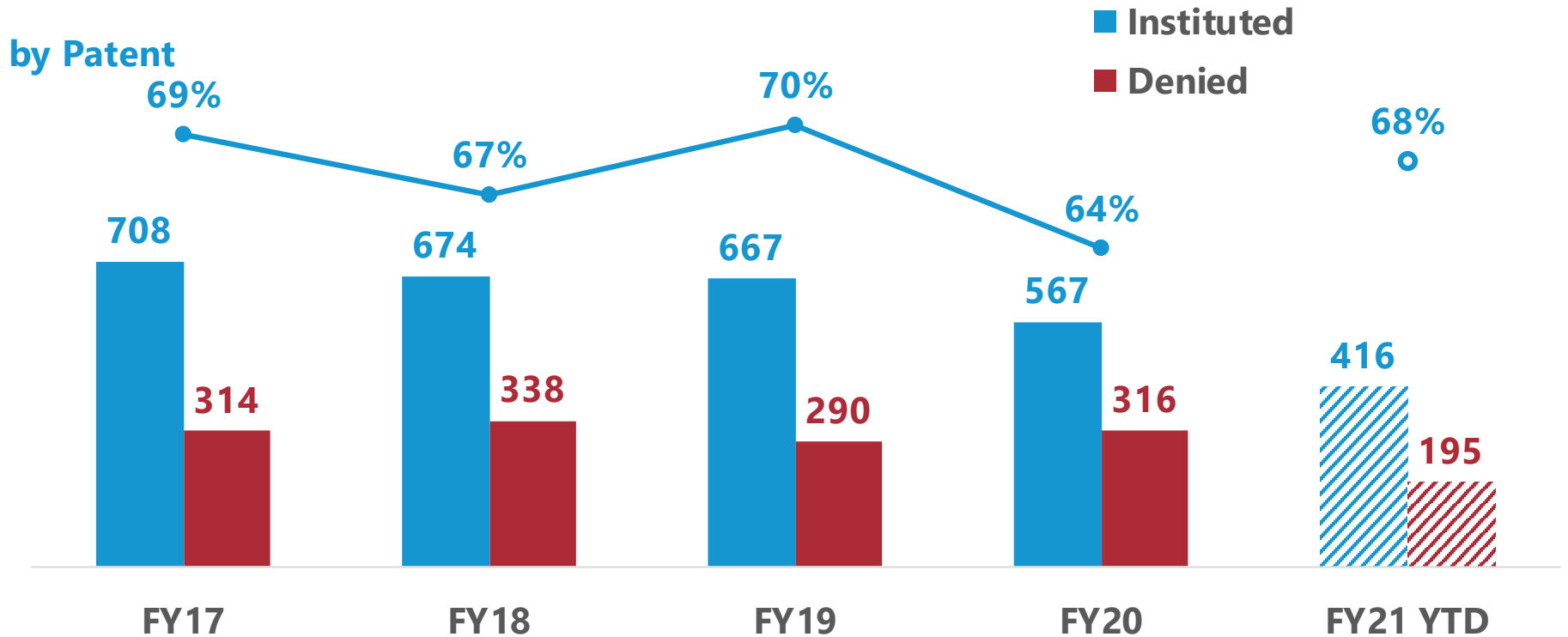
Institution rates by petition

(FY17 to FY21 through April: Oct. 1, 2016 to Apr. 30, 2021)



Institution rates by patent

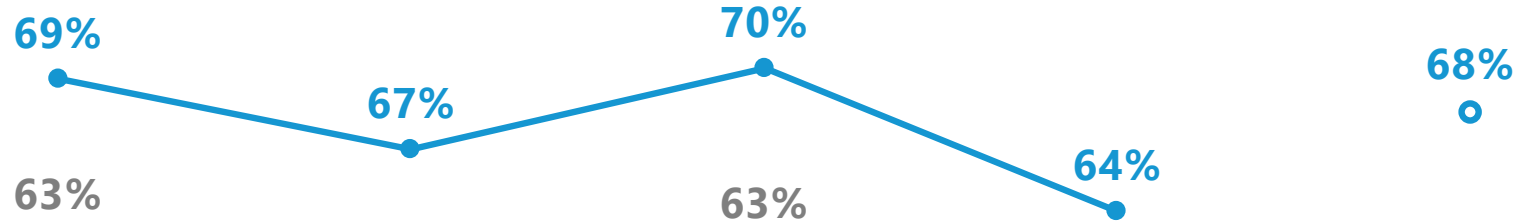
(FY17 to FY21 through April: Oct. 1, 2016 to Apr. 30, 2021)



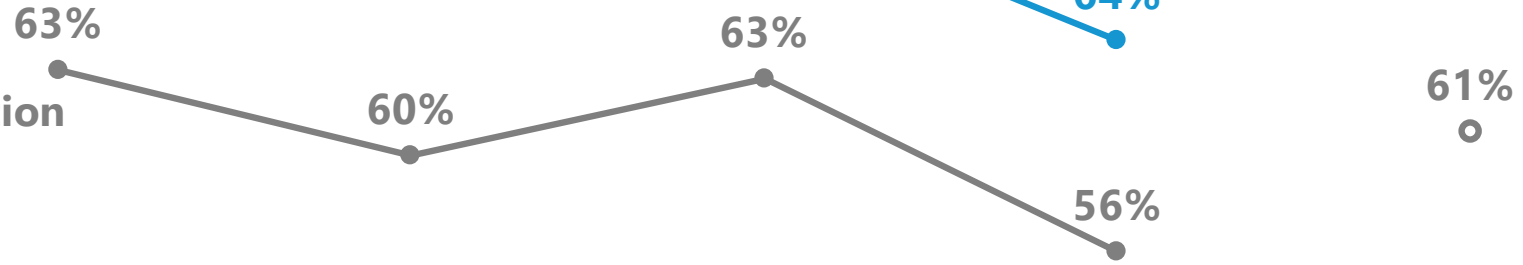
Institution rates by patent and by petition

(FY17 to FY21 through April: Oct. 1, 2016 to Apr. 30, 2021)

by Patent



by Petition



FY17

FY18

FY19

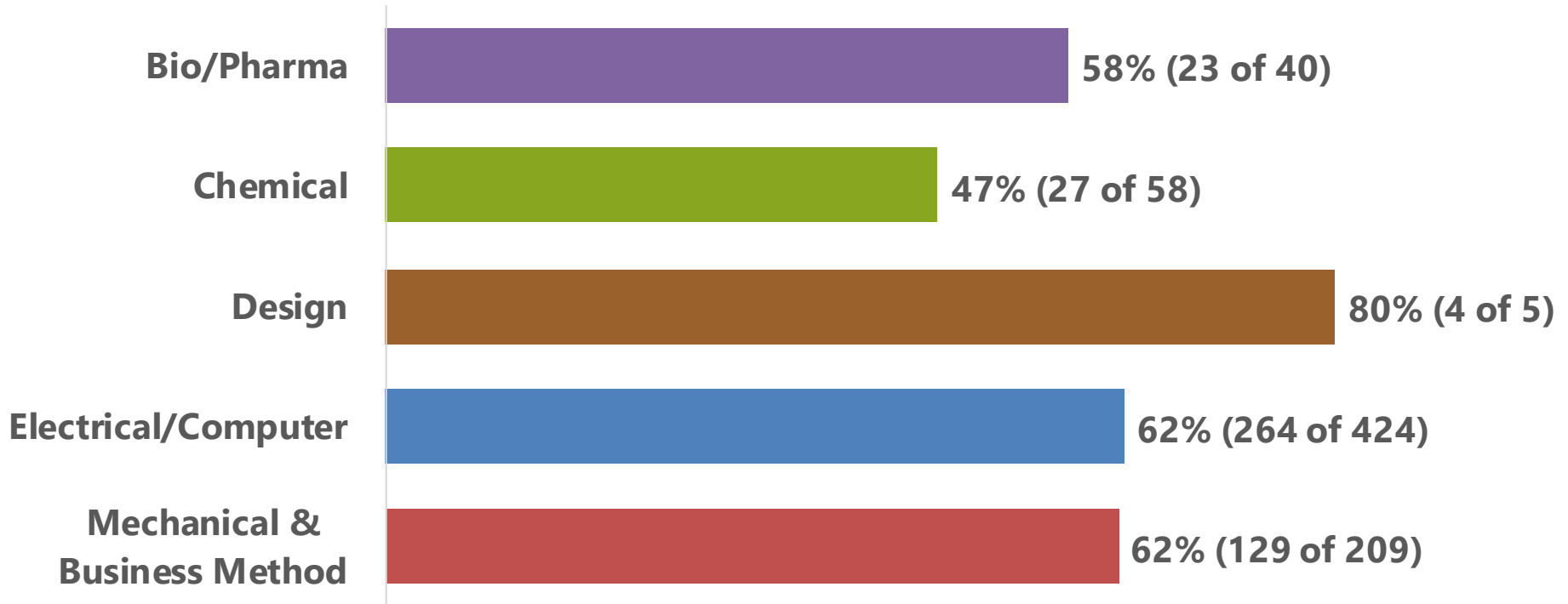
FY20

FY21 YTD



Institution rates by technology

(FY21 through April: Oct. 1, 2020 to Apr. 30, 2021)

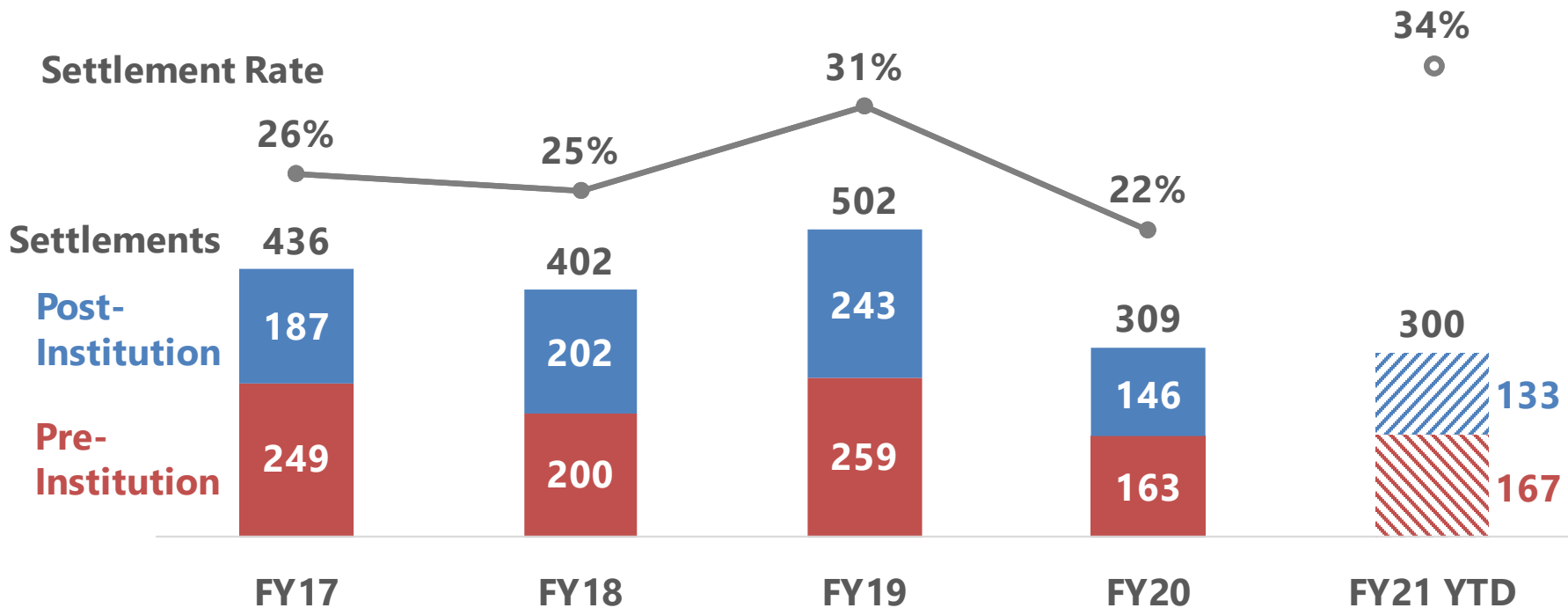


Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.



Settlements

(FY17 to FY21 through April: Oct. 1, 2016 to Apr. 30, 2021)



Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.



