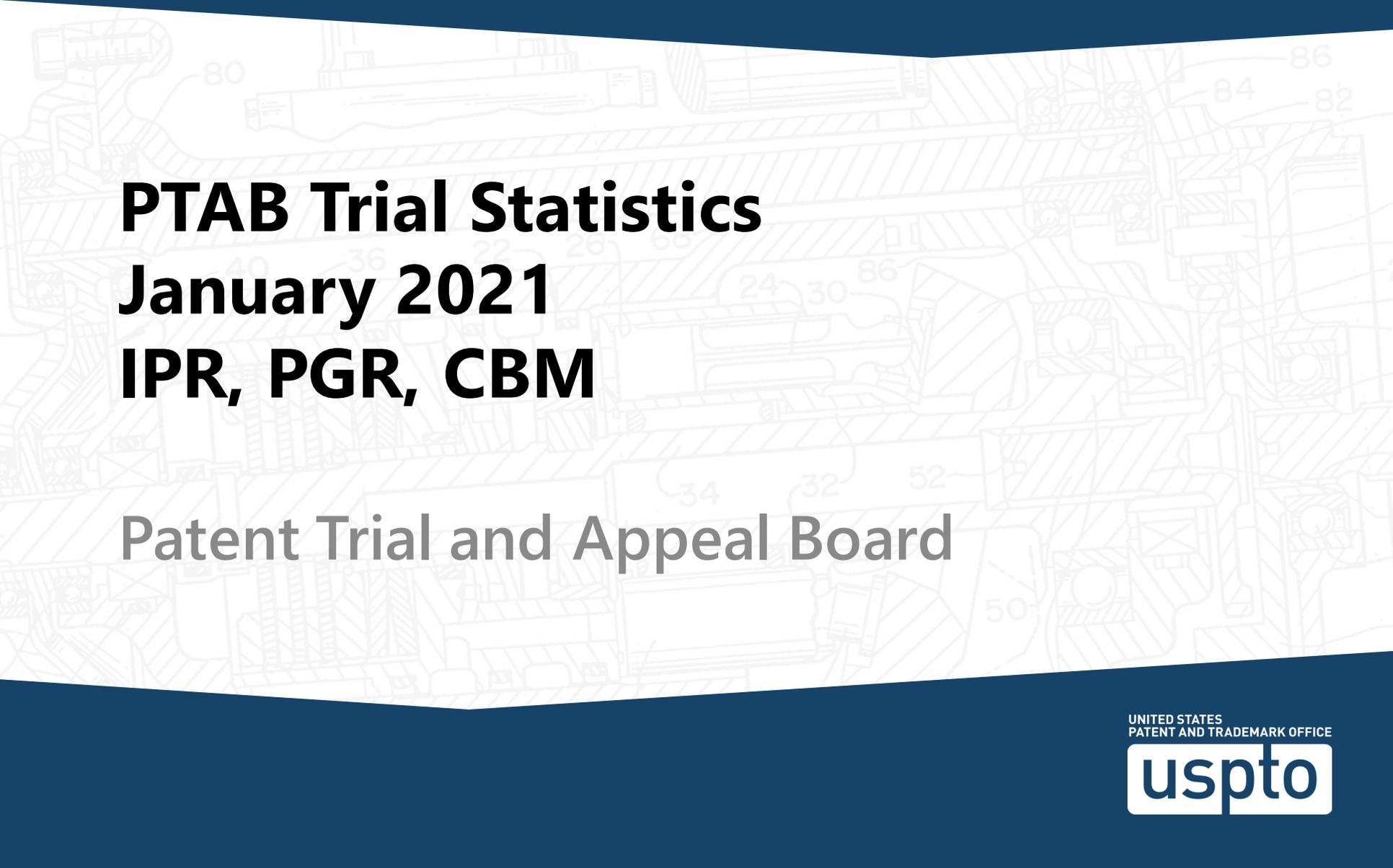


**UNITED STATES  
PATENT AND TRADEMARK OFFICE**





# **PTAB Trial Statistics**

## **January 2021**

### **IPR, PGR, CBM**

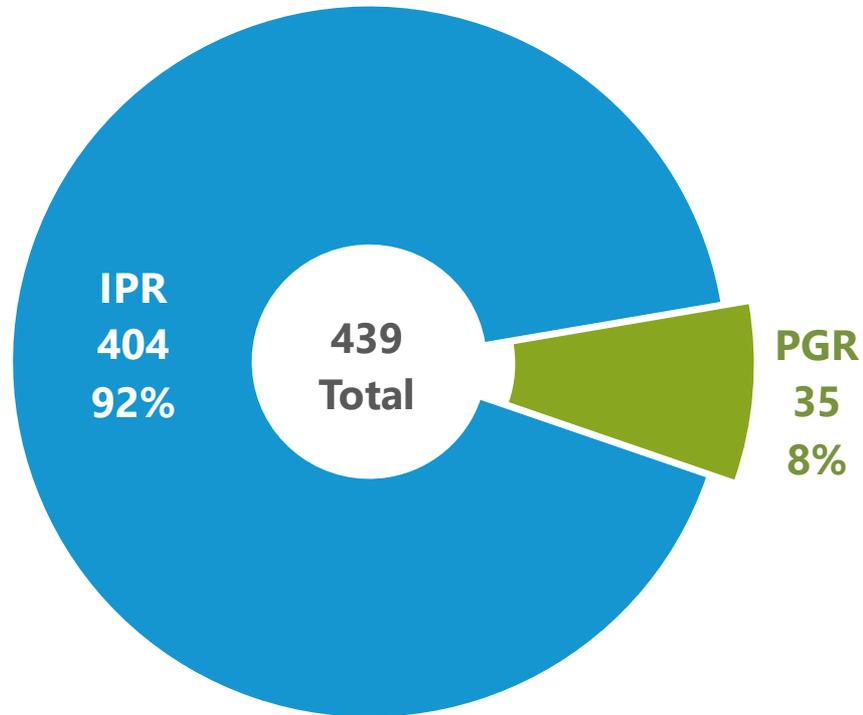
Patent Trial and Appeal Board

UNITED STATES  
PATENT AND TRADEMARK OFFICE



# Petitions filed by trial type

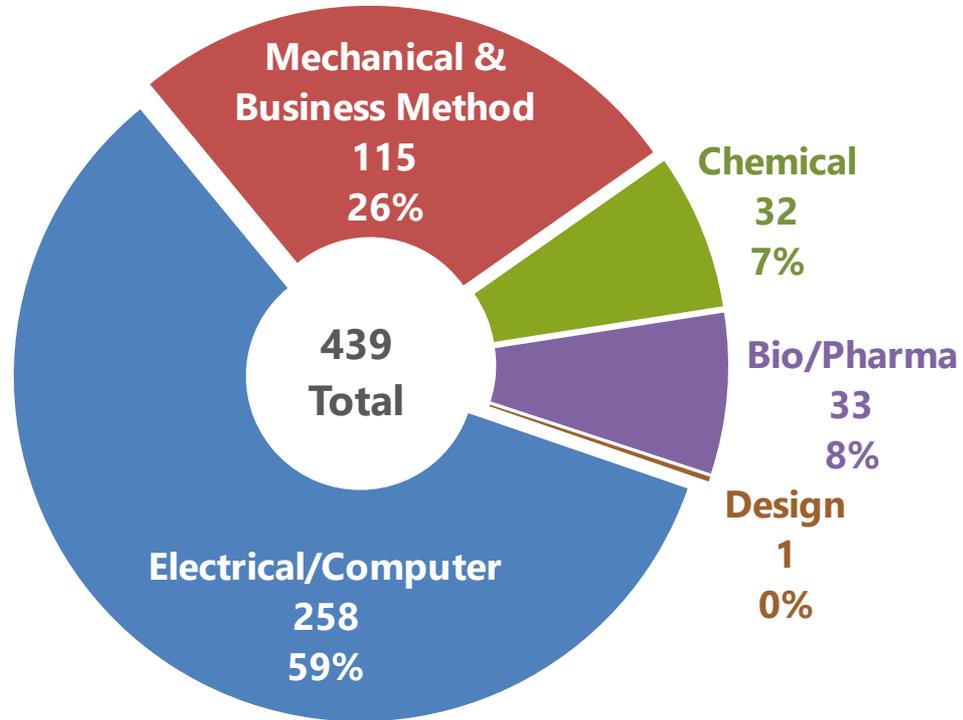
(FY21 through January: Oct. 1, 2020 to Jan. 31, 2021)



Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM). The Office will not consider a CBM petition filed on or after September 16, 2020.

# Petitions filed by technology

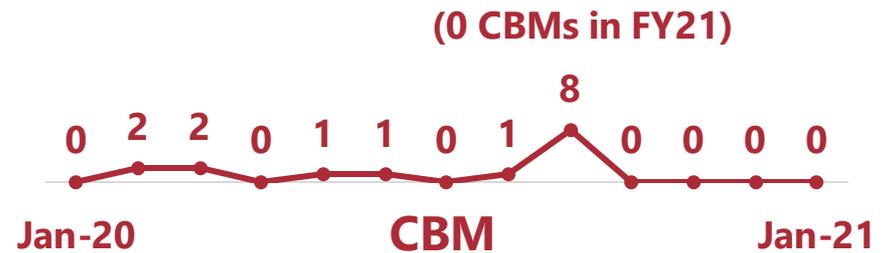
(FY21 through January: Oct. 1, 2020 to Jan. 31, 2021)



# Petitions filed by month

(Jan. 2021 and Previous 12 Months: Jan. 1, 2020 to Jan. 31, 2021)

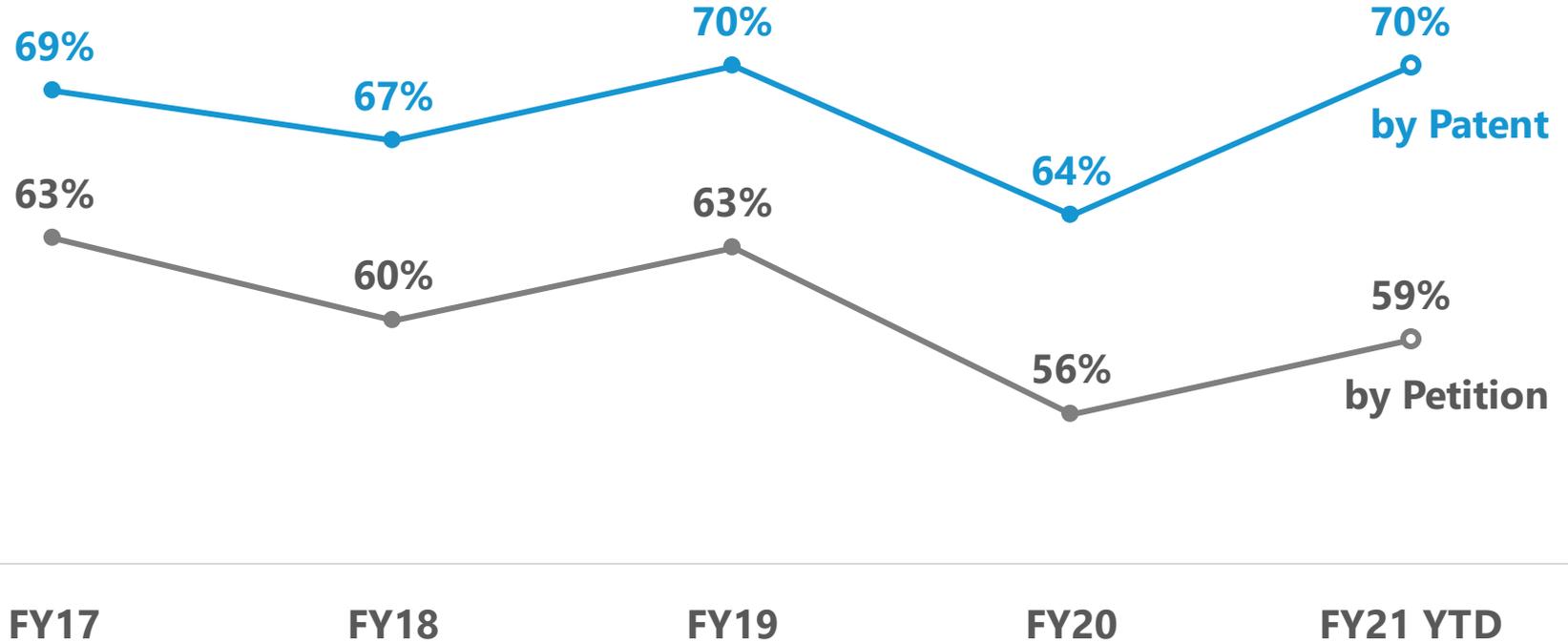
(404 IPRs in FY21)



The Office will not consider a CBM petition filed on or after September 16, 2020.

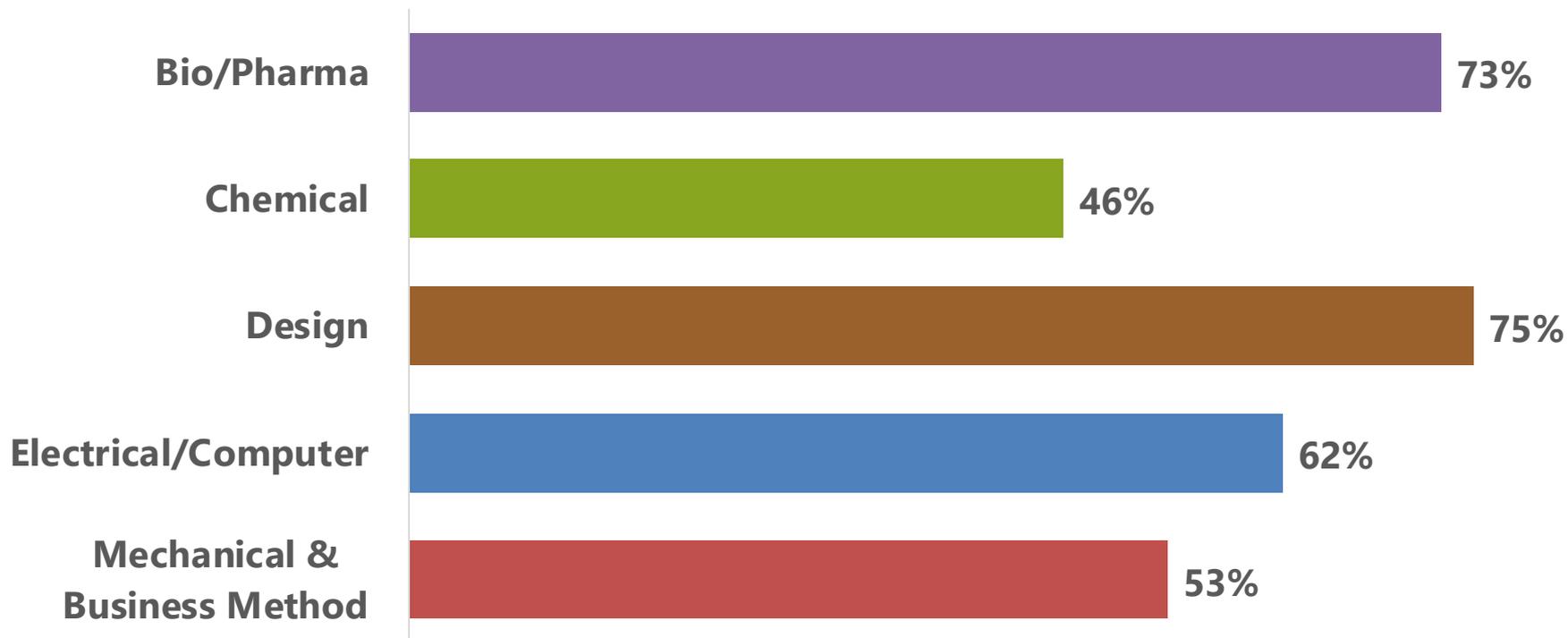
# Institution rates by patent and by petition

(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)



# Institution rates by technology

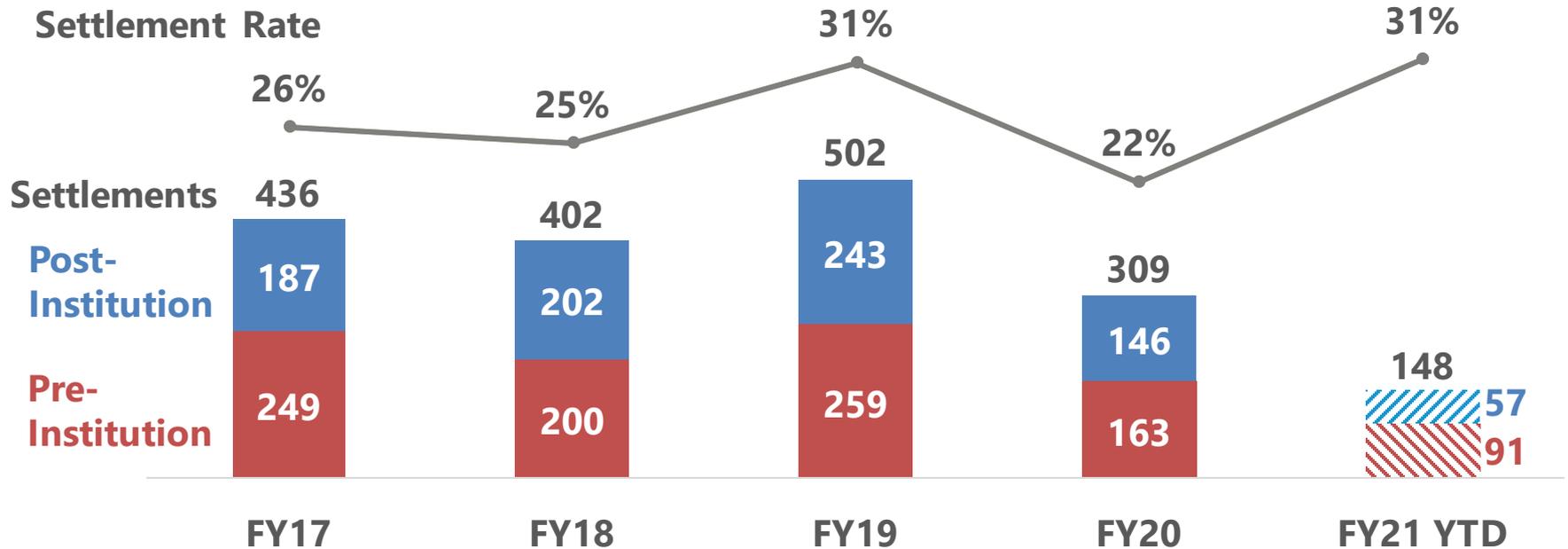
(FY21 through January: Oct. 1, 2020 to Jan. 31, 2021)



Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.

# Settlements

(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)



Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.



