PTAB Trial Statistics
January 2022
IPR, PGR

Patent Trial and Appeal Board
Petitions filed by trial type
(FY22 through January: Oct. 1, 2021 to Jan. 31, 2022)

Trial types include Inter Partes Review (IPR) and Post Grant Review (PGR).
Petitions filed by technology (FY22 through January: Oct. 1, 2021 to Jan. 31, 2022)

- **Electrical/Computer**: 312 (70%)
- **Mechanical & Business Method**: 84 (19%)
- **Chemical**: 12 (3%)
- **Bio/Pharma**: 34 (8%)
- **Design**: 2 (0%)

Total: 444
Petitions filed by month

(19 PGRs in FY22)

(425 IPRs in FY22)
Institution rates by petition
(FY18 to FY22 through January: Oct. 1, 2017 to Jan. 31, 2022)

(Plot showing the percentage of petitions that were instituted and denied across FY18 to FY22 with specific numbers for each fiscal year and a summary for YTD.)
Institution rates by patent
(FY18 to FY22 through January: Oct. 1, 2017 to Jan. 31, 2022)
Institution rates by patent and by petition (FY18 to FY22 through January: Oct. 1, 2017 to Jan. 31, 2022)

<table>
<thead>
<tr>
<th></th>
<th>FY18</th>
<th>FY19</th>
<th>FY20</th>
<th>FY21</th>
<th>FY22 YTD</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>by Patent</strong></td>
<td>67%</td>
<td>70%</td>
<td>64%</td>
<td>66%</td>
<td></td>
</tr>
<tr>
<td><strong>by Petition</strong></td>
<td>60%</td>
<td>63%</td>
<td>56%</td>
<td>59%</td>
<td>63%</td>
</tr>
</tbody>
</table>

68%

66%

63%
Institution rates by technology
(FY22 through January: Oct. 1, 2021 to Jan. 31, 2022)

<table>
<thead>
<tr>
<th>Technology</th>
<th>Rate</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bio/Pharma</td>
<td>79%</td>
<td>31 of 39</td>
</tr>
<tr>
<td>Chemical</td>
<td>41%</td>
<td>12 of 29</td>
</tr>
<tr>
<td>Design</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Electrical/Computer</td>
<td>61%</td>
<td>174 of 286</td>
</tr>
<tr>
<td>Mechanical &amp; Business Method</td>
<td>70%</td>
<td>62 of 89</td>
</tr>
</tbody>
</table>

Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.
Settlements
(FY18 to FY22 through January: Oct. 1, 2017 to Jan. 31, 2022)

Settlement Rate
- 25%
- 31%
- 22%
- 32%
- 25%

Settlements
- FY18: 402
  - Post-Institution: 202
  - Pre-Institution: 200
- FY19: 502
  - Post-Institution: 243
  - Pre-Institution: 259
- FY20: 465
  - Post-Institution: 192
  - Pre-Institution: 273
- FY21: 465
  - Post-Institution: 192
  - Pre-Institution: 273
- FY22 YTD: 114
  - Post-Institution: 66
  - Pre-Institution: 48

Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.