PTAB Trial Statistics
August 2022
IPR, PGR

Patent Trial and Appeal Board
Petitions filed by trial type
(FY22 through August: Oct. 1, 2021 to Aug. 31, 2022)

Trial types include Inter Partes Review (IPR) and Post Grant Review (PGR).
Petitions filed by technology
(FY22 through August: Oct. 1, 2021 to Aug. 31, 2022)

- Electrical/Computer: 827 (65%)
- Mechanical & Business Method: 297 (23%)
- Chemical: 45 (4%)
- Bio/Pharma: 91 (7%)
- Design: 6 (0%)

Total: 1,266
Petitions filed by month

IPR

PGR

(1,221 IPRs in FY22)

(45 PGRs in FY22)
Institution rates by petition
(FY18 to FY22 through August: Oct. 1, 2017 to Aug. 31, 2022)

Institution rates by petition
(FY18 to FY22 through August: Oct. 1, 2017 to Aug. 31, 2022)
Institution rates by patent
(FY18 to FY22 through August: Oct. 1, 2017 to Aug. 31, 2022)

Institution rates by patent

by Patent

FY18 FY19 FY20 FY21 FY22 YTD

Instituted: 674 667 567 645 616
Denied: 338 290 315 337 271

Institution rates:
- FY18: 67%
- FY19: 70%
- FY20: 64%
- FY21: 66%
- FY22: 69%
Institution rates by patent and by petition
(FY18 to FY22 through August: Oct. 1, 2017 to Aug. 31, 2022)

by Patent
- FY18: 60%
- FY19: 63%
- FY20: 64%
- FY21: 66%
- FY22 YTD: 67%

by Petition
- FY18: 60%
- FY19: 63%
- FY20: 56%
- FY21: 59%
- FY22 YTD: 66%
Institution rates by technology
(FY22 through August: Oct. 1, 2021 to Aug. 31, 2022)

<table>
<thead>
<tr>
<th>Technology</th>
<th>Rate</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bio/Pharma</td>
<td>77%</td>
<td>68 of 88</td>
</tr>
<tr>
<td>Chemical</td>
<td>50%</td>
<td>26 of 52</td>
</tr>
<tr>
<td>Design</td>
<td>33%</td>
<td>1 of 3</td>
</tr>
<tr>
<td>Electrical/Computer</td>
<td>66%</td>
<td>456 of 687</td>
</tr>
<tr>
<td>Mechanical &amp; Business Method</td>
<td>64%</td>
<td>155 of 242</td>
</tr>
</tbody>
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Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.
Settlements
(FY18 to FY22 through August: Oct. 1, 2017 to Aug. 31, 2022)

Settlement Rate

Settlements
- Post-Institution
  - FY18: 202
  - FY19: 259
- Pre-Institution
  - FY18: 200
  - FY19: 163

Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.