

**UNITED STATES  
PATENT AND TRADEMARK OFFICE**





# **PTAB Trial Statistics**

## **August 2021**

### **IPR, PGR, CBM**

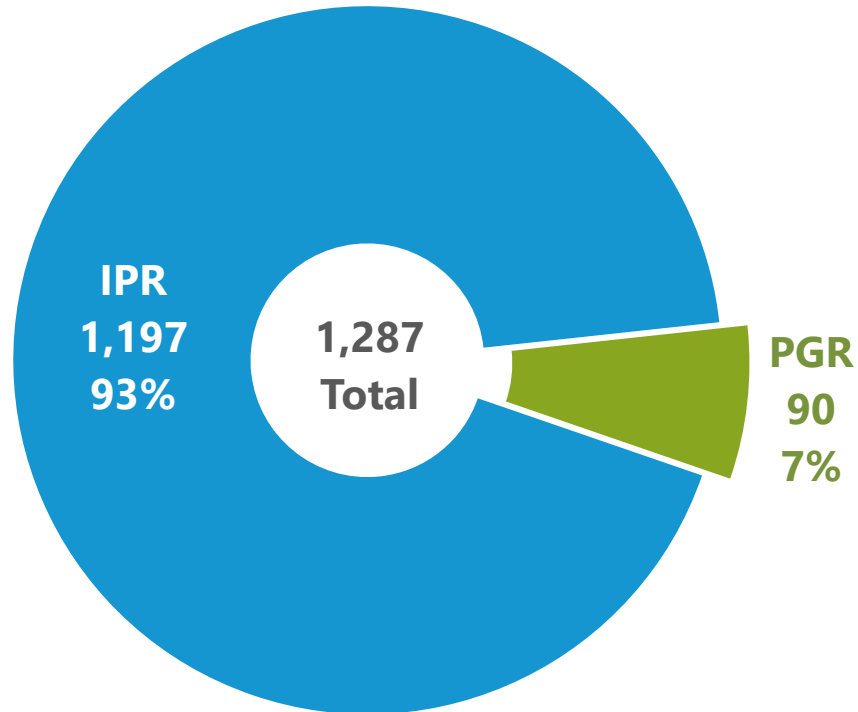
Patent Trial and Appeal Board

UNITED STATES  
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# Petitions filed by trial type

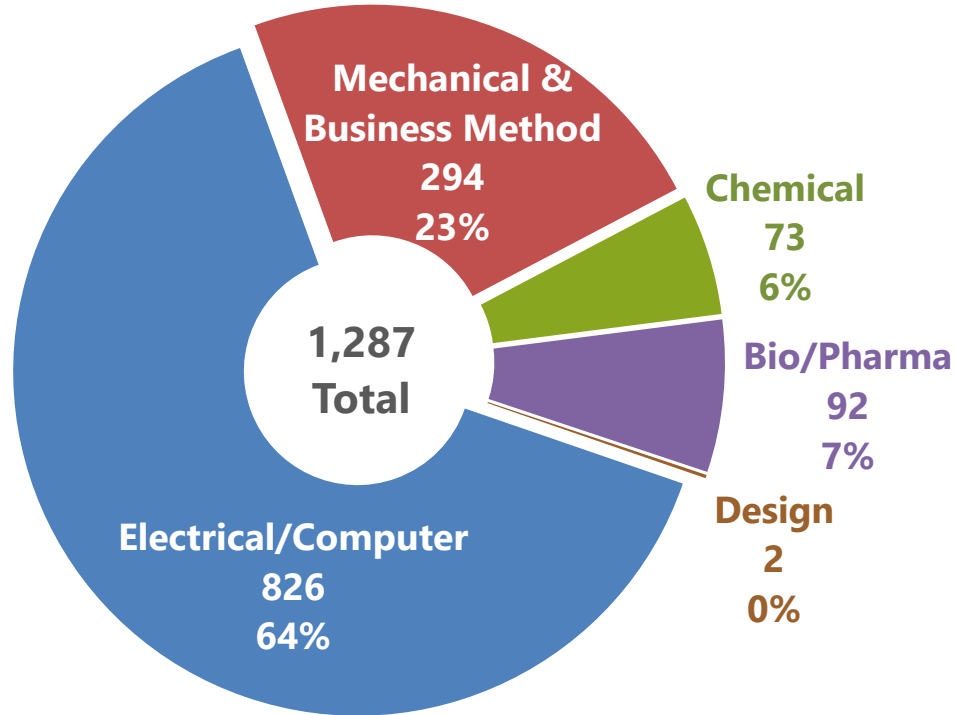
(FY21 through August: Oct. 1, 2020 to Aug. 31, 2021)



Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM). The Office will not consider a CBM petition filed on or after September 16, 2020.

# Petitions filed by technology

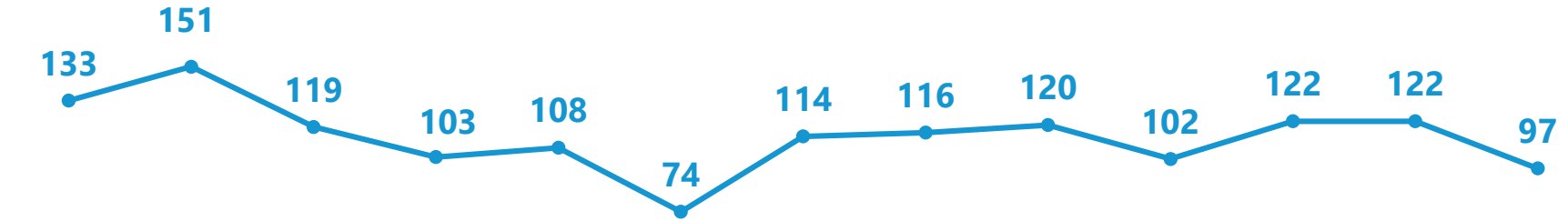
(FY21 through August: Oct. 1, 2020 to Aug. 31, 2021)



# Petitions filed by month

(Aug. 2021 and Previous 12 Months: Aug. 1, 2020 to Aug. 31, 2021)

(1,197 IPRs in FY21)

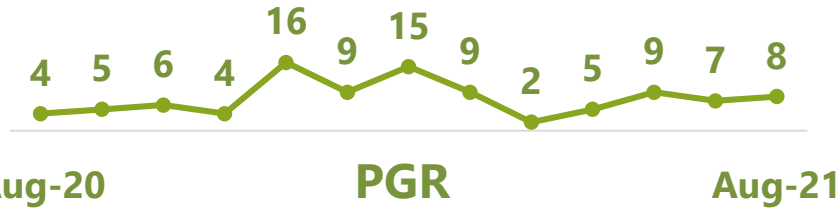


Aug-20

IPR

Aug-21

(90 PGRs in FY21)

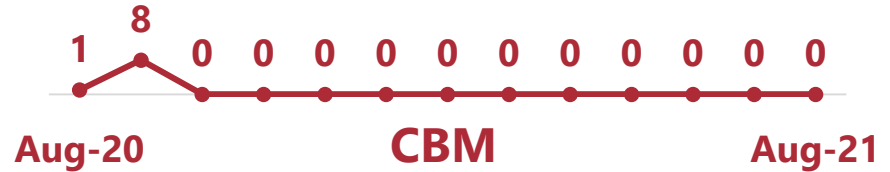


PGR

Aug-20

Aug-21

(0 CBMs in FY21)



CBM

Aug-20

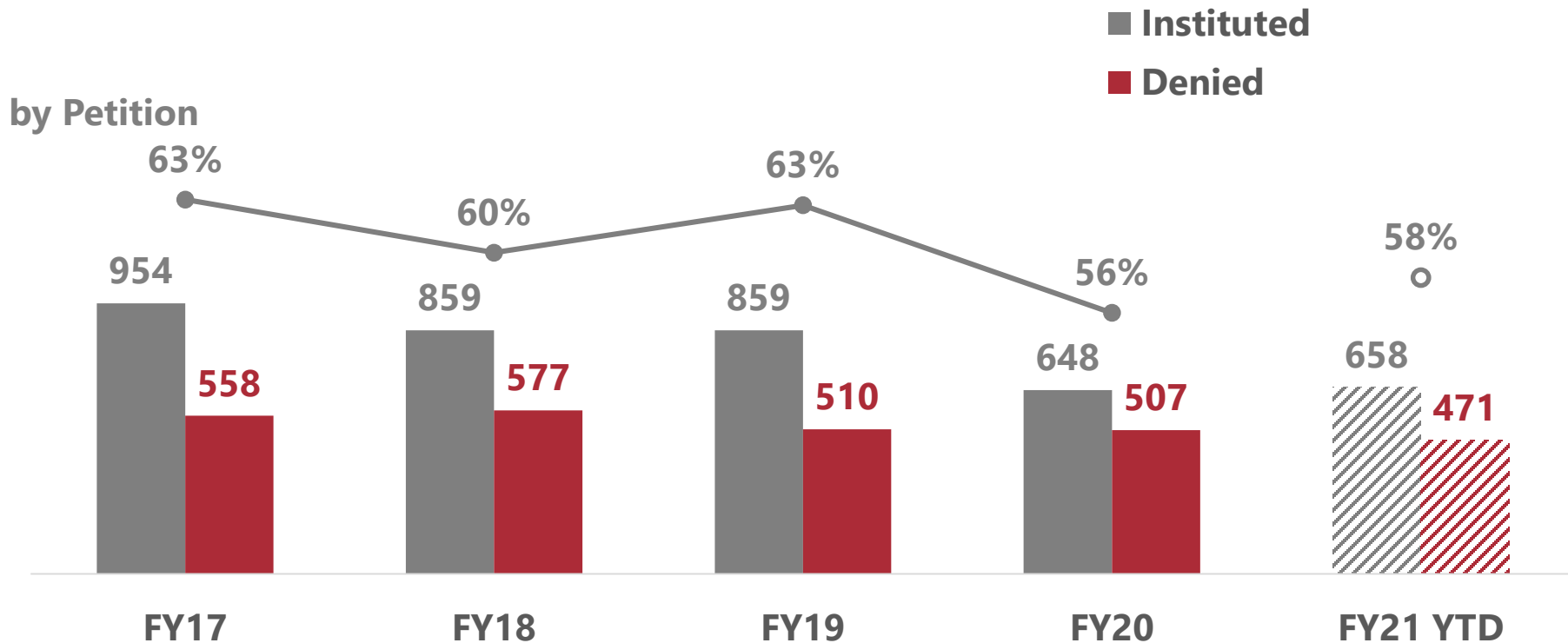
Aug-21



The Office will not consider a CBM petition filed on or after September 16, 2020.

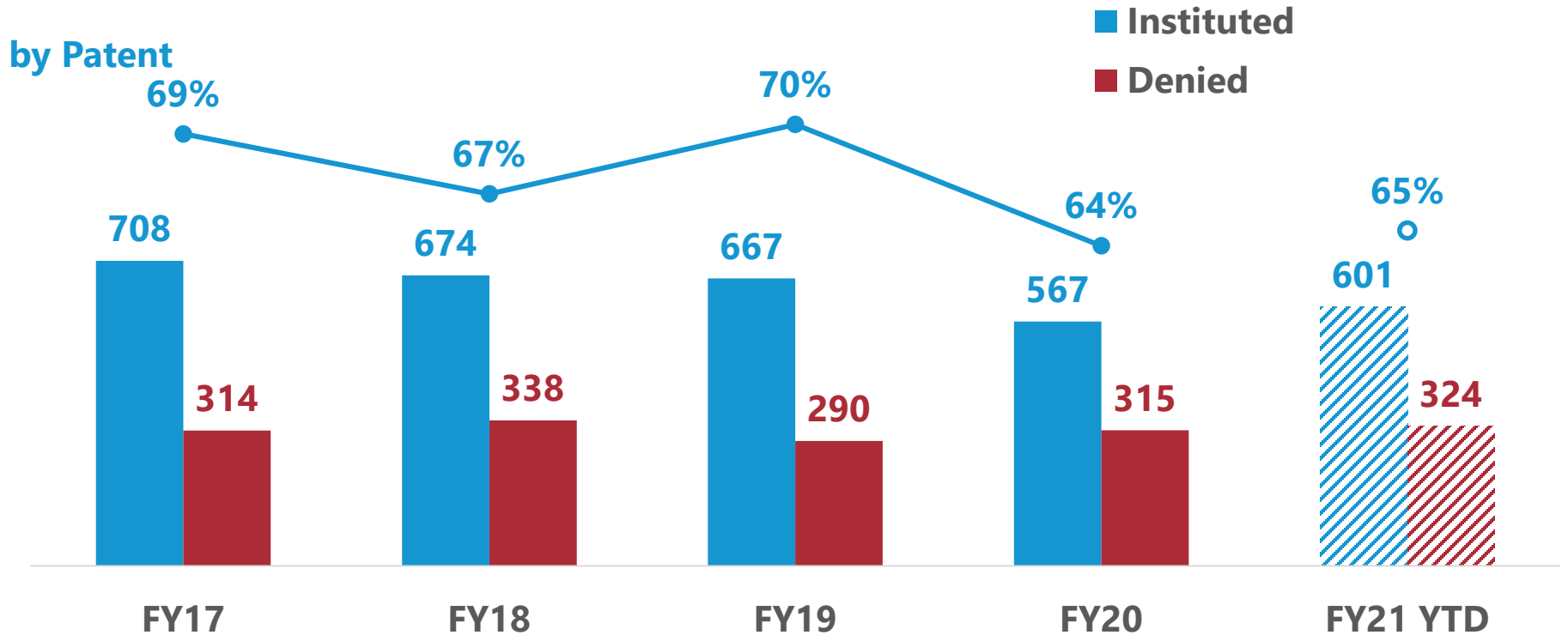
# Institution rates by petition

(FY17 to FY21 through August: Oct. 1, 2016 to Aug. 31, 2021)



# Institution rates by patent

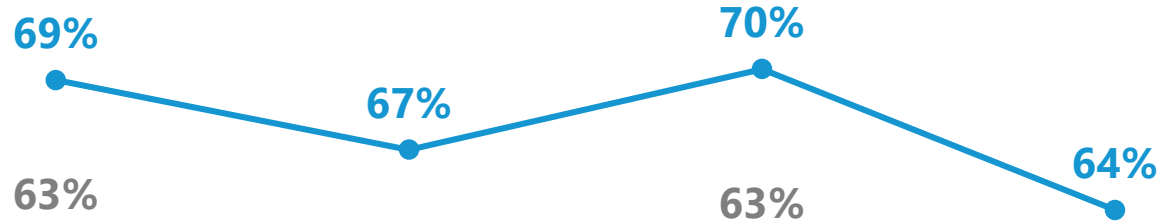
(FY17 to FY21 through August: Oct. 1, 2016 to Aug. 31, 2021)



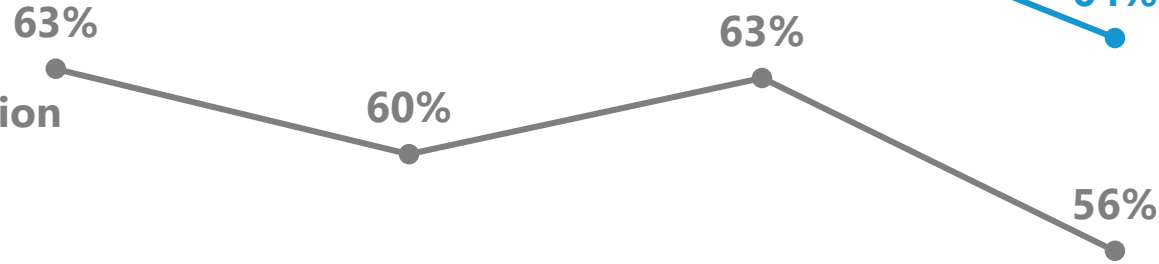
# Institution rates by patent and by petition

(FY17 to FY21 through August: Oct. 1, 2016 to Aug. 31, 2021)

by Patent



by Petition



65%

58%

FY17

FY18

FY19

FY20

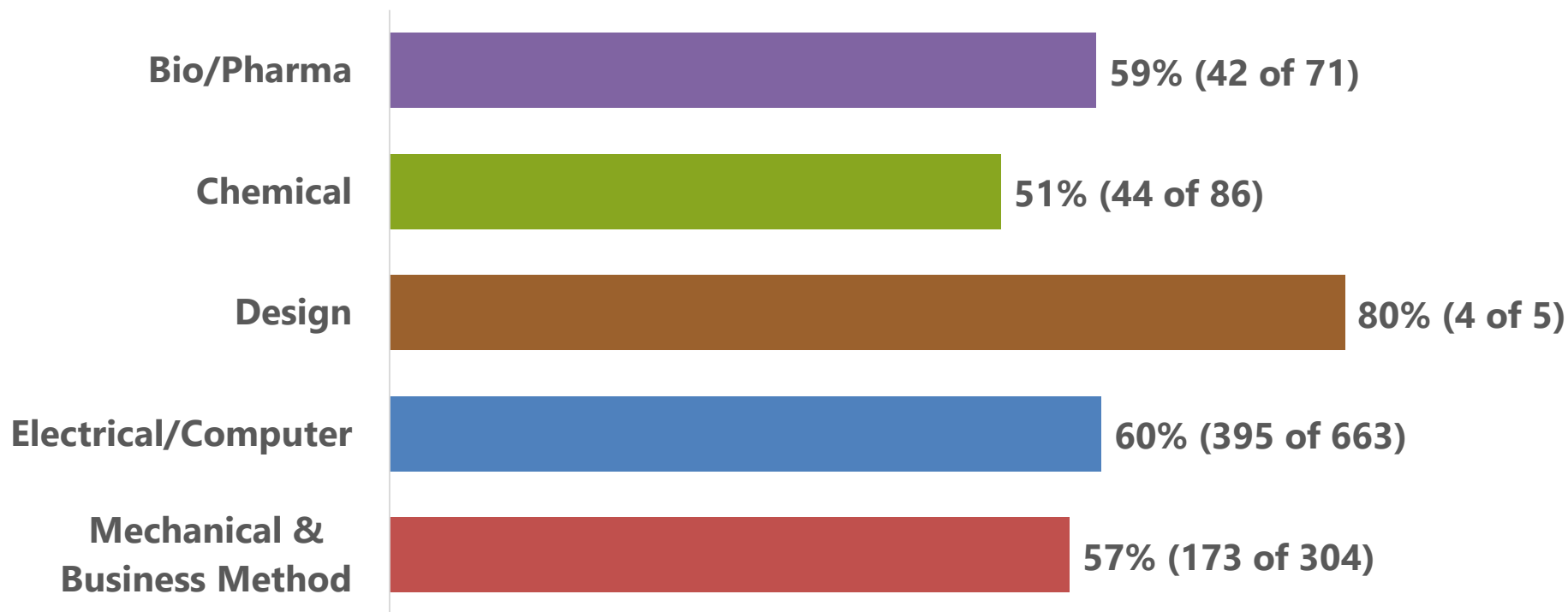
FY21 YTD





# Institution rates by technology

(FY21 through August: Oct. 1, 2020 to Aug. 31, 2021)

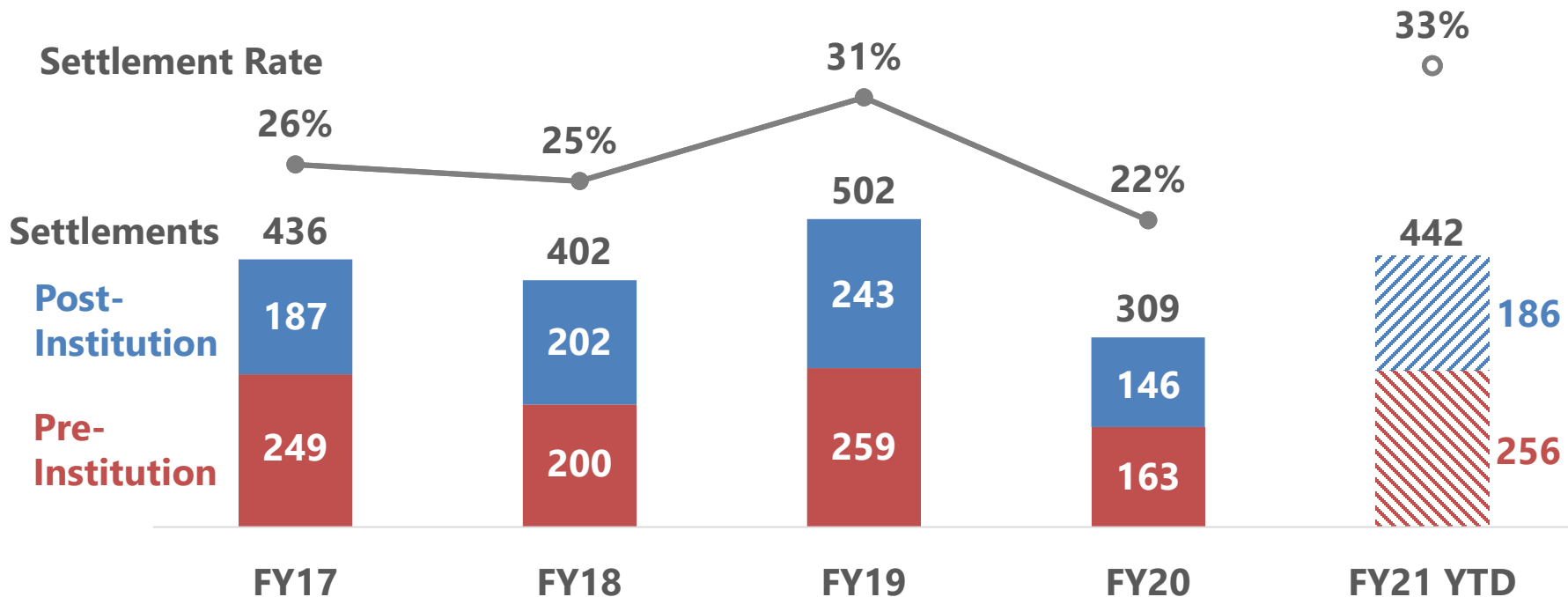


Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.



# Settlements

(FY17 to FY21 through August: Oct. 1, 2016 to Aug. 31, 2021)



Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.



