Petitions filed by trial type

Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM). The Office will not consider a CBM petition filed on or after September 16, 2020.
Petitions filed by technology

- Electrical/Computer: 258 (59%)
- Mechanical & Business Method: 115 (26%)
- Chemical: 32 (7%)
- Bio/Pharma: 33 (8%)
- Design: 1 (0%)
- Total: 439
The Office will not consider a CBM petition filed on or after September 16, 2020.
Institution rates by petition
(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

by Petition

<table>
<thead>
<tr>
<th>Year</th>
<th>Instituted</th>
<th>Denied</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY17</td>
<td>954</td>
<td>558</td>
</tr>
<tr>
<td>FY18</td>
<td>859</td>
<td>577</td>
</tr>
<tr>
<td>FY19</td>
<td>859</td>
<td>510</td>
</tr>
<tr>
<td>FY20</td>
<td>648</td>
<td>507</td>
</tr>
<tr>
<td>FY21 YTD</td>
<td>232</td>
<td>161</td>
</tr>
</tbody>
</table>
Institution rates by patent (FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

**by Patent**

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Instituted</th>
<th>Denied</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY17</td>
<td>708</td>
<td>314</td>
</tr>
<tr>
<td>FY18</td>
<td>674</td>
<td>338</td>
</tr>
<tr>
<td>FY19</td>
<td>667</td>
<td>290</td>
</tr>
<tr>
<td>FY20</td>
<td>567</td>
<td>316</td>
</tr>
<tr>
<td>FY21 YTD</td>
<td>231</td>
<td>101</td>
</tr>
</tbody>
</table>
Institution rates by patent and by petition (FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

- **By Patent**
  - FY17: 69%
  - FY18: 67%
  - FY19: 70%
  - FY20: 64%
  - FY21 YTD: 70%

- **By Petition**
  - FY17: 63%
  - FY18: 60%
  - FY19: 63%
  - FY20: 56%
  - FY21 YTD: 59%
Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.
Settlements
(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.