

**UNITED STATES
PATENT AND TRADEMARK OFFICE**





PTAB Trial Statistics

January 2021

IPR, PGR, CBM

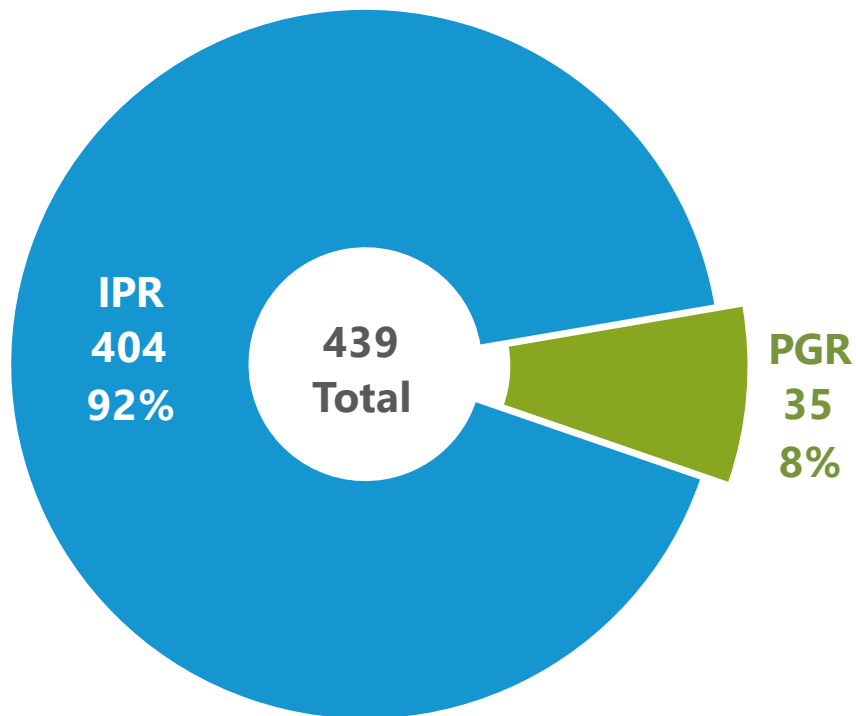
Patent Trial and Appeal Board

UNITED STATES
PATENT AND TRADEMARK OFFICE



Petitions filed by trial type

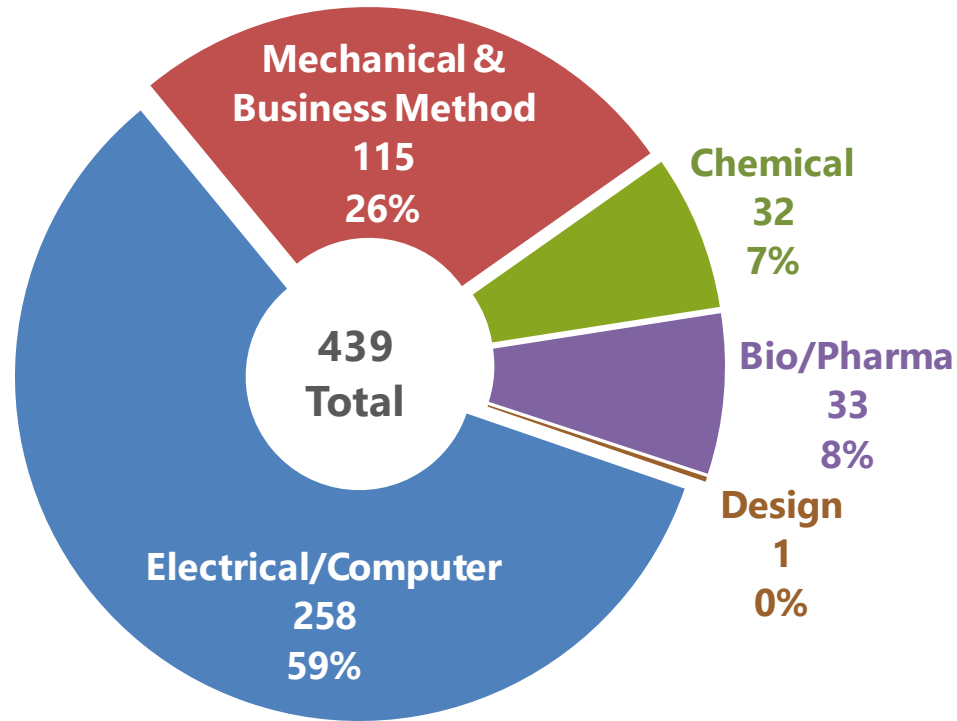
(FY21 through January: Oct. 1, 2020 to Jan. 31, 2021)



Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM). The Office will not consider a CBM petition filed on or after September 16, 2020.

Petitions filed by technology

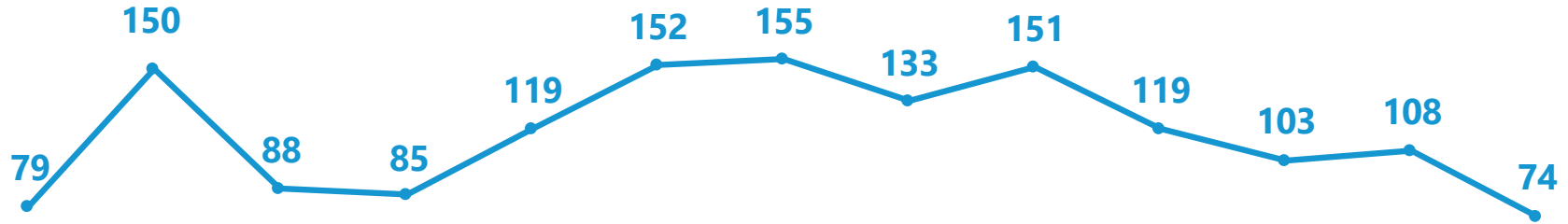
(FY21 through January: Oct. 1, 2020 to Jan. 31, 2021)



Petitions filed by month

(Jan. 2021 and Previous 12 Months: Jan. 1, 2020 to Jan. 31, 2021)

(404 IPRs in FY21)

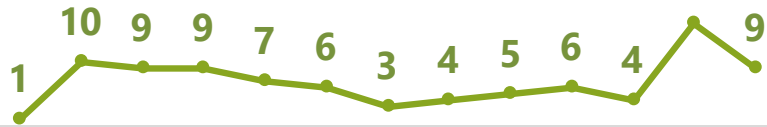


Jan-20

IPR

Jan-21

(35 PGRs in FY21)



Jan-20

PGR

Jan-21

(0 CBMs in FY21)



Jan-20

CBM

Jan-21



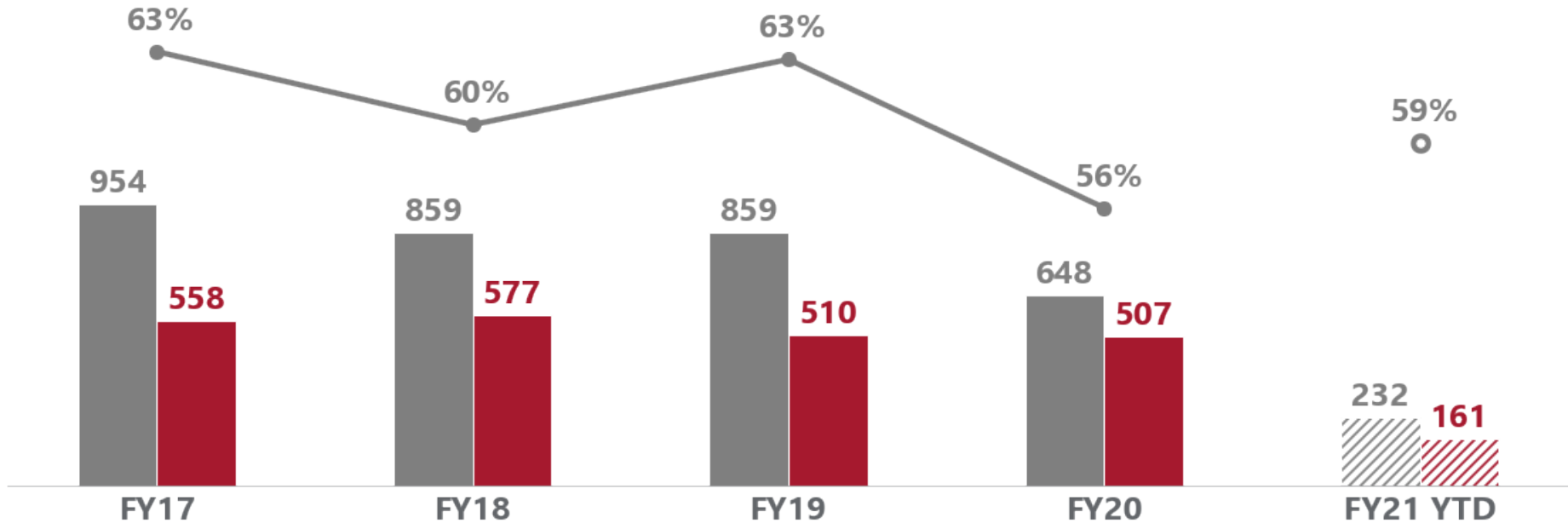
The Office will not consider a CBM petition filed on or after September 16, 2020.

Institution rates by petition

(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

■ Instituted
■ Denied

by Petition



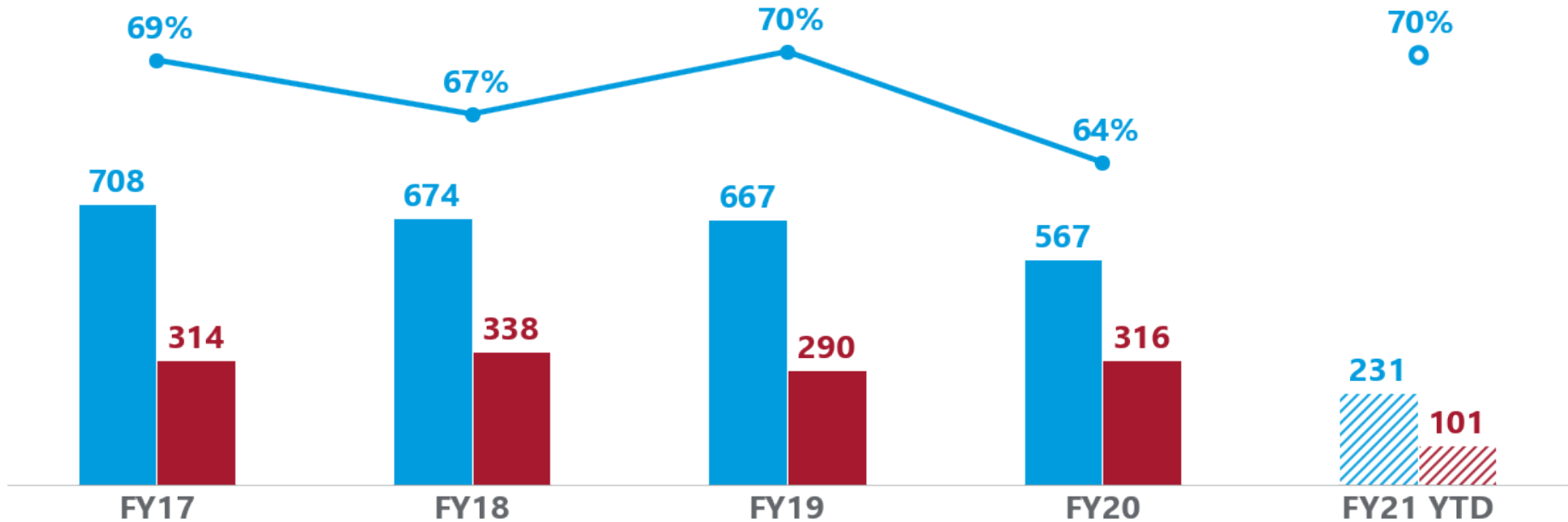
uspto

Institution rates by patent

(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

Instituted
Denied

by Patent

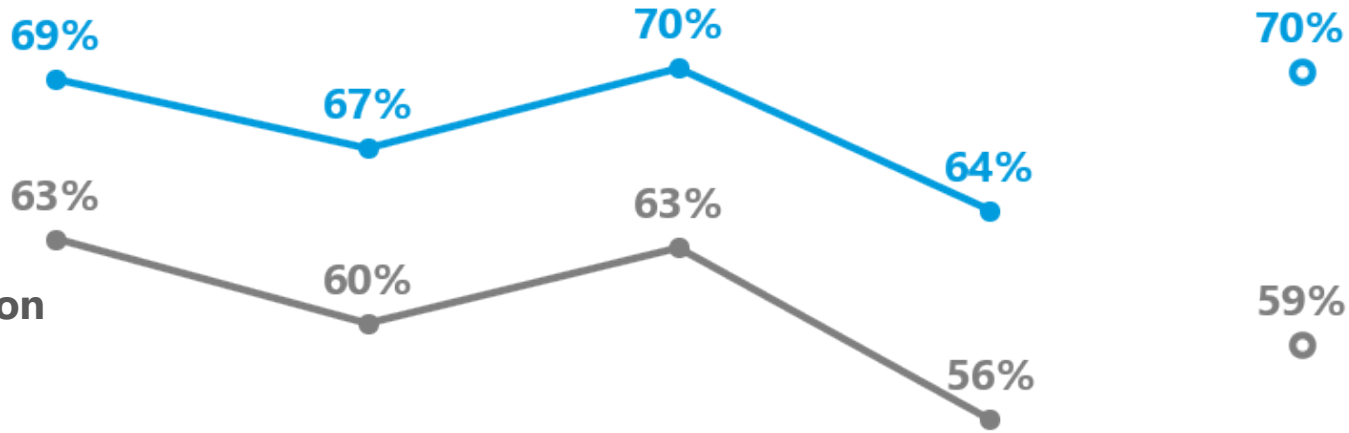


uspto

Institution rates by patent and by petition

(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

by Patent



by Petition

FY17

FY18

FY19

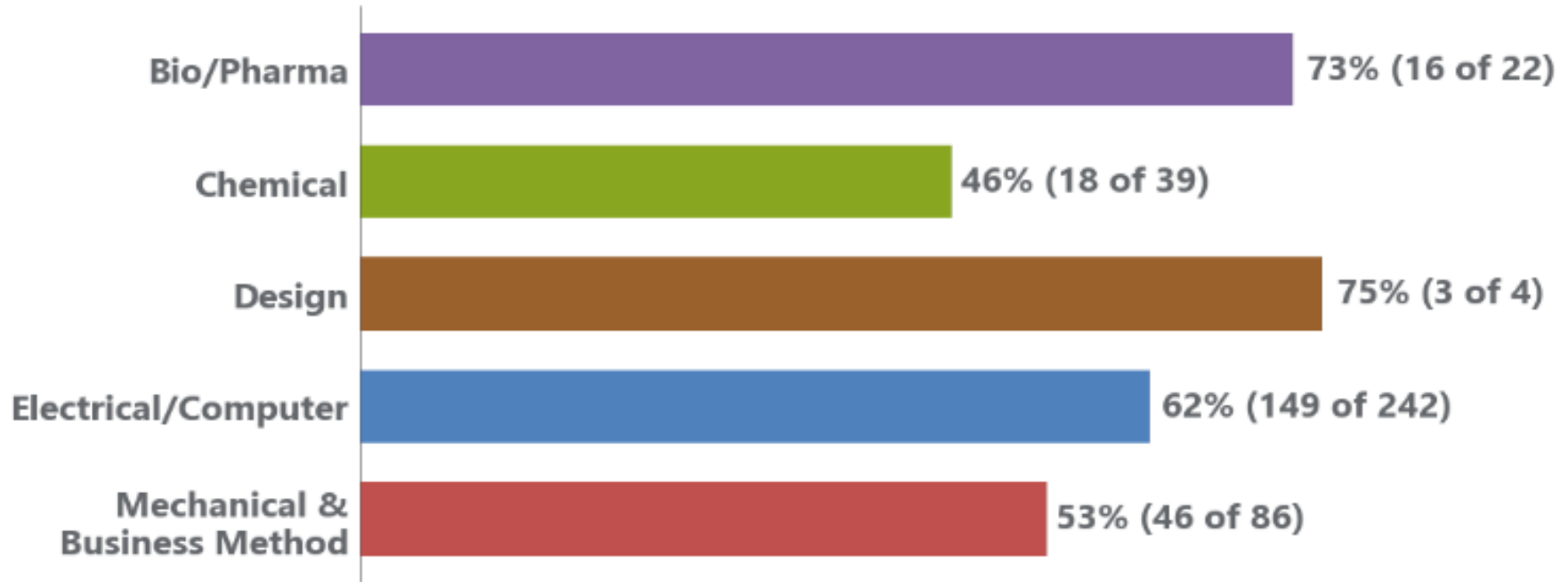
FY20

FY21 YTD



Institution rates by technology

(FY21 through January: Oct. 1, 2020 to Jan. 31, 2021)

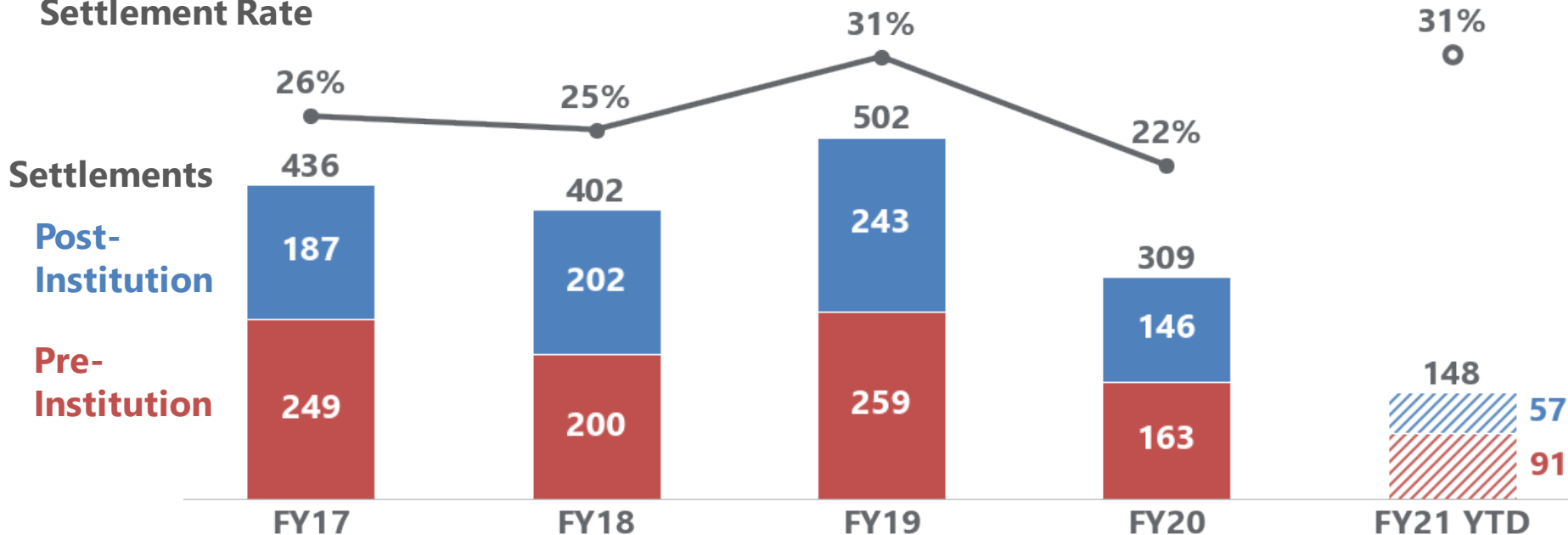


Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.

Settlements

(FY17 to FY21 through January: Oct. 1, 2016 to Jan. 31, 2021)

Settlement Rate



Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.



