#### UNITED STATES PATENT AND TRADEMARK OFFICE





### **Patent basics presentation**

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# Learning objectives

- Recall the three types of patents.
- Understand the features of a patent.
- List three differences between a patent and a trade secret.
- Determine the patent term of a continuation or divisional patent application



# What is a patent?

- The right to **exclude others** from:
  - making, using, selling, offering for sale, or importing the claimed invention
- Limited term
- Territorial: A U.S. patent provides protection only in the United States
  - No worldwide patents



Therefore, this United States



# Why invention matters and what this means to you

Patents can:

- Promote innovation and help safeguard inventions
- Help companies grow
- Benefit the community by making new goods and services available
- Be licensed to others so that the invention can be more widely used



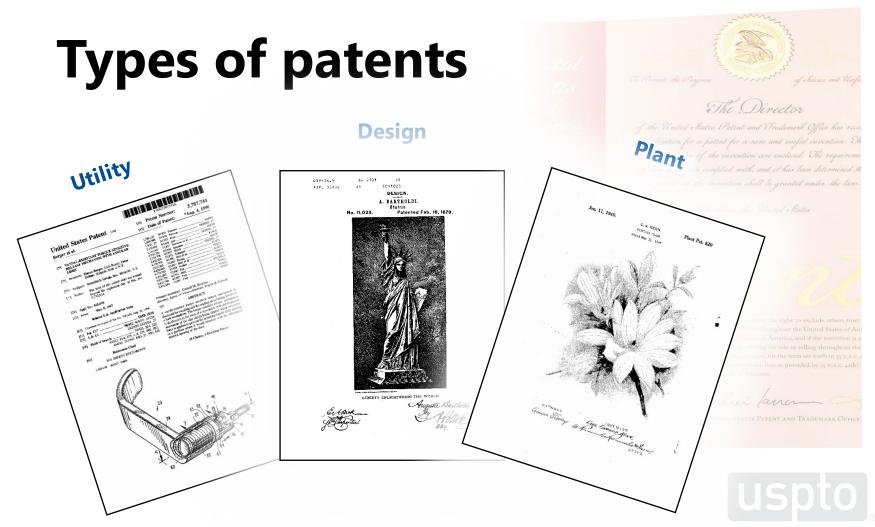


# The patent bargain

- Utility and design patents give the patent owner the right to exclude others from making, using, importing, or selling the invention for a limited time period.
- A plant patent precludes others from asexually reproducing, selling, offering for sale, or using the patented plant or any of its parts in the United States or importing them into the United States.
- The inventor must fully disclose the invention so the public can benefit from it and expand on it.



35 U.S.C. § 112



### Is the invention eligible for utility patent protection?





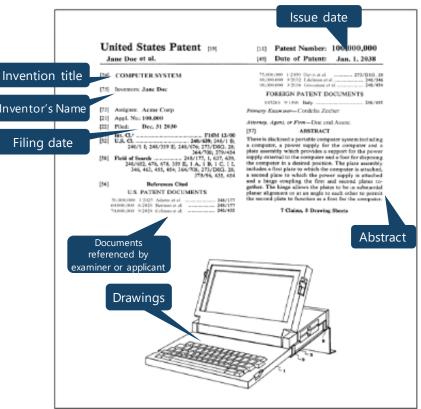
35 U.S.C. §101

# **Protections for plants**

- Plant patents: asexually propagated plants except for edible tubers
- Utility patents: genes, traits, methods, plant parts, or varieties
- Plant variety protections: seed, tuber, and asexually propagated plants (issued by Plant Variety Protection Office, USDA)

### Anatomy of a patent

- Abstract
  - A short summary of the invention Inventor's Name
- Written description
  - How does it work?
    How is it made or used?
- Drawings
  - What does it look like?
- Claims
  - The claim(s) define(s) the legal boundaries of the invention, similar to a deed to a property.



\*Example only- not a real patent



# Sample claim

Claim 1. A chair comprising:

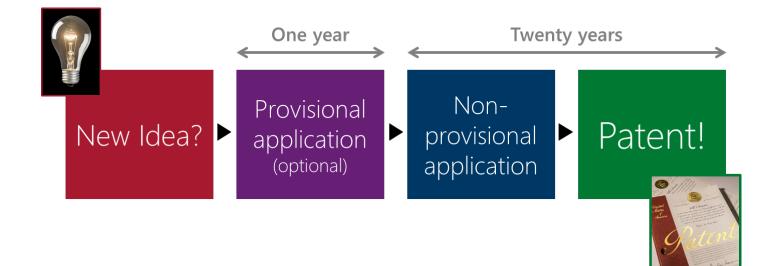
- a seat,
- a back support attached to the seat,
- support arms attached to the seat and back support, and
- a base comprising a plurality of legs attached to the seat.

U	nited States Patent [19]		[11]		100,000,000
Do	be			[45]	January 1, 2040
[54]	SWIVEL CHAIR	[56]		References Cited	
[75]	Inventor: Jane Doe	366,862,842 565,951,357 675,258,147	6/1904 6/1930 11/1959	Adams Baker Cooper	248/625 248/628 248/628 248/628
[73]	Assignee: Acme Manufacturing	765,654,123 876,456,321 963,852,741	12/1961 10/1978	Ericsson Williamson	
[21]	Appl. No.: 1,000,000	FC		PATENT DO	CUMENTS 
	Filed: January 1, 2035		xaminer-	- Cordilia Zech	er
[]		[57]		ABSTRACT	
[51] [52]	Int. Cl. <sup>2</sup>	riage for a	A leaf-spring supported, free-floating rocker undercar riage for a swivel chair wherein the spring flexural ax corresponds to and the swivel axis intersects with the		
[58]	Field of Search		is of the	chair for suppor	t of the same.
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\*Example only-not a real patent

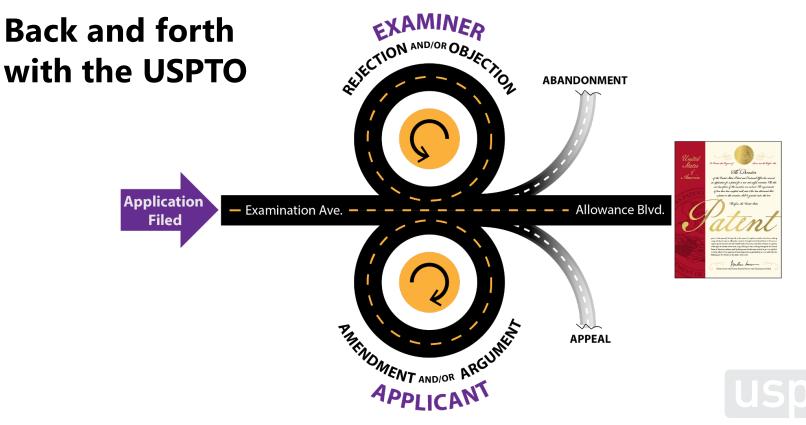


### The path to a patent





### The patent application roadmap



### How long does a patent last?

Patent term = up to 20 years from earliest filing date of non-provisional application **Patent term adjustment –** the 20-year term may be **adjusted** as a result of **delays by the USPTO** to examine the application

**Patent term extension** - the term may be further **extended** as a result of the **regulatory review process** before a product can be commercially marketed

# Three main options

Inventors have several options when they invent something:

- Apply for a patent
- Keep their invention as a trade secret
- Disclose their invention without a patent application, which makes it public and therefore, prior art. Examples of disclosure:
  - Marketing and selling
  - Sharing videos online
  - Publishing
  - Using the invention in public spaces



### Let's talk Trade Secrets!



### What is a trade secret?

- A trade secret is information that:
  - Has actual or potential independent economic value because it is generally unknown to others
  - Is valuable to others who can't legitimately obtain the information, and
  - Is maintained as secret through reasonable efforts taken by the trade secret owner.



### What is a trade secret?

 A trade secret can be formulas or recipes, product designs, customer lists, pricing schedules, manufacturing techniques, and marketing strategies.



# Why use a trade secret?

#### Trade secret basics:

- Protect <u>commercially valuable proprietary information</u>, e.g., formulas or business information that gives a <u>competitive advantage</u>
- Trade secrets are not generally known and must be subject to reasonable efforts to preserve confidentiality

#### Common ways to lose a trade secret:

- Failure to take adequate steps to prevent disclosure
- Owner or owner-authorized disclosure
- Reverse engineering
- Independent development





### Trade secret examples

Some famous products are or were subject to claims of trade secret protection:

- Coca-Cola
- WD-40
- Kentucky Fried Chicken
- Lena Blackburne Rubbing Mud
- Twinkies
- New York Times Best Sellers list

Some of the claimed trade secrets at the hearts of these products have been secret for a long time, demonstrating the long-term benefits trade secret protection can provide owners who vigilantly guard their trade secrets.





### Patents vs trade secrets

### Patents

- Information is published and, after expiration of the patent term, is in the public domain
- Require an application process, which will not always result in a granted patent
- A key remedy for violation of patent rights is a suit for patent infringement

### Trade secrets

- Can remain a secret indefinitely
- Do not require filing of an application
- A key remedy for violations of trade secret rights is a suit for misappropriation of trade secrets



# Let's talk about patents





of the United States Satent and Frademark Office has received an application for a patent for a new and useful invention. The title and description of the invention are enclosed. The requirements of law have been complied with, and it has been determined shat a patent on the invention shall be granted under the law.

Sherefore, this United States

grant to the period() having title to this parent the right to exclude others from making, using offering for solid, or selling the timeration throughout the United States of America or impering the invention into the United States of America, and if the invention is a process, of the right to exclude others from using offering for sale or selling throughout the United States of America, products make by the process, for the term set forth in sy LoL-144(0)(2), or ( $\odot$ (0), subject to the payment of maintenance free as provided by 35 0.2.0.4 (10). See the Maintenance Fee Notice on the inside of the cores.

Signature Sample

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

### Patent disclosure

- A patent application can disclose multiple inventions but only one will be examined per application.
- Continuing or divisional patent applications may be used to claim additional features or inventions disclosed in the earlier application.

### **Multiple inventions per product**

application

Divisional

#### Disclosure Active ingredient Formulation Method of making drug

#### <u>Claims</u>

Active ingredient

Disclosure Active ingredient Formulation Method of making drug Claims Formulation

Original application

Disclosure Active ingredient Formulation Method of making drug

> Method of making drug

Divisional application

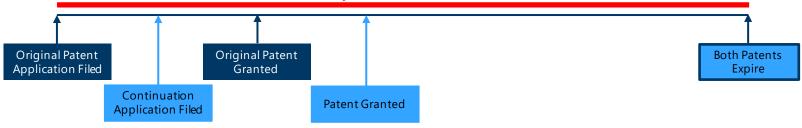
A product can be covered by more than one patent.



### **Important fact**

Continuation applications do <u>not</u> extend the term of protection on an invention







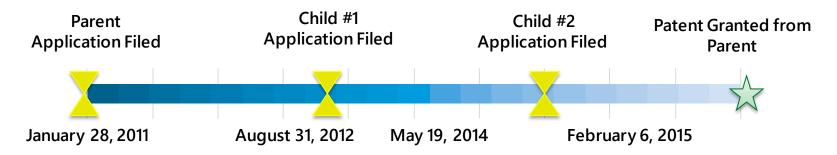
### **Continuing and divisional applications**

- A continuation application is an application for the invention(s) disclosed in a prior-filed co-pending application
- The disclosure presented in the continuation must not include any new subject matter
- Patents on continuation and divisional applications do not extend the term of patent protection of an invention (both patents expire 20 years from the earliest filing date)



### Later-filed applications

Later-filed continuation or divisional applications ("child" application) may claim the benefit of earlier application ("parent" application) if the parent application is still pending





### **Continuum of innovation**

Later **improvements** on a patented invention may be entitled to a **new patent** 

Later-filed patent applications that are **new and non-obvious** over previously patented inventions, and area not a continuation or divisional of a previously filed application, **could lead to a new patent term.** 

Example: addition of a coffee grinder to a coffee machine





# Thank you!

### **Nicholas Jensen**

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www.uspto.gov

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