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Inter Partes Reexamination Filing Data - September 30, 2016

1. Total <i>Inter Partes</i> reexam requests filed from 11/29/99 to 9/16/2012.....									1919	
2. Number of Filings by discipline										
a. Chemical Operation									286	15%
b. Electrical Operation									865	45%
c. Mechanical Operation									489	25%
d. Design Patents									19	1%
3. Annual <i>Inter Partes</i> Reexam Filings										
	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No		
	2000	0	2004	27	2008	168	2012	530		
	2001	1	2005	59	2009	258				
	2002	4	2006	70	2010	281				
	2003	21	2007	126	2011	374				
4. Number known to be in litigation.....									1289	66%
5. Decisions on requests.....										1947
a. No. granted									1865	96%
(1) By examiner									1859	
(2) By Director (on petition)									6	
b. No. denied									82	4%
(1) By examiner									34	
(2) Reexam vacated									48	
6. Overall reexamination pendency (Filing date to certificate issue date)										
a. Average pendency										39.9 (mos.)
b. Median pendency										36.4 (mos.)
7. Total <i>Inter Partes</i> reexamination certificates issued (1999 – present)										1304
a. Certificates with all claims confirmed									89	7%
b. Certificates with all claims canceled (or disclaimed)									423	32%
c. Certificates with claims changes									792	61%

Inter Partes reexaminations were no longer available after Sept. 16, 2012

Data as of 9/30/2016



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Inter Partes Reexamination Filing Data - September 30, 2014

1. Total <i>Inter Partes</i> reexam requests filed from 11/29/99 to 9/16/2012.....		1919						
2. Number of Filings by discipline								
a. Chemical Operation		286	15%					
b. Electrical Operation		865	45%					
c. Mechanical Operation		489	25%					
d. Design Patents		19	1%					
3. Annual <i>Inter Partes</i> Reexam Filings								
	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No
	2000	0	2004	27	2008	168	2012	530
	2001	1	2005	59	2009	258	2013	NA
	2002	4	2006	70	2010	281	2014	NA
	2003	21	2007	126	2011	374		
4. Number known to be in litigation.....		1449		76%				
5. Decisions on requests.....				2005				
a. No. granted		1872		93%				
(1) By examiner		1863						
(2) By Director (on petition)		9						
b. No. denied		133		7%				
(1) By examiner		127						
(2) Reexam vacated		6						
6. Overall reexamination pendency (Filing date to certificate issue date)								
a. Average pendency		36.9 (mos.)						
b. Median pendency		32.7 (mos.)						
7. Total <i>Inter Partes</i> reexamination certificates issued (1999 – present)		964						
a. Certificates with all claims confirmed		68	7%					
b. Certificates with all claims canceled (or disclaimed)		303	31%					
c. Certificates with claims changes		593	62%					

Inter Partes reexaminations were no longer available after Sept. 16, 2012

Data as of 9/30/2014



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Inter Parte Reexamination Filing Data - September 30, 2013

1. Total requests filed since start of <i>Inter Parte</i> reexam on 11/29/99.....								1919
2. Number of Filings by dicipline								
a. Chemical Operation								286 15%
b. Electrical Operation								865 45%
c. Mechanical Operation								489 25%
d. Design Patents								19 1%
3. Annual <i>Inter Parte</i> Reexam Filings								
	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No
	2000	0	2004	27	2008	168	2012	530
	2001	1	2005	59	2009	258	2013	NA
	2002	4	2006	70	2010	281		
	2003	21	2007	126	2011	374		
4. Number known to be in litigation.....								1449 76%
5. Decisions on requests.....								2005
a. No. granted								1872 93%
(1) By examiner								1863
(2) By Director (on petition)								9
b. No. denied								133 7%
(1) By examiner								127
(2) Reexam vacated								6
6. Overall reexamination pendency (Filing date to certificate issue date)								
a. Average pendency								36 (mos.)
b. Median pendency								31.8 (mos.)
7. Total ex parte reexamination certificates issued (1999 – present)								696
a. Certificates with all claims confirmed								53 8%
b. Certificates with all claims canceled (or disclaimed)								219 31%
c. Certificates with claims changes								424 61%

1 Total decisions on requests does not include requests that have been vacated or are pending.
 updated as of 11/22/13



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Inter Partes Reexamination Filing Data - September 30, 2012

1. Total requests filed since start of <i>inter partes</i> reexam on 11/29/99.....								1919
2. Number of Filings by dicipline								
a. Chemical Operation						350		18%
b. Electrical Operation						985		51%
c. Mechanical Operation						564		29%
d. Design Patents						20		1%
3. Annual <i>Inter Partes</i> Reexam Filings								
	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No	Fiscal Yr.	No
	2000	0	2004	27	2008	168	2012	530
	2001	1	2005	59	2009	258		
	2002	4	2006	70	2010	281		
	2003	21	2007	126	2011	374		
4. Number known to be in litigation.....							1272	66%
5. Decisions on requests.....								
a. No. granted.....							1682	94%
(1) By examiner							1673	
(2) By Director (on petition)							9	
b. No. denied.....							107	6%
(1) By examiner							101	
(2) Reexam vacated							6	
6. Overall reexamination pendency (Filing date to certificate issue date)								
a. Average pendency								39.5 (mos.)
b. Median pendency								34.1 (mos.)
7. Total <i>Inter partes</i> reexamination certificates issued (1999 – present)								
a. Certificates with all claims confirmed							44	12%
b. Certificates with all claims canceled (or disclaimed)							169	45%
c. Certificates with claims changes							160	43%



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Inter Partes Reexamination Filing Data – September 30, 2011

1.	Total requests filed since start of <i>inter partes</i> reexam on 11/29/99.....	1389 ¹	
2.	Number of filings by discipline		
	a. Chemical Operation	250	18%
	b. Electrical Operation	722	52%
	c. Mechanical Operation	401	29%
	d. Design Patents	16	1%
3.	Annual Reexam Filings		
	<u>Fiscal Yr.</u> <u>No.</u> <u>Fiscal Yr.</u> <u>No.</u> <u>Fiscal Yr.</u> <u>No.</u> <u>Fiscal Yr.</u> <u>No.</u>		
	2000 0 2003 21 2006 70 2009 258		
	2001 1 2004 27 2007 126 2010 281		
	2002 4 2005 59 2008 168 2011 374		
4.	Number known to be in litigation.....	974.....	70%
5.	Decisions on requests		1246
	a. No. granted	1187.....	95%
	(1) By examiner	1178	
	(2) By Director (on petition)	9	
	b. No. not granted	59.....	5%
	(1) By examiner	54	
	(2) Reexam vacated	5	
6.	Overall reexamination pendency (Filing date to certificate issue date)		
	a. Average pendency	36.2 (mos.)	
	b. Median pendency	32.9 (mos.)	
7.	Total <i>inter partes</i> reexamination certificates issued (1999 - present)		305
	a. Certificates with all claims confirmed	35	11%
	b. Certificates with all claims canceled (or disclaimed)	133	44%
	c. Certificates with claims changes	137	45%

¹Of the requests received in FY 2011, 3 requests have not yet been accorded a filing date, and 5 requests have had preprocessing terminated, for failure to comply with the requirements of 37 CFR 1.915. See Clarification of Filing Date Requirements for *Ex Parte* and *Inter Partes* Reexamination Proceedings, Final Rule, 71 Fed. Reg. 44219 (August 4, 2006).



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Inter Partes Reexamination Filing Data – September 30, 2010

Table with 7 main categories: 1. Total requests filed since start of inter partes reexam on 11/29/99 (1015); 2. Number of filings by discipline (Chemical, Electrical, Mechanical, Design); 3. Annual Reexam Filings (table with columns for Fiscal Yr. and No. for years 2000-2008); 4. Number known to be in litigation (694, 68%); 5. Decisions on requests (881 total, 96% granted, 4% not granted); 6. Overall reexamination pendency (Average: 36.1 mos., Median: 31.4 mos.); 7. Total inter partes reexamination certificates issued (1999-present) (192 total).

1Of the requests received in FY 2010, 4 requests have not yet been accorded a filing date, and 24 requests have had preprocessing terminated, for failure to comply with the requirements of 37 CFR 1.915. See Clarification of Filing Date Requirements for Ex Parte and Inter Partes Reexamination Proceedings, Final Rule, 71 Fed. Reg. 44219 (August 4, 2006).



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Inter Partes Reexamination Filing Data - September 30, 2009

1.	Total requests filed since start of <i>inter partes</i> reexam on 11/29/99.....	734 ¹																																	
2.	Number of filings by discipline																																		
	a. Chemical Operation	148	20%																																
	b. Electrical Operation	332	45%																																
	c. Mechanical Operation	243	33%																																
	d. Design Patents	11	2%																																
3.	Annual Reexam Filings																																		
	<table border="0"> <thead> <tr> <th><u>Fiscal Yr.</u></th> <th><u>No.</u></th> <th><u>Fiscal Yr.</u></th> <th><u>No.</u></th> <th><u>Fiscal Yr.</u></th> <th><u>No.</u></th> <th><u>Fiscal Yr.</u></th> <th><u>No.</u></th> </tr> </thead> <tbody> <tr> <td>2000</td> <td>0</td> <td>2003</td> <td>21</td> <td>2006</td> <td>70</td> <td>2009</td> <td>258</td> </tr> <tr> <td>2001</td> <td>1</td> <td>2004</td> <td>27</td> <td>2007</td> <td>126</td> <td></td> <td></td> </tr> <tr> <td>2002</td> <td>4</td> <td>2005</td> <td>59</td> <td>2008</td> <td>168</td> <td></td> <td></td> </tr> </tbody> </table>	<u>Fiscal Yr.</u>	<u>No.</u>	<u>Fiscal Yr.</u>	<u>No.</u>	<u>Fiscal Yr.</u>	<u>No.</u>	<u>Fiscal Yr.</u>	<u>No.</u>	2000	0	2003	21	2006	70	2009	258	2001	1	2004	27	2007	126			2002	4	2005	59	2008	168				
<u>Fiscal Yr.</u>	<u>No.</u>	<u>Fiscal Yr.</u>	<u>No.</u>	<u>Fiscal Yr.</u>	<u>No.</u>	<u>Fiscal Yr.</u>	<u>No.</u>																												
2000	0	2003	21	2006	70	2009	258																												
2001	1	2004	27	2007	126																														
2002	4	2005	59	2008	168																														
4.	Number known to be in litigation.....	498.....	68%																																
5.	Decisions on requests	650																																	
	a. No. granted	619.....	95%																																
	(1) By examiner	618																																	
	(2) By Director (on petition)	1																																	
	b. No. not granted	31.....	5%																																
	(1) By examiner	27																																	
	(2) Reexam vacated	4																																	
6.	Overall reexamination pendency (Filing date to certificate issue date)																																		
	a. Average pendency	35.8 (mos.)																																	
	b. Median pendency	32.4 (mos.)																																	
7.	Total <i>inter partes</i> reexamination certificates issued (1999 - present)	105																																	
	a. Certificates with all claims confirmed	6	6%																																
	b. Certificates with all claims canceled (or disclaimed)	57	54%																																
	c. Certificates with claims changes	42	40%																																

¹Of the requests received in FY 2009, 4 requests have not yet been accorded a filing date, and 15 requests have had preprocessing terminated, for failure to comply with the requirements of 37 CFR 1.915. See Clarification of Filing Date Requirements for *Ex Parte* and *Inter Partes* Reexamination Proceedings, Final Rule, 71 Fed. Reg. 44219 (August 4, 2006).



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Inter Partes Reexamination Filing Data - September 30, 2008

Table with 7 main categories: 1. Total requests filed since start of inter partes reexam on 11/29/99 (476); 2. Number of filings by discipline (Chemical, Electrical, Mechanical, Design); 3. Annual Reexam Filings (table with columns for Fiscal Yr. and No. for years 2000-2008); 4. Number known to be in litigation (278, 58%); 5. Decisions on requests (420 total, 95% granted, 5% not granted); 6. Overall reexamination pendency (Average: 32.6 mos., Median: 30.8 mos.); 7. Total inter partes reexamination certificates issued (33 total, 12% confirmed, 67% canceled, 21% changes).

1Of the requests received in FY 2008, 7 requests have not yet been accorded a filing date, and 7 requests had preprocessing terminated, for failure to comply with the requirements of 37 CFR 1.915. See Clarification of Filing Date Requirements for Ex Parte and Inter Partes Reexamination Proceedings, Final Rule, 71 Fed. Reg. 44219 (August 4, 2006).