

**PATENT TRIAL AND APPEAL BOARD**  
**AIA PROGRESS**  
*Statistics (as of 12/11/14):*

**NUMBER OF AIA PETITIONS**

| FY         | Total | IPR   | CBM | PGR | DER |
|------------|-------|-------|-----|-----|-----|
| 2012       | 25    | 17    | 8   | -   | -   |
| 2013       | 563   | 514   | 48  | -   | 1   |
| 2014       | 1,494 | 1,310 | 177 | 2   | 5   |
| 2015       | 398   | 363   | 33  | 1   | 1   |
| Cumulative | 2,480 | 2,204 | 266 | 3   | 7   |

**AIA PETITION TECHNOLOGY BREAKDOWN**  
FY15 (based on Technology Center)

| Technology                                       | Number of Petitions | Percentage |
|--|---------------------|------------|
| Electrical/Computer – TCs 2100, 2400, 2600, 2800 | 267                 | 67.1%      |
| Mechanical/Business Methods – TCs 3600, 3700     | 80                  | 20.1%      |
| Chemical – TC 1700                               | 16                  | 4.0%       |
| Bio/Pharma – TC 1600                             | 34                  | 8.5%       |
| Design – TC 2900                                 | 1                   | 0.3%       |

**NUMBER OF PATENT OWNER PRELIMINARY RESPONSES**

| FY   | IPR   |        | CBM   |        | PGR   |        |
|------|-------|--------|-------|--------|-------|--------|
|      | Filed | Waived | Filed | Waived | Filed | Waived |
| 2013 | 237   | 63     | 33    | 2      | -     | -      |
| 2014 | 829   | 202    | 116   | 18     | -     | -      |
| 2015 | 274   | 49     | 24    | 3      | 1     | -      |

## AIA TRIALS INSTITUTED/DISPOSALS

|     |      | Trials Instituted | Joinders        | Denials | Total No. of Decisions on Institution | Disposals |      |       |          |
|-----|------|-------------------|-----------------|---------|---------------------------------------|-----------|------|-------|----------|
|     |      |                   |                 |         |                                       | Settled   | FWD* | RAJ** | Other*** |
| IPR | FY13 | 167               | 10 <sup>+</sup> | 26      | 203                                   | 38        | 0    | 2     | 1        |
|     | FY14 | 557               | 15 <sup>+</sup> | 193     | 765                                   | 210       | 130  | 39    | 1        |
|     | FY15 | 152               | 65 <sup>+</sup> | 56      | 273                                   | 68        | 55   | 6     | -        |
| CBM | FY13 | 14                | 0               | 3       | 17                                    | 3         | 1    | 0     | 0        |
|     | FY14 | 91                | 1 <sup>+</sup>  | 30      | 122                                   | 27        | 13   | 3     | 2        |
|     | FY15 | 16                | -               | 4       | 20                                    | 13        | 3    | 2     | 4        |
| PGR | FY15 | -                 | -               | -       | -                                     | 1         | -    | -     | -        |
| DER | FY14 | 0                 | 0               | 3       | 3                                     | 0         | 0    | 0     | 0        |

<sup>+</sup>91 cases joined to 57 base trials for a total of 148 cases involved in joinder.

\*Final Written Decisions on the merits.

\*\*Judgments based on Request for Adverse Judgment.

\*\*\*Includes terminations due to dismissal.

## AIA PETITION FILINGS BY MONTH

| Month             | Number of Petitions Filed |     |     |     | Total |
|-------------------|---------------------------|-----|-----|-----|-------|
|                   | IPR                       | CBM | PGR | DER |       |
| Sep-12            | 17                        | 8   |     |     | 25    |
| Oct-12            | 24                        | 5   |     |     | 29    |
| Nov-12            | 24                        | 2   |     |     | 26    |
| Dec-12            | 32                        |     |     |     | 32    |
| Jan-13            | 25                        |     |     |     | 25    |
| Feb-13            | 30                        |     |     |     | 30    |
| Mar-13            | 38                        | 2   |     |     | 40    |
| Apr-13            | 27                        | 4   |     |     | 31    |
| May-13            | 45                        | 7   |     |     | 52    |
| Jun-13            | 65                        | 8   |     | 1   | 74    |
| Jul-13            | 69                        | 3   |     |     | 72    |
| Aug-13            | 62                        | 9   |     |     | 71    |
| Sep-13            | 73                        | 8   |     |     | 81    |
| Oct-13            | 77                        | 19  |     | 2   | 98    |
| Nov-13            | 89                        | 18  |     | 1   | 108   |
| Dec-13            | 101                       | 11  |     |     | 112   |
| Jan-14            | 60                        | 9   |     |     | 69    |
| Feb-14            | 54                        | 12  |     | 1   | 67    |
| Mar-14            | 76                        | 22  |     |     | 98    |
| Apr-14            | 143                       | 14  |     | 1   | 158   |
| May-14            | 131                       | 19  |     |     | 150   |
| Jun-14            | 184                       | 6   |     |     | 190   |
| Jul-14            | 116                       | 10  |     |     | 126   |
| Aug-14            | 159                       | 16  | 1   |     | 176   |
| Sep-14            | 120                       | 21  | 1   |     | 142   |
| Oct-14            | 179                       | 16  |     |     | 195   |
| Nov-14            | 102                       | 13  | 1   |     | 116   |
| Dec-14            | 82                        | 4   |     | 1   | 87    |
| Cumulative Totals | 2,204                     | 266 | 3   | 7   | 2,480 |