

PATENT TRIAL AND APPEAL BOARD

AIA PROGRESS

*Statistics (as of 04/23/2015):*

NUMBER OF AIA PETITIONS

FY	Total	IPR	CBM	PGR	DER
2012	25	17	8	-	-
2013	563	514	48	-	1
2014	1,494	1,310	177	2	5
2015	1,060	964	89	3	4
Cumulative	3,142	2,805	322	5	10

AIA PETITION TECHNOLOGY BREAKDOWN

FY15 (based on Technology Center)

Technology	Number of Petitions	Percentage
Electrical/Computer – TCs 2100, 2400, 2600, 2800	670	63.2%
Mechanical/Business Methods – TCs 3600, 3700	259	24.4%
Chemical – TC 1700	40	3.8%
Bio/Pharma – TC 1600	88	8.3%
Design – TC 2900	3	0.3%

NUMBER OF PATENT OWNER PRELIMINARY RESPONSES

FY	IPR		CBM		PGR	
	Filed	Waived	Filed	Waived	Filed	Waived
2013	237	63	33	2	-	-
2014	829	202	116	18	-	-
2015	715	125	83	6	2	-

## AIA TRIALS INSTITUTED/DISPOSALS

		Trials Instituted	Joinders	Denials	Total No. of Decisions on Institution	Disposals			
						Settled	FWD *	RAJ **	Other ***
IPR	FY13	167	10 <sup>+</sup>	26	203	38	0	2	1
	FY14	557	15 <sup>+</sup>	193	765	210	130	39	1
	FY15	453	95 <sup>+</sup>	197	745	245	207	40	9
CBM	FY13	14	0	3	17	3	1	0	0
	FY14	91	1 <sup>+</sup>	30	122	27	13	3	2
	FY15	51	-	23	74	30	29	3	4
PGR	FY15	-	-	-	-	2	-	-	-
DER	FY14	0	0	3	3	0	0	0	0

<sup>+</sup>121 cases joined to 85 base trials for a total of 206 cases involved in joinder.

\*Final Written Decisions on the merits.

\*\*Judgments based on Request for Adverse Judgment.

\*\*\*Includes terminations due to dismissal.

## AIA PETITION FILINGS BY MONTH

Month	Number of Petitions Filed				Total
	IPR	CBM	PGR	DER	
Sep-12	17	8			25
Oct-12	24	5			29
Nov-12	24	2			26
Dec-12	32				32
Jan-13	25				25
Feb-13	30				30
Mar-13	38	2			40
Apr-13	27	4			31
May-13	45	7			52
Jun-13	65	8		1	74
Jul-13	69	3			72
Aug-13	62	9			71
Sep-13	73	8			81
Oct-13	77	19		2	98
Nov-13	89	18		1	108
Dec-13	101	11			112
Jan-14	60	9			69
Feb-14	54	12		1	67
Mar-14	76	22			98
Apr-14	143	14		1	158
May-14	131	19			150
Jun-14	184	6			190
Jul-14	116	10			126
Aug-14	159	16	1		176
Sep-14	120	21	1		142
Oct-14	179	16			195
Nov-14	102	13	1		116
Dec-14	177	15		2	194
Jan-15	100	14	1		115
Feb-15	164	16			180
Mar-15	131	13	1	1	146
Apr-15	111	2		1	114
<b>Cumulative Totals</b>	<b>2,805</b>	<b>322</b>	<b>5</b>	<b>10</b>	<b>3,142</b>