# **USPTO Hour Artificial Intelligence**

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June 17, 2025



### Agenda

- History of Al at the USPTO
- Current Al topology
- Future Al initiatives
- RFI on AI
- Questions and answers



## **Executive Order 14179:** Removing Barriers to American Leadership in Artificial Intelligence

"... sustain and enhance America's global AI dominance in order to promote human flourishing, economic competitiveness, and national security."

"For the first time, the Secretary of Commerce understands the Patent Office ... you have a friend, you have a supporter, and you have an admirer."

- Secretary of Commerce Howard Lutnick

#### **USPTO Mission**

- Award Patents
- Register Trademarks
- Secure & Source IP Data
- Lead the world's IP Ecosystem



#### **USPTO Al a four year history**

- the right hammer for the right nail

Data





Search/ Fraud



**Open Data Portal** 

#### For our business

Efficiency gains granting patents and registering trademarks

- Search & classification
- Quality assurance
- Augmenting experts

#### For technologists

Leverage AI for modern, secure, and efficient agency IT systems

- Code analysis, review
- Language translation
- Telemetry analysis

#### For everyone

Broadly empower agency staff and public users with Al tools

- Generative Al
- Virtual assistants
- Productivity tools

### **USPTO Al topology**



#### **Current**

- Patents Search Al
- Cooperative Patent Auto Classification (CPC)
- Third Party Al providers
- Design Vision
- Document Code Quality
- Public-facing chatbot

#### **Future**

#### **Underway**

- Al Lab 'SCOUT' Generative Al web application
- Assist in code development
- Detect improper filings
- Assist in data analyses to streamline processes
- Assist in cybersecurity analyses such as threat detection, compliance monitoring and correlation

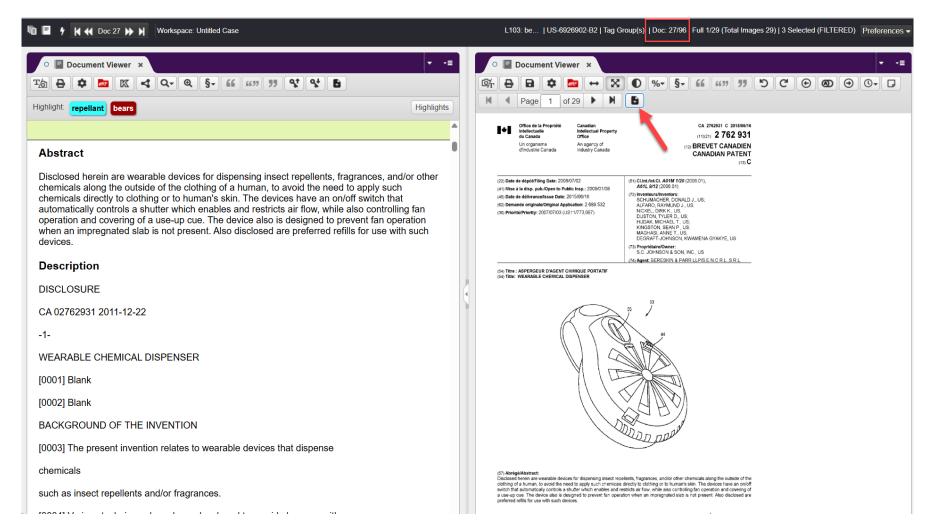
#### **RFI** response

- Improve Prior Art searches
- Assist in drafting Office Actions
- Improve Chemical structure & bio-sequence searching



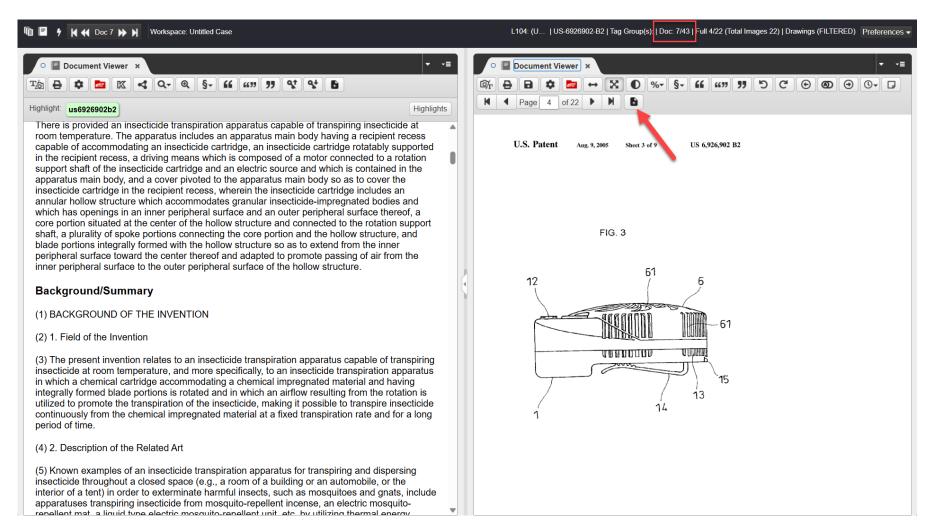
Email questions to USPTOHour@uspto.gov

#### Search AI - More like this document



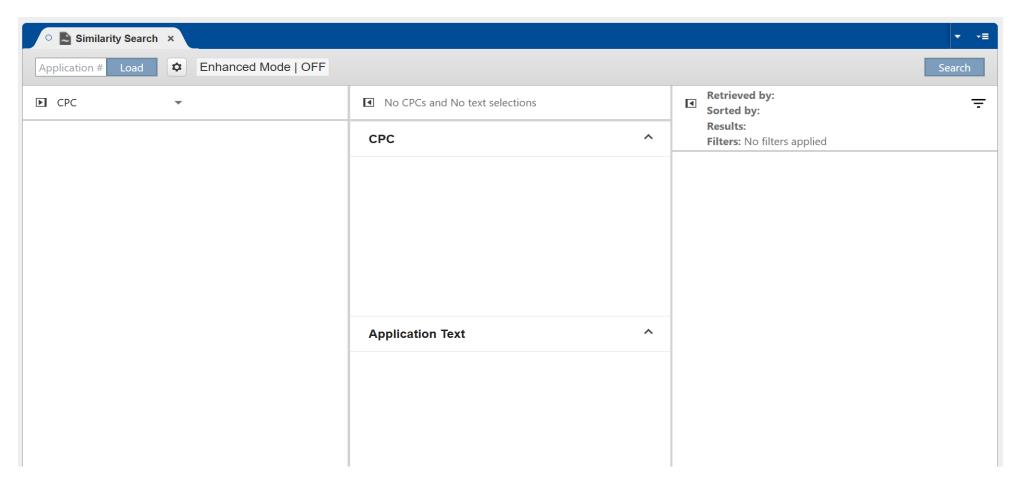


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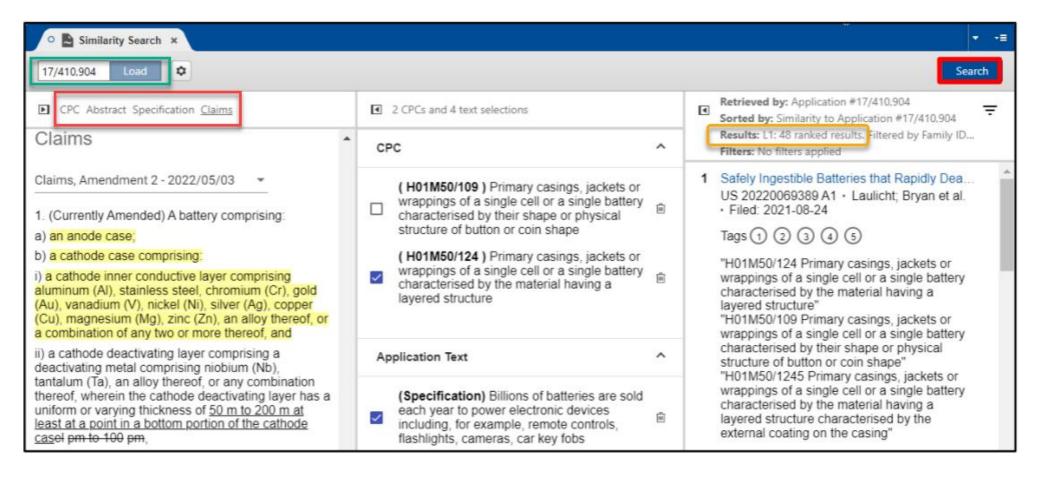


### **Search Al – Similarity Search**



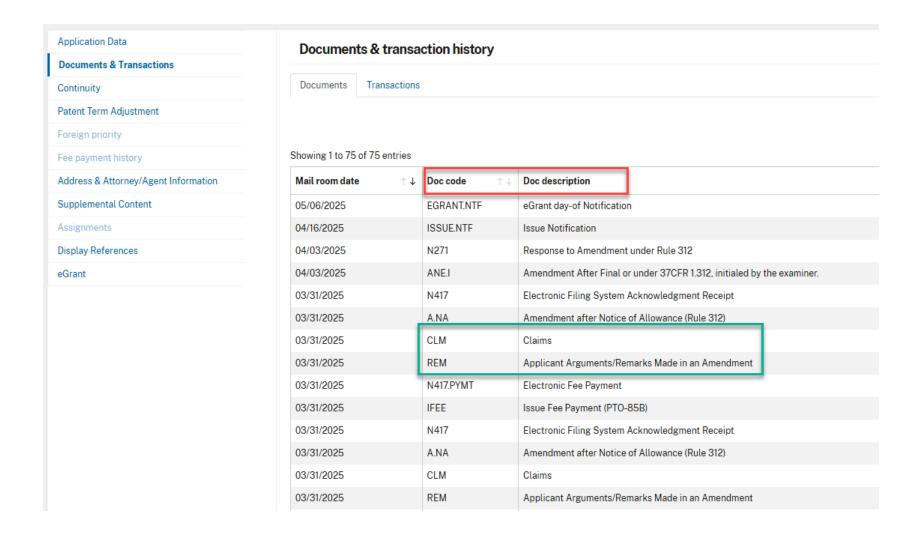


### **Search AI – Similarity Search**





### **DocCode Quality Control (automation)**

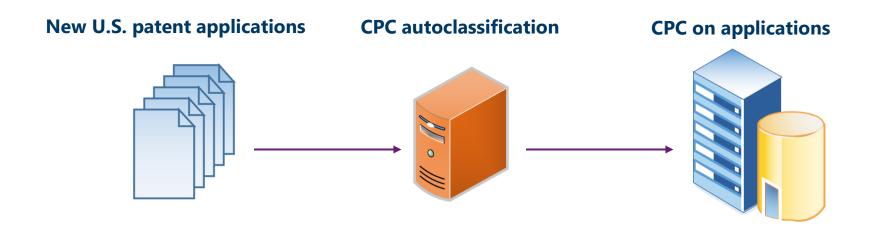




### **DocCode Quality Control (automation)**

- In-house automation tool designed to review document code applied by applicant on incoming application documents
- Tool reviews application document
  - either agrees with code applied by applicant, or suggests an alternative code
- Tool will review approximately 18 Million documents per year
- In full production June 2025, reducing contract dependencies and providing cost savings for the Agency

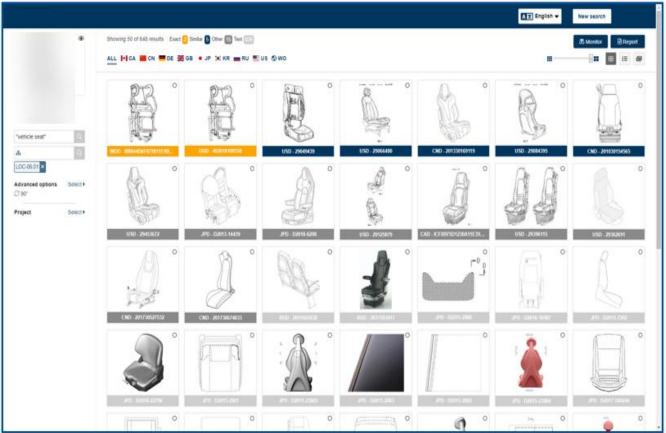
## Cooperative Patent Classification (CPC) Autoclassification





### DesignVision searching for Designs







### **USPTO Al topology**



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#### **Future**

#### **Underway**

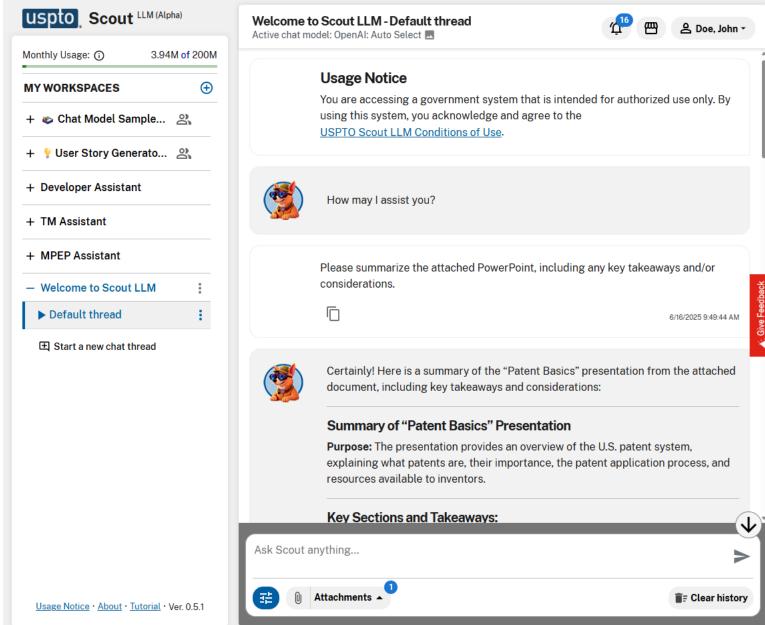
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#### **RFI** response

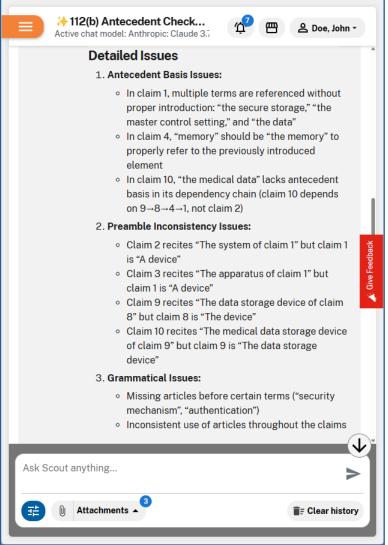
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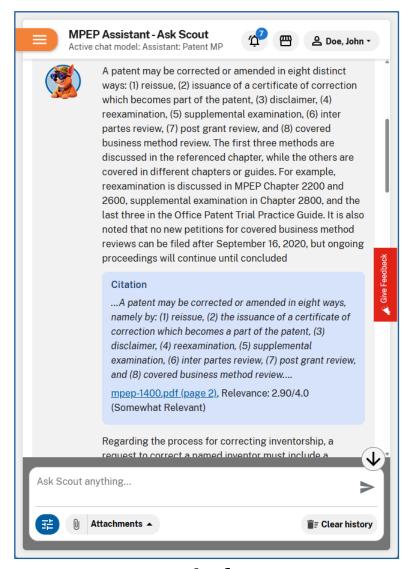
### 'SCOUT' Generative AI web application



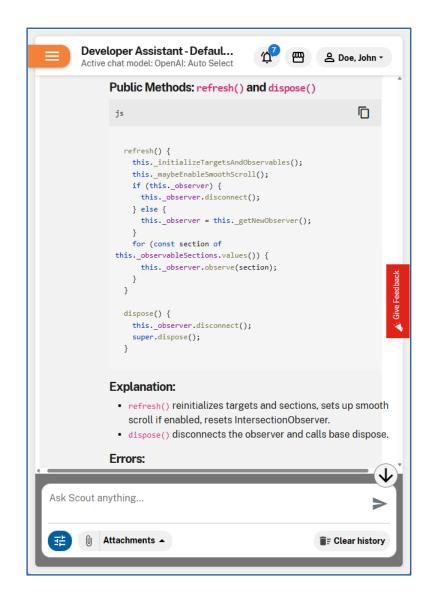
#### **Current use cases**







Manual of Patent Examining Procedure (MPEP) Search



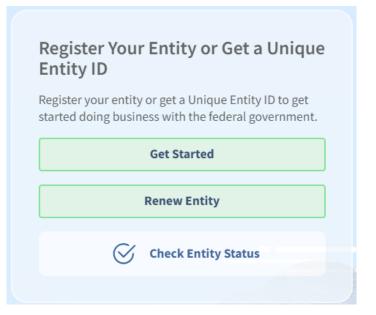
Software code analysis

Email questions to USPTOHour@uspto.gov

### Request for Information (RFI)

- What is an RFI?
  - A notice posted on the System for Award Management (SAM.gov) web site
  - The primary purpose of a RFI is to gather market research, assess industry understanding of government needs, and gather feedback on proposed acquisition strategies
  - It is not a formal solicitation for bids, offers, or proposals
- What is the System for Award Management (SAM.gov)?
  - The primary Government repository for prospective Federal awardee and Federal awardee information and the centralized Government system for certain contracting, grants, and other assistance-related processes.
  - Registration on SAM.gov is not required for RFI responses. However, entities must be registered at SAM.gov at the time an offer or quotation is submitted.

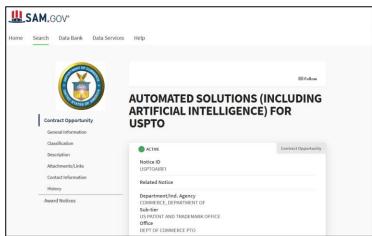






### **RFI: Automated Solutions (including AI)**

- Goal: Acquire AI-driven capabilities to assist patent examiners and trademark examining attorneys as well as reduce patent and trademark pendency.
- Request for Information (RFI) posted: <u>SAM.gov</u>
  - Key dates:
    - Posted June 4, 2025
    - Questions received June 10, 2025
    - RFI responses due June 24, 2025
- RFI includes:
  - RFI & Notice of Vendor Engagement
  - Attachment 1 RFI Response Template
  - Attachment 2 USPTO AI Draft Requirement Attachment 5 USPTO Hour: AI
  - Attachment 3 Question & Answer Sheet



 Attachment 4 – Representative Technology Stack



#### **RFI** details

- Only U.S.-based companies/entities/individuals will be considered
- USPTO will maintain rights to any/all data, models, source code, etc. developed in response to RFI or related effort
- Seeking low/no cost solutions to include non-monetary considerations
- USPTO is not liable for any cost associated with responses to the RFI
- Full responses are required (seven questions)
- Marketing materials or unsolicited information will not be considered
- Potential next steps:
  - Additional one-on-one market research sessions or other engagement opportunities
  - Consider potential acquisition methods including use of the Alternative
     Competition Method set forth in the Patent and Trademark Efficiency Act (35 U.S.C. 2(b)(4)(A))



#### Al RFI use cases & objectives (attachment 2)

- Completion of a comprehensive search report delineating analysis between the prior art found and the claim(s) in the application. Utilizing ML and AI to perform a comprehensive search based on the claimed invention in light of the disclosure in the specification. Intended for utility, design and plant applications.
- Al utilized to provide a detailed analysis of a U.S. or 371 National Stage Patent Application to draft office actions to properly determine formality objections (in the abstract, specification, claims and figures) or rejection (35 U.S.C 112 and 35 USC 101)
- Al utilized to provide templates/forms/analysis of a U.S. or 371 National Stage Patent Application based on input from a Patent Examiner to create a complete office action
  - Examiner input could include:
    - 35 U.S.C 112 analysis
    - 35 U.S.C 101 analysis
    - Brief description of relevant prior art under 35 U.S.C 102 and/or 103
    - Other relevant brief descriptions such as allowable subject matter



### Questions?

**USPTOHour@uspto.gov** 

