



United States Patent and Trademark Office

Office of the Commissioner for Trademarks

May 6, 2021

Dr. Thong Quang Ngo, Sr
78/33 Khanh Hoi
Ho Chi Minh City
Ward 4, District 4, 700000
Vietnam

Via Email:

thongngo201@gmail.com; quangthong1011@gmail.com;
ngoquangthongphucac1@gmail.com; quangthong2405@outlook.com;
ngoquangthong.tn@gmail.com; quang7hong2405@gmail.com;
thoongngoo@gmail.com; quangthoong81@gmail.com; fitchgroup81@hotmail.com;
seoulsouthkorea1981@gmail.com; seoulsouthkorea81@gmail.com

In re Thong Quang Ngo

FINAL ORDER FOR SANCTIONS

Dear Dr. Thong Quang Ngo:

Effective immediately, the United States Patent and Trademark Office (USPTO) is excluding you from filing any submissions or engaging in any communications on behalf of yourself or others in any trademark matters before the USPTO. You are forbidden from submitting any further correspondence to the USPTO in any trademark matter, whether informally or by using the Trademark Electronic Application System (TEAS). Any new or previous trademark-related submission filed by you will not be considered. Additionally, any trademark-related submission bearing your name as either the purported signatory or attorney are hereby ordered to be stricken from the record or redacted, as appropriate, in all affected applications and registrations.

This Order is final and no response is expected or required.

Summary of Prior Correspondence

In a Show Cause Order dated December 23, 2020, emailed to you at your known email addresses, the USPTO informed you that you were suspected of violating the rules of practice and conduct before the USPTO by engaging in a pattern of behavior that included (i) filing hundreds of improperly signed and unauthorized requests to change correspondence information in U.S. trademark applications and registration that do not belong to you, (ii) claiming to be an attorney licensed to practice in multiple U.S. states

when you are not, in fact, an attorney, and (iii) presenting hundreds of documents to the USPTO containing false, fictitious, and/or fraudulent information, constituting allegations lacking evidentiary support. As noted in that Order, the submission of these documents serves to harass the legitimate trademark owners, needlessly increases the cost of the proceedings involving these applications and registrations before the Office, and serves an improper purpose. The conduct described in the letter violates at least 37 C.F.R. §§ 2.193, 11.14, 11.18(b), and 11.505, as well as the Terms of Use for USPTO Websites (<http://uspto.gov/terms-use-uspto-websites>).

You were required to respond to the Show Cause Order by Monday, December 28, 2020, at 5:00pm (EST), specifically addressing three requirements for information concerning (i) your relationship to the applicants/registrants, (ii) your qualifications to practice law, and (iii) the technical means by which you were able to continuously file submissions over a 60-hour period. If you were unable to respond by the deadline, you were directed to respond with as much information as possible and explain why additional time was required for the missing information.

Facts and Circumstances Warrant Sanctions

The USPTO did not receive any response addressing the above requested information from you before the stated deadline, and you have not provided any explanation or request for additional time to respond. Between the evening of December 23, 2020 and the morning hours of December 24, 2020, the USPTO received 13 messages from you, attached as Exhibit A.¹ None of the messages were responsive to the issues in the Show Cause Order.²

A party's failure to respond to an information requirement results in an adverse inference being drawn regarding the issue to which the information requirement was directed.³ For this reason, the USPTO presumes that (i) you are not an officer of, nor do you have any authority to take action on behalf of, any of the applicants and/or registrants in the U.S.

¹ The USPTO received two additional messages from you on December 30, 2020, after the stated deadline of December 28, 2020. Each email included numbers purporting to be the Legal Entity Identifier (LEI) and Global Intermediary Identification Number (GIIN) for Barclays Bank PLC. Neither email was responsive to the inquiries set forth in the Show Cause Order.

² One email, dated December 23, 2020, included a link to an external website, which the USPTO has not reviewed. The link purported to be "a list of companies [you] invest in." Even if, *arguendo*, you were an investor in some or all of the affected registrants or applicants, merely investing a company does not transform you into an attorney or authorized officer of any of the applicants or registrants.

³ See *In re US Warriors Ice Hockey Program, Inc.*, 122 USPQ2d 1790, 1794 (TTAB 2017) ("[W]hen it is within a party's power to produce a certain kind of persuasive testimony or documentary evidence on an urged factual finding, and it fails to do so, a tribunal is at least permitted—perhaps even compelled—to draw the inference that that fact is unsupported and/or untrue.") (citations omitted); see also *In re AOP LLC*, 107 USPQ2d 1644, 1651 (TTAB 2013) (the tribunal may presume that a party's responses to an information request would have been unfavorable that party's position, based on the party's failure to respond to the requirement).

trademark applications and/or registrations in which you have filed changes of correspondence, (ii) you are not an attorney in good standing of the bar of the highest court of any U.S. state or jurisdiction, (iii) you have been aided in the submission of the unauthorized changes of correspondence by other parties sharing your MyUSPTO account credentials, and (iv) the submission of these documents serves a wholly improper purpose. As such, your conduct violates at least 37 C.F.R. §§ 2.193, 11.14, 11.18(b), and 11.505.

Further, despite your statements in the emails attached as Exhibit A that you “don’t understand,” have “a lack of knowledge,” and seem to recognize that your actions were “in error,” you continue to file unauthorized and improperly signed Change of Address or Representation forms in additional applications and registrations. After issuance of the Show Cause Order through the date of this letter, the USPTO is aware of at least 226 additional unauthorized submissions submitted by you. Your continued misconduct after being put on notice of it suggests a blatant disregard for our rules and regulations, thus necessitating immediate exclusion.

Authority to Sanction

Under 35 U.S.C. § 3(b)(2)(A), the Commissioner for Trademarks possesses the authority to manage and direct all aspects of the activities of the USPTO that affect the administration of trademark operations. This includes the authority to exclude a person from conducting business in trademark matters before the USPTO, when appropriate. See 35 U.S.C. § 3(b)(2)(A). Furthermore, the Director of the USPTO has delegated to the Commissioner for Trademarks the power to exercise supervisory authority in trademark-related matters, including the authority to issue appropriate sanctions under 37 C.F.R. § 11.18(c). See TMEP § 1709.

Based on this authority, and in view of the circumstances in this matter, Dr. Thong Quang Ngo is hereby excluded from practice before the USPTO in trademark matters.

You may not file any submission or engage in any communications with the USPTO on behalf of yourself or others any trademark matter. The USPTO will not accept any correspondence filed by you in a trademark matter. If you have any legitimate trademark-related business with the USPTO, all related documents must be submitted on your behalf by an attorney qualified to practice before the USPTO in trademark matters under 37 C.F.R. § 11.14(a).

All trademark-related documents identifying “Thong Ngo” (or variations thereof) as an attorney or signatory, whether properly signed or not, are ordered to be stricken from the relevant application or registration records or redacted, as practicable and appropriate under the circumstances, and will not be considered. Any other trademark-related submission containing correspondence information connected to Dr. Thong Quang Ngo shall be treated the same, even if discovered after the date of this Order.

Additionally, because your conduct violates the Terms of Use for USPTO Websites or the Computer Fraud and Abuse Act, 18 U.S.C. § 1030, the Office of the Chief Information Officer is directed to permanently terminate or deactivate any USPTO accounts in which your name or contact information may appear, and to take all reasonable efforts to prevent you for creating or activating further accounts.

This Order is immediate in effect and shall apply both prospectively and retrospectively, and is issued without prejudice to the USPTO taking all appropriate actions to protect its systems and users from your continued improper activity, including referring your conduct to relevant state and federal law enforcement agencies.

So ordered,



David S. Gooder
Commissioner for Trademarks

Encl: Exhibit A

Exhibit A

From: [THONG NGO](#)
To: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Thursday, December 24, 2020 5:05:48 AM
Attachments: image001.png
image001.png

I don't understand, I need to cancel, please help me.

Vào 6:27, Th 5, 24 thg 12, 2020 TM Policy <TMpolicy@uspto.gov> đã viết:

Good afternoon Dr. Ngo,

Please see the attached Order, sent on behalf of the United States Patent and Trademark Office's Commissioner for Trademarks. Your timely response is required no later than 5:00pm (EST) on Monday, December 28, 2020.

Regards,

Office of the Deputy Commissioner for Trademark Examination Policy

tmpolicy@uspto.gov



From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 10:38:09 PM
Attachments: image001.png
image001.png

PLEASE HELP ME , I UNDERSTAND. HELP ME .

Vào 6:27, Th 5, 24 thg 12, 2020 TM Policy <TMpolicy@uspto.gov> đã viết:

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Regards,

Office of the Deputy Commissioner for Trademark Examination Policy

tmpolicy@uspto.gov



From: [NGO THONG](#)
To: thongngo201@gmail.com; quangthong1011@gmail.com; ngoquangthongphucacat1@gmail.com; quangthong2405@outlook.com; ngoquantthong.tn@gmail.com; quang7hong2405@gmail.com; thoongngoo@gmail.com; quangthoong81@gmail.com; fitchgroup81@hotmail.com; [TM Policy](#)
Cc: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 10:23:16 PM
Attachments: image001.png

I SUCCESSFULLY SURRIVED, BECAUSE MY LACK OF KNOWLEDGE HAS CAUSE A FLU. I SUCCESSFULLY SURRIVED, THOUGH USPTO TO REMOVE THIS MY ERROR, I PROMISE FROM HERE TO REMIND YOURSELF BEFORE WORKING.
THANK YOU TO USPTO

THONG NGO

From: TM Policy <TMPolicy@USPTO.GOV>
Sent: Thursday, December 24, 2020 6:27:44 AM
To: thongngo201@gmail.com <thongngo201@gmail.com>; quangthong1011@gmail.com <quangthong1011@gmail.com>; ngoquangthongphucacat1@gmail.com <ngoquangthongphucacat1@gmail.com>; quangthong2405@outlook.com <quangthong2405@outlook.com>; ngoquantthong.tn@gmail.com <ngoquantthong.tn@gmail.com>; quang7hong2405@gmail.com <quang7hong2405@gmail.com>; thoongngoo@gmail.com <thoongngoo@gmail.com>; quangthoong81@gmail.com <quangthoong81@gmail.com>; fitchgroup81@hotmail.com <fitchgroup81@hotmail.com>; seoulsouthkorea1981@gmail.com <seoulsouthkorea1981@gmail.com>
Cc: TM Policy <TMPolicy@USPTO.GOV>
Subject: Attn: Thong Quang Ngo - Show Cause Order - Response Required

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Regards,

Office of the Deputy Commissioner for Trademark Examination Policy
tmpolicy@uspto.gov



From: [Thong Ngo](#)
To: quangthong1011@gmail.com; ngoquangthongphucut1@gmail.com; quangthong2405@outlook.com; ngoquantthong.tn@gmail.com; quang7hong2405@gmail.com; thoongngoo@gmail.com; quangthoong81@gmail.com; fitchgroup81@hotmail.com; seoulsouthkorea1981@gmail.com; [TM Policy](#)
Cc: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 10:23:02 PM
Attachments: image001.png

I SUCCESSFULLY SURRIVED, BECAUSE MY LACK OF KNOWLEDGE HAS CAUSE A FLU. I SUCCESSFULLY SURRIVED, THOUGH USPTO TO REMOVE THIS MY ERROR, I PROMISE FROM HERE TO REMIND YOURSELF BEFORE WORKING.
THANK YOU TO USPTO

THONG NGO

From: TM Policy <TMpolicy@USPTO.GOV>
Sent: Thursday, December 24, 2020 6:27:44 AM
To: thongngo201@gmail.com <thongngo201@gmail.com>; quangthong1011@gmail.com <quangthong1011@gmail.com>; ngoquangthongphucut1@gmail.com <ngoquangthongphucut1@gmail.com>; quangthong2405@outlook.com <quangthong2405@outlook.com>; ngoquantthong.tn@gmail.com <ngoquantthong.tn@gmail.com>; quang7hong2405@gmail.com <quang7hong2405@gmail.com>; thoongngoo@gmail.com <thoongngoo@gmail.com>; quangthoong81@gmail.com <quangthoong81@gmail.com>; fitchgroup81@hotmail.com <fitchgroup81@hotmail.com>; seoulsouthkorea1981@gmail.com <seoulsouthkorea1981@gmail.com>
Cc: TM Policy <TMpolicy@USPTO.GOV>
Subject: Attn: Thong Quang Ngo - Show Cause Order - Response Required

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Office of the Deputy Commissioner for Trademark Examination Policy
tmpolicy@uspto.gov



From: [THÔNG NGÔ](#)
To: thongngo201@gmail.com; quangthong1011@gmail.com; ngoquangthongphucacat1@gmail.com; ngoquantthong.tn@gmail.com; quang7hong2405@gmail.com; thoongngoo@gmail.com; quangthoong81@gmail.com; fitchgroup81@hotmail.com; seoulsouthkorea1981@gmail.com; [TM Policy](#)
Cc: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 10:22:57 PM
Attachments: image001.png

I SUCCESSFULLY SURRIVED, BECAUSE MY LACK OF KNOWLEDGE HAS CAUSE A FLU. I SUCCESSFULLY SURRIVED, THOUGH USPTO TO REMOVE THIS MY ERROR, I PROMISE FROM HERE TO REMIND YOURSELF BEFORE WORKING.
THANK YOU TO USPTO

THONG NGO

From: TM Policy <TMpolicy@USPTO.GOV>
Sent: Thursday, December 24, 2020 6:27:44 AM
To: thongngo201@gmail.com <thongngo201@gmail.com>; quangthong1011@gmail.com <quangthong1011@gmail.com>; ngoquangthongphucacat1@gmail.com <ngoquangthongphucacat1@gmail.com>; quangthong2405@outlook.com <quangthong2405@outlook.com>; ngoquantthong.tn@gmail.com <ngoquantthong.tn@gmail.com>; quang7hong2405@gmail.com <quang7hong2405@gmail.com>; thoongngoo@gmail.com <thoongngoo@gmail.com>; quangthoong81@gmail.com <quangthoong81@gmail.com>; fitchgroup81@hotmail.com <fitchgroup81@hotmail.com>; seoulsouthkorea1981@gmail.com <seoulsouthkorea1981@gmail.com>
Cc: TM Policy <TMpolicy@USPTO.GOV>
Subject: Attn: Thong Quang Ngo - Show Cause Order - Response Required

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Regards,

Office of the Deputy Commissioner for Trademark Examination Policy
tmpolicy@uspto.gov



From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: DEAR USPTO
Date: Wednesday, December 23, 2020 10:21:47 PM

I SUCCESSFULLY SURRIVED, BECAUSE MY LACK OF KNOWLEDGE HAS CAUSE A FLU. I SUCCESSFULLY SURRIVED, THOUGH USPTO TO REMOVE THIS MY ERROR, I PROMISE FROM HERE TO REMIND YOURSELF BEFORE WORKING. THANK YOU TO USPTO.

From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Support
Date: Wednesday, December 23, 2020 7:21:53 PM

Sorry for my lack of understanding, I am confused with attorney and owner wording.
Honestly I'm sorry.
Thanks.

From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 7:15:30 PM
Attachments: image001.png
image001.png

I HAVE BILLS, DOCUMENTS OF PAYMENT AND TRANSACTION HISTORY .

Vào 6:27, Th 5, 24 thg 12, 2020 TM Policy <TMpolicy@uspto.gov> đã viết:

Good afternoon Dr. Ngo,

Please see the attached Order, sent on behalf of the United States Patent and Trademark Office's Commissioner for Trademarks. Your timely response is required no later than 5:00pm (EST) on Monday, December 28, 2020.

Regards,

Office of the Deputy Commissioner for Trademark Examination Policy

tmpolicy@uspto.gov



From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 7:11:31 PM
Attachments: image001.png
image001.png

Here is a list of companies I invest in. Please watch for me

https://docs.google.com/spreadsheets/d/1YBHL8AGf6aNnx6DI7pqjIOIsUzDGdf6q_VkekrjZhEg/edit?usp=drivesdk

Vào 6:27, Th 5, 24 thg 12, 2020 TM Policy <TMpolicy@uspto.gov> đã viết:

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Regards,

Office of the Deputy Commissioner for Trademark Examination Policy

tmpolicy@uspto.gov



From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 7:05:14 PM
Attachments: image001.png
image001.png

What should I do now?

Vào 6:27, Th 5, 24 thg 12, 2020 TM Policy <TMpolicy@uspto.gov> đã viết:

Good afternoon Dr. Ngo,

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Regards,

Office of the Deputy Commissioner for Trademark Examination Policy

tmpolicy@uspto.gov



From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 7:01:13 PM
Attachments: image001.png

Please help me fix my mistakes.
Thanks.

Vào Thứ Năm, 24 tháng 12, 2020, TM Policy <TMpolicy@uspto.gov> đã viết:

Good afternoon Dr. Ngo,

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Regards,

Office of the Deputy Commissioner for Trademark Examination Policy

tmpolicy@uspto.gov



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null

From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 6:59:50 PM
Attachments: image001.png
image001.png
Chia sẻ Adam Atlas Attorney at Law List of all Financial Institutions in the World and all MSBs in the World_20201224_065523.pdf

Hi.

Here is a list of companies I invest in. I have a bill.

Vào 6:27, Th 5, 24 thg 12, 2020 TM Policy <TMpolicy@uspto.gov> đã viết:

Good afternoon Dr. Ngo,

Please see the attached Order, sent on behalf of the United States Patent and Trademark Office's Commissioner for Trademarks. Your timely response is required no later than 5:00pm (EST) on Monday, December 28, 2020.

Regards,

Office of the Deputy Commissioner for Trademark Examination Policy

tmpolicy@uspto.gov



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null

From: [Thong Ngo](#)
To: [TM Policy](#)
Subject: Re: Attn: Thong Quang Ngo - Show Cause Order - Response Required
Date: Wednesday, December 23, 2020 6:48:54 PM
Attachments: image001.png
image001.png

Dear Support.

Please give me advice . I am trading, but honestly I don't understand so I missed it. Sorry for my ignorance.

Vào 6:27, Th 5, 24 thg 12, 2020 TM Policy <TMpolicy@uspto.gov> đã viết:

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