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PTO-1822

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Response to Suspension Inquiry or Letter of Suspension

### TEAS - Version 8.1

Please enter the **serial number** of the pending application for which you wish to respond to a Suspension Inquiry or Letter of Suspension. Then, click on the **CONTINUE** button, *below*. **NOTE:** You **must** respond to a Suspension Inquiry by the deadline indicated in the Suspension Inquiry. If the deadline in the Suspension Inquiry is not known, please access the USPTO website at [TSDR System](#), insert the application serial number, and view the applicable prosecution history event.

**Although not required, you *may* use this form to respond to an actual Letter of Suspension, to present arguments in favor of removal of the application from suspension.** The Applicant's election not to present argument during suspension will not affect the applicant's right to present argument later should a refusal, in fact, issue at a later point. If a refusal does issue, the applicant must respond by the deadline indicated in that Office action. **Within this form, you may also enter additional data to satisfy an existing requirement(s) or other matter if appropriate, for example, to amend the listing of goods/services/the nature of the collective membership organization or enter a disclaimer.**

**TIMEOUT WARNING:** You're required to log back in after 30 minutes of [inactivity](#). This ensures the USPTO complies with [mandatory federal information security standards](#) and protects user information. After 25 minutes of inactivity, you will be prompted to continue your session. If you do not continue within 5 minutes, the session will end, you will be logged out of your USPTO.gov account, and you will lose any unsaved data in the form. Please have all of your information ready before you start.

Required fields are indicated with an asterisk (\*).

#### TO ACCESS THE RESPONSE FORM:

##### STEP 1: **CHECK STATUS.**

To use this form, the "Current Status" of your application must be either "An Office action suspending further action on the application has been sent (issued) to the applicant" or "A suspension inquiry has been sent (issued) to the applicant." Use the [Trademark Status & Document Retrieval \(TSDR\)](#) to confirm the status before proceeding. If the application is not in the correct status, you must wait until the status is updated (usually 48-72 hours after receiving an email notice that an Office action has issued). Otherwise, you will receive an error message when you click the "Continue" button at the bottom of the page.

**STEP 2: ENTER APPLICATION SERIAL NUMBER BELOW OR ACCESS PREVIOUSLY FILLED-OUT/SAVED FORM.**

\* [Serial Number](#):

*(required only if completing a new response form; otherwise, access saved form, below)*

**OR**

To upload a previously saved form file, first review the [TEAS Help instructions for accessing previously saved data](#) and then use the "Browse..." button below to access the form file saved on your computer. **WARNING:** Failure to follow the TEAS Help instructions will result in the inability to edit your data.

NOTE: This button should **not** be used to attempt to upload or attach any other file, for example, a specimen file or a foreign registration certificate. For these or any other types of attachments, after answering "Yes" to the appropriate wizard question(s), you can then attach the file within the specific part of the form relating to that issue.

**CONTACT:**

For **general trademark information or help navigating the forms**, email [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov), or telephone 1-800-786-9199 (press 1).

If you need help resolving **technical glitches**, email [TEAS@uspto.gov](mailto:TEAS@uspto.gov). Please include in your email your phone number, serial or registration number, and a screenshot of any error message you may have received.

**Tips:**

Use the **Continue** button at the bottom of each page to move through the form. Fill out all fields for which information is known. Fields with a \* symbol are mandatory and must be completed.

Choose a signature method for signing the form on the Signature Page.

Review the data you entered by accessing the Input Table on the Validation Page. Confirm that all information is displayed properly. Return to the appropriate section of the form and make any needed corrections before submission of the response.

Click on the **Pay/Submit button** at the bottom of the Validation Page and provide the payment required (if any) to submit the form. When successful you will be navigated to a confirmation screen.

An email acknowledging receipt of the submission (a filing receipt) will be sent to the Primary

Email Address for Correspondence

**Status Check:**

- The status of the filing is available in the [Trademark Status & Document Retrieval System \(TSDR\)](#) 72 hours after filing.

**Serial Number**

**Mark:**

**Owner/Holder  
Information**

**Email Address:**

**Attorney  
Information**

**Email Address:**

**Primary Email  
Address  
for  
Correspondence**

**Warning:** This is the email address currently of record in the USPTO database. If it is not the email address of either the appointed attorney, if any, otherwise the owner, it must be updated.

**Secondary Email  
Address(es)  
(Courtesy  
Copies)**

**\*STEP 3: To provide information about any of the following, check the [appropriate box\(es\)](#):**

- ☐ Pending Serial Number(s)
- ☐ Cancellation Proceeding(s)
- ☐ Opposition Proceeding(s)
- ☐ Civil Action Proceeding(s)
- ☐ Concurrent Use Proceeding(s)

- ☐ Foreign application(s)
- ☐ Foreign registration
- ☐ Assignment
- ☐ Registration maintenance document(s)
- ☐ Other (Enter the reason for the response on the page that follows)

**STEP 4: TO ADDRESS ANY OTHER ISSUE, ANSWER "YES" TO THE APPROPRIATE WIZARD QUESTION.**

**NOTE: IF NO OTHER ISSUE MUST BE ADDRESSED, SKIP TO STEP 5**

You should review the action and then answer "Yes" to any question that directly relates to a requirement raised in the action. You must respond explicitly to **each** requirement; that is, if the examining attorney requires specific wording, you must enter that wording in the proper place in the form.

**A RESPONSE WITH ONLY YOUR SIGNATURE IS NOT ACCEPTABLE.** Merely providing a response with a signature and no other information, or authorizing the examining attorney to make any necessary changes to the application without specifying the exact changes, is not a proper response to the Office action (except if the *only* issue raised was that the original filing was unsigned, improperly signed, or lacked the required declaration language).

**1. Do you need to do any of the following:**

- [change/delete an existing class number](#)\*
- [modify the identification of goods/services/the nature of the collective membership organization](#) \*\*
- [change filing basis](#)
- [add/modify dates of use](#)
- [submit a new or substitute specimen](#)
- [submit a foreign registration certificate or proof of renewal of the foreign registration](#)

\*NOTE: To *ADD* a new class, answer "Yes" to #5, and to pay fee(s) for additional class(es), answer "Yes" to #6.

\*\*NOTE: You may only modify the identification of goods/services/the nature of the collective membership organization to clarify or limit them; adding to or broadening the scope of the goods/services/nature of the collective membership organization is **not** permitted.

☐ Yes ☒ No

**2. Do you need to add or delete (withdraw) any of the following:**

**NOTE: ALL of the below will display within the "Additional Statement(s)" section of the form, even when only one is appropriate and should be selected.**

- Disclaimer

- Colors claimed as feature of the mark
- Description of the mark (including nature and location of color(s)), if appropriate\*
- Stippling statement
- Claim of prior registration(s)
- Translation/Transliteration
- Meaning and/or significance of wording, letter(s), and/or numeral(s) in the mark
- Section 2(f) claim
- Consent to register name(s), likeness(es), signature(s) of individual(s)
- Supplemental Register amendment
- Concurrent use claim
- Miscellaneous statement\*\*

**\*NOTE:** Do **not** use this section if you are also answering "Yes" to Question #3, *below*, which will then provide this field. Use this **only** if adding or modifying an existing description **but not attaching a new mark image**.

**\*\*NOTE:** The miscellaneous statement field includes the ability to attach a file, for example, to provide requested product literature. Do **not** use this section for attachments where a specific different section for the purpose already exists, for example, submission of a substitute specimen in #1, *above*. This field may also be used to request **DELETION (WITHDRAWAL)** of an additional statement currently of record, for example, a disclaimer (see specific instructions within form).

☐ Yes ☒ No

**3. Do you need to submit a new drawing of the mark, either to [change the mark](#) itself or [submit a better quality image](#)?**

**NOTE:** A material change to your mark is never permissible. Only minor changes in the mark are *sometimes* permitted. A drawing must be in JPG format.

☐ Yes ☒ No

**4. Do you need to correct or change the [owner's/holder's name or entity information](#) or update the owner's/holder's mailing address, email address, phone or fax number(s)?**

The email address of the trademark owner/holder is the **Primary Email Address for Correspondence** if an attorney is NOT appointed. **Secondary Email Address(es)** for courtesy copies can be provided.

☒ Yes ☐ No

**5. Do you need to [add a new class\(es\) of goods/services/a collective membership organization](#) ?**

**NOTE:** You may **not** add class(es)/goods/services, or any descriptive language in an identification that would result in a broadening of the scope of the current identification of goods/services/the collective membership organization.

**NOTE:** To pay the fee(s) for adding class(es), answer "Yes" to #6. To change an existing classification number or delete goods/services, answer "Yes" to #1.

☐ Yes ☒ No

If the answer is Yes, enter the number of

classes:

**6. Do you need to submit a fee for: (1) an existing or additional class of goods/services/a collective membership organization due to a deficiency in the application and/or (2) processing a [payment](#) that has been refused or charged back?**

☐ Yes ☒ No

**7. Is a [newly appearing U.S.-licensed attorney](#) filing this form or do you need to update the bar information, email address, street address, phone or fax number for an already appointed attorney?**

[Foreign-domiciled owners/holders](#) must have a U.S.-licensed attorney represent them before the USPTO in any application-or registration-related filing. Information about hiring a U.S.-licensed attorney can be found on the USPTO website.

☒ Yes ☐ No

**8. Do you need to submit a [Signed Declaration](#) to verify an application?**

**NOTE:** Answer "Yes" to this question if the application was unsigned, improperly signed, or lacked the required declaration language.

☐ Yes ☒ No

#### **STEP 5: ACCESS ACTUAL FORM.**

Click on the "Continue" button below to access the form for entering your information.

#### **\* PENDING SERIAL NUMBER(S)**

Serial number(s)  should not be used as a citation(s) under Section 2(d), in the event that said serial number(s) mature(s) into a registration(s). I hereby request removal of this application from suspension, based on the following arguments. If the examining attorney is not persuaded by these arguments, I hereby request that this application be returned to suspended status, awaiting ultimate disposition of the referenced serial number(s).

Serial number(s)  should not be used as a citation(s) under Section 2(d) because the applicant herein claims ownership of the referenced serial number(s), supported by a declaration. I hereby request removal of this application from suspension. If the examining attorney is not persuaded by this ownership claim, I hereby request that this application be returned to suspended status, awaiting ultimate disposition of the referenced serial number(s). <bwarning:< b="" style="border: 1px solid black; padding: 2px 5px; display: inline-block;">You may only use this option if,

under oath, you are able to attest to the ownership, based on facts that clearly support your claim of ownership. A declaration at the end of the form will specifically be provided for this purpose.

**NOTE:** You are not required to submit arguments at this time, and you may choose to have this application remain in a suspended status until disposition of the referenced serial number(s); however, you may present arguments if you believe the examining attorney will be convinced to remove the application from suspended status and continue prosecution (i.e., you do not want to wait for the prior-filed application(s) to register before determining whether a Section 2(d) refusal is warranted).

*The applicant's election not to present arguments during suspension will not affect the applicant's right to present arguments later should a refusal in fact issue. If an Office action with a refusal does ultimately issue, the applicant will be afforded the amount of time specified in the Office action to submit a response.*

Use the options below to submit statements and argument(s) related specifically to the pending serial number(s).

**OR/AND**

The referenced serial number(s)  has/have now abandoned/cancelled/expired. I am requesting removal of this application from suspension for consideration by the examining attorney.

The referenced serial number(s)  has/have now registered. I am requesting removal of this application from suspension, for consideration by the examining attorney of the issue of likelihood of confusion under Section 2(d).

**\*FOREIGN APPLICATION(S)**

The foreign application(s) No(s).  which forms the basis for a priority claim under Section 44(d) has/have not yet matured into a registration in the applicant's country of origin. I hereby request that the application be re-suspended.

The foreign application(s) No(s).  which forms the basis for a priority claim under Section 44(d) has/have now registered; however, because the country of origin has not yet formally issued the actual registration certificate, it cannot be provided at this time to the USPTO.

The foreign application No(s).  has/have now abandoned. I hereby request removal of this application from suspension for further action by the examining attorney.

☐ The applicant herein elects NOT to proceed to registration under Section 44(e). The claim of priority previously made under Section 44(d) will be retained in the USPTO's records.

Use the below for comments/remarks related specifically to the foreign application(s).

**OR/AND**

## \*FOREIGN REGISTRATION

The applicant herein submits a true copy, photocopy, certification, or certified copy of a registration in the applicant's country of origin showing that the mark has been registered in that country, and that the registration is in full force and effect. If the record indicates that the relevant foreign registration has expired, or will expire before the United States registration will issue, a true copy, photocopy, certification, or certified copy of a proof of renewal from the intellectual property office of the foreign country, or a true copy, photocopy, certification, or certified copy of the foreign registration, that shows that the foreign registration has been renewed and will be in force at the time the registration issues in the United States must be submitted. If the foreign registration and/or proof of renewal is/are not in English, an English translation thereof must be submitted. I hereby request removal of this application from suspension for further action by the examining attorney.

### Deletion of Section 1(b) basis

☐ The applicant requests that the examining attorney delete the Section 1(b) basis for the goods/services/collective membership organization that the foreign registration submitted herewith covers, if the Section 44(e) basis is accepted for those goods/services/collective membership organization.

\*Country/Region/Jurisdiction/U.S. Territory of Foreign Registration

\*Foreign Registration Number

Note: The USPTO database can only store 19 characters for this field. You should adjust your entry if possible, eliminating any unnecessary leadings 0s or country abbreviations, for example.

\*Foreign Registration Date

(MM/DD/YYYY)

Renewal Date for Foreign Registration

(MM/DD/YYYY)

Expiration Date of Foreign Registration

(MM/DD/YYYY)

\* Attach Foreign Registration/ Translation

1 file(s) attached

☐

Check here if the foreign registration which forms the basis of the U.S. application under Section 44(e) includes a claim of standard characters or the country of origin's standard equivalent.

**WARNING:** If this box is NOT checked, then the designation of the mark as "Standard Character Mark" will automatically be changed from "YES" to "NO."

**NOTE:** To provide information related to multiple foreign registrations, you must enter the registration number, registration date, renewal date, and expiration date, if appropriate, within an image file, and attach the file in addition to the registration/ renewal/ translation. The actual data fields above may only be used for one registration, and information related to multiple foreign registrations must be provided through a "workaround" method.



Use the below for comments/remarks related specifically to the foreign registration(s)/renewal(s).

**\* ASSIGNMENT**

An assignment involving serial no(s).  remains pending before the USPTO. I hereby request that this application be re-suspended pending the disposition of this assignment.

The assignment involving serial no(s)  has now been recorded, at Reel No.  Frame No. . I hereby request removal of this application from suspension for further action by the examining attorney.

Use the below for comments/remarks related specifically to the assignment.

**OR/AND**

0 file(s) attached

**\*REGISTRATION MAINTENANCE DOCUMENT(S)**

☐ \*Registration had been refused under Section 2(d), based on the cited registration(s). However, registration maintenance documents were due to be filed for the cited registration(s). It is now believed that these registration maintenance documents were not timely filed, and that the cited registration(s) has been or will be canceled under Section 8 or has expired or will expire under Section 9 and will no longer present a bar to registration under Section 2(d). Therefore, I hereby request removal of this application from suspension for further action by the examining attorney.

Use the below for comments/remarks related specifically to the registration maintenance document(s).

**OR/AND**

0 file(s) attached

**CLASSIFICATION AND LISTING OF GOODS/SERVICES/COLLECTIVE  
MEMBERSHIP ORGANIZATION**

**Amending the Original Class(es) in the Application**

**Enter information for the Original Class**

☐ Check here to delete the following class of goods/services/collective membership organization from your application. If checked, it is not necessary to modify the current class below.

☐ Check here to modify the current classification number; listing of goods/services/the nature of the collective membership organization; dates of use; and/or filing basis; or to submit a substitute specimen, foreign registration certificate, or proof of renewal of a foreign registration. If not checked, the changes will be ignored.

Original International Class :

\*International Class:  (Enter class number 001- 045, A, B and 200)

**\*Listing of Goods/Services/Collective Membership Organization**

Do not enter a Class or any other code in the field below. You must enter only the common commercial name for the specific goods/services/nature of the collective membership organization associated with the mark. Also, do not include any html or other programming code or language that may create links in the listing of goods/recitation of services/description of the collective membership organization]. For more information about acceptable language for the goods and/or services, see the USPTO's on-line [Goods and/or Services Manual](#). If entering multiple listings of goods/services, please separate with a semi-colon (;), NOT a comma.

**WARNING:** Your entry may NOT exceed the scope of your current identification. While you may modify the current listing to clarify or limit the goods/services/nature of the collective membership organization or to remove goods/services, you may NOT at this point ADD to, or BROADEN, the goods/services/nature of the collective membership organization. A new filing would be required to cover any new goods/services or broadening of the nature of the collective membership organization not within the scope of the current identification.

**WARNING: Registration Subject to Cancellation for Fraudulent Statements**

Statements made in filings to the USPTO must be accurate; inaccuracies could result in the cancellation of a trademark registration. For example, an applicant's lack of a bona fide intention to use the mark with **all** goods/services included in an application, or the lack of use on **all** goods/services for which use is claimed, could jeopardize the validity of the resulting registration.

☐ **Filing Basis Section 1(a), Use in Commerce:** *For all applications:* The mark is in use in commerce and was in use in commerce as of the application filing date. The provided specimen shows the mark in use in commerce (see specimen statement below). *For a collective trademark, collective service mark, collective membership mark, or certification mark application:* The applicant is exercising legitimate control over the use of the mark in commerce and was exercising legitimate control over the use of the mark in commerce as of the application filing date. *For a certification mark application:* The applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant. NOTE: If the original application was filed under Section 1(b), Intent to Use, and you want to now assert use, do not use this form. Instead, you must file an [Allegation of Use form](#).

[Date of First Use of Mark Anywhere](#) at least as early as  (MM/DD/YYYY)

[Date of First Use of Mark Commerce](#) at least as early as  (MM/DD/YYYY)

**[Specimen File](#)**

**NOTE: Watch** the TMIN [video explaining what is meant by the term "specimen"](#). Visit the USPTO's website for [information on acceptable file sizes and formats](#).

**Instructions:**

Attach ONLY the specimen here, not the entire response to Office action. Each portion of this form serves a specific purpose for data processing reasons. Failure to follow this instruction will cause significant delays in the processing and review of your filing.

**WARNING:** The file size cannot exceed 5 megabytes per attachment for JPG or 30 megabytes per attachment for PDF.

☐

Check this box if you are mailing a [non-traditional specimen](#) using USPS because it meets the qualifications explained in the hyperlink. Sound and motion specimens are not non-traditional and **MUST** be submitted using this form. Failure to submit a required specimen through TEAS may result in processing delays and additional fees.

[Describe what the submitted specimen consists of](#)



**ADDITIONAL INFORMATION REQUIRED FOR WEBPAGE SPECIMENS:**

Enter webpage URL(s) and date(s) of access below if it does **NOT** appear on the attached specimen.

Enter webpage URL(s) and date(s) of access below if it does **NOT** appear on the attached specimen.

If your [specimen](#) consists of a webpage, provide the webpage URL:

If your [specimen](#) consists of a webpage, indicate the date you accessed or printed the webpage:

(MM/DD/YYYY)

**\* CHECK BOX BELOW IF SPECIMENS ARE BEING SUBMITTED OR WERE**

**UNVERIFIED IN APPLICATION:** If an additional or new specimen(s) is being submitted, or if the originally-submitted specimen(s) was unverified, check the statement immediately below to support the submission (the required declaration will automatically appear at the end of the form).

**WARNING:** You must select the specimen statement below for the substitute, new, or originally submitted unverified specimen to be acceptable.

☐

**"The substitute (or new, or originally submitted, if appropriate) specimen(s) was/were in use in commerce at least as early as the filing date of the application" [for an application based on Section 1(a), Use in Commerce] OR "The substitute (or new, or originally submitted, if appropriate) specimen(s) was/were in use in commerce prior either to the filing of the Amendment to Allege Use or expiration of the filing deadline for filing a Statement of Use" [for an application based on Section 1(b) Intent-to-Use] OR "The attached specimen is a true copy of the specimen that was originally submitted with the application, amendment to allege use, or statement of use" [for an illegible specimen]. The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his or her own knowledge are true and all statements made on information and belief are believed to be true.**

☐

**Filing Basis Section 1(b), Intent to Use: *Filing Basis: Section 1(b), Intent to Use: For a trademark or service mark application:*** The applicant believes the applicant is entitled to use the

mark in commerce on or in connection with the goods or services specified in the application; the applicant has a bona fide intention to use the mark in commerce and had a bona fide intention to use the mark in commerce as of the application filing date. **For a collective trademark, collective service mark, collective membership mark, or certification mark application:** The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce and had a bona fide intention, and was entitled, to exercise legitimate control over the use of the mark in commerce as of the application filing date. **For a certification mark application:** The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

**WARNING:** Do NOT check the box to add a Section 1(b) filing basis if your goods/services/collective membership organization for this class is/are already based on Section 1(a), use in commerce. Filing under Use and Intent to Use is not permitted for the same goods/services/collective membership organization.

**Additional Filings:**

Fee(s) will be required to file either the [Statement of Use form or Extension Request](#), prior to registration, after you begin the required use of the mark in commerce.

☐ **Filing Basis Section 44(d), Priority based on foreign filing:** **For a trademark or service mark application:** The applicant believes the applicant is entitled to use the mark in commerce on or in connection with the goods/services specified in the application; the applicant has a bona fide intention to use the mark in commerce and had a bona fide intention to use the mark in commerce as of the application filing date; and the applicant asserts a claim of priority based upon a foreign application. **For a collective trademark, collective service mark, collective membership mark, or certification mark application:** The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce; the applicant had a bona fide intention, and was entitled, to exercise legitimate control over the use of the mark in commerce as of the application filing date; and the applicant asserts a claim of priority based upon a foreign application. **For a certification mark application:** The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

Foreign Application Number

Note: The USPTO database can only store 19 characters for this field. You should adjust your entry if possible, eliminating any unnecessary leadings 0s or country abbreviations, for example.

Date of

Foreign

(MM/DD/YYYY)

Filing

Country/Regi

on/Jurisdiction

n/U.S.

Territory of

Foreign

Application

Country/Region/Jurisdiction/U.S. Territory ▼

☐ At this time, the applicant intends to rely on Section 44(e) as a basis for registration. If ultimately the applicant does not rely on Section 44(e) as a basis for registration, a valid claim of priority may be retained.

☐ At this time, the applicant has another basis for registration (Section 1(a) or Section 1(b)) and does NOT intend to rely on Section 44(e) as the basis for registration, but is only asserting a valid claim of priority.

☐ **Filing Basis Section 44(e), Based on Foreign Registration:** **For all applications:** The applicant attaches a copy of the foreign registration certificate or proof of renewal of such

registration, and an English translation if such documents are in a foreign language. **For a trademark or service mark application:** The applicant believes the applicant is entitled to use the mark in commerce on or in connection with the goods/services specified in the application; the applicant has a bona fide intention to use the mark in commerce and had a bona fide intention to use the mark in commerce as of the application filing date. **For a collective trademark, collective service mark, collective membership mark, or certification mark application:** The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce; and the applicant had a bona fide intention, and was entitled, to exercise legitimate control over the use of the mark in commerce as of the application filing date. **For a certification mark application:** The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

Foreign

Registration Number  Note: The USPTO database can only store 19 characters for this field. You should adjust your entry if possible, eliminating any unnecessary leadings 0s or country abbreviations, for example.

Foreign   
Registration Date (MM/DD/YYYY)

Date Foreign   
Registration Renewed (MM/DD/YYYY)

(if applicable)  
Expiration Date of   
Foreign (MM/DD/YYYY)

Registration  
Country/Regi  
on/Jurisdiction/U.S.

Territory of

Foreign Registration [Attach Foreign Registration/Proof of Renewal](#)

**WARNING:** **WARNING:** The file size cannot exceed 5 megabytes per attachment for JPG or 30 megabytes per attachment for PDF.

☐

Check here if the foreign registration that is the basis of the U.S. application under Section 44(e) includes a claim of standard characters or the country of origin's standard character equivalent.

**WARNING:** If this box is NOT checked, then the designation of the mark as "Standard Character Mark" will automatically be changed from "YES" to "NO."

### **ADDITIONAL STATEMENT(S)**

**Note:** The declaration required to support a Section 2(f) (or in part) claim (based on use) will automatically appear at the end of this form.

**Note:** For an instructional video on the Additional Statements section of the form, [click here](#). To **delete (withdraw)** a statement that is already in the application record (e.g., a disclaimer), use the "MISCELLANEOUS STATEMENT" field, *below*.

**WARNING:** As a general rule, for disclaimers, translations and transliterations, consents, or an explanation of the mark's significance, include only words that are in the mark within quotation marks in the text boxes below.

**DISCLAIMER:** No claim is made to the exclusive right to

use  apart from the mark as shown.

☐ **STIPPLING AS A FEATURE OF THE MARK:** The stippling is a feature of the mark and does not indicate color.

☐ **STIPPLING FOR SHADING:** The stippling is for shading purposes only.

**ACTIVE PRIOR REGISTRATION(S):** The applicant claims ownership of active prior U.S.

Registration Number(s) , , . **NOTE:** Entry must not include any commas, and must be 7 numerals long (if necessary, add leading 0's to number, *e.g.*, 0086417).

☐ and others: Check here to indicate there are additional active prior U.S. Registration Number(s).

**TRANSLATION:**

The English translation of  in the mark is .

The wording  has no meaning in a foreign language.

**TRANSLITERATION:** (*NOTE: Not required for **any** standard character marks.*)

The non-Latin characters in the mark transliterate

to  and this

means  in English.

The non-Latin characters in the mark transliterate

to  and this has no meaning in a foreign language.

**SIGNIFICANCE OF WORDING, LETTER(S), OR NUMERAL(S):**

appearing in the mark means or signifies or is a term of art for  in the relevant trade or industry or as used in connection with the goods/services/collective membership organization listed in the application.

appearing in the mark has no significance nor is it a term of art in the relevant trade or industry or as used in connection with the goods/services/collective membership organization listed in the application, or any geographical significance.

The word(s)  has no meaning in a foreign language.

**INDICATE THE NATURE OF THE §2(f) CLAIM OF ACQUIRED DISTINCTIVENESS**

☐ **§2(f) Whole** ☐ **§2(f) In Part**

**NAME(S), PORTRAIT(S), SIGNATURE(S) OF INDIVIDUAL(S):**

The name(s), portrait(s), and/or signature(s) shown in the mark

identifies , whose consent(s) to register is made of record.

☐ The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual.

☐ **SUPPLEMENTAL REGISTER:** The applicant seeks registration of the mark on the Supplemental Register (i.e., a change of the words 'Principal Register' to 'Supplemental Register').

☐ The applicant has separately filed an Allegation of Use, to change the basis of this application from Section 1(b), intent-to-use, to Section 1(a), use in commerce, making conversion to the Supplemental Register permissible.

**CONCURRENT USE:** Enter the [appropriate concurrent use information](#) in the text box immediately below. WARNING: To assert concurrent use, you **must:** (1) have assigned a Section 1(a) filing basis, which indicates you are using, or, if appropriate, exercising legitimate control over the use of, the mark in commerce; AND (2) (i) intend to initiate a legal proceeding before the Trademark Trial and Appeal Board or have obtained a Board determination from a prior proceeding establishing that you are entitled to a concurrent registration; or (ii) have obtained a final court determination establishing your concurrent right to use the same or similar mark in commerce in a limited geographic area.

☐ **MISCELLANEOUS STATEMENT:**

- **For collective trademark/service mark/membership applications based on Section 1(a), use of the mark in commerce:** If you have not previously submitted an acceptable statement indicating your method of control for all identified classes, use the text box below to indicate your method of control (the following format is suggested: "the applicant controls the members' use of the mark [indicate method of control, e.g., as specified in the applicant's bylaws or other written provisions, etc.]").
- **For certification mark applications based on Section 1(a), use of the mark in commerce:** If you have not previously submitted an acceptable copy of the applicable certification standards, click on the grey bar below labeled "Click here to Attach/Remove Miscellaneous" to attach a copy of the standards that you use to determine whether particular goods or services will, in fact, be certified; and/or (as applicable).
- **For all certification mark applications:** If you have not previously submitted an acceptable statement of the characteristic(s), standard(s), or feature(s) that is (or will be) certified by the mark for all identified classes, use the text box below to enter a statement of the characteristic(s), standard(s), or other feature(s) that you are (or will be) certifying about the identified goods/services. The following format is suggested: "The certification mark, as used or intended to be used by persons authorized by the certifier, certifies or is intended to certify that the goods and/or services provided have [specify]."



## Mark Information

**Note:** While minor changes in the mark are *sometimes* permitted, any [material alteration](#) will NOT be permitted and will result in a refusal being issued on that ground.

☐ If you have read and understood the above notice, you must check this box before you enter the proposed amended mark.

**\* Click the appropriate circle to indicate the Mark type:**



[Standard Characters](#)  
[and/or Design](#)



[Special Form \(Stylized\)](#)  
[Sound Mark](#)

Click on the "Browse/Choose File" button to select a [properly-sized JPG image file](#) (the only accepted format) from your local drive. This image should show the mark exactly as you would wish the mark to appear on your registration certificate, if the mark registers. If you are claiming color, you **must** submit a color image; otherwise, the image must be clear [black and white](#). After the file name appears in the window, click on the "Attach" button to upload the file into the application. A "thumbnail" version of the image will then display directly within the form.

**WARNING:** The image size cannot exceed 5 megabytes per attachment.

Enter any word(s), letter(s), punctuation, and/or number(s) that appear in your mark here:

**NOTE:** Do **NOT** enter any word(s), letter(s), punctuation, and/or number(s) that do not appear in the attached image file. Leave this space blank if your mark consists only of design elements. The image file **must** include **all** elements of the mark; i.e., if your mark consists of a design and word(s), letter(s), punctuation, and/or number(s), the image file must include all of these elements. Any entry in the [literal element](#) field that is not found in the attached image file will **not** be considered part of the mark.

**\* If claiming color as a feature of the mark, list the colors below, including black, grey, and/or white if actual "colors" within the mark (e.g., enter red, white, and blue). Begin the entry with a lower-case, **NOT** an upper-case, letter. (Entry required for color marks only.)**

The color(s)  is/are claimed as a feature of the mark.

☐ If other than a mark in standard characters and you are NOT claiming color as a feature of the mark, you must: (1) check this box; and (2) remove all data that may appear in the color(s) claimed field, above, even if a statement therein is factually correct; under this scenario, the field must be completely blank. **NOTE:** Check the box *only* if you believe your image is black and white, yet you received after clicking the "CONTINUE" button an ERROR about color within the mark (perhaps because the image consists of too much grayscale); otherwise, do **not** check this box, because the attached image was automatically accepted as black and white.

Enter a complete and accurate [description](#) of the entire mark below, being sure to include **ALL** [literal elements](#) and/or design elements that are found in the attached mark image, but **NOT** including any element not appearing in the image. If a color mark, you must specify the color(s) that are part of the mark, including black, grey, and white, and also state the



location thereof in the mark image (reference any wording in mark and/or design element(s)), and if a color mark, also include the nature and location of the color; i.e., you should specifically state where each color is located within the mark.

**The mark consists of: (do NOT repeat this language)**

**. (end period is automatic)**

**NOTE:** A description of the mark is required for **ALL** marks that are in a special form (i.e., for *any* mark not in standard characters). You must enter a description even if what the mark represents is immediately clear, e.g., "the letter C." The description must be for the complete mark, and not simply of any design element; i.e., if the mark includes a literal element, that must be referenced here, even if already separately stated in the "literal element" field, *above*. Also, for any color mark, the description of the mark **must** include the nature and location of the color; i.e., you must specifically state where each color is located within the mark, *e.g.*, "a bird with a red body, blue wings, and yellow beak."

**NOTE:** Do **NOT** include as part of the description either the words "The mark consists of" or a final period, because that introductory wording and the punctuation will automatically be added after validation; otherwise, the overall description will have improper repetitions. Also, begin the entry with a lower-case, **NOT** an upper-case, letter.

## Owner Information

### Instructions:

**Update the mailing address**, if needed. The address entered on this page is publicly viewable in the USPTO's [TSDR](#) database and is presumed to be the owner's/holder's domicile.

#### \* Owner of Mark

- ☐ DBA (doing business as)
- ☐ AKA (also known as)
- ☐ TA (trading as)
- ☐ Formerly

[If an individual, use the following format: Last Name, First Name Middle Initial or Name, if applicable]

#### \* [Entity Type](#)

- ☐ [Individual](#)
- ☒ [Corporation](#)
- ☐ [Limited Liability Company](#)
- ☐ [Partnership](#)
- ☐ [Limited Partnership](#)

State or Country/Region/Jurisdiction of Incorporation

If U.S. Corporation

OR

If non-U.S. Corporation

Note: You may correct an error or omission in the original listing. However, if the State/Country of Incorporation has actually changed, you should file an [assignment document form PTO-1594](#).



[Joint  
Venture](#)



[Sole  
Proprietorship](#)



[Trust](#)



[Estate](#)



[Other](#)

**Internal Address**

**\* [Mailing Address](#)**

(Entered address is publicly viewable in the USPTO's [TSDR](#) database. This address must be capable of receiving mail and may be your street address, a P.O. box, or a "care of" address.)

**NOTE:** You must limit your entry here, and for all remaining fields within this overall section, to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.

**\* City**

**NOTE:** You must limit your entry here to no more than 22 characters.

**\* State**

(Required for U.S. applicants)

**NOTE:** You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.

**\* Country/Region/  
Jurisdiction/U.S.  
Territory**

**\* Zip/Postal Code**

(Required for U.S. and certain international addresses)

**[Domicile Address](#)**

(Entered address is not publicly viewable in the USPTO's [TSDR](#) database unless it is the same as the mailing address. If your mailing address is not your domicile, you must enter the number, street, city, state, country or U.S. territory, and if applicable, zip/postal code of your [domicile](#) address.)



Uncheck this box if the domicile address and mailing address of the trademark owner/holder are NOT the same. Fields for entering the domicile address will appear.

In most cases, a P.O. box, "care of" address, or similar variation is not acceptable as a domicile address.)

Phone Number

Fax Number

**\* Email Address**

Confirm that the email address is correct before continuing. The owner email address is not publicly viewable in the USPTO's [TSDR](#) database.

The owner/holder is required to provide an email address and keep that address current. If the owner/holder is represented by a U.S.-licensed attorney, only the attorney's email address will be used for correspondence by the USPTO.

**NOTE:** The owner/holder or the owner's/holder's attorney are responsible for periodically checking the status of the application/registration using the [Trademark Status & Document Retrieval \(TSDR\)](#) system. USPTO notices and office actions issued in this application/registration can be viewed online using [TSDR](#). The USPTO is not responsible for any failure to receive a USPTO-issued email due to the receiver's security or anti-spam software, or any problems with the receiver's email system.

**CLASSIFICATION AND LISTING OF GOODS/SERVICES/COLLECTIVE MEMBERSHIP ORGANIZATION Adding New Class(es) to the Application**

**Enter information for the New Class**

\*International Class:  (Enter class number 001- 045, A, B and 200)

\* Listing of Goods/Services/Collective Membership Organization [NOTE: Do not enter a Class or any other code in the field below. You must enter only the common commercial name for the specific goods/services/nature of the collective membership organization associated with the mark. Also, do not include any html or other programming code or language that may create links in the listing of goods/recitation of services/description of the collective membership organization]. For more information about acceptable language for the goods/services, see the USPTO's on-line [Goods and/or Services Manual](#). If entering multiple listings of goods/services, please separate with a semi-colon (;), NOT a comma.

**WARNING:** Your entry may NOT exceed the scope of your current identification. While you may modify the current listing to clarify or limit the goods/services/nature of the collective membership organization or to remove goods/services, you may NOT at this point ADD to, or BROADEN, the goods/services/nature of the collective membership organization. A new filing would be required to cover any new goods/services or broadening of the nature of the collective membership organization not within the scope of the current identification.

**WARNING: Registration Subject to Cancellation for Fraudulent Statements**

Statements made in filings to the USPTO must be accurate; inaccuracies could result in the cancellation of a trademark registration. For example, an applicant's lack of a bona fide intention to use the mark with **all** goods/services included in an application, or the lack of use on **all** goods/services for which use is claimed, could jeopardize the validity of the resulting registration.



**Filing Basis Section 1(a), Use in Commerce:** *For all applications:* The mark is in use in commerce and was in use in commerce as of the application filing date. The provided specimen shows the mark in use in commerce (see specimen statement below). *For a collective trademark, collective service mark, collective membership mark, or certification mark application:* The applicant is exercising legitimate control over the use of the mark in commerce and was exercising legitimate control over the use of the mark in commerce as of the application filing date. *For a certification mark application:* The applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant. NOTE: If the original application was filed under Section 1(b), Intent to Use, and you want to now assert use, do not use this form. Instead, you must file an [Allegation of Use form](#).

[Date of First Use of Mark Anywhere](#) at least as early as  (MM/DD/YYYY)

[Date of First Use of Mark Commerce](#) at least as early as  (MM/DD/YYYY)

### **Specimen File**

**Watch** the TMIN [video explaining what is meant by the term "specimen"](#). Visit the USPTO's website for [information on acceptable file sizes and formats](#).

### **Instructions:**

Attach **ONLY** the specimen here, not the entire response to Office action. Each portion of this form serves a specific purpose for data processing reasons. Failure to follow this instruction will cause significant delays in the processing and review of your filing.



Check this box if you are mailing a [non-traditional specimen](#) using USPS because it meets the qualifications explained in the hyperlink. Sound and motion specimens are not non-traditional and **MUST** be submitted using this form. Failure to submit a required specimen through TEAS may result in processing delays and additional fees.

[Describe what the submitted specimen consists of](#)

### **ADDITIONAL INFORMATION REQUIRED FOR WEBPAGE SPECIMENS:**

Enter webpage URL(s) and date(s) of access below if it does **NOT** appear on the attached specimen.

Enter webpage URL(s) and dates(s) of access below if it does **NOT** appear on the attached specimen.

If your [specimen](#) consists of a webpage, provide the webpage URL:

If your [specimen](#) consists of a webpage, indicate the date you accessed or printed the webpage:

(MM/DD/YYYY)

\* CHECK BOX BELOW IF SPECIMENS ARE BEING SUBMITTED OR WERE UNVERIFIED IN APPLICATION: If an [additional or new specimen\(s\)](#) is being submitted, or if the originally-submitted specimen(s) was unverified, check the statement immediately below to support the submission (the required declaration will automatically appear at the end of the form).

**WARNING:** You must select the specimen statement below for the substitute, new, or originally submitted unverified specimen to be acceptable.

☐ **"The substitute (or new, or originally submitted, if appropriate) specimen(s) was/were in use in commerce at least as early as the filing date of the application" [for an application based on Section 1(a), Use in Commerce] OR "The substitute (or new, or originally submitted, if appropriate) specimen(s) was/were in use in commerce prior either to the filing of the Amendment to Allege Use or expiration of the filing deadline for filing a Statement of Use" [for an application based on Section 1(b) Intent-to-Use] OR "The attached specimen is a true copy of the specimen that was originally submitted with the application, amendment to allege use, or statement of use" [for an illegible specimen] .** The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his or her own knowledge are true and all statements made on information and belief are believed to be true.

☐ **Filing Basis Section 1(b), Intent to Use: *Filing Basis: Section 1(b), Intent to Use: For a trademark or service mark application:*** The applicant believes the applicant is entitled to use the mark in commerce on or in connection with the goods or services specified in the application; the applicant has a bona fide intention to use the mark in commerce and had a bona fide intention to use the mark in commerce as of the application filing date. ***For a collective trademark, collective service mark, collective membership mark, or certification mark application:*** The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce and had a bona fide intention, and was entitled, to exercise legitimate control over the use of the mark in commerce as of the application filing date. ***For a certification mark application:*** The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

**WARNING:** Do NOT check the box to add a Section 1(b) filing basis if your goods/services/collective membership organization for this class is/are already based on Section 1(a), use in commerce. Filing under Use and Intent to Use is not permitted for the same goods/services/collective membership organization.

**Additional Filings:**

Fee(s) will be required to file either the [Statement of Use form or Extension Request](#), prior to registration, after you begin the required use of the mark in commerce.

☐ **Filing Basis Section 44(d), Priority based on foreign filing: *For a trademark or service mark application:*** The applicant believes the applicant is entitled to use the mark in commerce on or in connection with the goods/services specified in the application; the applicant has a bona fide intention to use the mark in commerce and had a bona fide intention to use the mark in commerce as of the application filing date; and the applicant asserts a claim of priority based upon a foreign application. ***For a collective trademark, collective service mark, collective membership mark, or certification mark application:*** The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce; the applicant had a bona fide intention, and was entitled, to exercise legitimate control over the use of the mark in commerce as of the application filing date; and the applicant asserts a claim of priority based upon a foreign application. ***For a certification mark application:*** The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote

recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

Foreign Application Number

Note: The USPTO database can only store 19 characters for this field. You should adjust your entry if possible, eliminating any unnecessary leadings 0s or country abbreviations, for example.

Date of Foreign Filing

Country/Region/Jurisdiction/U.S.

Territory of Foreign Application

☐ At this time, the applicant intends to rely on Section 44(e) as a basis for registration. If ultimately the applicant does not rely on Section 44(e) as a basis for registration, a valid claim of priority may be retained.

☐ At this time, the applicant has another basis for registration (Section 1(a) or Section 1(b)) and does NOT intend to rely on Section 44(e) as the basis for registration, but is only asserting a valid claim of priority.

☐ **Filing Basis Section 44(e), Based on Foreign Registration:** *For all applications:* The applicant attaches a copy of the foreign registration certificate or proof of renewal of such registration, and an English translation if such documents are in a foreign language. *For a trademark or service mark application:* The applicant believes the applicant is entitled to use the mark in commerce on or in connection with the goods/services specified in the application; the applicant has a bona fide intention to use the mark in commerce and had a bona fide intention to use the mark in commerce as of the application filing date. *For a collective trademark, collective service mark, collective membership mark, or certification mark application:* The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce; and the applicant had a bona fide intention, and was entitled, to exercise legitimate control over the use of the mark in commerce as of the application filing date. *For a certification mark application:* The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

Foreign Registration Number

Note: The USPTO database can only store 19 characters for this field. You should adjust your entry if possible, eliminating any unnecessary leadings 0s or country abbreviations, for example.

Foreign Registration Date

Date Foreign Registration Renewed

(if applicable)

Expiration Date of Foreign Registration

Country/Region/Jurisdiction/U.S.

Territory of

Foreign  
Registration

[Attach Foreign Registration/Proof of Renewal](#)

WARNING: Do not submit your entire response as a PDF file. Each portion of this form serves a specific purpose for data processing reasons **FAILURE TO FOLLOW THIS INSTRUCTION WILL CAUSE SIGNIFICANT DELAYS IN THE PROCESSING AND REVIEW OF YOUR RESPONSE**. For complete requirements concerning PDF files, click [here](#).

WARNING: The file size cannot exceed 5 megabytes per attachment for JPG or 30 megabytes per attachment for PDF.

☐

Check here if the foreign registration that is the basis of the U.S. application under Section 44(e) includes a claim of standard characters or the country of origin's standard character equivalent.

**WARNING:** If this box is NOT checked, then the designation of the mark as "Standard Character Mark" will automatically be changed from "YES" to "NO."

**FEE INFORMATION**

Amount	<input type="text" value="0"/>	number of Classes Paid x \$350 (per class) for <a href="#">Base application fee</a> =
	\$ <input type="text" value="0"/>	
	<input type="text" value="0"/>	number of Payments Refused or charged back x \$50 for <a href="#">Additional fee</a>
		<a href="#">processing for each payment refused or charged back</a> = \$ <input type="text" value="0"/>
	TOTAL AMOUNT = \$ <input type="text" value="0"/>	
<input type="checkbox"/> I elect not to submit a fee payment for the class(es), believing no fee payment is required under the Trademark Rules of Practice. I acknowledge that the USPTO may, upon later review, require a fee payment.		
NOTE: If the outstanding Office action is a final action, you are encouraged to provide all required fees so that no issues remain outstanding that would prevent the mark from registering.		

**[ATTORNEY INFORMATION](#)**

* <a href="#">Attorney Name</a>	You must use the <a href="#">Change Address or Representation</a> to change the attorney name.	
<a href="#">Firm Name</a>	<input type="text"/>	
<a href="#">Docket/Reference Number</a>	<input type="text"/>	
	NOTE: You must limit your entry here to no more than 12 characters.	
* <a href="#">Bar Membership</a>	* Year of Admission	<input type="text" value="Select Year"/>
	* U.S. State/Commonwealth/Territory	<input type="text" value="Select State"/>

**\* Membership Number**

You must enter "N/A" or a membership/registration number if your U.S. state, commonwealth, or territory issues one. This number is not viewable in TSDR.

You must limit your entry here to no more than 40 alphanumeric characters.



\* The attorney of record is an active member in good standing of the bar of the highest court of a U.S. state, the District of Columbia, or any U.S. Commonwealth or territory.

[Other  
Appointed  
Attorney\(s\)](#)

[Recognized  
Canadian  
Attorney/Agent](#)

[Internal  
Address](#)

**\* [Street  
Address](#)**

**NOTE:** You must limit your entry here, and for all remaining fields within this overall section (except City, see *below*), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.

**\* [City](#)**

**NOTE:** You must limit your entry here to no more than 22 characters.

**\* [State](#)**  
(Required for  
U.S. addresses)

State



**NOTE:** You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.

**\* [Country/Region/Jurisdiction/U.S. Territory](#)**

United States



**\* [Zip/Postal  
Code](#)**  
(Required for  
U.S. and certain  
international  
addresses)

[Phone  
Number](#)

[Fax Number](#)

**\* [Email  
Address](#)**

The appointed attorney's email address must be provided and kept current with the USPTO.

**NOTE:** The applicant owner/holder or the applicant owner's/holder's attorney acknowledges that he or she is solely responsible for receiving USPTO emails. Additionally, the applicant owner/holder or the applicant owner's/holder's attorney is responsible for periodically checking the status of the application/registration using the [Trademark Status & Document Retrieval \(TSDR\)](#) system. USPTO notices and office actions issued in this application/registration can be viewed online using [TSDR](#). The



USPTO is not responsible for any failure to receive a USPTO-issued email due to the receiver's security or anti-spam software, or any problems with the receiver's email system.

### CORRESPONDENCE INFORMATION

To make changes to the **Primary Email Address for Correspondence** below, either  
(1) return to the Owner Information section (if no attorney has been appointed) and enter the change, or  
(2) use the Attorney Information section of the form to enter the change (if an attorney has been appointed).

#### Name

**Primary Email Address for Correspondence:**

**Secondary Email Address(es) (Courtesy Copies)**

#### Email Address

Enter up to 4 addresses, separated by either a **semicolon** or a **comma**.

Only the Primary Email Address for Correspondence is used for official communication by the USPTO. If an attorney has been appointed, the USPTO will correspond ONLY with the appointed attorney; otherwise the USPTO will correspond with the applicant owner/holder. The applicant owner/holder or the appointed attorney must keep this email address current with the USPTO.

**NOTE:** I understand that (1) a valid email address must be maintained by the applicant owner/holder and the applicant owner's/holder's attorney, if appointed, for correspondence and (2) all official trademark correspondence must be submitted via the Trademark Electronic Application System (TEAS).

To electronically sign this document, enter any alpha/numeric characters (letters/numbers) **of your choosing**, preceded and followed by the forward slash (/) symbol. Most signatories enter their names between the two forward slashes; although acceptable "signatures" include: /john doe/; /jd/; or /123-4567/.

### DECLARATION SIGNATURE

If a declaration is required, the declaration must be signed by someone who is a "proper party to sign on behalf of applicant" under [Trademark Rule 2.33](#). The information for the Response Signature section must always be entered.

Click to choose ONE [signature method](#):



Sign electronically [directly](#) on this response form



Email [Text Form](#) to second party for

electronic signature



[Handwritten pen-and-ink signature](#)

**DECLARATION:** The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that, if the applicant submitted the application or amendment to allege use (AAU) unsigned, all statements in the application or AAU and this submission based on the signatory's own knowledge are true, and all

statements in the application or AAU and this submission made on information and belief are believed to be true.

**STATEMENTS FOR UNSIGNED SECTION 1(a) APPLICATION/AAU:** If the applicant filed an unsigned application under 15 U.S.C. §1051(a) or AAU under 15 U.S.C. §1051(c), the signatory additionally believes that: the applicant is the owner of the mark sought to be registered; the mark is in use in commerce and was in use in commerce as of the application or AAU filing date; the original specimen(s), if applicable, shows the mark in use in commerce as of the filing date of the application or AAU on or in connection with the goods/services/collective membership organization in the application or AAU; *for a collective trademark, collective service mark, collective membership mark, or certification mark application*, the applicant is exercising legitimate control over the use of the mark in commerce and was exercising legitimate control over use of the mark in commerce as of the application or AAU filing date; *for a certification mark application*, the applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant. To the best of the signatory's knowledge and belief, no other persons, except, if applicable, authorized users, members, and/or concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services/collective membership organization of such other persons, to cause confusion or mistake, or to deceive.

**STATEMENTS FOR UNSIGNED SECTION 1(b)/SECTION 44 APPLICATION AND FOR SECTION 66(a) COLLECTIVE/CERTIFICATION MARK APPLICATION:** *for a trademark or service mark application*, the applicant believes the applicant is entitled to use the mark in commerce on or in connection with the goods/services specified in the application; the applicant has a bona fide intention to use the mark in commerce and had a bona fide intention to use the mark in commerce as of the application filing date; the signatory is properly authorized to execute the declaration on behalf of the applicant; *for a collective trademark, collective service mark, collective membership mark, or certification mark application*, the applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce and had a bona fide intention, and was entitled, to exercise legitimate control over the use of the mark in commerce as of the application filing date; the signatory is properly authorized to execute the declaration on behalf of the applicant; *for a certification mark application*, the applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program of the goods/services that meet the certification standards of the applicant. To the best of the signatory's knowledge and belief, no other persons, except, if applicable, authorized users, members, and/or concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services/collective membership organization of such other persons, to cause confusion or mistake, or to deceive.

<p><b>* <u>Signature</u></b></p>	<div data-bbox="563 1720 722 1765" style="border: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <p>Examples of acceptable "signatures" include: /john doe/; /jd/; or /123-4567/. <b>NOTE:</b> Must be personally signed by the individual listed in the Signatory's Name field. <a href="#">37 C.F.R. §2.193(a)</a>. The person signing may not enter someone else's signature.</p>	<p><b>* <u>Date Signed</u></b></p> <div data-bbox="1086 1832 1217 1877" style="border: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <p>(MM/DD/YYYY)</p>
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**\* [Signatory's Name](#)**

**\* [Signatory's Position](#)**

**NOTE:** Limit your entry to no more than 150 characters (the storage limit for this field in the USPTO database).

Enter appropriate title or nature of relationship to the owner/holder.

If the signer is

- An **individual owner/holder**, enter "Owner" or "Holder" as appropriate.
- **Joint individual owners/holders**, enter "Owners" or "Holders" as appropriate.
- A **business entity** authorized signatory, enter official title; e.g., "President" (if a corporation), "General Partner" (if a partnership), or "Principal" (if a limited liability company).
- A U.S.-licensed **attorney**, enter "Attorney of record," and if not specified in the application or prior communications, specify at least one state bar admission, e.g., "Attorney of record, New York Bar member." Also, if the signing attorney is from the same U.S. firm as the attorney of record, but was not listed in the original filing and is not otherwise of record, also include law firm name, e.g., Associate Attorney, Smith, Jones & Davis, Virginia Bar member.

**[Signatory's Phone Number](#)**

### Response Signature

Click to choose ONE [signature method](#):



[Sign directly](#)



[Email Text Form to second party for signature](#)

**\*You must click *one* of the three buttons below to confirm that you are legally authorized to sign this form based on the trademark rules governing representation of others before the USPTO.**

**If you have a U.S.-licensed attorney representing you in this application, only your attorney can sign this response.**



**Owner/Holder who is not represented by an attorney (pro se):** I hereby confirm that

- **I am not represented** by an attorney in this matter, and am either: (1) the owner(s)/holder(s); or (2) a person or persons(s) with legal authority to bind the owner(s)/holder(s); and
- If I had previously been represented by an attorney in this matter, either I revoked their power of attorney by filing a signed revocation with the USPTO or the USPTO has granted this attorney's withdrawal request.

**ADVISORY:** Click the above first button *only* if you are the owner(s)/holder(s) or legally authorized to bind the owner(s)/holder(s); such as an officer of the owner/holder corporation or association, or a general partner of the owner/holder partnership.

**Authorized U.S.-Licensed Attorney:** I hereby confirm that

- I am an attorney who is an active member in good standing of the bar of the highest court of a U.S. state (including the District of Columbia and any U.S. Commonwealth or territory);
- I am currently the owner's/holder's attorney or an [associate](#) thereof;
- To the best of my knowledge, if prior to my appointment another U.S.-licensed attorney **not currently associated with my company/firm** previously [represented](#) the owner/holder in this matter:
  - the owner/holder has revoked their power of attorney by filing a signed revocation or substitute power of attorney with the USPTO;
  - the USPTO has granted that attorney's withdrawal request;
  - the owner/holder has filed a power of attorney appointing me in this matter; or
  - the owner's/holder's appointed U.S.-licensed attorney has filed a power of attorney appointing me as an associate attorney in this matter.

**Authorized Canadian Trademark Attorney/Agent:** I hereby confirm that

- An authorized U.S.-licensed attorney has been appointed to represent the owner;
- I have been granted reciprocal recognition under 37 C.F.R. §11.14(c)(1) by the USPTO's Office of Enrollment and Discipline; and
- I am an authorized signatory based on 37 C.F.R. §11.14(c)(2).

**ADVISORY:** Foreign attorneys (other than authorized Canadian attorneys/agents) may not sign responses and are prohibited from representing an owner/holder before the USPTO in trademark matters.

**NOTE:** If more than one owner/holder, **ALL** must sign the overall submission.

<b>* <a href="#">Signature</a></b>	<input type="text"/> Examples of acceptable "signatures" include: /john doe/; /jd/; or /123-4567/. <b>NOTE:</b> Must be personally signed by the individual listed in the Signatory's Name field. <a href="#">37 C.F.R. §2.193(a)</a> . The person signing may not enter someone else's signature.	<b>* <a href="#">Date Signed</a></b>	<input type="text"/> (MM/DD/YYYY)
<b>* <a href="#">Signatory's Name</a></b>	<input type="text"/>		
<b>* <a href="#">Signatory's Position</a></b>	<input type="text"/> <b>NOTE:</b> Limit your entry to no more than 150 characters (the storage limit for this field in the USPTO database).  Enter appropriate title or nature of relationship to the owner/holder.  If the signer is - An <b>individual owner/holder</b> , enter "Owner" or "Holder" as appropriate. - <b>Joint individual owners/holders</b> , enter "Owners" or "Holders" as appropriate(all must sign the form). - A <b>business entity</b> authorized signatory, enter official title; e.g.,		

"President" (if a corporation), "General Partner" (if a partnership), or "Principal" (if a limited liability company).

- A U.S.-licensed **attorney**, enter "Attorney of record," and if not specified in the application or prior communications, specify at least one state bar admission, e.g., "Attorney of record, New York Bar member." Also, if the signing attorney is from the same U.S. firm as the attorney of record, but was not listed in the original filing and is not otherwise of record, also include law firm name, e.g., Associate Attorney, Smith, Jones & Davis, Virginia Bar member.

[Signatory's Phone Number](#)

**You completed all mandatory fields and successfully validated the form. It has NOT been filed to the USPTO at this point. Please complete all steps below to submit the form.**

■ **STEP 1:** Review the response data in various formats, by clicking on the phrases under Response Data. Use the print function within your browser to print these pages for your own records.

**Note:** It is important that you review this information for accuracy and completeness now. Corrections after submission may not be permissible, thereby possibly affecting your legal rights.

#### Response Data

■ [Input](#)

■ [Foreign Registration\(s\)](#)

■ [Mark](#)

■ [XML  
File](#)

■ [Textform](#)

■ **STEP 2:** If there are no errors and you are ready to file, confirm the **Primary Email Address for Correspondence**, displayed below. To make changes to this email address, use the navigation buttons below to return to the appropriate page in the form and update either the attorney's email address, if appointed, or the applicant owner's/holder's email address. **Courtesy copies** are also permitted and these email address(es) are displayed below. To update these addresses, use the navigation buttons below to return to the Correspondence Information page and enter the changes.

After you submit the form, the USPTO will send an acknowledgment of receipt to the following email address(es):

**Primary Email Address for Correspondence**

**Secondary Email Address(es) (Courtesy  
Copies)**

■ **STEP 3:** To download and save the form data, click on the [Save Form](#) button at the bottom of this page. The information will be saved to your local drive. To begin the submission process with saved data, you must open a new form, and click on the "Browse/Choose File" button displayed on the initial form wizard page, at "[OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file from your local drive." **REMINDER:** Do NOT try to open the saved .obj/.xml form directly. You must return to the very first page of the form, as if starting a brand new form, and then use the specific

"Browse/Choose File" button on that page to import the saved file. Clicking on the "Continue" button at the bottom of that first page will then properly open the saved version of your form.

■ **STEP 4:** Read and confirm the following:

Please note that:

1. If a fee was required, once you submit this form, we will not refund the fee, because it is a processing fee for our substantive review.
2. All information you submit to the USPTO at any point in the application and/or registration process will become public record, including your name, phone number, email address, and street address. By filing this document, you acknowledge and agree that **YOU HAVE NO RIGHT TO CONFIDENTIALITY** in the information disclosed. The public will be able to view this information in the USPTO's on-line databases and through internet search engines and other on-line databases. This information will remain public even if the application is abandoned or any registration is surrendered, cancelled, or expired. To maintain confidentiality of banking or credit card information, only enter payment information in the secure portion of the site after validating your form. For any information that may be subject to copyright protection, by submitting it to the USPTO, the filer is representing that he or she has the authority to grant, and is granting, the USPTO permission to make the information available in its on-line database and in copies of the application or registration record.
3. Private companies not associated with the USPTO often use trademark application and registration information from the USPTO's databases to [mail or email trademark-related solicitations](#) (samples of non-USPTO solicitations included).

\* ☐ If you have read and understand the above notice, please check the box before you click on the **Pay / Submit** button.

■ **STEP 5:** Click on the **Submit** button below to complete the filing process. Shortly after clicking that button, you should see a screen that says **SUCCESS!** Within 24 hours, the email acknowledgement will also be sent.