#### Sample USPTO Internal Quality Survey

For the Patent Corps to be effective in producing quality products and services, certain characteristics are necessary in the work environment.

The major contributors that need to be present include:

- Effective Tools
- Formal Training
- Timely Coaching/Mentoring Help
- Manageable Applicant Driven Quality

The perception survey below is intended to rate the status/progress towards our achieving a healthy quality environment.

#### 1 Please indicate your current discipline:

- 1) Biotech/Chemical (1600/1700)
- 2) Electrical (2100/2400/2600/2800)
- 3) Mechanical (3600/3700)
- 4) Design (2900)

## 2 During the past quarter, please indicate your level of satisfaction with the following tools that are needed to perform your work (consider content and reliability):

| 2A Search tools<br>1= Very Dissatisfied<br>2B Office action tools ( |                 |            | 4= Satisfied | 5= Very Satisfied |  |  |
|---|-----------------|------------|--------------|-------------------|--|--|
|   |                 |            | 1 Octofical  |                   |  |  |
| 1= Very Dissatisfied  |                 |            | 4= Satisfied | 5= Very Satisfied |  |  |
| 2C Application Viewing Tools (e.g. eDAN, DAV)?                      |                 |            |              |                   |  |  |
| 1= Very Dissatisfied  | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied |  |  |
| 2D Other electronic resources (e.g. MPEP, telework tools)           |                 |            |              |                   |  |  |
| 1= Very Dissatisfied  | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied |  |  |

### 3 Please indicate your level of satisfaction over the past quarter with the training opportunities that have been provided to maintain/improve the quality of your work (consider the number and diversity of trainings offered):

| 3A Technical training<br>1= Very Dissatisfied    | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied |
|--|-----------------|------------|--------------|-------------------|
| <b>3B Legal training</b><br>1= Very Dissatisfied | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Verv Satisfied |
| 3C Practice and procedure                        |                 |            |              |                   |
| 1= Very Dissatisfied<br>3D Automation training   | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied |
| 1= Very Dissatisfied                             |                 | 3= Neutral | 4= Satisfied | 5= Very Satisfied |
| 3E Professional develop<br>1= Very Dissatisfied  |                 | 3= Neutral | 4= Satisfied | 5= Verv Satisfied |
| ,  |                 |            |              | ,                 |

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# 4 During the past quarter, please indicate your level of satisfaction with the effectiveness of training you have received to maintain/improve the quality of your work (mark N/A if you did not receive any training within the past quarter):

| <b>4A</b> Technical training |                 |            |              |                   |       |
|------------------------------|-----------------|------------|--------------|-------------------|-------|
| 1= Very Dissatisfied         | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied | 6= NA |
| 4B Legal training            |                 |            |              |                   |       |
| 1= Very Dissatisfied         | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied | 6= NA |
| 4C Automation training       |                 |            |              |                   |       |
| 1= Very Dissatisfied         | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied | 6= NA |
| 4D Professional developm     | nent            |            |              |                   |       |
| 1= Very Dissatisfied         | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied | 6= NA |

### 5 During the past quarter, please indicate your level of satisfaction with the effectiveness of coaching/mentoring received to maintain/improve the quality of your work:

| 5A Technical (including s | earch)          |            |              |                   |       |
|---------------------------|-----------------|------------|--------------|-------------------|-------|
| 1= Very Dissatisfied      | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied | 6= NA |
| 5B Practice and procedur  | е               |            |              |                   |       |
| 1= Very Dissatisfied      | 2= Dissatisfied | 3= Neutral | 4= Satisfied | 5= Very Satisfied | 6= NA |

- 6 During the past quarter, overall, how would you rate the internal USPTO factors (training, tools, coaching, etc.) that impact your ability to provide high-quality patent examination?
  - 1) Very Poor
  - 2) Poor
  - 3) Fair
  - 4) Good
  - 5) Excellent

# 7 During the past quarter, consider your examination experiences relating to incoming patent applications. To what extend did the applicants and/or their agents/attorneys facilitate high-quality patent prosecution with respect to:

| 7A Clarity and completeness of specifications                                     |                      |                    |                 |       |  |
|---|----------------------|--------------------|-----------------|-------|--|
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |
| 7B Clarity of claims  | 5                    |                    |                 |       |  |
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |
| 7C Manageable nu  | mber of claims       |                    |                 |       |  |
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |
| 7D Claims drafted t   | o capture concept of | invention          |                 |       |  |
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |
| 7E Claims vary reasonably in scope from broad to narrow to facilitate examination |                      |                    |                 |       |  |
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |
| <b>7F</b> Art cited in IDS is material to patentability                           |                      |                    |                 |       |  |
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |
| 7G Clarity of translations for foreign applications                               |                      |                    |                 |       |  |
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |
| <b>7H</b> Clarity and completeness of drawings                                    |                      |                    |                 |       |  |
| 1= Not At All   | 2= Small Extent      | 3= Moderate Extent | 4= Large Extent | 5= NA |  |

#### Sample USPTO Internal Quality Survey

#### 8 During the past quarter, consider your examination experiences relating to your written and personal interactions with applicants and/or their agents/attorneys. To what extent did the applicants and/or their agents/attorneys facilitate high-quality patent prosecution with respect to:

**8A** Clarity of responses to Office actions

1= Not At All 2= Small Extent 3= Moderate Extent 4= Large Extent 5= NA **8B** Thoroughness of response to Office actions in addressing the specific issues set forth in the Office action 1= Not At All 3= Moderate Extent 4= Large Extent 5= NA 2= Small Extent 8C Citation to the specification and/or drawings that provide support for newly added claim limitations 1= Not At All 2= Small Extent 3= Moderate Extent 4= Large Extent 5= NA 8D Preparedness to efficiently and effectively conduct an interview 2= Small Extent 1= Not At All 3= Moderate Extent 4= Large Extent 5= NA 8E Professional demeanor displayed in an interview to advance prosecution 1= Not At All 2= Small Extent 3= Moderate Extent 4= Large Extent 5= NA

9 During the past quarter, overall, how would you rate the various external factors (patent applicants/agents/attorneys and their interactions) that impact your ability to provide high-quality patent examination?

- 1) Very Poor
- 2) Poor
- 3) Fair
- 4) Good
- 5) Excellent