


UNITED STATES
PATENT AND TRADEMARK OFFICE

A blurred background image showing a close-up of a person's face, focusing on their eyes and nose. The image is out of focus, with soft colors and light effects.

Patent Quality Chat: Improving Global Patent Prosecution

Patent Quality Chat
Webinar Series 2015 (4 of 6)
September 8, 2015



To send in questions or comments during the webinar, please email:

PatentQualityEventParticipationBox@uspto.gov



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A screenshot of the USPTO website homepage. The header includes the USPTO logo, navigation links for "About Us", "Careers", and "Contact Us", and a search bar. The main navigation menu has "Patents", "Trademarks", and "Learning and Resources". A large blue banner features the text "First time here? Let us help you find your bearings." and a "Get Going" button. Below the banner, there are sections for "Learn About the Process" with links for "Patents" and "Trademarks". A red circle highlights the "Patents & Trademarks Initiatives" section, specifically the "Enhanced Patent Quality Initiative" link. The USPTO logo is visible in the bottom right corner of the page.

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About Us | Careers | Contact Us

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Patents Trademarks Learning and Resources Quick Links

First time here? Let us help you find your bearings.

Has your invention already been patented at the USPTO?

New to Trademarks?

First time here? Let us help you find your bearings.

Learn how patents, trademarks, and copyrights differ and other basic information to get started.

Get Going

Learn About the Process

Patents

General Information Concerning Patents

Trademarks

Trademark Basics

Learn about trademarks and find out if the USPTO can help you protect your trademark.

Patents & Trademarks Initiatives

Enhanced Patent Quality Initiative

Learn about USPTO efforts to increase patent quality.

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About Us Careers Contact Us

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Patents Trademarks Learning and Resources Quick Links

Home / Patents / Initiatives / Enhanced Patent Quality Initiative

More Patents

Forms

Fees & payment information

Initiatives

- Accelerated Examination
- Executive Actions
- Glossary Initiative
- Patent Application Initiatives
- Patent Examiner Technical Training Program
- Patents for Humanity
- Third-Party Preissuance Submissions
- Site Experience Education (SEE) Program

Enhanced Patent Quality Initiative

Leading in Quality Excellence – Every Interaction Counts

Intellectual property (IP) intensive industries support at least 40 million jobs in the U.S. and contribute more than \$5 trillion - or nearly 35 percent - to our gross domestic product. Our new quality initiative comes as there is increased recognition and appreciation around the world of the importance of IP.

The dedicated efforts of our employees over the last few years have significantly reduced our patent application backlog and pendency. We want to continue to build on those efforts, and recent America Invents Act (AIA) legislation passed by Congress supports doing just that.

The AIA allows us to set our fees and retain fees collected above our appropriated level. This means our financial health is more stable than in the past, giving us a unique opportunity to further expand our quality efforts throughout the entire process.

Patent Quality Chat

- The USPTO will present a monthly, lunchtime Patent Quality Webinar Series hosted by patent specialists on quality-related topics.

Building an Enhanced Patent Quality System

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Patent Quality Chat: Improving Global Patent Prosecution

Mark Powell
Deputy Commissioner
for International Patent Cooperation

Maria Holtmann
Director of International Programs
Office of International Patent Cooperation

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Chat Overview

- Office of International Patent Cooperation (OIPC)
- Global Dossier
- Collaborative Search Pilot Program (CSP)
- Global Preliminary Search Concept



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Office of International Patent Cooperation



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Office of International Patent Cooperation (OIPC)

Improve the quality, efficiency and predictability of patent family prosecution, thereby improving the certainty of global patent rights.

Improving Global Work Sharing



Develop office processes to assist in global work sharing



Increase efficiency of applicant processes to improve global work sharing



Resolve legal issues hindering global work sharing

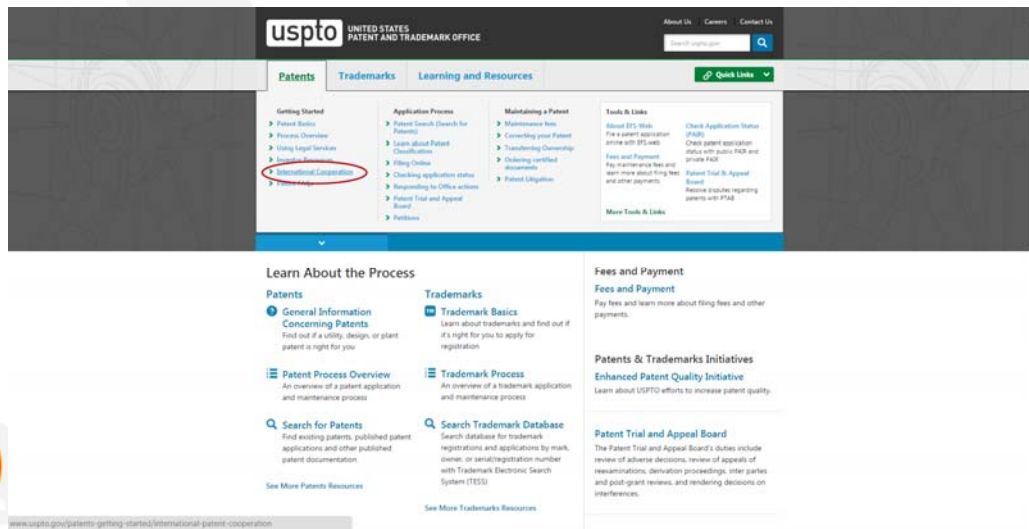


Provide IT solutions to enhance global work sharing



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OIPC Website



The screenshot shows the USPTO website interface. The 'Patents' tab is selected. Under the 'Getting Started' section, the 'International Cooperation' link is circled in red. Below this, there are sections for 'Learn About the Process' (Patents, Trademarks), 'Fees and Payment', and 'Patents & Trademarks Initiatives'.



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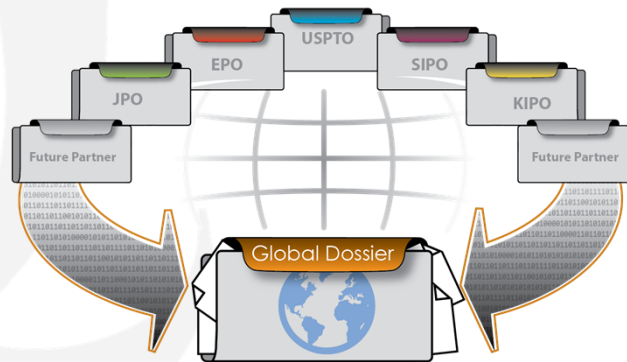
Global Dossier



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What is Global Dossier

Global Dossier is a set of IT business services delivered by multiple intellectual property offices, modernizing the global patent system and delivering benefits to all stakeholders



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Global Dossier

Coming November 2015:

- Ability to access the full file history information on a patent application family from all participating Offices with the first service – Dossier access
- Applicants can efficiently track and manage related applications across jurisdictions
- Easier and less costly to perform functions associated with due diligence, technology transfer, and litigation and appeal processes.



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Global Dossier

Example of Future Services:

- Document exchange functionality: Cross filing
- Alerts
- XML functionality

Envisioned Benefits to Users:
Cost savings - exploit more IP!
Decreased time to file internationally
Increased ease of international filing



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Global Dossier Focus Sessions

The Office of International Patent Cooperation (OIPC) will be conducting focus sessions to gather your feedback, input, and ideas on the development of Global Dossier to date and the proposed future services.

- Free and open to the public
- Conducted at USPTO Headquarters on the following dates and times:
 - **Friday, September 11, 2015:** 9:00 a.m. – 10:30 a.m., 2:00 p.m. – 3:30 p.m.
 - **Monday, September 14, 2015:** 9:00 a.m. – 10:30 a.m.
- Requires advance registration

To register, please send an e-mail to GlobalDossier@USPTO.gov with the date and time you would like to participate.



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Collaborative Search Pilot (CSP) Program



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CSP

The USPTO is participating in two independent collaborative search pilots – one with the **Japan Patent Office (JPO)** and another with the **Korean Intellectual Property Office (KIPO)**.

- **A search resulting from the Collaborative Search Pilot (CSP) Program** provides our stakeholders with search results from two Offices early in the examination process so the applicant can **more readily** determine their next steps in patent prosecution
- The CSP **Program** is an opportunity for USPTO to determine whether collaborative search and its evaluation to commonly filed claims prior to final determination can improve the examination process, **in both quality and processing times**, and provide more consistent results across offices



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CSP Benefits

Increased Search Quality
Applications taken out of turn = Expedited
search results and final disposition
It's FREE to file Petition!



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OIPC Website

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Helpful 27

Getting Started

- Patent Basics
- Process Overview
- Using Legal Services
- Inventor Resources
- International Cooperation**
- Global Dossier
- Intellectual Property Rights Overseas/Tools
- Patent Cooperation Treaty
- Patent Prosecution Highway
- Priority Document Exchange (PDX)
- Patent FAQs

Upcoming Events

- Improving Global Patent Prosecution Webinar
- September 8

International Patent Cooperation

"The establishment of the Office of International Patent Cooperation reflects the USPTO's strong commitment to the IP community in improving the international patent system," said Deputy Under-Secretary of Commerce for Intellectual Property and Deputy Director of the USPTO Michelle Lee. "It will allow us to increase certainty of IP rights while reducing costs for our stakeholders and moving towards a harmonized patent system."

The USPTO's Office of International Patent Cooperation efforts will improve the quality, efficiency, and predictability of the patent family prosecution, increasing the certainty of global patent rights.

- Collaborative Search Pilot Program (CSP)** The USPTO is conducting two pilots, one with the Japan Patent Office (JPO) and another with the Korean Intellectual Property Office (KIPO). The purpose of CSP is to provide our stakeholders with search results from two Offices early in the examination process so applicants can determine their next steps in patent prosecution.
- Cooperative Patent Classification (CPC)** The Cooperative Patent Classification effort is a joint partnership between the United States Patent and Trademark Office and the European Patent Office to harmonize their existing classification systems (European Classification (ECLA) and United States Patent Classification (USPC) respectively) and migrate towards a common classification scheme.
- Global Dossier** Global Dossier will provide all stakeholders with secure, one-stop access to related applications across the IP5, the five largest patent offices in the world.
- Patent Prosecution Highway (PPH)** The Patent Prosecution Highway speeds up the examination process for corresponding applications filed in participating intellectual property offices.
- Global Patents Search Network (GPSN)** Search Chinese full-text patent documents in the English or Chinese language, including published applications, granted patents and utility models from 1985 to 2012.
- International Patent Legal Administration** The International Patent Legal Administration educates and assists the patent community, develops policy, and resolves legal issues. The administration covers the Patent Cooperation Treaty (PCT), the Hague Agreement Concerning the International Registration of Industrial Designs, and the Patent Prosecution Highway (PPH).



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Global Preliminary Search Concept



Global Preliminary Search Concept

Suggested by a patent owner and frequent licensor

- Provide a mechanism by which an applicant could request that their invention, filed in a U.S. application for search and examination, be also searched by one or more foreign IP offices
- Results would be considered by USPTO
- Potential for increased **quality** of the prior art record



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Let's Chat About... Global Preliminary Search Concept

- **What do you think about the Global Preliminary Search Concept?**
- **Would you use the Global Preliminary Search?**
- **Should the USPTO pursue this concept with other IP Offices?**

Mark R. Powell
Deputy Commissioner
for International Patent Cooperation

Maria T. Holtmann
Director of International Programs
Office of International Patent Cooperation

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Thank you for joining us today!

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Next Patent Quality Chat: Special Programs for Patent Prosecution

Jacqueline Stone
Assistant Deputy Commissioner for Patent Operations

Patent Quality Chat
Webinar Series 2015 (5 of 6)

October 13, 2015



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**To send in questions or comments related to the
USPTO's Enhanced Patent Quality Initiative,
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