OMB 0651-0066 Approved for use through 10/31/18.

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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**Application Form**

Application Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Category (select one): ☐ Medicine ☐ Nutrition ☐ Sanitation

☐ Household Energy ☐ Living Standards

Application Type (select one):

☐ Use – my technology is being used to help the less fortunate

☐ Research – my technology is helping conduct research on humanitarian issues

**Organizations applying (one per line)**

Note: If any applicants performed the actions described in this application in an individual capacity rather than representing an organization, list their names instead.

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**Primary location of the applicants**

City: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ State: \_\_\_\_ Country: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Contact Information (optional)**

You may provide contact information here. We will use it inform you of your application status. Any information given here will be publicly available.

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Email: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Phone Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If you wish to provide private contact information to be notified of your application status, please email it to [patentsforhumanity@uspto.gov](mailto:patentsforhumanity@uspto.gov).

**Qualifying Patents**

1. List the relevant U.S. utility patents or patent applications you own or license that you wish to apply under. These patents must relate to the technology described in this submission. Add more rows if needed. Only one patent or patent application is required for eligibility. If any patents or applications are found ineligible, the remaining items will be considered. If no eligible items remain, the USPTO may contact the applicants to determine if eligible material can be identified.

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1. Are any of these patents or patent applications licensed from an entity not listed as an applicant on this form?

If yes, please certify the following statement:

☐ I have given a copy of this submission to the owner of each licensed patent covered by this application, and notified them of their ability to submit a supporting statement.

**Judging Criteria**

*Informational page: do not fill out.*

Your application will be evaluated on the following criteria. Be sure to address these in your answers on the following pages.

**Eligibility**

These are basic eligibility criteria to qualify for the program. They are not scored. As long as you meet the minimum requirements, you are eligible.

* Humanitarian Issue – What humanitarian issues does this application address? If the issues your technology addresses are not widely recognized as humanitarian, please provide enough information to demonstrate how they significantly affect the health or quality of life of an impoverished population.
* Patented Technology – What technologies does this application cover? A brief description of each technology and how it relates to the patents or patent applications on page ii would help.
* Target Population – What populations are your actions intended to benefit? It would help to demonstrate how these populations are impoverished or disadvantaged, and how they are affected by the humanitarian issues you describe.

**Scoring**

Judges will score your application based on these criteria:

* Effectiveness – How does the applicants' technology effectively address the humanitarian issues above? Are any products or services that employ these technologies being used to benefit the target population?
* Contribution – What meaningful actions did the applicants take to make the technology more available for addressing humanitarian issues?
* Impact – How has deployment of the technology to benefit the target populations been significantly advanced as a result of the applicants’ contributions? Are the target populations using the technology or products and services based on it? Are they benefitting in other ways? Include downstream actions by third parties stemming from the applicants' contributions.

If you are applying as a Research application, please see those criteria on the website.

**Tell us your story!**

*In no more than five additional pages, please address the following:*

What inspired your invention? How is it being used to help the less fortunate? How is it improving their lives? What challenges have you had to overcome in reaching them?

Be sure to point out how effective your technology is at addressing the humanitarian issue, what actions you've taken to make the technology available for this purpose, and what impact it is having on people's lives.

**Additional Information**

If there is any other information you would like the judges to consider, include it here. Judges are not required to read more than five pages of material, not including the first three pages of this form.