

**UNITED STATES
PATENT AND TRADEMARK OFFICE**



EFS-Web/PAIR

How to file and manage your patent application electronically

Office of Patent Information Management
Invention-Con 2019 Preconference Session
September 12, 2019

UNITED STATES
PATENT AND TRADEMARK OFFICE



Agenda

- EFS-Web: Electronic Filing System for Patents
- PAIR: Patent Application Information Retrieval
- Getting started
- **EFS-Web and PAIR tutorial**
- **Assistance resources**
- **Patent Center**

EFS-Web overview

- USPTO's web-based electronic filing system (EFS-Web) for patent application submissions
- Immediately routes documents to USPTO internal systems
- Generates Acknowledgement Receipt to show that the USPTO has received the submission
- Reduces manual processing and minimizes paper waste
- Processes faster by avoiding wait times associated with conventional mailing
- Allows for saving submission package to complete, review, or submit at a later time



PAIR: Patent Application Information Retrieval overview

- **Public PAIR:** Public applications, published applications, and issued patents
- **Private PAIR:** Applications (published and unpublished) and issued patents, which are associated with the Customer Number, PAIR Administration
 - A verified USPTO.gov account is required to use Private PAIR
 - To access applications in Private PAIR:
 - Correspondence Address of the application needs to be associated to the Customer Number
 - Customer Number needs to be linked to the USPTO.gov account of the PAIR user

Getting started – Obtain a Customer Number

- A Customer Number (CN):
 - Allows easy correlation of filings and correspondence with a single mailing address
 - Eliminates typographical errors or variations in addresses
- To get your unique Customer Number:
 - PAIR Administration option via Private PAIR
 - OR Complete Customer Number request form and fax it to the EBC at 571-273-0177
- To associate existing patent applications to a Customer Number:
 - PAIR Administration (attorneys or agents with Registration Numbers only)
 - OR Complete Change of Correspondence Address Form SB/122 (single application) and fax or mail to Commissioner for Patents
 - OR Complete Customer Number Upload Spreadsheet SB/125 (multiple applications) and mail the CD or USB memory stick to:

www.uspto.gov/patents-application-process/applying-online/getting-started-new-users



Getting started – USPTO.gov accounts

Verified USPTO.gov accounts uniquely identify you and allows secure access to your patent data. Follow the **new verification process**.



www.uspto.gov/patents-application-process/applying-online/getting-started-new-users

Create a USPTO.gov account

If you don't already have a USPTO.gov account, just follow these simple steps:

1. Visit the [MyUSPTO login](#)
2. Select "Create an account"
3. Enter the email address you will use to sign in, as well as other necessary account information, and follow the prompts
4. Opt in to two-step authentication

For additional information, see the [USPTO.gov account FAQ](#).

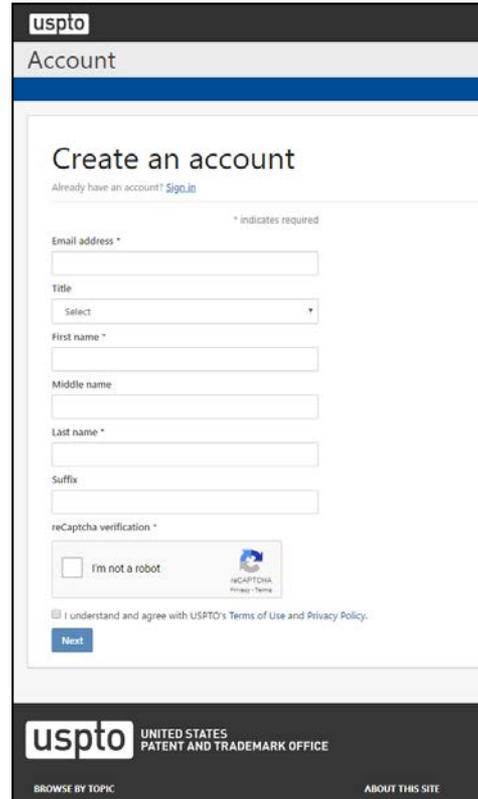


MyUSPTO landing page

The screenshot shows the MyUSPTO landing page. At the top left is the 'uspto' logo. The top navigation bar includes links for 'Patents', 'Trademarks', 'Fees and payment', 'Help', 'Sign in', and 'MyUSPTO'. Below this is a blue header with 'MyUSPTO' on the left and 'What's new' and 'MyUSPTO help' on the right. The main content area is divided into three columns. The left column features the heading 'Your new uspto.gov account' and text explaining the consolidation of sign-in credentials. A green 'Sign up' button is at the bottom. The middle column has a 'SIGN UP' section with a blue 'Create an account' button, which is highlighted by a red arrow. Below it is an 'Already have an account?' section with a 'Sign in' button. The right column has a 'WE WANT YOUR INPUT' section with a green 'Join the discussion' button and a 'LEARN MORE' section with a brown 'Frequently asked questions' button. At the bottom, there is a warning message: '*****WARNING***WARNING***WARNING***** This is a United States Patent and Trademark Office (USPTO) computer system, which may be accessed and used only for official Government business by authorized personnel. Unauthorized access, actions, use, modification, or disclosure of the data contained herein or in transit to/from this system constitutes a violation of the Computer Fraud and Abuse Act, Pub. L. No. 99-474, codified at 18 U.S.C. §1030, state criminal and civil laws, and may subject violators to criminal, civil, and/or administrative action and penalties. Regardless of geographic location, authorized use of this system must comply with USPTO policy, as documented in the USPTO AAO 202-737 (Limited Personal Use of Government Equipment), AAO 212-4 (Information Technology Security Policy) and the USPTO Rules of the Road. Unauthorized use or actions exceeding authorized use of USPTO systems will be investigated, and, when appropriate, official sanctions will be imposed. All data contained on USPTO computer systems is owned by the USPTO, and may be monitored, intercepted, recorded, read, copied, captured, and disclosed in any manner by authorized personnel. THERE IS NO RIGHT OF PRIVACY IN THIS SYSTEM. System personnel may give to law enforcement officials, for investigation and prosecution, any potential evidence of crime found on USPTO computer systems. USE OF THIS SYSTEM BY ANY USER, AUTHORIZED OR UNAUTHORIZED, CONSTITUTES CONSENT TO THIS MONITORING, INTERCEPTION, RECORDING, READING, COPYING, CAPTURING, AND DISCLOSURE. Suspected access violations or policy infractions should be reported to the USPTO Service Desk at (571) 272-9000.'



Create an account



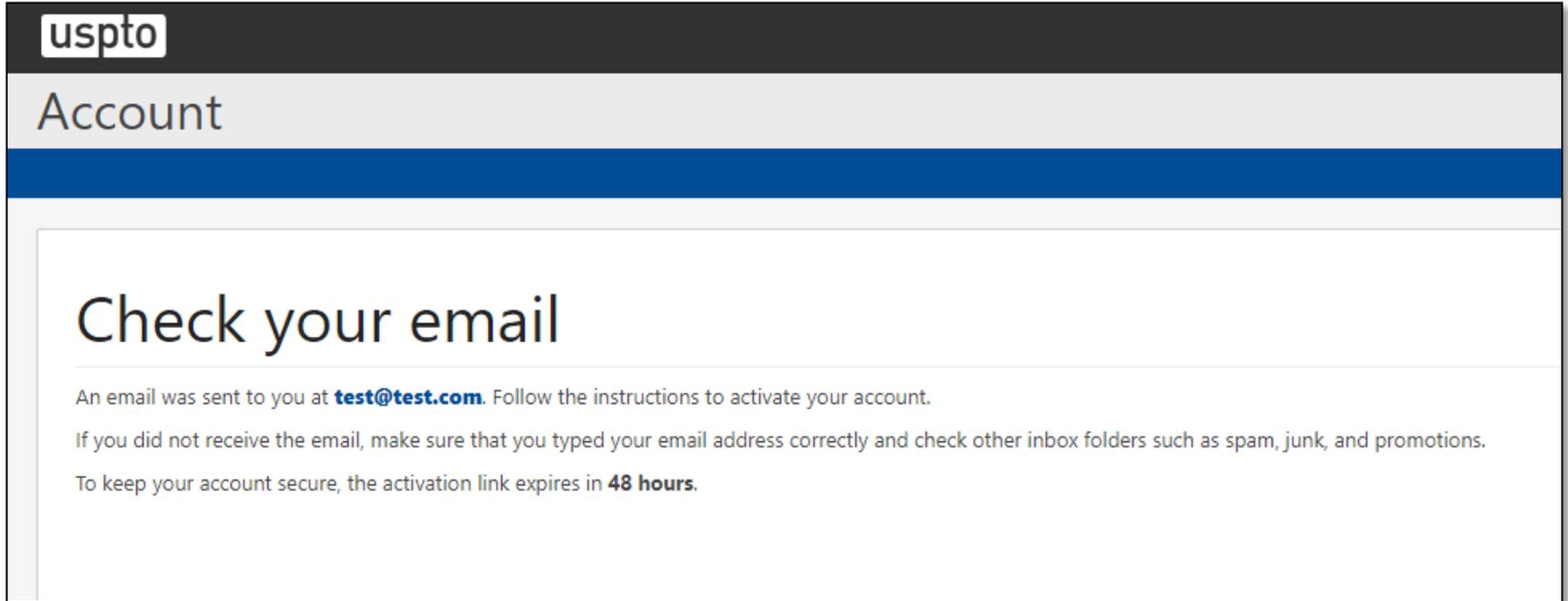
The screenshot shows the 'Create an account' page on the USPTO website. The page has a dark header with the 'uspto' logo and a light blue sub-header with the word 'Account'. The main content area is white and contains the following elements:

- Title:** 'Create an account' in a large, bold font.
- Link:** 'Already have an account? [Sign in](#)'
- Text:** '* indicates required' in a small font.
- Form Fields:**
 - Email address ***: A text input field.
 - Title**: A dropdown menu with 'Select' as the current selection.
 - First name ***: A text input field.
 - Middle name**: A text input field.
 - Last name ***: A text input field.
 - Suffix**: A text input field.
- reCaptcha verification ***: A checkbox labeled 'I'm not a robot' next to the reCAPTCHA logo and 'Privacy - Terms' link.
- Agreement:** A checkbox labeled 'I understand and agree with USPTO's Terms of Use and Privacy Policy.'
- Next Button:** A blue button with the text 'Next'.

The footer of the page is dark and contains the 'uspto' logo, the text 'UNITED STATES PATENT AND TRADEMARK OFFICE', and two links: 'BROWSE BY TOPIC' and 'ABOUT THIS SITE'.



Check your email



The screenshot shows a web interface for a USPTO account. At the top left is the 'uspto' logo. Below it is a header section with the word 'Account' on a light gray background. A blue horizontal bar is positioned below the header. The main content area has a white background and contains the following text:

Check your email

An email was sent to you at test@test.com. Follow the instructions to activate your account.

If you did not receive the email, make sure that you typed your email address correctly and check other inbox folders such as spam, junk, and promotions.

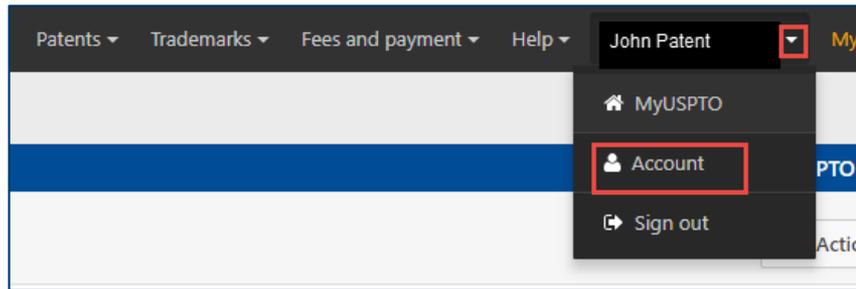
To keep your account secure, the activation link expires in **48 hours**.

Check your email and complete the prompts



Manage USPTO.gov account

1. Sign in to your USPTO.gov account at <https://my.uspto.gov>.
2. From the top right drop-down menu under your name, select "Account."



Set up two-step authentication

1. Choose your default choice for two-step authentication in your MyUSPTO settings
 - Email
 - Code Generator (Authenticator App)
 - Phone Call
2. Select the checkbox "I want to use the two-step authentication method every time I sign into MyUSPTO."
3. Scroll to bottom of page and select Save.

Two-step authentication

Some sections of uspto.gov require a two-step authentication to confirm your identity. This provides an extra layer of security to your account.

Select the default of your second level of authentication.

Email | jpatent1@patentcenter.com

6-digit code sent to email lasts for twenty minutes. 

Code generator (Authenticator app)

6-digit code generated by authenticator app. One-time use only. Your authenticator app must be set up before using this option. 

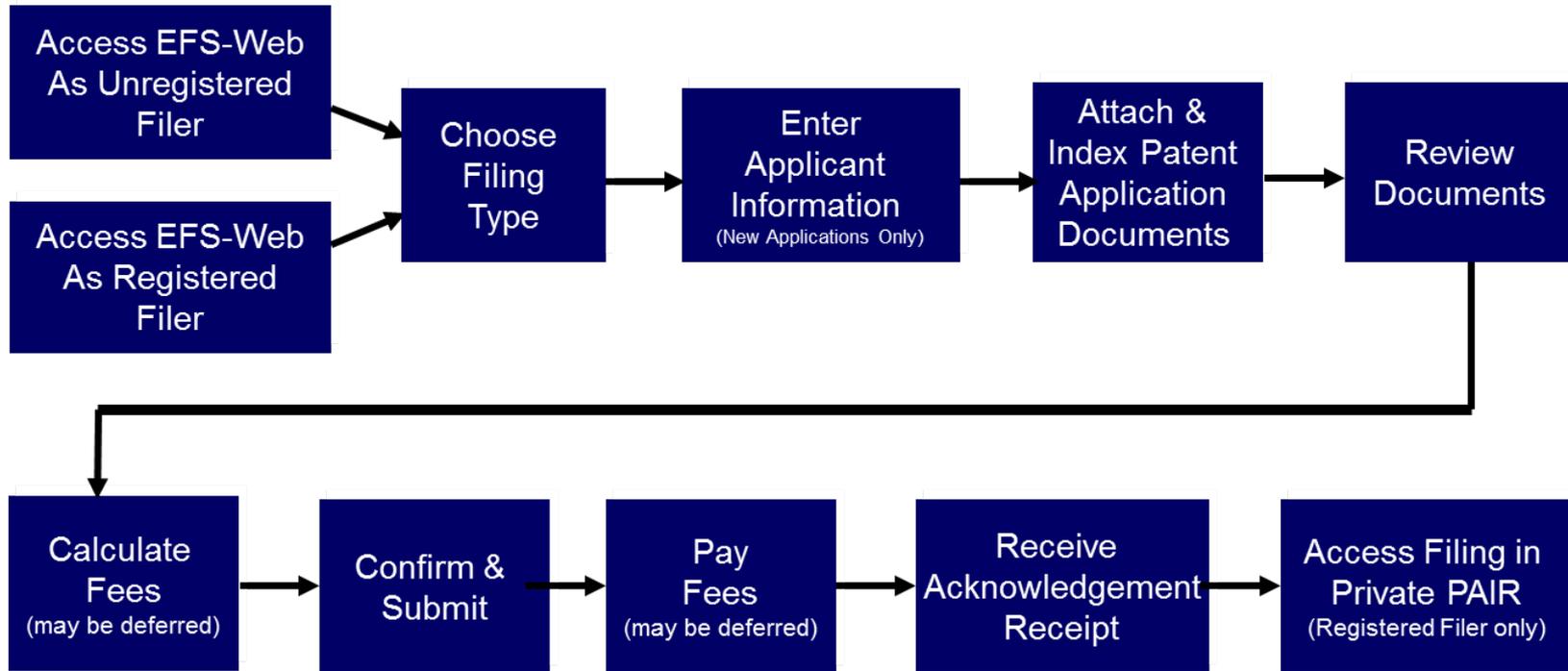
[Reconfigure](#)

I want to use the two-step authentication method every time I sign into MyUSPTO.

Note: Not all sites are currently configured to accept the authentication code, therefore, you may not be prompted even if you opted in.

NOTE: You are able to change your choice (choose another option besides your default) upon each sign-in that prompts for the second factor

Electronic filing process



Accepted document formats

PDF files

General documents



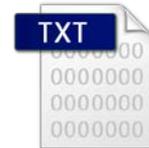
DOCX

Initial applications
(specification,
claims, abstract)



TEXT

Bio-sequence listings,
computer code, etc.



JPEG files

Hague
reproductions
(drawings)



PCT-SAFE zip files

Generated zip files
produced by the
PCT-SAFE software



Structured text (DOCX)

- Most recent enhancements to EFS-Web and PAIR
 - Ability to accept and process DOCX/XML
 - Limited to specifications, claims, abstract for non-provisional utility applications
- DOCX is only available for utility non-provisional initial filings
- Informational webpage: www.uspto.gov/patent/docx
- Quick Start Guides
 - DOCX Intake located under EFS-Web Resources
 - www.uspto.gov/SubmitDOCX
 - Access and Download DOCX located under PAIR Resources
 - www.uspto.gov/RetrieveDOCX
 - Patent Electronic Business Center (EBC)
 - Phone: 1-866-217-9197
 - Email: ebc@uspto.gov

e-Office Action

- The e-Office Action program is designed to notify applicants, via email, when a new office communication is available for secure viewing and downloading.
- Opting in to receive electronic notification will automatically stop the office from sending most office communication through the postal mail.
- Email notification expedites the availability of office communication up to three days faster than communication sent by postal mail.
- Up to a maximum of three valid email addresses can be assigned to receive email notifications.
- Participants will receive an email notification daily for each Customer Number, including weekends, by 8 a.m. ET.
- If the document has not been viewed in 7 days, a postcard reminder will be sent to the correspondence address.

EFS-Web and PAIR tutorial

Electronic patent application filing and viewing systems

UNITED STATES
PATENT AND TRADEMARK OFFICE



Unregistered and registered filing

- Unregistered
 - No account creation needed to file
- Registered
 - USPTO.GOV account
 - Allows for docket management

EFS-Web unregistered

EFS Unregistered

Unregistered eFilers | Registered eFilers | Please Read Announcements

Welcome to Electronic Patent Filing for UNREGISTERED eFILERS

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO. All items denoted by * are required.

Maintenance Advisory: Firefox released Firefox 52 on March 7, 2017. This iteration dropped support for NPAPI (including the Java plug-in), which impacts the EFS-Web application. For more information see the [EFS-Web Announcements page](#).

IMPORTANT: Please read the [Legal Framework for using EFS-Web](#). For information on the Paperwork Reduction Act as it pertains to: ePetitions, third party statements under 37 CFR 1.501, please see the [OMB Clearance and PRA Burden Statement page](#).

Sign-on as an UNREGISTERED eFILER

e-Filer Last Name:

e-Filer First Name:

e-Filer E-Mail Address:

— *Main Functions—

New application/proceeding

(This includes new filings of continuation, divisional, continuation-in-part and reissue applications, as well as reexamination and supplemental examination applications. Existing documents and must be filed as a registered eFiler.)

Existing application/patent 

[Privacy Policy](#)

If you close or exit the eFiling portal you will lose your work.
For your convenience, all links in the left hand side of the eFiling portal will open in new windows to help prevent accidental loss of information during filing. Context-sensitive help (information graphic  links) will appear in a new window.

Provide basic identity information

Select from limited application types available



Sign-in with USPTO.gov account

There are new [EFS-Web](#) and [Private PAIR](#) URLs for signing in with your USPTO.gov account

Your new uspto.gov account

The USPTO is working to gradually replace many of the credentials you use to sign in to our systems with one secure, consolidated sign-in: your new **uspto.gov account**. The uspto.gov account has already combined sign-in credentials for several fee payment tools and we're working on consolidating even more. Get started today: Create your uspto.gov account and start customizing your **MyUSPTO** page.

The new uspto.gov accounts are designed for individuals, not groups or organizations. Future updates will add the ability for organizations to share information between colleagues.

Sign in * indicates required

Email address * [USPTO employee?](#)

Password *

Remember my email address

Sign in

By signing in, I certify to the [USPTO's Terms of Use](#) and [USPTO Privacy Policy](#).

[Forgot password?](#) [Create an account](#)



Select second-step authentication

Two-step authentication ×

Step 1 of 2

Two-step authentication provides an extra layer of security to your account by requiring you to enter a authentication code.

Note: Two-step authentication may be required in order to access certain areas within the site.

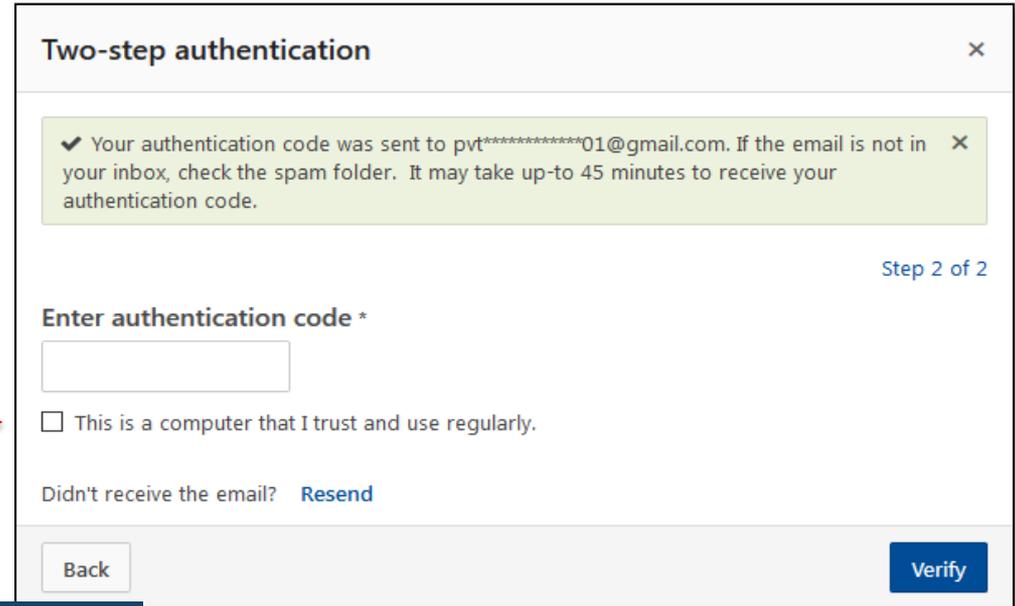
Receive code via:

Email (pcp*****02@gmail.com)

Code generator (Authenticator app)

Next

Enter authentication code



Two-step authentication ×

✓ Your authentication code was sent to pvt*****01@gmail.com. If the email is not in your inbox, check the spam folder. It may take up to 45 minutes to receive your authentication code. ×

Step 2 of 2

Enter authentication code *

This is a computer that I trust and use regularly.

Didn't receive the email? [Resend](#)

Note: By selecting the checkbox that "this is a computer that I trust and use regularly," the second step authentication will be valid for 24 hours after the initial sign-in. If you are logged out after 30 minutes of inactivity, you will only need to use your first factor, email and password, to authenticate back into EFS-Web and PAIR.

EFS-Web registered

EFS Registered

Registered eFilers Please Read Announcements

Certification

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.
All items denoted by * are required.

New Feature (28SEPT2015): Web 85b option now available for users with POA to submit Issue Fee. [Learn more](#)

Effective **September 2015**, due to Chrome discontinuing their support for Java, Chrome customers using version 45 and above will no longer be able to log into EFS-Web or Private PAIR. For USPTO updates regarding this issue please visit the [EFS-Web Announcements](#) page.

IMPORTANT: Please read the [Legal Framework for using EFS-Web](#). For information on the Paperwork Reduction Act as it pertains to: ePetitions, third party submissions under 37 CFR 1.290, Web-based application data sheets, and citations of prior art and written statements under 37 CFR 1.501, and issue fee transmittals (85b), please see the [OMB Clearance and PRA Burden Statement page](#).

Your Digital Certificate has been authenticated - please certify your identity:

I certify that I am the certificate holder **Amy Kathleen Kelly Tierney**

I certify that I am working under the authority of the certificate holder: **Amy Kathleen Kelly Tierney**

Main Functions

New application/proceeding

(This includes new filings of continuation, divisional, continuation-in-part and re-issue applications, as well as reexamination and supplemental examination proceedings. A request for continued examination (RCE) and continued prosecution are considered existing documents and must be filed as a registered eFiler.)

Existing application/patent/proceeding ⓘ

My Workplace

[Privacy Policy](#)

Certify your identity

Select Application type



EFS-Web application data

EFS Registered

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Application Data

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO. The Acknowledgement Receipt is evidence of this submission.
All items denoted by * are required.

Application Data - An ADS may be attached as a PDF
 Web-based ADS - An ADS form is auto-generated based on data entered on a web screen. A Web-based ADS can only be submitted with a new application on or after September 16, 2012, or with a U.S. national stage entry under 35 USC 371 where the Patent Cooperation Treaty date (international filing date) is on or after September 16, 2012. Do not attach an ADS as a PDF if submitting a Web-based ADS.
Based on the above selection of the radio button, the data will be selected from either the Application Data fields or Web-Based Application Data fields. The continue button is clicked. Any data entered in the non-selected form would not be retained.

* Title of Invention

Attorney Docket Number

First Named Inventor:

* First Name
Middle Name
* Last Name

Correspondence Address:

Enter a customer number for correspondence or provide an address where USPTO should send correspondence for this application.

Customer Number Please select a valid Customer Number * Please select a valid Customer Number

OR

Correspondence Address

[Privacy Policy](#)

Select ADS submission type

Enter Title of Invention

Enter Inventor Name

Enter Customer Number or Correspondence Address



EFS-Web attach documents

Registered eFilers [x] Please Read Announcements Application Documents **Attach Documents** Renew Documents Calculate Fees Confirm & Submit Pay Fees Receipt

Attach Documents

This is the application data associated with your filing. If any information is incorrect, please edit the Application Data

Title of Invention	Test Application
First Named Inventor	Test Test
Customer Number or Correspondence Address	59 USPTO TEST CUSTOMER JULY 19 2015 TESTING 600 DULANY STREET 5TH FLOOR ALEXANDRIA VA 22303 US PAIR@uspto.gov 555-111-5555 888-999-0001
Filed By	EBC Tester
Attorney Docket Number	
Application Type	Utility under 35 USC 111(a)

Files to be Submitted	Category	Document Description
1 <input type="button" value="Browse..."/> No file selected. Does your PDF file contain multiple documents?	View All Categories <input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="button" value="Delete"/>

Users are advised not to submit credit card payment form PTO-2038 via EFS-Web. Submission of the payment form via EFS-Web may result in the form being included in the patent or trademark records open for public inspection. Users choosing to submit a credit card should instead utilize the on-line payment method through EFS-Web.

Bibliographic Data is Echoed Back to Applicant

Filter by Category

Delete Files

Attach Files

Select Document Description



EFS-Web review documents

EFS Registered

Registered eFilers | Please Read Announcements | Application Data | **Attach Documents** | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Review Documents

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

This is the application data associated with your filing. If any information is incorrect, please edit the [Application Data](#)

Title of Invention	Test Application
First Named Inventor	Test Test
Customer Number or Correspondence Address	59 USPTO TEST CUSTOMER NUMBER JULY 19 2015 TESTING 600 DULANY STREET 5TH FLOOR ALEXANDRIA VA 22303 US PAIR@uspto.gov 555-111-5555 888-999-0001
Filed By	EBC Tester
Attorney Docket Number	
Application Type	Utility under 35 USC 111(a)

To Review a Document, please click on the document name. To add more files please [Attach Files](#)

Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size	
PASS	Specifications1.pdf	NO	Specification	14	442651 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>
◆ No validation errors found.						
PASS	sb0001_fill.pdf	NO	Abstract	3	548183 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>
◆ No validation errors found.						

[Privacy Policy](#)

Review Bibliographic Data

Review validation for possible errors



EFS-Web calculate fees

EFS Registered

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Calculate Fees

If you are not paying fees for this filing at this time, you may continue to file a new application. Failure to pay these fees on filing a new application will result in a surcharge. See 37 CFR 1.101.

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the Acknowledgement Receipt is received in Eastern Time. The Acknowledgement Receipt is evidence of this submission.

Fees displayed: Small Entity

Entity Status for Fee Purposes: **Small Entity** (info icon)

[Regular Undiscounted](#) [Small Entity](#) [Micro Entity](#)

Fee Calculator

Total Fee Due: **\$930**

Utility Patent Application Filing Fees (select all that apply)

	<input checked="" type="checkbox"/> Filing	<input checked="" type="checkbox"/> Search	<input checked="" type="checkbox"/> Exam
Amount	\$70	\$300	\$360
Fee Code	4011	2111	2311

Sub-Total: _____

Application Size Fee - Number of Pages in the Specification (including any external tables) and drawings (info icon)

Was this application originally filed in paper? Yes No

Number of Pages 0 * .75 = 0 -100 = 0 / 50 = 0 * \$ 200 = _____

Claims (select all that apply)

Claims in excess of twenty 5 * \$40 = \$ 200

Independent claims in excess of three 0 * \$210 = \$ 0

Multiple Dependent Claims(\$390) = \$ _____

Miscellaneous Fees: (select all that apply)

Non-English Translation (\$ 70)

Publication Fee for early, voluntary or normal publication (\$ 0) (info icon)

The publication fee for normal publication is not due until the application is allowed. Requests for early publication must include a separate statement requesting early publication.

Surcharge - Late filing fee, search fee, examination fee or oath or declaration (\$ 70)

Sub-Total \$ 0

Petition Filing Fees (select all that apply)

[Privacy Policy](#)

Must select business size (Micro, Small Entity, or Regular Undiscounted)

Fees Calculated Based on Values Entered by User

EFS-Web confirm documents

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | **Calculate Fees** | **Confirm & Submit** | Pay Fees | Receipt

Submit Application
A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission. [Save for Later Submission](#)

This is the application data associated with your submission. If any information is incorrect, please edit the [Application Data](#)

Title of Invention: Test Application
First Named Inventor: Test Test
Customer Number or Correspondence Address: 59
USPTO TEST CUSTOMER NUMBER
JULY 19 2015 TESTING
600 DULANY STREET
5TH FLOOR
ALEXANDRIA
VA
22303
US
PAIR@uspto.gov
555-111-5555
888-999-0001
Filed By: EBC Tester
Attorney Docket Number:
Application Type: Utility under 35 USC 111(a)

This is the fee data associated with your submission. If the following amount is incorrect, please edit the [Fee Calculation](#)

Total Fees Due: \$ 930

To Review a Document, please click on the document name.

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	Specifications1.pdf ◆ No validation errors found.	14	Specification	442651 byte	◆ PASS
2	sb0001_fill.pdf ◆ No validation errors found.	3	Abstract	548183 byte	◆ PASS
3	fee-info.pdf ◆ No validation errors found.	2	Fee Worksheet (SB06)	35665 byte	◆ PASS

Review Bibliographic Data

Review documents



EFS-Web pre-acknowledgment receipt

Congratulations! You are ready to pay fees associated with your submission.

13:15:06 Eastern Time on 29-SEP-2015

 [E-mail Receipt Info](#)

The USPTO has received your submission. An Acknowledgement Receipt will be received in due course. Prior to receiving the Acknowledgement Receipt, registered users may check "My Workplace" to check the status of their submission and access Private PAIR to view the actual documents filed. You may continue to contact the EBC. See the [EBC](#) Web Page for contact info and hours.

Application Number,
Confirmation Number,
and EFS ID Displayed

The following identification numbers are associated with your submission.

Application Number	59957356
Confirmation Number	1002
EFS ID	23633588

Total Fees
Identified

The following fees are due.

Total Fees Due: \$ 930

The USPTO's RAM payment server is ready to accept your fee payments using a [USPTO Deposit Account](#), an [Electronic Funds Transfer](#), or one of the following credit cards: *American Express, Discover, MasterCard, or Visa*. Please have all access codes, account numbers and account holder information ready to ensure a successful online payment experience.

Would you like to pay your fees at this time?

YES! I want to pay now

NO - I will pay later (additional charges may be incurred)

Payment
Options
Presented

Financial Manager payment method

Payment Method

[Read more about the security of your transaction.](#)

New USPTO Payment System 

Pay using one of the following payment options which can be stored in your [USPTO.gov Account](#):

- USPTO Deposit Account
- Credit/Debit Card
- Electronic Funds Transfer(EFT)

Pay with the New USPTO Payment System

[Why this change?](#)

[Pay Later, Generate Acknowledgement Receipt](#)

User is directed to the new Financial Profile Page



Financial Manager sign-in

You can either sign in with a MyUSPTO account or pay as a guest (paying as a guest limits options to payment by credit/debit card only)

Sign in for Payment

If you are already a USPTO Financial Manager user, you can sign in using your uspto.gov username and password below to pay with your stored payment methods. If you're not a Financial Manager user, you can still checkout as a guest by clicking the Pay as a guest button below.

Sign in

Email address or username *

Password *

[Sign in](#)

1

Pay as a guest

Continue the payment process as a guest.

[Pay as a guest](#) [Cancel](#)

2

Financial Manager pay fees

Payment

Order Details [Order Details](#)

TOTAL
\$400.00

Sale Item Reference #	Arbitrator Checklist #	Fee Code	Item Price	Qty	Item Total
58957730		8111	\$70.00	1	\$70.00
58957730		8111	\$130.00	1	\$130.00
58957730		8111	\$180.00	1	\$180.00

Payment Information Approved for use through 1/31/2018 [Order 8611-014](#)

Required fields *

Payment Options * Credit/Debit Card

Card Number *


Card Security Code *

Name on card *

Expiration Date * -

Enter/Edit Billing Address

Address Line 1 *

Address Line 2

Country *

City *

State / Region *

Zip / Postal Code *

Why can't I add a fee preauthorization to this order?

EFS-Web acknowledgment receipt

Date and Time Stamp

- Registered eFilers
- Please Read Announcements
- Application Data
- Attach Documents
- Review Documents
- Calculate Fees
- Confirm & Submit
- Pay Fees
- Receipt

Acknowledgement Receipt

The USPTO has received your submission at **13:15:06** Eastern Time on **29-SEP-2015**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

eFiled Application Information

EFS ID	23633588
Application Number	59957356
Confirmation Number	1002
Title of Invention	Test Application
First Named Inventor	Test Test
Customer Number or Correspondence Address	59
Attorney Docket Number	
Filing Date	
Receipt Date	29-SEP-2015
Application Type	Utility under 35 USC 111(a)

You may take the following actions:

- [E-mail Receipt Info](#)
- [Print Receipt](#)
- [Save Receipt](#)
- [File Another Application](#)
- [File an Assignment of Ownership](#)
- [Pay Maintenance Fees](#)
- [Access Private PAIR](#)

Post-Submission Options

Important Application Information

Application Details

Submitted Files	Page Count	Document Description	File Size	Warnings
Specifications1.pdf	14	Specification	442651 bytes	PASS
sb0001_fill.pdf	3	Abstract	548183 bytes	PASS
fee-info.pdf	2	Fee Worksheet (SB06)	35665 bytes	PASS



Private PAIR access

[-] Search for Application: 

Choose type of number:

- Application Number (EXAMPLE: 99999999 or 99/999999)
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- Publication Number
- International Design Registration Number (EXAMPLE: DM/999999)
- Search by Attorney Docket Number

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Accessing information within PAIR

- Application Data is the default data display.
- Select a “tab” to access other data.
- Private PAIR will display same tabs in addition to others.

59/999,797		test		2					
Select New Case	Applications by Customer	Application Data	Transaction History	Image File Wrapper	Continuity Data	Fees	Address & Attorney/Agent	Assignments	Publication Review
Bibliographic Data									
Application Number:	59/999,797	Correspondence Address Customer Number:	59	Private View					
Filing or 371 (c) Date:	11-09-2001	Status:	Abandoned -- Incomplete (Filing Date Under Rule 53 (b) - PreExam)						
Application Type:	Utility	Status Date:	11-13-2001						
Examiner Name:	BRENEMAN, ROBERT B	Location:	OPAP- CONTRACTOR FORMALITIES, ST - 7A CORRIDOR						
Group Art Unit:	SIRA	Location Date:	11-10-2001						
Confirmation Number:	5349	Earliest Publication No:	-						
Attorney Docket Number:	2 Update	Earliest Publication Date:	-						
Class / Subclass:	118/715	Patent Number:	-						
First Named Inventor:	Richard Cliff all inventors	Issue Date of Patent:	-						
First Named Applicant:	-	International Registration Number (Hague):	-						
Entity Status:	Undiscounted Update	International Registration Publication Date:	-						
AIA (First Inventor to File):	No								
Title of Invention:	test								

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Choose One:

- Applications by Customer Number
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Specific Date Date Range

Start Date End Date (Date format MM-DD-YYYY)

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Select the date or a date range, up to 90 days

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Secured Patent Application Information Retrieval

Select New Case e-Notification Correspondence

e-Notification Correspondence

28 e-Notification Correspondence(s) found for Customer Number(s) "59" between 02-08-2019 and 05-09-2019 (sorted by e-Notification Date Descending)

	Application Number	Patent Number	Attorney Docket Number	Customer Number	Mailing Date	e-Notification Date	Document Code	Document Description	Earliest Image View Date	Viewed By	Docketed	
<input type="checkbox"/>	PDF XML DOCX	59/318,001	-	MDeal 3.18	59	04-29-2019	04-29-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF / XML / DOCX	59/318,001	-	MDeal 3.18	59	04-29-2019	04-29-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF		-	docket	59	04-23-2019	04-23-2019	INTV.SUM.EX	Examiner initiated interview summary (PTOL-413B)	-	-	<input type="checkbox"/>
<input type="checkbox"/>			-	ddkdkd	59	04-23-2019	04-23-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>			-	Matt	59	04-22-2019	04-22-2019	NTC.MISS.PRT	Notice to File Missing Parts	-	-	<input type="checkbox"/>
<input type="checkbox"/>			-	Matt	59	04-22-2019	04-22-2019	APP.FILE.REC	Filing Receipt	-	-	<input type="checkbox"/>
<input type="checkbox"/>			-	Matt	59	04-22-2019	04-22-2019	APP.FILE.REC	Filing Receipt	-	-	<input type="checkbox"/>
<input type="checkbox"/>			-	Matt	59	04-22-2019	04-22-2019	NTC.MISS.PRT	Notice to File Missing Parts	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/300,107	-	-	59	04-22-2019	04-22-2019	N572	Response - Re: Informal Power of Attorney (PTOL-308)	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/300,105	-	Test Case	59	04-22-2019	04-22-2019	NT.INC.REPLY	Notice of Incomplete Reply	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/300,105	-	Test Case	59	04-22-2019	04-22-2019	NT.INC.REPLY	Notice of Incomplete Reply	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/300,105	-	Test Case	59	04-22-2019	04-22-2019	APP.FILE.REC	Filing Receipt	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/300,105	-	Test Case	59	04-22-2019	04-22-2019	NTC.MISS.PRT	Notice to File Missing Parts	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/000,001	-	LOAD TEST 2014-2015	59	06-23-2011	04-13-2019	APP.FILE.REC	Filing Receipt	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF / XML / DOCX	59/971,363	-	201501PTA	59	03-01-2019	03-01-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/316,113	-	046A	59	03-01-2019	03-01-2019	OA.FAI	First action interview - office action	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF / XML / DOCX	59/316,113	-	046A	59	03-01-2019	03-01-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/316,113	-	046A	59	03-01-2019	03-01-2019	CTAV	Advisory Action (PTOL-303)	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/316,113	-	046A	59	02-14-2019	02-14-2019	NTC.RCE.DEF	Notice of Improper Request for Continued Examination (RCE) - PTO-2051 Rev 7/2003	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/956,601	-	USPTA-Lock	59	02-12-2019	02-12-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/316,113	-	046A	59	02-12-2019	02-12-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/316,113	-	046A	59	02-12-2019	02-12-2019	OA.FAI	First action interview - office action	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF / XML / DOCX	59/316,113	-	046A	59	02-12-2019	02-12-2019	M327	Miscellaneous Communication to Applicant - No Action Count	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF / XML / DOCX	59/081,008	-	docket	59	02-12-2019	02-12-2019	CTNF	Non-Final Rejection	-	-	<input type="checkbox"/>
<input type="checkbox"/>	PDF	59/081,008	-	docket	59	02-12-2019	02-12-2019	892	List of references cited by examiner	-	-	<input type="checkbox"/>

Select ALL Clear ALL

Results sorted by e-Notification Date Descending

1: 04-29-2019(59/318,001) - 02-12-2019(59/081,008)

Documents can be downloaded or viewed in multiple formats including DOCX and XML

Additional information

- Filing online:
 - www.uspto.gov/patents-application-process/file-online
- Application status:
 - www.uspto.gov/patents-application-process/check-filing-status-your-patent-application
- E-Office action program
 - www.uspto.gov/patents-application-process/checking-application-status/e-office-action-program

Electronic Business Center (EBC)

Electronic Business Center (EBC) offers in-depth technical support to external users of the USPTO's Patent e-Commerce systems.

The EBC can assist with:

- Submitting your patent application via EFS-Web
- Viewing application information in Public and Private PAIR
- Searching for patents in AppFT and PatFT
- Digital certificate, customer number issues, and assistance
- Java and web browser problems
- Technical problems or errors with your patent application
- PDX/DAS registration inquiries and issues
- Technical problems with biotech tools such as PatentIn and Checker

Contact Info

Hours: Monday – Friday,
6 a.m. – midnight (ET),
except federal holidays

Telephone Numbers:

- Toll-Free: 866-217-9197
- Local: 571-272-4100

Email: ebc@uspto.gov



eMod project

The **eCommerce Modernization**

(eMod) Project improves

the electronic patent

application process by

modernizing USPTO's application

filing and viewing systems.

2016 –2017

eMod Text Pilot Program

- System: Legacy (EFS-Web & PAIR)
- Participants: Pilot via Registration

September 2017 Offer Text Features to the Public

- System: Legacy
- Participants: All EFS-Web Registered Filers
- USPTO accepts DOCX (for initial submissions)
- USPTO provides DOCX (including Office actions)
- USPTO provides XML

March 2018 eMod Patent Center Alpha Release

- System: Next Gen
- Participants: External (active in the eMod Text Pilot)
- Limited live filings: Four types of new applications (utility non-provisional, provisional, national stage – 371, design), and their corresponding follow-on submissions
- Limited functionality for viewing/managing applications
- Legacy tools still needed for other functionalities

Oct '18- Feb '19 Authentication Change for EFS-Web and PAIR

- Authentication Change for EFS-Web Registered and Private PAIR
- **October:** Migration tool available for PKI certificate holders to link their USPTO.gov accounts to their PKI certificates. Linkage allows use of USPTO.gov accounts to access EFS-Web and Private PAIR. PKI certificates will remain valid for a period of time after the linkage to access EFS-Web and Private PAIR.
- **November:** Sponsorship tool available for registered practitioners to sponsor their support staff to work on their behalf by giving them access/sponsorship.

2019

eMod Patent Center Beta Release

- System: Next Gen
- Participants: External (via open registration)
- Focus on patent applicants
- Features:
 - Unified user interface
 - Key functionalities from EFS-Web and PAIR (new filings include Web 85b, Corrected ADS, Hague, additional ePetitions, users can edit/update their attorney docket numbers and practitioners will receive notification emails to confirm PAIR admin actions)
 - Ability to do claim and amendment validations for users filing in DOCX



Patent Center

New and unified system to replace EFS-Web and PAIR in 2020

Single Interface

- Features single interface for filing and managing patent applications

Recent Technology

- Integrates recent technology
- Allows for enhanced system operations
- Enables increased functionality

Authentication

- Implements new permanent authentication method
- Independent of Java Applet

New functionality highlights:

- Upload multiple documents at a time
- Drag and drop for document upload
- Intuitive document codes/descriptions
- DOCX/XML features
- New look and feel (user interface)
- Save submissions at any time



Patent Center Beta release

- Scheduled for 2019
- Incorporates updates from Alpha Release and includes additional features
- Larger number of users

If you are interested in receiving more information, please email eMod@uspto.gov with the subject line "Participation Request: eMod Patent Center Beta Release" and we will contact you when the guidelines are available, and registration into the Beta Release is open.

