| <b>DOCX Conversion Services Errors and Warnings</b> |
|---|
| Errors: System Level                                |

| Error Type                   | Error Message  |
|------------------------------|--|
| INTERNAL_ERROR               | An internal system error occurred.   |
| RENDER_FAILURE               | Generation of PDF failed due to a system error.  |
| CHECKER_FAILURE              | Checking of DOCX patent application failed due a system error.                                     |
| SPLITTER_FAILURE             | Splitting of DOCX patent application into Spec, Claims, and Abstract failed due to a system error. |
| CORRECTOR_FAILURE            | Correcting of DOCX patent application failed due to a system error.                                |
| CORRUPT_OR_NOT_DOCX          | The provided document is corrupt or not a DOCX file.   |
| DB_MESSAGE_RETRIEVAL_FAILURE | Failed to obtain error/warning message from database.  |

| Errors: Cont           | ent Level   |
|------------------------|---|
| Error Type             | Error Message   |
| NO_HEADINGS            | This document contains no recognized section headings.  |
| REMOVE_CONTENT_CONTROL | This document contains content controls and cannot be processed.  |
| REMOVE_CUSTOM_XML      | This document contains custom XML and cannot be processed.  |
| PAGE_SIZE              | The page size of this document exceeds<br>Letter or A4. Please correct the page size<br>before proceeding.  |
| PAGE_ORIENTATION       | The orientation of the document is not portrait which may not be rendered correctly by the USPTO. Please correct by changing the orientation to portrait. |
| INVALID_FONT           | The attached document contains fonts that are not recognized by the system. Please correct the fonts in the document before proceeding.                   |

| MULTIPLE_SPECS                   | Only one specification document is allowed.   |
|----------------------------------|---|
| MULTIPLE_CLAIMS                  | Only one claims document is allowed.  |
| MULTIPLE_ABSTRACTS               | Only one abstract document is allowed.  |
| MULTI_COMPONENT_DOCUMENT         | This document contains multiple application parts (specification, claims, and/or abstract). Please upload application parts separately. |
| INVALID_FIELD_DOC_AUTOMATION     | This document contains document automation fields and cannot be processed.  |
| INVALID_FIELD_FORMCHECKBOX       | This document contains FORMCHECKBOX fields and cannot be processed.   |
| INVALID_FIELD_FORMDROPDOWN       | This document contains FORMDROPDOWN fields and cannot be processed.   |
| INVALID_FIELD_RD                 | This document contains RD fields and cannot be processed.   |
| INVALID_FIELD_HYPERLINK_LOCAL    | This document contains HYPERLINK fields to local resources and cannot be processed.   |
| INVALID_FIELD_USER_INFORMATION   | This document contains user information fields and cannot be processed.   |
| INVALID_FIELD_MAIL_MERGE         | This document contains mail merge fields and cannot be processed.   |
| INVALID_FIELD_BOOKMARK_REFERENCE | This document contains fields that reference bookmarks and cannot be processed because bookmarks are not allowed.                       |
| INVALID_FIELD_INCLUDEDPICTURE    | This document contains INCLUDEDPICTURE fields and cannot be processed. Graphics must be embedded into document, not linked by filename. |
| INVALID_FIELD_INCLUDEDTEXT       | This document contains INCLUDEDTEXT fields and cannot be processed. Graphics must be embedded into document, not linked by filename.    |
|                                  |   |

| INVALID_FIELD_PAGEREF                                | This document contains PAGEREF fields and cannot be processed.                                 |
|--|--|
| INVALID_FIELD_STYLEREF                               | This document contains STYLEREF fields and cannot be processed.                                |
| INVALID_FIELD_QUOTE                                  | This document contains QUOTE fields and cannot be processed.                                   |
| INVALID_FIELD_AUTONUM                                | This document contains AUTONUM fields and cannot be processed.                                 |
| INVALID_FIELD_AUTONUMLGL                             | This document contains AUTONUMLGL fields and cannot be processed.                              |
| INVALID_FIELD_AUTONUMOUT                             | This document contains AUTONUMOUT fields and cannot be processed.                              |
| DOCX_FOLLOWONS_DISABLED                              | DOCX files are not allowed for follow-on or amendment documents.                               |
| INVALID_FONT_CHAR                                    | The document references characters that cannot be displayed in the identified font.            |
| PRELIMINARY_AMENTMENT_NOT_ALLOWED_FOR_INITIAL_FILING | A Preliminary Amendment cannot be submitted in an initial filing. Please review and re-submit. |

| Warnings: Ui                    | ncorrected  |
|---------------------------------|---|
| Warning Type                    | Warning Message   |
| PARAGRAPH_NUMBER                | Sequential paragraph numbers were not detected. Please review the document.                               |
| LIMIT_WORD_COUNT                | Word count in abstract section is over 150 words.   |
| CLAIM_NUMBER_INVALID            | The claims are not numbered with positive integers. Please review and revise if necessary.                |
| INVALID_MARGIN                  | The margins of the attached document do not meet USPTO rules. This may cause processing errors or delays. |
| COLOR_OR_GRAYSCALE_IMAGE        | The document contains color or grayscale images which can cause image distortion problems.                |
| UNKNOWN_CLAIM_STATUS_IDENTIFIER | The claim status identifier is not valid. Please review and revise if necessary.                          |

| OLE_WARNING                           | The document contains OLE objects that are currently not supported. These objects may not be visible in your application documents.             |
|---------------------------------------|---|
| TRACK_CHANGES_DETECTED                | Track changes containing insertions/deletions have been detected. These changes will be displayed in the document as underlines/strikethroughs. |
| LIMIT_ABST_PARA_COUNT                 | The abstract contains more than one paragraph. Please review and revise if necessary.   |
| CLAIM_NUMBER_MISSING_OR_OUT_OF_ORDER  | Missing or out of order claim number detected.  |
| CLAIM_DEPENDS_ON_MISSING_CLAIM        | The claims contain an improper dependency (missing or canceled claim). Please review and revise if necessary.                                   |
| CLAIM_NUMBER_DUPLICATE                | The claims contain duplicate claim numbering. Please review the claims and revise if necessary.   |
| CLAIM_NUMBER_DEPENDS_ON_ITSELF        | The claims contain an improper dependency (with a claim depending on itself). Please review and revise if necessary.                            |
| CLAIM_NOT_DEPENDENT_ON_PREVIOUS_CLAIM | The claims contain a claim with an improper dependency as it does not depend on a previous claim. Please review and revise if necessary.        |
| CLAIM_IMPROPER_MULTIPLE_DEPENDENT     | The claims contain a claim which references back to another multiple dependent claim. Please review and revise if necessary.                    |
| PAGE_NUMBERING_ADDED                  | No page numbers were detected. Page numbering will be automatically applied after submission.   |
| PARAGRAPH_NUMBERING_DUPLICATE         | Duplicate paragraph numbering has been detected. Please review and revise if necessary.   |

| CLAIM_DEPENDS_ON_IMPROPER_CLAIM       | The claims contain a claim that depends on an improper claim. Please review and revise if necessary.   |
|---------------------------------------|--|
| INVALID_BACKGROUND_COLOR              | The attached document uses a non-white background color. Please review and correct if necessary to avoid processing problems.                      |
| CONCURRENT_INSERT_DELETE              | Document contains text marked for both insertion and deletion. Please review and revise if necessary.  |
| CLAIM_MISSING_PERIOD_AT_END           | A claim does not end with a period. Please review and revise if necessary.   |
| CLAIM_FIRST_LETTER_NOT_CAPITALIZED    | A claim does not begin with a capital letter.<br>Please review and revise if necessary.  |
| CLAIM_CONTAINS_MULTIPLE_SENTENCES     | A claim contains more than one sentence. Please review and revise if necessary.  |
| CLAIM_NOT_ALTERNATIVE_ONLY_DEPENDENCY | The claims contain a multiple dependent claim that does not use the alternative form. Please review and revise if necessary.                       |
| NO_CLAIMS_DETECTED                    | No claims were detected. Please use preferred claim numbering, such as "1.", instead of including the word "claim" as part of the claim numbering. |
| CLAIM_REFERENCES_DIFFERENT_FEATURES   | The claims contain a claim that references two sets of claims to different features. Please review and revise if necessary.                        |
| CLAIM_MULTIPLE_PERIODS_AT_END         | The claim contains more than one period at the end of the claim. Please review and revise if necessary.  |
| LANGUAGE_NON_ENGLISH                  | The document submitted has portions not written in English language. Please review and revise.   |
| CLAIM_ENDS_ON_COMMA                   | A claim number was detected that appears to end with a comma rather than period. Please review and revise if necessary.                            |
| PARAGRAPH_NUMBERING_MISSING           | Paragraph numbering is missing from the specification. Please review the specification and revise if necessary.                                    |

| PARAGRAPH_NUMBERING_NOT4DIGITS      | The specification paragraph numbering is not in the proper format. Please review and revise if necessary. |
|-------------------------------------|---|
| PARAGRAPH_NUMBERING_NOT_CONSECUTIVE | The specification paragraph numbering is not consecutive. Please review and revise if necessary.          |
| FIGURES_IN_SPECIFICATION            | Figures have been detected in the specification. Please review and revise if necessary.                   |
| SPECIFIC_DOCUMENT_CODE_USED         | The automatic document description has been replaced.   |
| LINE_SPACING_INVALID                | Line spacing is not valid.  |
| FOUND_ALL_3_SECTION_HEADERS         | All section headers were found.   |
| FOUND_SPEC_SECTION_ONLY             | SPECIFICATION section detected.   |
| FOUND_CLM_SECTION_ONLY              | CLAIM section detected.   |
| FOUND_ABST_SECTION_ONLY             | ABSTRACT section detected.  |
| FOUND_DRW_SECTION_ONLY              | DRAWING Section Detected.   |
| FOUND_CLM_AND_ABST_SECTIONS_ONLY    | CLAIM/ABST sections detected.   |
| FOUND_SPEC_AND_ABST_SECTIONS_ONLY   | SPEC/ABST sections detected.  |
| FOUND_SPEC_AND_CLM_SECTIONS_ONLY    | SPEC/CLAIM sections detected.   |
|                                     |   |

| 100110_31 26_7110_62111_326110113_01121 | Si Le, el ini sections detected.  |
|---|---|
| Warnings: 0                             | Corrected   |
| Warning Type                            | Warning Message   |
| ACCEPT_REVISIONS                        | Tracked revisions were found and have been accepted   |
| REMOVE_COMMENTS                         | Comments were found and have been removed.  |
| REMOVE_BOOKMARKS                        | Bookmarks have been detected and will be removed  |
| REMOVE_HIDDEN                           | Hidden items were found and have been removed.  |
| REPLACED_FONT_UNUSED                    | Unused invalid fonts set to default.  |
| REMOVE_LINE_NUMBERS                     | The system removed the continuous line numbering across multiple sections (specification, claims, and/or abstract). |
| REMOVE_TEXT_DECORATIONS                 | Text decorations have been removed  |
| REPLACED_AUTO_UPDATE_DATE               | Dynamic dates have been converted to static dates.  |
| REPLACED_FORMULA_FIELD                  | FORMULA fields have been converted to static text.  |

| REPLACED_ADVANCE_FIELD  | ADVANCE fields have been converted to static text.  |
|---|---|
| REPLACED_AUTOTEXTLIST_FIELD   | AUTOTEXTLIST fields have been converted to static text.   |
| HYPERLINK_CONVERTED_TO_STATIC   | Hyperlink detected. Anchor text will be converted to static text and the hyperlink will be removed.   |
| PAGE_NUMBERING_MISSING  | The document is missing page numbering. Please review and revise if necessary.  |
| REPLACED_ARTIFACTS_WITH_IMAGES  | All artifacts (SmartArt, Drawings, Charts, etc.) have been replaced with images.  |
| Warnings: XMI   | L Conversion  |
| Warning Type  | Message   |
| warning Type  | iviessage   |
| XML4IP_CONVERSION_FAILURE   | A problem prevented generation of XML4IP.   |
|   | A problem prevented generation of   |
| XML4IP_CONVERSION_FAILURE   | A problem prevented generation of XML4IP. [details of conversion issue provided by  |
| XML4IP_CONVERSION_FAILURE  XML4IP_CONVERSION_WARNING  | A problem prevented generation of XML4IP. [details of conversion issue provided by XSLT] This document contains a text frame and  |
| XML4IP_CONVERSION_FAILURE  XML4IP_CONVERSION_WARNING  XML4IP_TEXT_FRAME_FAILURE                             | A problem prevented generation of XML4IP. [details of conversion issue provided by XSLT] This document contains a text frame and cannot be converted to XML4IP.  Drawings, SmartArt, charts, and shapes   |
| XML4IP_CONVERSION_FAILURE  XML4IP_CONVERSION_WARNING  XML4IP_TEXT_FRAME_FAILURE  XML4IP_UNSUPPORTED_GRAPHIC | A problem prevented generation of XML4IP.  [details of conversion issue provided by XSLT]  This document contains a text frame and cannot be converted to XML4IP.  Drawings, SmartArt, charts, and shapes cannot currently be converted to XML4IP.  Conversion of a graphic to SVG failed for |