

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | A FY 2016 Fee Rates | B 10/1/2016 3/31/2017 Partial FY 2017 Fee Rates | C 4/1/2017 9/30/2017 Partial FY 2017 Fee Rates | D FY 2018 Fee Rates | E FY 2019 Fee Rates | F FY 2020 Fee Rates | G FY 2021 Fee Rates | H 10/1/2016 3/31/2017 Partial FY 2017 Workload | I 4/1/2017 9/30/2017 Partial FY 2017 Workload | J =(H+I) Aggregate FY 2017 Workload | K Aggregate FY 2018 Workload | L Aggregate FY 2019 Workload | M Aggregate FY 2020 Workload | N Aggregate FY 2021 Workload | O =(B*H) 10/1/2016 3/31/2017 Partial FY 2017 Collections | P =(C*I) 4/1/2017 9/30/2017 Partial FY 2017 Collections | Q =(O+P) Aggregate FY 2017 Collections | R =(D*K) Aggregate FY 2018 Collections | S =(E*L) Aggregate FY 2019 Collections | T =(F*M) Aggregate FY 2020 Collections | U =(G*N) Aggregate FY 2021 Collections |
|---|---|--|---|---------------------------|---------------------------|---------------------------|---------------------------|--|---|---|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---|--|--|--|--|--|--|
| | | | | | | | | | | | | | | | O | P | Q | R | S | T | U |
| Patent Filing Fees (Large Entity): | | | | | | | | | | | | | | | | | | | | | |
| 1011 | | | | | | | | | | | | | | | | | | | | | |
| | Basic filing fee - Utility (paper filing also requires non-electronic filing fee under 1.16(t)) | \$280.00 | \$280.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | 116,332 | 116,332 | 232,664 | 239,913 | 248,105 | 262,038 | 276,683 | \$32,572,960 | \$34,899,600 | \$67,472,560 | \$71,973,900 | \$74,431,500 | \$78,611,400 | \$83,004,900 |
| 1012 | Basic filing fee - Design | \$180.00 | \$180.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 9,973 | 9,973 | 19,946 | 21,141 | 22,409 | 23,752 | 25,177 | \$1,795,140 | \$1,994,600 | \$3,789,740 | \$4,228,200 | \$4,481,800 | \$4,750,400 | \$5,035,400 |
| 1013 | Basic filing fee - Plant | \$180.00 | \$180.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 199 | 199 | 398 | 389 | 380 | 371 | 362 | \$35,820 | \$39,800 | \$75,620 | \$77,800 | \$76,000 | \$74,200 | \$72,400 |
| 1014 | Basic filing fee - Reissue | \$280.00 | \$280.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | 399 | 399 | 798 | 841 | 887 | 936 | 987 | \$111,720 | \$119,700 | \$231,420 | \$252,300 | \$266,100 | \$280,800 | \$296,100 |
| 1017 | Basic filing fee - Design (CPA) | \$180.00 | \$180.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 258 | 258 | 516 | 515 | 515 | 515 | 515 | \$46,440 | \$51,600 | \$98,040 | \$103,000 | \$103,000 | \$103,000 | \$103,000 |
| 1019 | Basic filing fee - Reissue (CPA) | \$280.00 | \$280.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | 2 | 2 | 4 | 3 | 3 | 3 | 3 | \$560 | \$600 | \$1,160 | \$900 | \$900 | \$900 | \$900 |
| 1005 | Provisional application filing fee | \$260.00 | \$260.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | 30,624 | 30,624 | 61,248 | 62,062 | 62,888 | 63,724 | 64,572 | \$7,962,240 | \$8,574,720 | \$16,536,960 | \$17,377,360 | \$17,608,640 | \$17,842,720 | \$18,080,160 |
| 1111 | Utility Search Fee | \$600.00 | \$600.00 | \$660.00 | \$660.00 | \$660.00 | \$660.00 | 115,684 | 115,684 | 231,368 | 238,577 | 246,723 | 260,579 | 275,142 | \$69,410,400 | \$76,351,440 | \$145,761,840 | \$157,460,820 | \$162,837,180 | \$171,982,140 | \$181,593,720 |
| 1112 | Design Search Fee | \$120.00 | \$120.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | 10,123 | 10,123 | 20,246 | 21,437 | 22,699 | 24,038 | 25,456 | \$1,214,760 | \$1,619,680 | \$2,834,440 | \$3,429,920 | \$3,631,840 | \$3,846,080 | \$4,072,960 |
| 1113 | Plant Search Fee | \$380.00 | \$380.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | 199 | 199 | 398 | 388 | 379 | 370 | 361 | \$75,620 | \$83,580 | \$159,200 | \$162,960 | \$159,180 | \$155,400 | \$151,620 |
| 1114 | Reissue Search Fee | \$600.00 | \$600.00 | \$660.00 | \$660.00 | \$660.00 | \$660.00 | 397 | 397 | 794 | 838 | 884 | 932 | 983 | \$238,200 | \$262,020 | \$500,220 | \$553,080 | \$583,440 | \$615,120 | \$648,780 |
| 1311 | Utility Examination Fee | \$720.00 | \$720.00 | \$760.00 | \$760.00 | \$760.00 | \$760.00 | 116,239 | 116,239 | 232,478 | 239,721 | 247,907 | 261,829 | 276,461 | \$83,692,080 | \$88,341,640 | \$172,033,720 | \$182,187,960 | \$188,409,320 | \$198,990,040 | \$210,110,360 |
| 1312 | Design Examination Fee | \$460.00 | \$460.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | 10,034 | 10,034 | 20,068 | 21,249 | 22,500 | 23,827 | 25,233 | \$4,615,640 | \$6,020,400 | \$10,636,040 | \$12,749,400 | \$13,500,000 | \$14,296,200 | \$15,139,800 |
| 1313 | Plant Examination Fee | \$580.00 | \$580.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | 197 | 197 | 394 | 385 | 376 | 367 | 359 | \$114,260 | \$122,140 | \$236,400 | \$238,700 | \$233,120 | \$227,540 | \$222,580 |
| 1314 | Reissue Examination Fee | \$2,160.00 | \$2,160.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | 397 | 397 | 794 | 838 | 884 | 932 | 983 | \$857,520 | \$873,400 | \$1,730,920 | \$1,843,600 | \$1,944,800 | \$2,050,400 | \$2,162,600 |
| 1051 | Surcharge - Late filing fee, search fee, examination fee, inventor's oath or declaration, or application filed without at least one claim or by reference | \$140.00 | \$140.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | 47,243 | 47,243 | 94,486 | 95,614 | 98,869 | 104,400 | 110,213 | \$6,614,020 | \$7,558,880 | \$14,172,900 | \$15,298,240 | \$15,819,040 | \$16,704,000 | \$17,634,080 |
| 1052 | Surcharge - Late provisional filing fee or cover sheet | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | 981 | 981 | 1,962 | 1,989 | 2,015 | 2,042 | 2,069 | \$58,860 | \$58,860 | \$117,720 | \$119,340 | \$120,900 | \$122,520 | \$124,140 |
| 1201 | Independent claims in excess of three | \$420.00 | \$420.00 | \$460.00 | \$460.00 | \$460.00 | \$460.00 | 30,881 | 30,881 | 61,762 | 59,298 | 61,323 | 64,766 | 68,386 | \$12,970,020 | \$14,205,260 | \$27,175,280 | \$27,277,080 | \$28,208,580 | \$29,792,560 | \$31,457,560 |
| 1202 | Claims in excess of 20 | \$80.00 | \$80.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 198,513 | 198,513 | 397,026 | 382,835 | 395,907 | 418,141 | 441,509 | \$15,881,040 | \$19,851,300 | \$35,732,340 | \$39,590,700 | \$41,814,100 | \$44,150,900 | |
| 1203 | Multiple dependent claim | \$780.00 | \$780.00 | \$820.00 | \$820.00 | \$820.00 | \$820.00 | 673 | 673 | 1,346 | 1,273 | 1,317 | 1,390 | 1,468 | \$524,940 | \$551,860 | \$1,076,800 | \$1,043,860 | \$1,079,940 | \$1,139,800 | \$1,203,760 |
| 1204 | Reissue independent claims in excess of three | \$420.00 | \$420.00 | \$460.00 | \$460.00 | \$460.00 | \$460.00 | 552 | 552 | 1,104 | 1,143 | 1,206 | 1,272 | 1,341 | \$231,840 | \$253,920 | \$485,760 | \$525,780 | \$554,760 | \$585,120 | \$616,860 |
| 1205 | Reissue claims in excess of 20 | \$80.00 | \$80.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 3,598 | 3,598 | 7,196 | 7,497 | 7,907 | 8,340 | 8,797 | \$287,840 | \$359,800 | \$647,640 | \$749,700 | \$790,700 | \$834,000 | \$879,700 |
| 1081 | Utility Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 5,851 | 5,851 | 11,702 | 12,066 | 12,478 | 13,178 | 13,915 | \$2,340,400 | \$2,340,400 | \$4,680,800 | \$4,826,400 | \$4,991,200 | \$5,271,200 | \$5,566,000 |
| 1082 | Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 46 | 46 | 92 | 97 | 103 | 109 | 116 | \$18,400 | \$18,400 | \$36,800 | \$38,800 | \$41,200 | \$43,600 | \$46,400 |
| 1083 | Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 7 | 7 | 14 | 13 | 13 | 13 | 13 | \$2,800 | \$2,800 | \$5,600 | \$5,200 | \$5,200 | \$5,200 | \$5,200 |
| 1084 | Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 11 | 11 | 22 | 22 | 24 | 25 | 26 | \$4,400 | \$4,400 | \$8,800 | \$8,800 | \$9,600 | \$10,000 | \$10,400 |
| 1085 | Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 2,254 | 2,254 | 4,508 | 4,568 | 4,628 | 4,690 | 4,752 | \$901,600 | \$901,600 | \$1,803,200 | \$1,827,200 | \$1,851,200 | \$1,876,000 | \$1,900,800 |
| 1053 | Non-English translation | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | 876 | 876 | 1,752 | 1,835 | 1,923 | 2,014 | 2,109 | \$122,640 | \$122,640 | \$245,280 | \$256,900 | \$269,220 | \$281,960 | \$295,260 |
| 10XX | Submission of sequence listings of 300MB to 800MB | | | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | 0 | 4 | 4 | 8 | 8 | 8 | 8 | \$0 | \$4,000 | \$4,000 | \$8,000 | \$8,000 | \$8,000 | \$8,000 |
| 10XY | Submission of sequence listings of more than 800 MB | | | \$10,000.00 | \$10,000.00 | \$10,000.00 | \$10,000.00 | 0 | 1 | 1 | 2 | 2 | 2 | 2 | \$0 | \$10,000 | \$10,000 | \$20,000 | \$20,000 | \$20,000 | \$20,000 |
| 1817 | Request for prioritized examination | \$4,000.00 | \$4,000.00 | \$4,000.00 | \$4,000.00 | \$4,000.00 | \$4,000.00 | 1,377 | 1,377 | 2,754 | 1,968 | 1,181 | 1,181 | 1,181 | \$5,508,000 | \$5,508,000 | \$11,016,000 | \$7,872,000 | \$4,724,000 | \$4,724,000 | \$4,724,000 |
| 1801 | Request for continued examination (RCE) - 1st request (see 37 CFR 1.114) | \$1,200.00 | \$1,200.00 | \$1,300.00 | \$1,300.00 | \$1,300.00 | \$1,300.00 | 45,398 | 45,398 | 90,796 | 89,116 | 90,058 | 90,060 | 89,918 | \$54,477,600 | \$59,017,400 | \$113,495,000 | \$115,850,800 | \$117,075,400 | \$117,078,000 | \$116,893,400 |
| 1820 | Request for continued examination (RCE) - 2nd and subsequent request (see 37 CFR 1.114) | \$1,700.00 | \$1,700.00 | \$1,900.00 | \$1,900.00 | \$1,900.00 | \$1,900.00 | 20,354 | 20,354 | 40,708 | 39,954 | 40,377 | 40,377 | 40,314 | \$34,601,800 | \$38,672,600 | \$73,274,400 | \$75,912,600 | \$76,716,300 | \$76,716,300 | \$76,596,600 |
| | Total Patent Filing Fees (Large Entity) | | | | | | | 769,672 | 769,677 | 1,539,349 | 1,547,595 | 1,596,878 | 1,676,221 | 1,759,414 | \$337,289,560 | \$368,797,040 | \$706,086,600 | \$742,564,100 | \$760,142,760 | \$790,852,500 | \$822,828,340 |
| Patent Filing Fees (Small Entity): | | | | | | | | | | | | | | | | | | | | | |
| 2011 | Basic filing fee - Utility (paper filing - also requires non-electronic filing fee under 1.16(t)) | \$140.00 | \$140.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 394 | 394 | 788 | 686 | 710 | 749 | 791 | \$55,160 | \$59,100 | \$114,260 | \$102,900 | \$106,500 | \$112,350 | \$118,650 |
| 4011 | Basic filing fee - Utility (electronic filing) | \$70.00 | \$70.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | 40,537 | 40,537 | 81,074 | 83,727 | 86,586 | 91,448 | 96,559 | \$2,837,590 | \$3,040,275 | \$5,877,865 | \$6,279,525 | \$6,493,950 | \$6,858,600 | \$7,241,925 |
| 2012 | Basic filing fee - Design | \$90.00 | \$90.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 8,071 | 8,071 | 16,142 | 17,110 | 18,135 | 19,223 | 20,375 | \$726,390 | \$807,100 | \$1,533,490 | \$1,711,000 | \$1,813,500 | \$1,922,300 | \$2,037,500 |
| 2013 | Basic filing fee - Plant | \$90.00 | \$90.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 303 | 303 | 606 | 591 | 577 | 563 | 550 | \$27,270 | \$30,300 | \$57,570 | \$59,100 | \$57,700 | \$56,300 | \$55,000 |
| 2014 | Basic filing fee - Reissue | \$140.00 | \$140.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 117 | 117 | 234 | 246 | 260 | 274 | 289 | \$16,380 | \$17,550 | \$33,930 | \$36,900 | \$39,000 | \$41,100 | \$43,350 |
| 2017 | Basic filing fee - Design (CPA) | \$90.00 | \$90.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 115 | 115 | 230 | 230 | 230 | 230 | 230 | \$10,350 | \$11,500 | \$21,850 | \$23,000 | \$23,000 | \$23,000 | \$23,000 |
| 2019 | Basic filing fee - Reissue (CPA) | \$140.00 | \$140.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 2 | 2 | 4 | 3 | 3 | 3 | 3 | \$280 | \$300 | \$580 | \$450 | \$450 | \$450 | \$450 |
| 2005 | Provisional application filing fee | \$130.00 | \$130.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | 37,762 | 37,762 | 75,524 | 76,528 | 77,546 | | | | | | | | | |

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | Description | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U |
|----------|---|----------------------|---|--|----------------------|----------------------|----------------------|----------------------|-----------------------------|-----------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|--------------------------------|--------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| | | FY 2016 Fee Rates | 10/1/2016 3/31/2017 Partial FY 2017 Fee Rates | 4/1/2017 9/30/2017 Partial FY 2017 Fee Rates | FY 2018 Fee Rates | FY 2019 Fee Rates | FY 2020 Fee Rates | FY 2021 Fee Rates | Partial FY 2017 Workload | Partial FY 2017 Workload | Aggregate FY 2017 Workload | Aggregate FY 2018 Workload | Aggregate FY 2019 Workload | Aggregate FY 2020 Workload | Aggregate FY 2021 Workload | Partial FY 2017 Collections | Partial FY 2017 Collections | Aggregate FY 2017 Collections | Aggregate FY 2018 Collections | Aggregate FY 2019 Collections | Aggregate FY 2020 Collections | Aggregate FY 2021 Collections |
| 2082 | Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 12 | 12 | 24 | 26 | 28 | 29 | 31 | \$2,400 | \$2,400 | \$4,800 | \$5,200 | \$5,600 | \$5,800 | \$6,200 |
| 2083 | Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2084 | Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 2 | 2 | 4 | 4 | 4 | 4 | 4 | \$400 | \$400 | \$800 | \$800 | \$800 | \$800 | \$800 |
| 2085 | Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 2,375 | 2,375 | 4,750 | 4,814 | 4,878 | 4,943 | 5,009 | \$475,000 | \$475,000 | \$950,000 | \$962,800 | \$975,600 | \$988,600 | \$1,001,800 |
| 2053 | Non-English translation | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | 257 | 257 | 514 | 539 | 565 | 591 | 620 | \$17,990 | \$17,990 | \$35,980 | \$37,730 | \$39,550 | \$41,370 | \$43,400 |
| 20XX | Submission of sequence listings of 300MB to 800MB | | | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | - | 1 | 1 | 2 | 2 | 2 | 2 | \$0 | \$500 | \$500 | \$1,000 | \$1,000 | \$1,000 | \$1,000 |
| 20XY | Submission of sequence listings of more than 800 MB | | | \$5,000.00 | \$5,000.00 | \$5,000.00 | \$5,000.00 | \$5,000.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2817 | Request for prioritized examination | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | 1,693 | 1,693 | 3,386 | 2,419 | 1,451 | 1,451 | 1,451 | \$3,386,000 | \$3,386,000 | \$6,772,000 | \$4,838,000 | \$2,902,000 | \$2,902,000 | \$2,902,000 |
| 2801 | Request for continued examination (RCE) - 1st request (see 37 CFR 1.114) | \$600.00 | \$600.00 | \$650.00 | \$650.00 | \$650.00 | \$650.00 | \$650.00 | 11,551 | 11,551 | 23,102 | 22,675 | 22,914 | 22,915 | 22,879 | \$6,930,600 | \$7,508,150 | \$14,438,750 | \$14,738,750 | \$14,894,100 | \$14,894,750 | \$14,871,350 |
| 2820 | Request for continued examination (RCE) - 2nd and subsequent request (see 37 CFR 1.114) | \$850.00 | \$850.00 | \$950.00 | \$950.00 | \$950.00 | \$950.00 | \$950.00 | 4,222 | 4,222 | 8,444 | 8,288 | 8,376 | 8,376 | 8,363 | \$3,588,700 | \$4,010,900 | \$7,599,600 | \$7,873,600 | \$7,957,200 | \$7,957,200 | \$7,944,850 |
| | Total Patent Filing Fee (Small Entity) | | | | | | | | 345,268 | 345,269 | 690,537 | 693,096 | 714,459 | 749,072 | 785,398 | \$61,144,320 | \$67,055,015 | \$128,199,335 | \$133,847,745 | \$135,731,010 | \$141,390,430 | \$147,302,875 |
| | Patent Filing Fees (Micro Entity): | | | | | | | | | | | | | | | | | | | | | |
| 3011 | Basic filing fee - Utility (paper filing – also requires non-electronic filing fee under 1.16(t)) | \$70.00 | \$70.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | 8,186 | 8,186 | 16,372 | 16,883 | 17,459 | 18,440 | 19,470 | \$573,020 | \$613,950 | \$1,186,970 | \$1,266,225 | \$1,309,425 | \$1,383,000 | \$1,460,250 |
| 3012 | Basic filing fee - Design | \$45.00 | \$45.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 2,166 | 2,166 | 4,332 | 4,592 | 4,867 | 5,159 | 5,468 | \$97,470 | \$108,300 | \$205,770 | \$229,600 | \$243,350 | \$257,950 | \$273,400 |
| 3013 | Basic filing fee - Plant | \$45.00 | \$45.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 2 | 2 | 4 | 4 | 4 | 4 | 3 | \$90 | \$100 | \$190 | \$200 | \$200 | \$200 | \$150 |
| 3014 | Basic filing fee - Reissue | \$70.00 | \$70.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | 6 | 6 | 12 | 12 | 12 | 13 | 14 | \$420 | \$450 | \$900 | \$900 | \$900 | \$975 | \$1,050 |
| 3017 | Basic filing fee - Design (CPA) | \$45.00 | \$45.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 13 | 13 | 26 | 26 | 26 | 26 | 26 | \$585 | \$650 | \$1,235 | \$1,300 | \$1,300 | \$1,300 | \$1,300 |
| 3019 | Basic filing fee - Reissue (CPA) | \$70.00 | \$70.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3005 | Provisional application filing fee | \$65.00 | \$65.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | 16,482 | 16,482 | 32,964 | 33,403 | 33,847 | 34,297 | 34,754 | \$1,071,330 | \$1,153,740 | \$2,225,070 | \$2,338,210 | \$2,369,290 | \$2,400,790 | \$2,432,780 |
| 3111 | Utility Search Fee | \$150.00 | \$150.00 | \$165.00 | \$165.00 | \$165.00 | \$165.00 | \$165.00 | 8,062 | 8,062 | 16,124 | 16,627 | 17,194 | 18,160 | 19,175 | \$1,209,300 | \$1,330,230 | \$2,539,530 | \$2,743,455 | \$2,837,010 | \$2,996,400 | \$3,163,875 |
| 3112 | Design Search Fee | \$30.00 | \$30.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 2,128 | 2,128 | 4,256 | 4,505 | 4,771 | 5,052 | 5,350 | \$63,840 | \$85,120 | \$148,960 | \$180,200 | \$190,840 | \$202,080 | \$214,000 |
| 3113 | Plant Search Fee | \$95.00 | \$95.00 | \$105.00 | \$105.00 | \$105.00 | \$105.00 | \$105.00 | 2 | 2 | 4 | 4 | 4 | 4 | 3 | \$190 | \$210 | \$400 | \$420 | \$420 | \$420 | \$315 |
| 3114 | Reissue Search Fee | \$150.00 | \$150.00 | \$165.00 | \$165.00 | \$165.00 | \$165.00 | \$165.00 | 5 | 5 | 10 | 11 | 11 | 12 | 12 | \$750 | \$825 | \$1,575 | \$1,815 | \$1,815 | \$1,980 | \$1,980 |
| 3311 | Utility Examination Fee | \$180.00 | \$180.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | 8,093 | 8,093 | 16,186 | 16,689 | 17,259 | 18,229 | 19,247 | \$1,456,740 | \$1,537,670 | \$2,994,410 | \$3,170,910 | \$3,279,210 | \$3,463,510 | \$3,656,930 |
| 3312 | Design Examination Fee | \$115.00 | \$115.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 2,108 | 2,108 | 4,216 | 4,464 | 4,727 | 5,005 | 5,301 | \$242,420 | \$316,200 | \$558,620 | \$669,600 | \$709,050 | \$750,750 | \$795,150 |
| 3313 | Plant Examination Fee | \$145.00 | \$145.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | 2 | 2 | 4 | 4 | 4 | 4 | 3 | \$290 | \$310 | \$600 | \$620 | \$620 | \$620 | \$465 |
| 3314 | Reissue Examination Fee | \$540.00 | \$540.00 | \$550.00 | \$550.00 | \$550.00 | \$550.00 | \$550.00 | 4 | 4 | 8 | 9 | 10 | 10 | 11 | \$2,160 | \$2,200 | \$4,360 | \$4,950 | \$5,500 | \$5,500 | \$6,050 |
| 3051 | Surcharge - Late filing fee, search fee, examination fee, inventor's oath or declaration, or application filed without at least one claim or by reference | \$35.00 | \$35.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 2,327 | 2,327 | 4,654 | 4,710 | 4,870 | 5,143 | 5,429 | \$81,445 | \$93,080 | \$174,525 | \$188,400 | \$194,800 | \$205,720 | \$217,160 |
| 3052 | Surcharge - Late provisional filing fee or cover sheet | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | 1,587 | 1,587 | 3,174 | 3,216 | 3,259 | 3,302 | 3,346 | \$23,805 | \$23,805 | \$47,610 | \$48,240 | \$48,885 | \$49,530 | \$50,190 |
| 3201 | Independent claims in excess of three | \$105.00 | \$105.00 | \$115.00 | \$115.00 | \$115.00 | \$115.00 | \$115.00 | 923 | 923 | 1,846 | 1,773 | 1,833 | 1,936 | 2,044 | \$96,915 | \$106,145 | \$203,060 | \$203,895 | \$210,795 | \$222,640 | \$235,060 |
| 3202 | Claims in excess of 20 | \$20.00 | \$20.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 6,639 | 6,639 | 13,278 | 12,804 | 13,241 | 13,984 | 14,766 | \$132,780 | \$165,975 | \$298,755 | \$320,100 | \$331,025 | \$349,600 | \$369,150 |
| 3203 | Multiple dependent claim | \$195.00 | \$195.00 | \$205.00 | \$205.00 | \$205.00 | \$205.00 | \$205.00 | 45 | 45 | 90 | 85 | 88 | 93 | 98 | \$8,775 | \$9,225 | \$18,000 | \$17,425 | \$18,040 | \$19,065 | \$20,090 |
| 3204 | Reissue independent claims in excess of three | \$105.00 | \$105.00 | \$115.00 | \$115.00 | \$115.00 | \$115.00 | \$115.00 | 8 | 8 | 16 | 16 | 16 | 17 | 18 | \$840 | \$920 | \$1,760 | \$1,840 | \$1,840 | \$1,955 | \$2,070 |
| 3205 | Reissue claims in excess of 20 | \$20.00 | \$20.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 43 | 43 | 86 | 90 | 95 | 101 | 106 | \$860 | \$1,075 | \$1,935 | \$2,250 | \$2,375 | \$2,525 | \$2,650 |
| 3081 | Utility Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 67 | 67 | 134 | 139 | 144 | 152 | 160 | \$6,700 | \$6,700 | \$13,400 | \$13,900 | \$14,400 | \$15,200 | \$16,000 |
| 3082 | Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 2 | 2 | 4 | 4 | 4 | 4 | 4 | \$200 | \$200 | \$400 | \$400 | \$400 | \$400 | \$400 |
| 3083 | Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3084 | Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3085 | Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 63 | 63 | 126 | 128 | 130 | 131 | 133 | \$6,300 | \$6,300 | \$12,600 | \$12,800 | \$13,000 | \$13,100 | \$13,300 |
| 3053 | Non-English translation | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | 10 | 10 | 20 | 21 | 22 | 23 | 24 | \$350 | \$350 | \$700 | \$735 | \$770 | \$805 | \$840 |
| 30XX | Submission of sequence listings of 300MB to 800MB | | | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 30XY | Submission of sequence listings of more than 800 MB | | | \$2,500.00 | \$2,500.00 | \$2,500.00 | \$2,500.00 | \$2,500.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3817 | Request for prioritized examination | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | 217 | 217 | 434 | 310 | 186 | 186 | 186 | \$217,000 | \$217,000 | \$434,000 | \$310,000 | \$186,000 | \$186,000 | \$186,000 |
| 3801 | Request for continued examination (RCE) - 1st request (see 37 CFR 1.114) | \$300.00 | \$300.00 | \$325.00 | \$325.00 | \$325.00 | \$325.00 | \$325.00 | 871 | 871 | 1,742 | 1,710 | 1,728 | 1,728 | 1,725 | \$261,300 | \$283,075 | \$544,375 | \$555,750 | \$561,600 | \$561,600 | \$560,625 |
| 3820 | Request for continued examination (RCE) - 2nd and subsequent request (see 37 CFR 1.114) | \$425.00 | \$425.00 | \$475.00 | \$475.00 | \$475.00 | \$475.00 | \$475.00 | 204 | 204 | 408 | 401 | 405 | 405 | 404 | \$86,700 | \$96,900 | \$183,600 | \$190,475 | \$192,375 | \$192,375 | \$191,900 |
| | Total Patent Filing Fee (Micro Entity) | | | | | | | | 60,265 | 60,265 | 120,530 | 122,640 | 126,216 | 131,620 | 137,280 | \$5,642,575 | \$6,160,705 | \$11, | | | | |

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U |
|---|---|----------------------|---|--|----------------------|----------------------|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|---------------------|---------------------|---------------------|---------------------|--|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| | | FY 2016 Fee Rates | 10/1/2016 3/31/2017 Partial FY 2017 Fee Rates | 4/1/2017 9/30/2017 Partial FY 2017 Fee Rates | FY 2018 Fee Rates | FY 2019 Fee Rates | FY 2020 Fee Rates | FY 2021 Fee Rates | Partial FY 2017 Workload | Partial FY 2017 Workload | =(H+I) FY 2017 Workload | FY 2018 Workload | FY 2019 Workload | FY 2020 Workload | FY 2021 Workload | =(B*H) 10/1/2016 3/31/2017 Partial FY 2017 Collections | =(C*I) 4/1/2017 9/30/2017 Partial FY 2017 Collections | =(O+P) FY 2017 Collections | =(D*K) FY 2018 Collections | =(E*L) FY 2019 Collections | =(F*M) FY 2020 Collections | =(G*N) FY 2021 Collections |
| Patent Post Allowance Fees (Small Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 2501 | Utility Issue | \$480.00 | \$480.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | 29,585 | 29,585 | 59,170 | 59,948 | 60,616 | 60,805 | 60,801 | \$14,200,800 | \$14,792,500 | \$28,993,300 | \$29,974,000 | \$30,308,000 | \$30,402,500 | \$30,400,500 |
| 2502 | Design Issue | \$280.00 | \$280.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 5,569 | 5,569 | 11,138 | 11,744 | 12,385 | 13,060 | 13,772 | \$1,559,320 | \$2,227,600 | \$3,786,920 | \$4,697,600 | \$4,954,000 | \$5,224,000 | \$5,508,800 |
| 2503 | Plant Issue | \$380.00 | \$380.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | 317 | 317 | 634 | 680 | 728 | 780 | 836 | \$120,460 | \$158,500 | \$278,960 | \$340,000 | \$364,000 | \$390,000 | \$418,000 |
| 2511 | Reissue Issue | \$480.00 | \$480.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | 46 | 46 | 92 | 82 | 73 | 66 | 59 | \$22,080 | \$23,000 | \$45,080 | \$41,000 | \$36,500 | \$33,000 | \$29,500 |
| Total Patent Post Allowance Fees (Small Entity) | | | | | | | | | 35,517 | 35,517 | 71,034 | 72,454 | 73,802 | 74,711 | 75,468 | \$15,902,660 | \$17,201,600 | \$33,104,260 | \$35,052,600 | \$35,662,500 | \$36,049,500 | \$36,356,800 |
| Patent Post Allowance Fees (Micro Entity) | | | | | | | | | | | | | | | | | | | | | | |
| 3501 | Utility Issue | \$240.00 | \$240.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 2,877 | 2,877 | 5,754 | 5,829 | 5,894 | 5,913 | 5,912 | \$690,480 | \$719,250 | \$1,409,730 | \$1,457,250 | \$1,473,500 | \$1,478,250 | \$1,478,000 |
| 3502 | Design Issue | \$140.00 | \$140.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 1,104 | 1,104 | 2,208 | 2,329 | 2,456 | 2,589 | 2,731 | \$154,560 | \$220,800 | \$375,360 | \$465,800 | \$491,200 | \$517,800 | \$546,200 |
| 3503 | Plant Issue | \$190.00 | \$190.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 4 | 4 | 8 | 9 | 9 | 10 | 11 | \$760 | \$1,000 | \$1,760 | \$2,250 | \$2,250 | \$2,500 | \$2,750 |
| 3511 | Reissue Issue | \$240.00 | \$240.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 2 | 2 | 4 | 3 | 3 | 2 | 2 | \$480 | \$500 | \$980 | \$750 | \$750 | \$500 | \$500 |
| Total Patent Post Allowance Fees (Micro Entity) | | | | | | | | | 3,987 | 3,987 | 7,974 | 8,170 | 8,362 | 8,514 | 8,656 | \$846,280 | \$941,550 | \$1,787,830 | \$1,926,050 | \$1,967,700 | \$1,999,050 | \$2,027,450 |
| Total Patent Post Allowance Fees | | | | | | | | | 171,484 | 171,484 | 342,968 | 348,608 | 353,781 | 356,567 | 358,461 | \$137,474,705 | \$145,608,395 | \$283,083,100 | \$295,763,840 | \$299,869,890 | \$301,824,640 | \$302,956,540 |
| Patent Extension of Time Fees (Large Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 1251 | Extension for response within first month | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 52,076 | 52,076 | 104,152 | 106,235 | 109,422 | 113,799 | 118,351 | \$10,415,200 | \$10,415,200 | \$20,830,400 | \$21,247,000 | \$21,884,400 | \$22,759,800 | \$23,670,200 |
| 1252 | Extension for response within second month | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | 20,835 | 20,835 | 41,670 | 42,504 | 43,779 | 45,530 | 47,351 | \$12,501,000 | \$12,501,000 | \$25,002,000 | \$25,502,400 | \$26,267,400 | \$27,318,000 | \$28,410,600 |
| 1253 | Extension for response within third month | \$1,400.00 | \$1,400.00 | \$1,400.00 | \$1,400.00 | \$1,400.00 | \$1,400.00 | \$1,400.00 | 18,415 | 18,415 | 36,830 | 37,567 | 38,694 | 40,242 | 41,852 | \$25,781,000 | \$25,781,000 | \$51,562,000 | \$52,593,800 | \$54,171,600 | \$56,338,800 | \$58,592,800 |
| 1254 | Extension for response within fourth month | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | 974 | 974 | 1,948 | 1,987 | 2,047 | 2,129 | 2,214 | \$2,142,800 | \$2,142,800 | \$4,285,600 | \$4,371,400 | \$4,503,400 | \$4,683,800 | \$4,870,800 |
| 1255 | Extension for response within fifth month | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | 907 | 907 | 1,814 | 1,850 | 1,906 | 1,982 | 2,061 | \$2,721,000 | \$2,721,000 | \$5,442,000 | \$5,546,000 | \$5,718,000 | \$5,946,000 | \$6,183,000 |
| Total Patent Extension of Time Fees (Large Entity) | | | | | | | | | 93,207 | 93,207 | 186,414 | 190,143 | 195,848 | 203,682 | 211,829 | \$53,561,000 | \$53,561,000 | \$107,122,000 | \$109,264,600 | \$112,544,800 | \$117,046,400 | \$121,727,400 |
| Patent Extension of Time Fees (Small Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 2251 | Extension for response within first month | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 18,677 | 18,677 | 37,354 | 38,102 | 39,245 | 40,814 | 42,447 | \$1,867,700 | \$1,867,700 | \$3,735,400 | \$3,810,200 | \$3,924,500 | \$4,081,400 | \$4,244,700 |
| 2252 | Extension for response within second month | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | 10,447 | 10,447 | 20,894 | 21,311 | 21,950 | 22,829 | 23,742 | \$3,134,100 | \$3,134,100 | \$6,268,200 | \$6,393,300 | \$6,585,000 | \$6,848,700 | \$7,122,600 |
| 2253 | Extension for response within third month | \$700.00 | \$700.00 | \$700.00 | \$700.00 | \$700.00 | \$700.00 | \$700.00 | 16,098 | 16,098 | 32,196 | 32,840 | 33,825 | 35,178 | 36,585 | \$11,268,600 | \$11,268,600 | \$22,537,200 | \$22,988,000 | \$23,624,600 | \$24,609,500 | \$25,609,500 |
| 2254 | Extension for response within fourth month | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 1,213 | 1,213 | 2,426 | 2,474 | 2,548 | 2,650 | 2,756 | \$1,334,300 | \$1,334,300 | \$2,668,600 | \$2,721,400 | \$2,802,800 | \$2,915,000 | \$3,031,600 |
| 2255 | Extension for response within fifth month | \$1,500.00 | \$1,500.00 | \$1,500.00 | \$1,500.00 | \$1,500.00 | \$1,500.00 | \$1,500.00 | 946 | 946 | 1,892 | 1,930 | 1,988 | 2,067 | 2,150 | \$1,419,000 | \$1,419,000 | \$2,838,000 | \$2,895,000 | \$2,982,000 | \$3,100,500 | \$3,225,000 |
| Total Patent Extension of Time Fees (Small Entity) | | | | | | | | | 47,381 | 47,381 | 94,762 | 96,657 | 99,556 | 103,538 | 107,680 | \$19,023,700 | \$19,023,700 | \$38,047,400 | \$38,807,900 | \$39,971,800 | \$41,570,200 | \$43,233,400 |
| Patent Extension of Time Fees (Micro Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 3251 | Extension for response within first month | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 1,851 | 1,851 | 3,702 | 3,776 | 3,889 | 4,045 | 4,206 | \$92,550 | \$92,550 | \$185,100 | \$188,800 | \$194,450 | \$202,250 | \$210,300 |
| 3252 | Extension for response within second month | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 1,058 | 1,058 | 2,116 | 2,158 | 2,223 | 2,311 | 2,404 | \$158,700 | \$158,700 | \$317,400 | \$323,700 | \$333,450 | \$346,650 | \$360,600 |
| 3253 | Extension for response within third month | \$350.00 | \$350.00 | \$350.00 | \$350.00 | \$350.00 | \$350.00 | \$350.00 | 1,326 | 1,326 | 2,652 | 2,705 | 2,786 | 2,897 | 3,013 | \$464,100 | \$464,100 | \$928,200 | \$946,750 | \$975,100 | \$1,013,950 | \$1,054,550 |
| 3254 | Extension for response within fourth month | \$550.00 | \$550.00 | \$550.00 | \$550.00 | \$550.00 | \$550.00 | \$550.00 | 167 | 167 | 334 | 340 | 350 | 364 | 379 | \$91,850 | \$91,850 | \$183,700 | \$187,000 | \$192,500 | \$200,200 | \$208,450 |
| 3255 | Extension for response within fifth month | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | 101 | 101 | 202 | 206 | 212 | 220 | 229 | \$75,750 | \$75,750 | \$151,500 | \$154,500 | \$159,000 | \$165,000 | \$171,750 |
| Total Patent Extension of Time Fees (Micro Entity) | | | | | | | | | 4,503 | 4,503 | 9,006 | 9,185 | 9,460 | 9,837 | 10,231 | \$882,950 | \$882,950 | \$1,765,900 | \$1,800,750 | \$1,854,500 | \$1,928,050 | \$2,005,650 |
| Total Patent Extension of Time Fees | | | | | | | | | 145,091 | 145,091 | 290,182 | 295,985 | 304,864 | 317,057 | 329,740 | \$73,467,650 | \$73,467,650 | \$146,935,300 | \$149,873,250 | \$154,371,100 | \$160,544,650 | \$166,966,450 |
| Patent Maintenance Fees (Large Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 1551 | Due at 3.5 years | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | 98,585 | 98,585 | 197,170 | 213,112 | 211,248 | 214,318 | 212,426 | \$157,736,000 | \$157,736,000 | \$315,472,000 | \$340,979,200 | \$337,996,800 | \$342,908,800 | \$339,881,600 |
| 1552 | Due at 7.5 years | \$3,600.00 | \$3,600.00 | \$3,600.00 | \$3,600.00 | \$3,600.00 | \$3,600.00 | \$3,600.00 | 54,134 | 54,134 | 108,268 | 134,268 | 137,624 | 152,466 | 162,951 | \$194,882,400 | \$194,882,400 | \$389,764,800 | \$483,364,800 | \$495,446,400 | \$548,877,600 | \$586,623,600 |
| 1553 | Due at 11.5 years | \$7,400.00 | \$7,400.00 | \$7,400.00 | \$7,400.00 | \$7,400.00 | \$7,400.00 | \$7,400.00 | 32,670 | 32,670 | 65,340 | 75,717 | 68,956 | 70,759 | 81,383 | \$241,758,000 | \$241,758,000 | \$483,516,000 | \$560,305,800 | \$510,274,400 | \$523,616,600 | \$602,234,200 |
| 1554 | Surcharge - 3.5 year - Late payment within 6 months | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | 2,096 | 2,096 | 4,192 | 4,690 | 4,613 | 4,755 | 4,691 | \$335,360 | \$335,360 | \$670,720 | \$750,400 | \$738,080 | \$760,800 | \$750,560 |
| 1555 | Surcharge - 7.5 year - Late payment within 6 months | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | 1,007 | 1,007 | 2,014 | 2,635 | 2,700 | 2,988 | 3,174 | \$161,120 | \$161,120 | \$322,240 | \$421,600 | \$432,000 | \$478,080 | \$507,840 |
| 1556 | Surcharge - 11.5 year - Late payment within 6 months | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | 792 | 792 | 1,584 | 1,913 | 1,725 | 1,752 | 1,892 | \$126,720 | \$126,720 | \$253,440 | \$306,080 | \$276,000 | \$280,320 | \$302,720 |
| 1558 | Petition for the delayed payment of the fee for maintaining a patent in force | \$1,700.00 | \$1,700.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | 315 | 315 | 630 | 719 | 710 | 744 | 776 | \$535,500 | \$630,000 | \$1,165,500 | \$1,438,000 | \$1,420,000 | \$1,488,000 | \$1,552,000 |
| 1559 | Patent Unassigned Maintenance Fees | | | | | | | | \$1,000,000 | \$1,000,000 | \$2,000,000 | \$2,000,000 | \$2,000,000 | \$2,000,000 | \$2,000,000 | \$1,000,000 | \$1,000,000 | \$2,000,000 | \$2,000,000 | \$2,000,000 | \$2,000,000 | \$2,000,000 |
| Total Patent Maintenance Fees (Large Entity) | | | | | | | | | 1,189,599 | 1,189,599 | 2,379,198 | 2,433,054 | 2,427,576 | 2,447,782 | 2,467,293 | \$996,535,100 | \$996,629,600 | \$ | | | | |

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | Description | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U |
|----------|---|----------------------|---|--|----------------------|----------------------|----------------------|----------------------|---|--|-------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|--|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| | | FY 2016 Fee Rates | 10/1/2016 3/31/2017 Partial FY 2017 Fee Rates | 4/1/2017 9/30/2017 Partial FY 2017 Fee Rates | FY 2018 Fee Rates | FY 2019 Fee Rates | FY 2020 Fee Rates | FY 2021 Fee Rates | 10/1/2016 3/31/2017 Partial FY 2017 Workload | 4/1/2017 9/30/2017 Partial FY 2017 Workload | =(H+I) FY 2017 Workload | Aggregate FY 2018 Workload | Aggregate FY 2019 Workload | Aggregate FY 2020 Workload | Aggregate FY 2021 Workload | =(B*H) 10/1/2016 3/31/2017 Partial FY 2017 Collections | =(C*I) 4/1/2017 9/30/2017 Partial FY 2017 Collections | =(O+P) FY 2017 Collections | =(D*K) FY 2018 Collections | =(E*L) FY 2019 Collections | =(F*M) FY 2020 Collections | =(G*N) FY 2021 Collections |
| 3556 | Surcharge - 11.5 year - Late payment within 6 months | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 91 | 91 | 182 | 201 | 176 | 171 | 179 | \$3,640 | \$3,640 | \$7,280 | \$8,040 | \$7,040 | \$6,840 | \$7,160 |
| 3558 | Petition for the delayed payment of the fee for maintaining a patent in force | | | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Total Patent Maintenance Fees (Micro Entity) | | | | | | | | 2,450 | 2,450 | 4,900 | 5,432 | 5,446 | 5,849 | 5,989 | \$1,406,390 | \$1,406,390 | \$2,812,780 | \$3,130,740 | \$3,106,940 | \$3,369,810 | \$3,525,610 |
| | Total Patent Maintenance Fees | | | | | | | | 1,233,283 | 1,233,283 | 2,466,566 | 2,529,639 | 2,524,585 | 2,551,742 | 2,573,655 | \$649,747,410 | \$649,961,310 | \$1,299,708,720 | \$1,508,074,000 | \$1,466,041,360 | \$1,547,477,970 | \$1,666,805,250 |
| | Miscellaneous Patent Fees (Large Entity): | | | | | | | | | | | | | | | | | | | | | |
| 1819 | Correction of inventorship after first action on merits | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | 607 | 607 | 1,214 | 1,238 | 1,275 | 1,326 | 1,379 | \$364,200 | \$364,200 | \$728,400 | \$742,800 | \$765,000 | \$795,600 | \$827,400 |
| 1830 | Processing fee, except in provisional applications | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | 7,155 | 7,155 | 14,310 | 14,596 | 15,034 | 15,636 | 16,261 | \$1,001,700 | \$1,001,700 | \$2,003,400 | \$2,043,440 | \$2,104,760 | \$2,189,040 | \$2,276,540 |
| 1808 | Other publication processing fee | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 110 | 110 | 220 | 220 | 220 | 220 | 220 | \$14,300 | \$14,300 | \$28,600 | \$28,600 | \$28,600 | \$28,600 | \$28,600 |
| 1803 | Request for voluntary publication or republication | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 129 | 129 | 258 | 257 | 257 | 257 | 257 | \$16,770 | \$16,770 | \$33,540 | \$33,410 | \$33,410 | \$33,410 | \$33,410 |
| 1802 | Request for expedited examination of a design application | \$900.00 | \$900.00 | \$900.00 | \$900.00 | \$900.00 | \$900.00 | \$900.00 | 172 | 172 | 344 | 359 | 376 | 393 | 411 | \$154,800 | \$154,800 | \$309,600 | \$323,100 | \$338,400 | \$353,700 | \$369,900 |
| 1806 | Submission of an Information Disclosure Statement | \$180.00 | \$180.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | 66,198 | 66,198 | 132,396 | 139,853 | 147,731 | 156,053 | 164,843 | \$11,915,640 | \$15,887,520 | \$27,803,160 | \$33,564,720 | \$35,455,440 | \$37,452,720 | \$39,562,320 |
| 1818 | Document fee for third-party submissions (see 37 CFR 1.290(f)) | \$180.00 | \$180.00 | \$180.00 | \$180.00 | \$180.00 | \$180.00 | \$180.00 | 244 | 244 | 488 | 510 | 535 | 560 | 587 | \$43,920 | \$43,920 | \$87,840 | \$91,800 | \$96,300 | \$100,800 | \$105,660 |
| 1807 | Processing fee for provisional applications | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 3,896 | 3,896 | 7,792 | 9,107 | 10,625 | 12,397 | 14,463 | \$194,800 | \$194,800 | \$389,600 | \$455,350 | \$531,250 | \$619,850 | \$723,150 |
| 1809 | Filing a submission after final rejection (see 37 CFR 1.129(a)) | \$840.00 | \$840.00 | \$840.00 | \$840.00 | \$840.00 | \$840.00 | \$840.00 | 22 | 22 | 44 | 43 | 43 | 43 | 42 | \$18,480 | \$18,480 | \$36,960 | \$36,120 | \$36,120 | \$36,120 | \$35,280 |
| 1810 | For each additional invention to be examined (see 37 CFR 1.129(b)) | \$840.00 | \$840.00 | \$840.00 | \$840.00 | \$840.00 | \$840.00 | \$840.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1815 | Suspend Account for Patent Processing Fees | \$830.00 | \$830.00 | \$830.00 | \$830.00 | \$830.00 | \$830.00 | \$830.00 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | \$830 | \$830 | \$1,660 | \$1,660 | \$1,660 | \$1,660 | \$1,660 |
| 1999 | Patent Unassigned Fees | | | | | | | | \$950,000 | \$950,000 | \$1,900,000 | \$1,900,000 | \$1,900,000 | \$1,900,000 | \$1,900,000 | \$950,000 | \$950,000 | \$1,900,000 | \$1,900,000 | \$1,900,000 | \$1,900,000 | \$1,900,000 |
| | Total Miscellaneous Patent Fees (Large Entity) | | | | | | | | 1,028,534 | 1,028,534 | 2,057,068 | 2,066,185 | 2,076,098 | 2,086,887 | 2,098,465 | \$14,675,440 | \$18,647,320 | \$33,322,760 | \$39,221,000 | \$41,290,940 | \$43,511,500 | \$45,863,920 |
| | Miscellaneous Patent Fees (Small Entity): | | | | | | | | | | | | | | | | | | | | | |
| 2819 | Correction of inventorship after first action on merits | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | 357 | 357 | 714 | 728 | 750 | 780 | 811 | \$107,100 | \$107,100 | \$214,200 | \$218,400 | \$225,000 | \$234,000 | \$243,300 |
| 2830 | Processing fee, except in provisional applications | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | 4,578 | 4,578 | 9,156 | 9,339 | 9,619 | 10,004 | 10,404 | \$320,460 | \$320,460 | \$640,920 | \$653,730 | \$673,330 | \$700,280 | \$728,280 |
| 2802 | Request for expedited examination of a design application | \$450.00 | \$450.00 | \$450.00 | \$450.00 | \$450.00 | \$450.00 | \$450.00 | 77 | 77 | 154 | 161 | 169 | 176 | 184 | \$34,650 | \$34,650 | \$69,300 | \$72,450 | \$76,050 | \$79,200 | \$82,800 |
| 2806 | Submission of an Information Disclosure Statement | \$90.00 | \$90.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | 14,513 | 14,513 | 29,026 | 30,661 | 32,388 | 34,213 | 36,140 | \$1,306,170 | \$1,741,560 | \$3,047,730 | \$3,679,320 | \$3,886,560 | \$4,105,560 | \$4,336,800 |
| 2818 | Document fee for third-party submissions (see 37 CFR 1.290(f)) | \$90.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | 99 | 99 | 198 | 208 | 218 | 228 | 239 | \$8,910 | \$8,910 | \$17,820 | \$18,720 | \$19,620 | \$20,520 | \$21,510 |
| 2809 | Filing a submission after final rejection (see 37 CFR 1.129(a)) | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | 12 | 12 | 24 | 24 | 23 | 23 | 23 | \$5,040 | \$5,040 | \$10,080 | \$10,080 | \$9,660 | \$9,660 | \$9,660 |
| 2810 | For each additional invention to be examined (see 37 CFR 1.129(b)) | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Total Miscellaneous Patent Fees (Small Entity) | | | | | | | | 19,636 | 19,636 | 39,272 | 41,121 | 43,167 | 45,424 | 47,801 | \$1,782,330 | \$2,217,720 | \$4,000,050 | \$4,652,700 | \$4,890,220 | \$5,149,220 | \$5,422,350 |
| | Miscellaneous Patent Fees (Micro Entity): | | | | | | | | | | | | | | | | | | | | | |
| 3819 | Correction of inventorship after first action on merits | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 25 | 25 | 50 | 51 | 53 | 55 | 57 | \$3,750 | \$3,750 | \$7,500 | \$7,650 | \$7,950 | \$8,250 | \$8,550 |
| 3830 | Processing fee, except in provisional applications | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | 506 | 506 | 1,012 | 1,033 | 1,064 | 1,107 | 1,151 | \$17,710 | \$17,710 | \$35,420 | \$36,155 | \$37,240 | \$38,745 | \$40,285 |
| 3802 | Request for expedited examination of a design application | \$225.00 | \$225.00 | \$225.00 | \$225.00 | \$225.00 | \$225.00 | \$225.00 | 28 | 28 | 56 | 58 | 61 | 64 | 67 | \$6,300 | \$6,300 | \$12,600 | \$13,050 | \$13,725 | \$14,400 | \$15,075 |
| 3806 | Submission of an Information Disclosure Statement | \$45.00 | \$45.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | 404 | 404 | 808 | 855 | 903 | 954 | 1,007 | \$18,180 | \$24,240 | \$42,420 | \$51,300 | \$54,180 | \$57,240 | \$60,420 |
| 3809 | Filing a submission after final rejection (see 37 CFR 1.129(a)) | \$210.00 | \$210.00 | \$210.00 | \$210.00 | \$210.00 | \$210.00 | \$210.00 | 4 | 4 | 8 | 8 | 8 | 8 | 8 | \$840 | \$840 | \$1,680 | \$1,680 | \$1,680 | \$1,680 | \$1,680 |
| 3810 | For each additional invention to be examined (see 37 CFR 1.129(b)) | \$210.00 | \$210.00 | \$210.00 | \$210.00 | \$210.00 | \$210.00 | \$210.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Total Miscellaneous Patent Fees (Micro Entity) | | | | | | | | 967 | 967 | 1,934 | 2,005 | 2,089 | 2,188 | 2,290 | \$46,780 | \$52,840 | \$99,620 | \$109,835 | \$114,775 | \$120,315 | \$126,010 |
| | Total Miscellaneous Patent Fees | | | | | | | | 1,049,137 | 1,049,137 | 2,098,274 | 2,109,311 | 2,121,354 | 2,134,499 | 2,148,556 | \$16,504,550 | \$20,917,880 | \$37,422,430 | \$43,983,535 | \$46,295,935 | \$48,781,035 | \$51,412,280 |
| | Post Issuance Fees (Large Entity): | | | | | | | | | | | | | | | | | | | | | |
| 1811 | Certificate of correction | \$100.00 | \$100.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 5,977 | 5,977 | 11,954 | 12,197 | 12,447 | 12,701 | 12,961 | \$597,700 | \$896,550 | \$1,494,250 | \$1,829,550 | \$1,867,050 | \$1,905,150 | \$1,944,150 |
| 1816 | Processing fee for correcting inventorship in a patent | \$130.00 | \$130.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 288 | 288 | 576 | 577 | 577 | 577 | 577 | \$37,440 | \$43,200 | \$80,640 | \$86,550 | \$86,550 | \$86,550 | \$86,550 |
| 1812 | Ex Parte Reexamination (§ 1.510(a)) Non-Streamlined | \$12,000.00 | \$12,000.00 | \$12,000.00 | \$12,000.00 | \$12,000.00 | \$12,000.00 | \$12,000.00 | 53 | 53 | 106 | 66 | 66 | 66 | 66 | \$636,000 | \$636,000 | \$1,272,000 | \$792,000 | \$792,000 | \$792,000 | \$792,000 |
| 18AA | Ex Parte Reexamination (§ 1.510(a)) Streamlined | | | \$6,000.00 | \$6,000.00 | \$6,000.00 | \$6,000.00 | \$6,000.00 | - | 40 | 40 | 79 | 79 | 79 | 79 | \$0 | \$240,000 | \$240,000 | \$474,000 | \$474,000 | \$474,000 | \$474,000 |
| 1821 | Reexamination independent claims in excess of three and also in excess of the number of such claims in the patent under reexamination | \$420.00 | \$420.00 | \$460.00 | \$460.00 | \$460.00 | \$460.00 | \$460.00 | 119 | 119 | 238 | 238 | 238 | 238 | 238 | \$49,980 | \$54,740 | \$104,720 | \$109,480 | \$109,480 | \$109,480 | \$109,480 |
| 1822 | Reexamination claims in excess of 20 and also in excess of the number of claims in the patent under reexamination | \$80.00 | \$80.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 1,379 | 1,379 | 2,758 | 2,758 | 2,758 | 2,758 | 2,758 | \$110,320 | \$137,900 | \$248,220 | \$275,800 | \$275,800 | \$275,800 | \$275,800 |
| 1814 | Statutory disclaimer, including terminal disclaimer | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | 27,933 | 27,933 | 55,866 | 57,532 | 59,247 | 61,014 | 62,833 | \$4,469,280 | \$4,469,280 | \$8,938,560 | \$9,205,120 | \$9,479,520 | \$9,762,240 | \$10,053,280 |
| 1826 | Request for supplemental examination | \$4,400.00 | \$4,400.00 | \$4,400.00 | \$4,400.00 | \$4,400.00 | \$4,400.00 | \$4,400.00 | 17 | 17 | 34 | 33 | 33 | 33 | 33 | \$74,800 | \$74,800 | \$149,600 | \$145,200 | \$145,200 | \$145,200 | \$145,200 |
| 1827 | Reexamination ordered as a result of supplemental examination | \$12,100.00 | \$12,100.00 | \$12,100.00 | \$12,100.00 | \$12,100.00 | \$12,100.00 | \$12,100.00 | 12 | 12 | 24 | 24 | 24 | 24 | | | | | | | | |

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U |
|----------|---|----------------------|--|---|----------------------|----------------------|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|--|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| | | FY 2016 Fee Rates | 10/1/2016 3/31/2017 Partial FY 2017 Fee Rates | 4/1/2017 9/30/2017 Partial FY 2017 Fee Rates | FY 2018 Fee Rates | FY 2019 Fee Rates | FY 2020 Fee Rates | FY 2021 Fee Rates | Partial FY 2017 Workload | Partial FY 2017 Workload | =(H+I) FY 2017 Workload | Aggregate FY 2018 Workload | Aggregate FY 2019 Workload | Aggregate FY 2020 Workload | Aggregate FY 2021 Workload | =(B*H) 10/1/2016 3/31/2017 Partial FY 2017 Collections | =(C*I) 4/1/2017 9/30/2017 Partial FY 2017 Collections | =(O+P) FY 2017 Collections | =(D*K) FY 2018 Collections | =(E*L) FY 2019 Collections | =(F*M) FY 2020 Collections | =(G*N) FY 2021 Collections |
| 2826 | Request for supplemental examination | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | \$2,200.00 | 6 | 6 | 12 | 12 | 12 | 12 | 12 | \$13,200 | \$13,200 | \$26,400 | \$26,400 | \$26,400 | \$26,400 | \$26,400 |
| 2827 | Reexamination ordered as a result of supplemental examination | \$6,050.00 | \$6,050.00 | \$6,050.00 | \$6,050.00 | \$6,050.00 | \$6,050.00 | \$6,050.00 | 5 | 5 | 10 | 9 | 9 | 9 | 9 | \$30,250 | \$30,250 | \$60,500 | \$54,450 | \$54,450 | \$54,450 | \$54,450 |
| 2828 | Supplemental Examination Document Size Fee - for nonpatent document having between 21 and 50 sheets | \$90.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | 7 | 7 | 14 | 13 | 13 | 13 | 13 | \$630 | \$630 | \$1,260 | \$1,170 | \$1,170 | \$1,170 | \$1,170 |
| 2829 | Supplemental Examination Document Size Fee - for each additional 50 sheets or a fraction thereof in a nonpatent document | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | 11 | 11 | 22 | 21 | 21 | 21 | 21 | \$1,540 | \$1,540 | \$3,080 | \$2,940 | \$2,940 | \$2,940 | \$2,940 |
| | Total Post Issuance Fees (Small Entity) | | | | | | | | 286 | 305 | 591 | 586 | 586 | 586 | 586 | \$252,910 | \$312,530 | \$565,440 | \$501,730 | \$501,730 | \$501,730 | \$501,730 |
| | Post Issuance Fees (Micro Entity): | | | | | | | | | | | | | | | | | | | | | |
| 3812 | Ex Parte Reexamination (§ 1.510(a)) Non-Streamlined | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | \$3,000.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38AA | Ex Parte Reexamination (§ 1.510(a)) Streamlined | | | \$1,500.00 | \$1,500.00 | \$1,500.00 | \$1,500.00 | \$1,500.00 | - | 1 | 1 | 1 | 1 | 1 | 1 | \$0 | \$1,500 | \$1,500 | \$1,500 | \$1,500 | \$1,500 | \$1,500 |
| 3821 | Reexamination independent claims in excess of three and also in excess of the number of such claims in the patent under reexamination | \$105.00 | \$105.00 | \$115.00 | \$115.00 | \$115.00 | \$115.00 | \$115.00 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | \$105 | \$115 | \$220 | \$115 | \$115 | \$115 | \$115 |
| 3822 | Reexamination claims in excess of 20 and also in excess of the number of claims in the patent under reexamination | \$20.00 | \$20.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 2 | 2 | 4 | 4 | 4 | 4 | 4 | \$40 | \$50 | \$90 | \$100 | \$100 | \$100 | \$100 |
| 3826 | Request for supplemental examination | \$1,100.00 | \$1,100.00 | \$1,100.00 | \$1,100.00 | \$1,100.00 | \$1,100.00 | \$1,100.00 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | \$1,100 | \$1,100 | \$2,200 | \$1,100 | \$1,100 | \$1,100 | \$1,100 |
| 3827 | Reexamination ordered as a result of supplemental examination | \$3,025.00 | \$3,025.00 | \$3,025.00 | \$3,025.00 | \$3,025.00 | \$3,025.00 | \$3,025.00 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | \$3,025 | \$3,025 | \$6,050 | \$3,025 | \$3,025 | \$3,025 | \$3,025 |
| 3828 | Supplemental Examination Document Size Fee - for nonpatent document having between 21 and 50 sheets | \$45.00 | \$45.00 | \$45.00 | \$45.00 | \$45.00 | \$45.00 | \$45.00 | 3 | 3 | 6 | 5 | 5 | 5 | 5 | \$135 | \$135 | \$270 | \$225 | \$225 | \$225 | \$225 |
| 3829 | Supplemental Examination Document Size Fee - for each additional 50 sheets or a fraction thereof in a nonpatent document | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Total Post Issuance Fees (Micro Entity) | | | | | | | | 8 | 9 | 17 | 13 | 13 | 13 | 13 | \$4,405 | \$5,925 | \$10,330 | \$6,065 | \$6,065 | \$6,065 | \$6,065 |
| | Total Post Issuance Fees | | | | | | | | 36,081 | 36,141 | 72,222 | 74,121 | 76,086 | 78,107 | 80,186 | \$6,380,255 | \$7,018,345 | \$13,398,600 | \$13,720,335 | \$14,032,235 | \$14,353,055 | \$14,683,095 |
| | Patent Trial and Appeal Fees (Large Entity): | | | | | | | | | | | | | | | | | | | | | |
| 1405 | Petitions to the Chief Administrative Patent Judge under 37 CFR 41.3 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 25 | 25 | 50 | 49 | 49 | 49 | 49 | \$10,000 | \$10,000 | \$20,000 | \$19,600 | \$19,600 | \$19,600 | \$19,600 |
| 1401 | Notice of appeal | \$800.00 | \$800.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | 10,484 | 10,484 | 20,968 | 21,387 | 22,458 | 23,579 | 24,759 | \$8,387,200 | \$10,484,000 | \$18,871,200 | \$21,387,000 | \$22,458,000 | \$23,579,000 | \$24,759,000 |
| 1404 | Filing a brief in support of an appeal in an inter partes reexamination proceeding | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | 158 | 158 | 316 | 323 | 339 | 356 | 373 | \$316,000 | \$316,000 | \$632,000 | \$646,000 | \$678,000 | \$712,000 | \$746,000 |
| 1403 | Request for oral hearing | \$1,300.00 | \$1,300.00 | \$1,300.00 | \$1,300.00 | \$1,300.00 | \$1,300.00 | \$1,300.00 | 330 | 330 | 660 | 644 | 676 | 710 | 745 | \$429,000 | \$429,000 | \$858,000 | \$837,200 | \$878,800 | \$923,000 | \$968,500 |
| 1413 | Forwarding an appeal in an application or ex parte reexamination proceeding to the Board | \$2,000.00 | \$2,000.00 | \$2,500.00 | \$2,500.00 | \$2,500.00 | \$2,500.00 | \$2,500.00 | 3,452 | 3,452 | 6,904 | 7,041 | 7,394 | 7,763 | 8,151 | \$6,904,000 | \$8,630,000 | \$15,534,000 | \$17,602,500 | \$18,485,000 | \$19,407,500 | \$20,377,500 |
| 1406 | Inter partes review request fee - Up to 20 claims | \$9,000.00 | \$9,000.00 | \$14,000.00 | \$14,000.00 | \$14,000.00 | \$14,000.00 | \$14,000.00 | 1,071 | 1,071 | 2,142 | 2,293 | 2,453 | 2,625 | 2,808 | \$9,639,000 | \$14,994,000 | \$24,633,000 | \$32,102,000 | \$34,342,000 | \$36,750,000 | \$39,312,000 |
| 1414 | Inter partes review post-institution fee - Up to 15 claims | \$14,000.00 | \$14,000.00 | \$16,500.00 | \$16,500.00 | \$16,500.00 | \$16,500.00 | \$16,500.00 | 795 | 795 | 1,590 | 1,702 | 1,821 | 1,949 | 2,085 | \$11,130,000 | \$13,117,500 | \$24,247,500 | \$28,083,000 | \$30,046,500 | \$32,158,500 | \$34,402,500 |
| 1407 | Inter partes review request of each claim in excess of 20 | \$200.00 | \$200.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | 3,067 | 3,067 | 6,134 | 6,564 | 7,023 | 7,514 | 8,039 | \$613,400 | \$920,100 | \$1,533,500 | \$1,969,200 | \$2,106,900 | \$2,254,200 | \$2,411,700 |
| 1415 | Inter partes post-institution request of each claim in excess of 15 | \$400.00 | \$400.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | 3,622 | 3,622 | 7,244 | 7,752 | 8,294 | 8,874 | 9,494 | \$1,448,800 | \$2,173,200 | \$3,622,000 | \$4,651,200 | \$4,976,400 | \$5,324,400 | \$5,696,400 |
| 1408 | Post-grant or covered business method review request fee - Up to 20 claims | \$12,000.00 | \$12,000.00 | \$16,000.00 | \$16,000.00 | \$16,000.00 | \$16,000.00 | \$16,000.00 | 100 | 100 | 200 | 214 | 229 | 245 | 262 | \$1,200,000 | \$1,600,000 | \$2,800,000 | \$3,424,000 | \$3,664,000 | \$3,920,000 | \$4,192,000 |
| 1416 | Post-grant or covered business method review post-institution fee - Up to 15 claims | \$18,000.00 | \$18,000.00 | \$22,000.00 | \$22,000.00 | \$22,000.00 | \$22,000.00 | \$22,000.00 | 70 | 70 | 140 | 150 | 161 | 172 | 184 | \$1,260,000 | \$1,540,000 | \$2,800,000 | \$3,300,000 | \$3,542,000 | \$3,784,000 | \$4,048,000 |
| 1409 | Post-grant or covered business method review request of each claim in excess of 20 | \$250.00 | \$250.00 | \$375.00 | \$375.00 | \$375.00 | \$375.00 | \$375.00 | 433 | 433 | 866 | 927 | 992 | 1,061 | 1,135 | \$108,250 | \$162,375 | \$270,625 | \$347,625 | \$372,000 | \$397,875 | \$425,625 |
| 1417 | Post-grant or covered business method review post-institution request of each claim in excess of 15 | \$550.00 | \$550.00 | \$825.00 | \$825.00 | \$825.00 | \$825.00 | \$825.00 | 445 | 445 | 890 | 952 | 1,019 | 1,090 | 1,166 | \$244,750 | \$367,125 | \$611,875 | \$785,400 | \$840,675 | \$899,250 | \$961,950 |
| 1412 | Petition for a derivation proceeding | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 4 | 4 | 8 | 8 | 9 | 10 | 11 | \$1,600 | \$1,600 | \$3,200 | \$3,200 | \$3,600 | \$4,000 | \$4,400 |
| 1411 | Request to make a settlement agreement available and other requests filed in a patent trial proceeding | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Total Patent Trial and Appeals Fees (Large Entity) | | | | | | | | 24,056 | 24,056 | 48,112 | 50,006 | 52,917 | 55,997 | 59,261 | \$41,692,000 | \$54,744,900 | \$96,436,900 | \$115,157,925 | \$122,413,475 | \$130,133,325 | \$138,325,175 |
| | Patent Trial and Appeals Fees (Small Entity): | | | | | | | | | | | | | | | | | | | | | |
| 2401 | Notice of appeal | \$400.00 | \$400.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | 2,936 | 2,936 | 5,872 | 5,990 | 6,289 | 6,604 | 6,934 | \$1,174,400 | \$1,468,000 | \$2,642,400 | \$2,995,000 | \$3,144,500 | \$3,302,000 | \$3,467,000 |
| 2404 | Filing a brief in support of an appeal in an inter partes reexamination proceeding | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | 19 | 19 | 38 | 39 | 41 | 43 | 45 | \$19,000 | \$19,000 | \$38,000 | \$39,000 | \$41,000 | \$43,000 | \$45,000 |
| 2403 | Request for oral hearing | \$650.00 | \$650.00 | \$650.00 | \$650.00 | \$650.00 | \$650.00 | \$650.00 | 103 | 103 | 206 | 201 | 211 | 222 | 233 | \$66,950 | \$66,950 | \$133,900 | \$130,650 | \$137,150 | \$144,300 | \$151,450 |
| 2413 | Forwarding an appeal in an application or ex parte reexamination proceeding to the Board | \$1,000.00 | \$1,000.00 | \$1,250.00 | \$1,250.00 | \$1,250.00 | \$1,250.00 | \$1,250.00 | 703 | 703 | 1,406 | 1,433 | 1,505 | 1,580 | 1,659 | \$703,000 | \$878,750 | \$1,581,750 | \$1,791,250 | \$1,881,250 | \$1,975,000 | \$2,073,750 |
| | Total Patent Trial and Appeals Fees (Small Entity) | | | | | | | | 3,761 | 3,761 | 7,522 | 7,663 | 8,046 | 8,449 | 8,871 | \$1,963,350 | \$2,432,700 | \$4,396,050 | \$4,955,900 | \$5,203,900 | \$5,464,300 | \$5,737,200 |
| | Patent Trial and Appeals Fees (Micro Entity): | | | | | | | | | | | | | | | | | | | | | |
| 3401 | Notice of appeal | \$200.00 | \$200.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 227 | 227 | 454 | 463 | 487 | 511 | 536 | \$45,400 | \$56,750 | \$102,150 | \$115,750 | \$121,750 | \$127,750 | \$134,000 |
| 3404 | Filing a brief in support of an appeal in an inter partes reexamination proceeding | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | \$500 | \$500 | \$1,000 | \$1,000 | \$1,000 | \$1,000 | \$1,000 |
| 3403 | Request for oral hearing | \$325.00 | \$325.00 | \$325.00 | \$325.00 | \$325.00 | \$325.00 | \$325.00 | 3 | 3 | 6 | 6 | 6 | 6 | 6 | \$975 | \$975 | \$1,950 | \$1,950 | \$1,950 | \$1,950 | \$1,950 |
| 3413 | Forwarding an appeal in an application or ex parte reexamination proceeding to the Board | \$500.00 | \$500.00 | \$625.00 | \$625.00 | \$625.00 | \$625.00 | \$625.00 | 77 | 77 | 154 | 157 | 164 | 173 | 181 | \$38,500 | \$48,125 | \$86,625 | \$98,125 | \$102,500 | \$108,125 | \$113,125 |
| | Total Patent Trial and Appeals Fees (Micro Entity) | | | | | | | | 308 | 308 | 616 | 628 | 659 | 692</ | | | | | | | | |

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U |
|----------|--|----------------------|---|--|----------------------|----------------------|----------------------|----------------------|-----------------------------|-----------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------------------|---------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| | | | 10/1/2016 3/31/2017 Partial FY 2017 | 4/1/2017 9/30/2017 Partial FY 2017 | | | | | 10/1/2016 3/31/2017 | 4/1/2017 9/30/2017 | =(H+I) | | | | | =(B*H) 10/1/2016 3/31/2017 | =(C*I) 4/1/2017 9/30/2017 | =(O+P) | =(D*K) | =(E*L) | =(F*M) | =(G*N) |
| | | FY 2016 Fee Rates | FY 2017 Fee Rates | FY 2017 Fee Rates | FY 2018 Fee Rates | FY 2019 Fee Rates | FY 2020 Fee Rates | FY 2021 Fee Rates | Partial FY 2017 Workload | Partial FY 2017 Workload | FY 2017 Workload | FY 2018 Workload | FY 2019 Workload | FY 2020 Workload | FY 2021 Workload | Partial FY 2017 Collections | Partial FY 2017 Collections | FY 2017 Collections | FY 2018 Collections | FY 2019 Collections | FY 2020 Collections | FY 2021 Collections |
| 1463 | Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II) | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 2,070 | 2,070 | 4,140 | 4,092 | 4,092 | 4,092 | 4,092 | \$414,000 | \$414,000 | \$828,000 | \$818,400 | \$818,400 | \$818,400 | \$818,400 |
| 1464 | Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III) | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | 3,870 | 3,870 | 7,740 | 8,170 | 8,622 | 9,100 | 9,605 | \$541,800 | \$541,800 | \$1,083,600 | \$1,143,800 | \$1,207,080 | \$1,274,000 | \$1,344,700 |
| 1453 | Petition for revival of an abandoned application for a patent, for the delayed payment of the fee for issuing each patent, or for the delayed response by the patent owner in any reexamination proceeding | \$1,700.00 | \$1,700.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | 1,722 | 1,722 | 3,444 | 3,506 | 3,569 | 3,633 | 3,699 | \$2,927,400 | \$3,444,000 | \$6,371,400 | \$7,012,000 | \$7,138,000 | \$7,266,000 | \$7,398,000 |
| 1454 | Petition for the delayed submission of a priority or benefit claim | \$1,700.00 | \$1,700.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | 901 | 901 | 1,802 | 1,982 | 1,982 | 1,982 | 1,982 | \$1,531,700 | \$1,802,000 | \$3,333,700 | \$3,964,000 | \$3,964,000 | \$3,964,000 | \$3,964,000 |
| 1455 | Filing an application for patent term adjustment | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 991 | 991 | 1,982 | 1,983 | 1,983 | 1,983 | 1,983 | \$198,200 | \$198,200 | \$396,400 | \$396,600 | \$396,600 | \$396,600 | \$396,600 |
| 1456 | Request for reinstatement of term reduced | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 9 | 9 | 18 | 17 | 17 | 17 | 17 | \$3,600 | \$3,600 | \$7,200 | \$6,800 | \$6,800 | \$6,800 | \$6,800 |
| 1783 | Petition to convert an international design application to a design application under 35 U.S.C. chapter 16 | \$180.00 | \$180.00 | \$180.00 | \$180.00 | \$180.00 | \$180.00 | \$180.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1784 | Petition to excuse applicant's failure to act within prescribed time limits in an international design application | \$1,700.00 | \$1,700.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | \$2,000.00 | 4 | - | 4 | 4 | 4 | 4 | 4 | \$6,800 | \$0 | \$6,800 | \$8,000 | \$8,000 | \$8,000 | \$8,000 |
| 1824 | Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d) | \$1,940.00 | \$1,940.00 | \$1,940.00 | \$1,940.00 | \$1,940.00 | \$1,940.00 | \$1,940.00 | 152 | 152 | 304 | 303 | 303 | 303 | 303 | \$294,880 | \$294,880 | \$589,760 | \$587,820 | \$587,820 | \$587,820 | \$587,820 |
| 1457 | Extension of term of patent | \$1,120.00 | \$1,120.00 | \$1,120.00 | \$1,120.00 | \$1,120.00 | \$1,120.00 | \$1,120.00 | 40 | 40 | 80 | 80 | 80 | 80 | 80 | \$44,800 | \$44,800 | \$89,600 | \$89,600 | \$89,600 | \$89,600 | \$89,600 |
| 1458 | Initial application for interim extension (see 37 CFR 1.790) | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | \$420.00 | 2 | 2 | 4 | 3 | 3 | 3 | 3 | \$840 | \$840 | \$1,680 | \$1,260 | \$1,260 | \$1,260 | \$1,260 |
| 1459 | Subsequent application for interim extension (see 37 CFR 1.790) | \$220.00 | \$220.00 | \$220.00 | \$220.00 | \$220.00 | \$220.00 | \$220.00 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | \$220 | \$220 | \$440 | \$440 | \$440 | \$440 | \$440 |
| | Total Patent Petition Fees (Large Entity) | | | | | | | | 10,136 | 10,881 | 21,017 | 21,705 | 22,220 | 22,762 | 23,333 | \$6,113,840 | \$7,193,540 | \$13,307,380 | \$14,653,920 | \$14,843,200 | \$15,038,120 | \$15,240,820 |
| | Patent Petition Fees (Small Entity): | | | | | | | | | | | | | | | | | | | | | |
| 2462 | Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I) | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 308 | 923 | 1,231 | 1,285 | 1,285 | 1,285 | 1,285 | \$61,600 | \$184,600 | \$246,200 | \$257,000 | \$257,000 | \$257,000 | \$257,000 |
| 2463 | Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II) | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 245 | 245 | 490 | 485 | 485 | 485 | 485 | \$24,500 | \$24,500 | \$49,000 | \$48,500 | \$48,500 | \$48,500 | \$48,500 |
| 2464 | Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III) | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | 1,453 | 1,453 | 2,906 | 3,066 | 3,236 | 3,415 | 3,605 | \$101,710 | \$101,710 | \$203,420 | \$214,620 | \$226,520 | \$239,050 | \$252,350 |
| 2453 | Petition for revival of an abandoned application for a patent, for the delayed payment of the fee for issuing each patent, or for the delayed response by the patent owner in any reexamination proceeding | \$850.00 | \$850.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | 2,314 | 2,314 | 4,628 | 4,711 | 4,797 | 4,883 | 4,972 | \$1,966,900 | \$2,314,000 | \$4,280,900 | \$4,711,000 | \$4,797,000 | \$4,883,000 | \$4,972,000 |
| 2454 | Petition for the delayed submission of a priority or benefit claim | \$850.00 | \$850.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | 708 | 708 | 1,416 | 1,559 | 1,559 | 1,559 | 1,559 | \$601,800 | \$708,000 | \$1,309,800 | \$1,559,000 | \$1,559,000 | \$1,559,000 | \$1,559,000 |
| 2783 | Petition to convert an international design application to a design application under 35 U.S.C. chapter 16 | \$180.00 | \$180.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | \$90.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2784 | Petition to excuse applicant's failure to act within prescribed time limits in an international design application | \$850.00 | \$850.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | \$1,000.00 | 4 | - | 4 | 4 | 4 | 4 | 4 | \$3,400 | \$0 | \$3,400 | \$4,000 | \$4,000 | \$4,000 | \$4,000 |
| 2824 | Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d) | \$970.00 | \$970.00 | \$970.00 | \$970.00 | \$970.00 | \$970.00 | \$970.00 | 13 | 13 | 26 | 25 | 25 | 25 | 25 | \$12,610 | \$12,610 | \$25,220 | \$24,250 | \$24,250 | \$24,250 | \$24,250 |
| | Total Patent Petition Fees (Small Entity) | | | | | | | | 5,045 | 5,656 | 10,701 | 11,135 | 11,391 | 11,656 | 11,935 | \$2,772,520 | \$3,345,420 | \$6,117,940 | \$6,818,370 | \$6,916,270 | \$7,014,800 | \$7,117,100 |
| | Patent Petition Fees (Micro Entity): | | | | | | | | | | | | | | | | | | | | | |
| 3462 | Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I) | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 44 | 132 | 176 | 183 | 183 | 183 | 183 | \$4,400 | \$13,200 | \$17,600 | \$18,300 | \$18,300 | \$18,300 | \$18,300 |
| 3463 | Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II) | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 23 | 23 | 46 | 46 | 46 | 46 | 46 | \$1,150 | \$1,150 | \$2,300 | \$2,300 | \$2,300 | \$2,300 | \$2,300 |
| 3464 | Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III) | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | 105 | 105 | 210 | 222 | 235 | 248 | 261 | \$3,675 | \$3,675 | \$7,350 | \$7,770 | \$8,225 | \$8,680 | \$9,135 |
| 3453 | Petition for revival of an abandoned application for a patent, for the delayed payment of the fee for issuing each patent, or for the delayed response by the patent owner in any reexamination proceeding | | | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3454 | Petition for the delayed submission of a priority or benefit claim | \$850.00 | \$850.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | 77 | 77 | 154 | 169 | 169 | 169 | 169 | \$65,450 | \$38,500 | \$103,950 | \$84,500 | \$84,500 | \$84,500 | \$84,500 |
| 3783 | Petition to convert an international design application to a design application under 35 U.S.C. chapter 16 | \$180.00 | \$180.00 | \$45.00 | \$45.00 | \$45.00 | \$45.00 | \$45.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3784 | Petition to excuse applicant's failure to act within prescribed time limits in an international design application | | | \$500.00 | \$500.00 | \$500.00 | \$500.00 | \$500.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3824 | Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d) | \$485.00 | \$485.00 | \$485.00 | \$485.00 | \$485.00 | \$485.00 | \$485.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Total Patent Petition Fees (Micro Entity) | | | | | | | | 249 | 337 | 586 | 620 | 633 | 646 | 659 | \$74,675 | \$56,525 | \$131,200 | \$112,870 | \$113,325 | \$113,780 | \$114,235 |
| | Total Patent Petition Fees | | | | | | | | 15,430 | 16,874 | 32,304 | 33,460 | 34,244 | 35,064 | 35,927 | \$8,961,035 | \$10,595,485 | \$19,556,520 | \$21,585,160 | \$21,872,795 | \$22,166,700 | \$22,472,155 |
| | PCT Fees - National Stage (Large Entity): | | | | | | | | | | | | | | | | | | | | | |
| 1631 | Basic National Stage Fee | \$280.00 | \$280.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | 35,620 | 35,620 | 71,240 | 74,622 | 78,165 | 81,876 | 85,763 | \$9,973,600 | \$10,686,000 | \$20,659,600 | \$22,386,600 | \$23,449,500 | \$24,562,800 | \$25,728,900 |
| n/a | National Stage Search Fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4) | | | | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1641 | National Stage Search Fee - U.S. was the ISA | \$120.00 | \$120.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | 1,362 | 1,362 | 2,724 | 2,854 | 2,989 | 3,131 | 3,280 | \$163,440 | \$190,680 | \$354,120 | \$399,560 | \$418,460 | \$438,340 | \$459,200 |
| 1642 | National Stage Search Fee - search report prepared and provided to USPTO | \$480.00 | \$480.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | 32,783 | 32,783 | 65,566 | 68,679 | 71,940 | 75,356 | 78,933 | \$15,735,840 | \$17,047,160 | \$32,783,000 | \$35,713,080 | \$37,408,800 | \$39,185,120 | \$41,045,160 |
| 1632 | National Stage Search Fee - all other situations | \$600.00 | \$600.00 | \$660.00 | \$660.00 | \$660.00 | \$660.00 | \$660.00 | 1,452 | 1,452 | 2,904 | 3,042 | 3,186 | 3,337 | 3,496 | \$871,200 | \$958,320 | \$1,829,520 | \$2,007,720 | \$2,102,760 | \$2,202,420 | \$2,307,360 |
| n/a | PCT National Stage Examination Fee - U.S. was the ISA or IPEA and All Claims Satisfy PCT Article 33(1)-(4) | | | | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1633 | National Stage Examination Fee - all other situations | \$720.00 | \$720.00 | \$760.00 | \$760.00 | \$760.00 | \$760.00 | \$760.00 | 35,458 | 35,458 | 70,916 | 74,284 | 77,811 | 81,505 | 85,375 | \$25,529,760 | \$26,948,080 | \$52,477,840 | \$56,455,840 | \$59,136,360 | \$61,943,800 | \$64,885,000 |
| 1614 | Claims - extra independent (over three) | \$420.00 | \$420.00 | \$460.00 | \$460.00 | \$460.00 | \$460.00 | \$460.00 | 8,742 | 8,742 | 17,484 | 17,099 | 17,911 | 18,761 | 19,652 | \$3,671,640 | \$4,021,320 | \$7,692,960 | \$7,865,540 | \$8,239,060 | \$8,630,060 | \$9,039,920 |
| 1615 | Claims - extra total (over 20) | \$80.00 | \$80.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 54,426 | 54,426 | 108,852 | 112,819 | 118,176 | 123,787 | | | | | | | | |

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U |
|--|---|----------------------|---|--|----------------------|----------------------|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|--|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| | | FY 2016 Fee Rates | 10/1/2016 3/31/2017 Partial FY 2017 Fee Rates | 4/1/2017 9/30/2017 Partial FY 2017 Fee Rates | FY 2018 Fee Rates | FY 2019 Fee Rates | FY 2020 Fee Rates | FY 2021 Fee Rates | Partial FY 2017 Workload | Partial FY 2017 Workload | =(H+I) FY 2017 Workload | Aggregate FY 2018 Workload | Aggregate FY 2019 Workload | Aggregate FY 2020 Workload | Aggregate FY 2021 Workload | =(B*H) 10/1/2016 3/31/2017 Partial FY 2017 Collections | =(C*I) 4/1/2017 9/30/2017 Partial FY 2017 Collections | =(O+P) FY 2017 Collections | =(D*K) FY 2018 Collections | =(E*L) FY 2019 Collections | =(F*M) FY 2020 Collections | =(G*N) FY 2021 Collections |
| PCT Fees - International Stage (Micro Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 3601 | Transmittal fee | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | 643 | 643 | 1,286 | 1,313 | 1,339 | 1,366 | 1,393 | \$38,580 | \$38,580 | \$77,160 | \$78,780 | \$80,340 | \$81,960 | \$83,580 |
| 3602 | Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) | \$520.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | 537 | 537 | 1,074 | 1,095 | 1,117 | 1,140 | 1,163 | \$279,240 | \$279,240 | \$558,480 | \$569,400 | \$580,840 | \$592,800 | \$604,760 |
| 3604 | Supplemental search fee when required, per additional invention | \$520.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | \$520.00 | 40 | 40 | 80 | 82 | 83 | 85 | 87 | \$20,800 | \$20,800 | \$41,600 | \$42,640 | \$43,160 | \$44,200 | \$45,240 |
| 3621 | Transmitting application to Intl. Bureau to act as receiving office | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | 13 | 13 | 26 | 26 | 27 | 27 | 28 | \$780 | \$780 | \$1,560 | \$1,560 | \$1,620 | \$1,620 | \$1,680 |
| 3605 | Preliminary examination fee - U.S. was the ISA | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 27 | 27 | 54 | 53 | 53 | 53 | 53 | \$4,050 | \$4,050 | \$8,100 | \$7,950 | \$7,950 | \$7,950 | \$7,950 |
| 3606 | Preliminary examination fee - U.S. was not the ISA | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | 2 | 2 | 4 | 3 | 4 | 4 | 4 | \$380 | \$380 | \$760 | \$570 | \$760 | \$760 | \$760 |
| 3607 | Supplemental examination fee per additional invention | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | \$150 | \$150 | \$300 | \$150 | \$150 | \$150 | \$150 |
| 36XX | Late furnishing fee for providing a sequence listing in response to an invitation under PCT Rule 13ter | | | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | - | 4 | 4 | 7 | 6 | 6 | 6 | \$0 | \$300 | \$300 | \$525 | \$450 | \$450 | \$450 |
| 3619 | Late payment fee | | | | | | | | 50 | 50 | 50 | 50 | 50 | 50 | 50 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Total PCT Fees - International Stage (Micro Entity) | | | | | | | | | 1,263 | 1,267 | 2,530 | 2,580 | 2,630 | 2,682 | 2,735 | \$343,980 | \$344,280 | \$688,260 | \$701,575 | \$715,270 | \$729,890 | \$744,570 |
| Total PCT Fees - International Stage | | | | | | | | | 178,523 | 178,749 | 357,272 | 359,095 | 360,736 | 362,414 | 364,125 | \$21,545,140 | \$21,606,490 | \$43,151,630 | \$44,058,635 | \$44,923,080 | \$45,806,830 | \$46,707,610 |
| Total PCT Fees | | | | | | | | | 443,302 | 443,528 | 886,830 | 910,074 | 937,873 | 966,954 | 997,370 | \$95,766,880 | \$101,766,540 | \$197,533,420 | \$211,085,120 | \$219,879,310 | \$229,070,210 | \$238,672,350 |
| Hague International Design Application Fees (Large Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 1781 | Hague International Design Application Fees - Transmittal Fee | \$120.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | 129 | 129 | 258 | 263 | 268 | 274 | 279 | \$15,480 | \$15,480 | \$30,960 | \$31,560 | \$32,160 | \$32,880 | \$33,480 |
| 1791 | International Design Application First Part U.S. Designation Fee | \$760.00 | \$760.00 | \$760.00 | \$760.00 | \$760.00 | \$760.00 | \$760.00 | 166 | 166 | 332 | 339 | 346 | 353 | 360 | \$126,160 | \$126,160 | \$252,320 | \$257,640 | \$262,960 | \$268,280 | \$273,600 |
| 1792 | (Part II Designation Fee) Issue Fee Paid Through the International Bureau in an International Design Application | \$560.00 | \$560.00 | \$560.00 | \$560.00 | \$560.00 | \$560.00 | \$560.00 | 120 | 120 | 240 | 245 | 250 | 255 | 260 | \$67,200 | \$67,200 | \$134,400 | \$137,200 | \$140,000 | \$142,800 | \$145,600 |
| Total Hague Fees (Large Entity) | | | | | | | | | 415 | 415 | 830 | 847 | 864 | 882 | 899 | \$208,840 | \$208,840 | \$417,680 | \$426,400 | \$435,120 | \$443,960 | \$452,680 |
| Hague International Design Application Fees (Small Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 2781 | Transmittal Fee | | | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2791 | International Design Application First Part U.S. Designation Fee | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | 107 | 107 | 214 | 218 | 222 | 227 | 231 | \$40,660 | \$40,660 | \$81,320 | \$82,840 | \$84,360 | \$86,260 | \$87,780 |
| 2792 | (Part II Designation Fee) Issue Fee Paid Through the International Bureau in an International Design Application | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Total Hague Fees (Small Entity) | | | | | | | | | 107 | 107 | 214 | 218 | 222 | 227 | 231 | \$40,660 | \$40,660 | \$81,320 | \$82,840 | \$84,360 | \$86,260 | \$87,780 |
| Hague International Design Application Fees (Micro Entity): | | | | | | | | | | | | | | | | | | | | | | |
| 3781 | Transmittal Fee | | | \$30.00 | \$30.00 | \$30.00 | \$30.00 | \$30.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3791 | International Design Application First Part U.S. Designation Fee | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | 24 | 24 | 48 | 48 | 49 | 50 | 51 | \$4,560 | \$4,560 | \$9,120 | \$9,120 | \$9,310 | \$9,500 | \$9,690 |
| 3792 | (Part II Designation Fee) Issue Fee Paid Through the International Bureau in an International Design Application | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | \$140.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Total Hague Fees (Micro Entity) | | | | | | | | | 24 | 24 | 48 | 48 | 49 | 50 | 51 | \$4,560 | \$4,560 | \$9,120 | \$9,120 | \$9,310 | \$9,500 | \$9,690 |
| Total Hague Fees | | | | | | | | | 546 | 546 | 1,092 | 1,113 | 1,135 | 1,159 | 1,181 | \$254,060 | \$254,060 | \$508,120 | \$518,360 | \$528,790 | \$539,720 | \$550,150 |
| Patent Service Fees: | | | | | | | | | | | | | | | | | | | | | | |
| 8001 | Printed copy of patent w/o color, delivery by USPS, USPTO Box, or electronic means | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | 26,000 | 26,000 | 52,000 | 42,200 | 34,200 | 27,700 | 22,500 | \$78,000 | \$78,000 | \$156,000 | \$126,600 | \$102,600 | \$83,100 | \$67,500 |
| 8005 | Patent Application Publication (PAP) | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | 500 | 500 | 1,000 | 800 | 600 | 500 | 400 | \$1,500 | \$1,500 | \$3,000 | \$2,400 | \$1,800 | \$1,500 | \$1,200 |
| 8003 | Printed copy of plant patent in color | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | 80 | 80 | 160 | 149 | 139 | 129 | 120 | \$1,200 | \$1,200 | \$2,400 | \$2,235 | \$2,085 | \$1,935 | \$1,800 |
| 8004 | Color copy of patent (other than plant patent) containing a color drawing | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | \$25 | \$25 | \$50 | \$25 | \$25 | \$25 | \$25 |
| 8007 | Copy of patent application as filed | \$20.00 | \$20.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | 32,028 | 32,028 | 64,056 | 59,235 | 54,777 | 50,654 | 46,841 | \$640,560 | \$1,120,980 | \$1,761,540 | \$2,073,225 | \$1,917,195 | \$1,772,890 | \$1,639,435 |
| 8008 | Copy of patent-related file wrapper and contents of 400 or fewer pages, if provided on paper | \$200.00 | \$200.00 | \$0.00 | | | | | 367 | - | 367 | - | - | - | - | \$73,400 | \$0 | \$73,400 | \$0 | \$0 | \$0 | \$0 |
| 8009 | Additional fee for each additional 100 pages of patent-related file wrapper and (paper) contents, or portion thereof | \$40.00 | \$40.00 | \$0.00 | | | | | 449 | - | 449 | - | - | - | - | \$17,960 | \$0 | \$17,960 | \$0 | \$0 | \$0 | \$0 |
| 8010 | Individual application documents, other than application as filed, per document | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 263 | 263 | 526 | 424 | 342 | 276 | 222 | \$6,575 | \$6,575 | \$13,150 | \$10,600 | \$8,550 | \$6,900 | \$5,550 |
| 8011 | Copy of patent-related file wrapper and contents if provided electronically or on a physical electronic medium as specified in 1.19(b)(1)(ii) | \$55.00 | \$55.00 | \$0.00 | | | | | 1,606 | - | 1,606 | - | - | - | - | \$88,330 | \$0 | \$88,330 | \$0 | \$0 | \$0 | \$0 |
| 8012 | Additional fee for each continuing physical electronic medium in single order of 1.19(b)(1)(ii)(B) | \$15.00 | \$15.00 | \$0.00 | | | | | 37 | - | 37 | - | - | - | - | \$555 | \$0 | \$555 | \$0 | \$0 | \$0 | \$0 |
| 8013 | Copy of office records, except copies of applications as filed | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 4,020 | 4,020 | 8,040 | 7,933 | 7,827 | 7,722 | 7,619 | \$100,500 | \$100,500 | \$201,000 | \$198,325 | \$195,675 | \$193,050 | \$190,475 |
| 8014 | For assignment records, abstract of title and certification, per patent | \$25.00 | \$25.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | \$35.00 | 18,950 | 18,950 | 37,900 | 37,498 | 37,099 | 36,705 | 36,315 | \$473,750 | \$663,250 | \$1,137,000 | \$1,312,430 | \$1,298,465 | \$1,284,675 | \$1,271,025 |
| 8904 | Library service | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 12 | 36 | 48 | 49 | 49 | 50 | 50 | \$600 | \$1,800 | \$2,400 | \$2,450 | \$2,450 | \$2,450 | \$2,500 |
| 8016 | Uncertified statement re status of maintenance fee payments | \$10.00 | \$10.00 | \$0.00 | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 8017 | Copy of non-U.S. document | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 8020 | International type search report | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | \$40 | \$40 | \$80 | \$80 | \$80 | \$80 | \$80 |
| 8901 | Public Search Facility - UPWS Account Replenishment | | | \$1.00 | | | | | \$29,520 | \$88,560 | \$118,080 | \$98,340 | \$81,890 | \$68,200 | \$56,800 | \$29,520 | \$88,560 | \$118,080 | \$98,340 | \$81,890 | \$68,200 | \$56,800 |
| 8902 | Self-service copy charge, per page | \$0.25 | \$0.25 | \$0.00 | | | | | 218,500 | - | 218,500 | - | - | - | - | \$54,625 | \$0 | \$54,625 | \$0 | \$0 | \$0 | \$0 |
| n/a | Recording each patent assignment, agreement or other paper, per property - if submitted electronically | | | | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 8021 | Recording each patent assignment, agreement or other paper, per property - if not submitted electronically | \$40.00 | \$40.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 2,398 | 2,398 | 4,796 | 4,700 | 4,606 | 4,514 | 4,424 | \$95,920 | \$119,900 | \$215,820 | \$235,000 | \$230,300 | \$225,700 | \$221,200 |
| 8022 | Publication in Official Gazette | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 90 | 90 | 180 | 179 | 179 | 179 | 179 | \$2,250 | \$2,250 | \$4,500 | \$4,475 | \$4,475 | \$4,475 | \$4,475 |
| 8023 | Labor charges for services, per hour or fraction thereof | \$40.00 | \$40.00 | \$0.00 | | | | | 1,258 | - | 1,258 | - | - | - | - | \$50,320 | \$0 | \$50,320 | \$0 | \$0 | \$0 | \$0 |

Setting and Adjusting Patent Fees during Fiscal Year 2017
Alternative 1 - Proposed Fee Schedule

| Fee Code | | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U |
|----------|---|------------|---|--|-------------|-------------|-------------|-------------|---|--|--------------------------------|----------------------|----------------------|----------------------|----------------------|---|--|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
| | | FY 2016 | 10/1/2016 3/31/2017 Partial FY 2017 | 4/1/2017 9/30/2017 Partial FY 2017 | FY 2018 | FY 2019 | FY 2020 | FY 2021 | 10/1/2016 3/31/2017 Partial FY 2017 | 4/1/2017 9/30/2017 Partial FY 2017 | =(H+I) Aggregate FY 2017 | Aggregate FY 2018 | Aggregate FY 2019 | Aggregate FY 2020 | Aggregate FY 2021 | =(B*H) 10/1/2016 3/31/2017 Partial FY 2017 | =(C*I) 4/1/2017 9/30/2017 Partial FY 2017 | =(O+P) Aggregate FY 2017 | =(D*K) Aggregate FY 2018 | =(E*L) Aggregate FY 2019 | =(F*M) Aggregate FY 2020 | =(G*N) Aggregate FY 2021 |
| | | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Workload | Workload | Workload | Workload | Workload | Workload | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| 8024 | Unspecified other services, excluding labor | | | \$1.00 | | | | | \$25,340 | - | \$25,340 | - | - | - | - | \$25,340 | \$0 | \$25,340 | \$0 | \$0 | \$0 | \$0 |
| 8026 | Handling fee for incomplete or improper application | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 217 | 217 | 434 | 434 | 434 | 434 | 434 | \$28,210 | \$28,210 | \$56,420 | \$56,420 | \$56,420 | \$56,420 | \$56,420 |
| 8031 | Computer Records | | | \$0.00 | | | | | \$44,760 | - | \$44,760 | - | - | - | - | \$44,760 | \$0 | \$44,760 | \$0 | \$0 | \$0 | \$0 |
| 8041 | Copy of Patent-Related File Wrapper Contents That Were Submitted and Are Stored on Compact Disk or Other Electronic Form (e.g., compact disks stored in artifact folder), Other Than as Available in 1.19(b)(1); First Physical Electronic Medium in a Single Order | \$55.00 | \$55.00 | | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 8042 | Additional Fee for Each Continuing Copy of Patent-Related File Wrapper Contents as Specified in 1.19(b)(2)(i)(A) | \$15.00 | \$15.00 | | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 8043 | Copy of Patent-Related File Wrapper Contents That Were Submitted and are Stored on Compact Disk, or Other Electronic Form, Other Than as Available in 1.19(b)(1); If Provided Electronically Other Than on a Physical Electronic Medium, per Order | \$55.00 | \$55.00 | | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 8050 | Petitions for documents in form other than that provided by this part, or in form other than that generally provided by Director, to be decided in accordance with merits | | | | | | | | - | - | - | - | - | - | - | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 80AA | Copy patent file wrapper, paper medium, any number of sheets | | | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | - | 484 | 484 | 861 | 765 | 680 | 680 | \$0 | \$135,520 | \$135,520 | \$241,080 | \$214,200 | \$190,400 | \$190,400 |
| 80BB | Copy patent file wrapper, electronic medium, any size or provided electronically | | | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | - | 1,630 | 1,630 | 3,260 | 3,260 | 3,260 | 3,260 | \$0 | \$89,650 | \$89,650 | \$179,300 | \$179,300 | \$179,300 | \$179,300 |
| 80CC | Additional fee for overnight delivery | | | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | - | 80 | 80 | 160 | 160 | 160 | 160 | \$0 | \$3,200 | \$3,200 | \$6,400 | \$6,400 | \$6,400 | \$6,400 |
| 80DD | Additional fee for expedited service | | | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | - | 350 | 350 | 700 | 700 | 700 | 700 | \$0 | \$56,000 | \$56,000 | \$112,000 | \$112,000 | \$112,000 | \$112,000 |
| 80EE | Copy of patent grant single-page TIFF images (52 week subscription) | | | \$10,400.00 | \$10,400.00 | \$10,400.00 | \$10,400.00 | \$10,400.00 | - | 3 | 3 | 5 | 5 | 5 | 5 | \$0 | \$31,200 | \$31,200 | \$52,000 | \$52,000 | \$52,000 | \$52,000 |
| 80FF | Copy of patent grant full-text w/embedded images, patent application publication single-page TIFF images, or patent application publication full-text w/embedded images (52 week subscription) | | | \$5,200.00 | \$5,200.00 | \$5,200.00 | \$5,200.00 | \$5,200.00 | - | 8 | 8 | 15 | 15 | 15 | 15 | \$0 | \$41,600 | \$41,600 | \$78,000 | \$78,000 | \$78,000 | \$78,000 |
| 80GG | Copy of Patent Technology Monitoring Team (PTMT) patent bibliographic extract and other DVD (optical disc) products | | | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | - | 40 | 40 | 80 | 80 | 80 | 80 | \$0 | \$2,000 | \$2,000 | \$4,000 | \$4,000 | \$4,000 | \$4,000 |
| 80HH | Copy of U.S. patent custom data extracts | | | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | - | 19 | 19 | 37 | 37 | 37 | 37 | \$0 | \$1,900 | \$1,900 | \$3,700 | \$3,700 | \$3,700 | \$3,700 |
| 80JJ | Copy of selected technology reports, miscellaneous technology areas | | | \$30.00 | \$30.00 | \$30.00 | \$30.00 | \$30.00 | - | 30 | 30 | 60 | 60 | 60 | 60 | \$0 | \$900 | \$900 | \$1,800 | \$1,800 | \$1,800 | \$1,800 |
| | Total Patent Service Fees | | | | | | | | 406,397 | 175,788 | 582,185 | 257,122 | 227,227 | 202,062 | 180,904 | \$1,813,940 | \$2,574,760 | \$4,388,700 | \$4,800,885 | \$4,553,410 | \$4,329,000 | \$4,146,085 |
| | Patent Enrollment Fees: | | | | | | | | | | | | | | | | | | | | | \$0 |
| 9001 | Application fee (non-refundable) | \$40.00 | \$40.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 1,744 | 1,744 | 3,488 | 3,489 | 3,489 | 3,489 | 3,489 | \$69,760 | \$174,400 | \$244,160 | \$348,900 | \$348,900 | \$348,900 | \$348,900 |
| 9010 | For test administration by commercial entity | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 1,629 | 1,629 | 3,258 | 3,258 | 3,258 | 3,258 | 3,258 | \$325,800 | \$325,800 | \$651,600 | \$651,600 | \$651,600 | \$651,600 | \$651,600 |
| 9011 | For test administration by the USPTO | \$450.00 | \$450.00 | \$450.00 | \$450.00 | \$450.00 | \$450.00 | \$450.00 | 3 | 3 | 6 | 5 | 5 | 5 | 5 | \$1,350 | \$1,350 | \$2,700 | \$2,250 | \$2,250 | \$2,250 | \$2,250 |
| 9003 | On registration to practice under §11.6 | \$100.00 | \$100.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 725 | 725 | 1,450 | 1,420 | 1,420 | 1,420 | 1,420 | \$72,500 | \$145,000 | \$217,500 | \$284,000 | \$284,000 | \$284,000 | \$284,000 |
| 9025 | On change of registration from agent to attorney | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 295 | 295 | 590 | 589 | 589 | 589 | 589 | \$29,500 | \$29,500 | \$59,000 | \$58,900 | \$58,900 | \$58,900 | \$58,900 |
| 9005 | Certificate of good standing as an attorney or agent, standard | \$10.00 | \$10.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 147 | 147 | 294 | 294 | 294 | 294 | 294 | \$1,470 | \$5,880 | \$7,350 | \$11,760 | \$11,760 | \$11,760 | \$11,760 |
| 9006 | Certificate of good standing as an attorney or agent, suitable for framing | \$20.00 | \$20.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 10 | 10 | 20 | 20 | 20 | 20 | 20 | \$200 | \$500 | \$700 | \$1,000 | \$1,000 | \$1,000 | \$1,000 |
| 9012 | Review of decision by the Director of Enrollment and Discipline under §11.2(c) | \$130.00 | \$130.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 4 | 4 | 8 | 8 | 8 | 8 | 8 | \$520 | \$1,600 | \$2,120 | \$3,200 | \$3,200 | \$3,200 | \$3,200 |
| 9013 | Review of decision of the Director of Enrollment and Discipline under §11.2(d) | \$130.00 | \$130.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | \$130 | \$400 | \$530 | \$400 | \$400 | \$400 | \$400 |
| 9020 | Delinquency fee for annual fee | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 82 | 82 | 164 | 163 | 163 | 163 | 163 | \$4,100 | \$4,100 | \$8,200 | \$8,150 | \$8,150 | \$8,150 | \$8,150 |
| 9004 | Reinstatement to practice | \$100.00 | \$100.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 32 | 32 | 64 | 64 | 64 | 64 | 64 | \$3,200 | \$6,400 | \$9,600 | \$12,800 | \$12,800 | \$12,800 | \$12,800 |
| 9014 | On petition for reinstatement by a person excluded or suspended on ethical grounds, or excluded on consent from practice before the Office | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | 6 | 6 | 12 | 12 | 12 | 12 | 12 | \$9,600 | \$9,600 | \$19,200 | \$19,200 | \$19,200 | \$19,200 | \$19,200 |
| 9024 | Unspecified other services, excluding labor | | | | | | | | \$645 | \$645 | \$1,290 | \$1,290 | \$1,290 | \$1,290 | \$1,290 | \$645 | \$645 | \$1,290 | \$1,290 | \$1,290 | \$1,290 | \$1,290 |
| 90AA | On grant of limited recognition under §11.9(b) | | | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | - | 30 | 30 | 60 | 60 | 60 | 60 | \$0 | \$6,000 | \$6,000 | \$12,000 | \$12,000 | \$12,000 | \$12,000 |
| 90BB | For USPTO-Assisted recovery of ID or reset of password for the Office of Enrollment and Discipline Information System | | | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | - | 8 | 8 | 16 | 16 | 16 | 16 | \$0 | \$560 | \$560 | \$1,120 | \$1,120 | \$1,120 | \$1,120 |
| 90CC | For USPTO-Assisted change of address within the Office of Enrollment and Discipline Information System | | | \$70.00 | \$70.00 | \$70.00 | \$70.00 | \$70.00 | - | 500 | 500 | 1,000 | 1,000 | 1,000 | 1,000 | \$0 | \$35,000 | \$35,000 | \$70,000 | \$70,000 | \$70,000 | \$70,000 |
| 90DD | For USPTO-Administered review of Registration examination | | | \$450.00 | \$450.00 | \$450.00 | \$450.00 | \$450.00 | - | 3 | 3 | 5 | 5 | 5 | 5 | \$0 | \$1,350 | \$2,250 | \$2,250 | \$2,250 | \$2,250 | \$2,250 |
| | Total Patent Enrollment Fees | | | | | | | | 5,323 | 5,864 | 11,187 | 11,694 | 11,694 | 11,694 | 11,694 | \$518,775 | \$748,085 | \$1,266,860 | \$1,488,820 | \$1,488,820 | \$1,488,820 | \$1,488,820 |
| | Finance Service Fees: | | | | | | | | | | | | | | | | | | | | | |
| 9201 | Establish deposit account | \$10.00 | \$10.00 | | | | | | 70 | - | 70 | - | - | - | - | \$700 | \$0 | \$700 | \$0 | \$0 | \$0 | \$0 |
| 9202 | Service charge for below minimum balance | \$25.00 | \$25.00 | \$25.00 | | | | | 867 | 2,600 | 3,467 | 3,467 | 3,467 | 3,467 | 3,467 | \$21,675 | \$65,000 | \$86,675 | \$86,675 | \$86,675 | \$86,675 | \$86,675 |
| 9101 | Processing each payment refused or charged back | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 88 | 264 | 352 | 352 | 352 | 352 | 352 | \$4,400 | \$13,200 | \$17,600 | \$17,600 | \$17,600 | \$17,600 | \$17,600 |
| 9209 | Partial service charge for closing a deposit account | | | | | | | | \$420 | \$1,250 | \$1,670 | \$1,670 | \$1,670 | \$1,670 | \$1,670 | \$420 | \$1,250 | \$1,670 | \$1,670 | \$1,670 | \$1,670 | \$1,670 |
| | Total Finance Service Fees | | | | | | | | 1,445 | 4,114 | 5,559 | 5,489 | 5,489 | 5,489 | 5,489 | \$27,195 | \$79,450 | \$106,645 | \$105,945 | \$105,945 | \$105,945 | \$105,945 |
| | Total Patent Fees | | | | | | | | | | | | | | | \$1,438,733,635 | \$1,512,288,670 | \$2,951,022,305 | \$3,260,216,360 | \$3,265,483,170 | \$3,412,047,115 | \$3,598,575,915 |