

Small Entity Compliance Guide

Setting and Adjusting Patent Fees during Fiscal Year 2017

U.S. Department of Commerce United States Patent and Trademark Office November 14, 2017

Section 10 of the Leahy-Smith America Invents Act (AIA) authorizes the United States Patent and Trademark Office (hereinafter "USPTO" or "the Office") to set or adjust by rule any patent fee established, authorized, or charged under Title 35, United States Code (U.S.C.) for any services performed by, or materials furnished by, the Office. Section 10 prescribes that fees may be set or adjusted only to recover the aggregate estimated costs to the Office for processing, activities, services, and materials relating to patents, including administrative costs to the Office. The Office sets or adjusts 202 patent fees under section 10 of the AIA in the Final Rule, "Setting and Adjusting Patent Fees during Fiscal Year 2017", 82 FR 52780 (Nov. 14, 2017). The Final Rule sets or adjusts 202 patent fees for large, small, and micro entities (any reference herein to "large entity" includes all entities other than those that have established entitlement to either a small or micro entity fee discount). The fees for small and micro entity rates are tiered, with small entities at a 50 percent discount and micro entities at a 75 percent discount. Small entity fee eligibility is based on the size or certain non-profit status of the applicant's business. Micro entity fee eligibility is described in Section 10(g) of the Act. There are also 42 new fees being introduced or replacing one of the 14 fees that are being discontinued. This Final Rule applies small entity discounts to two additional fees and applies micro entity discounts to six additional fees. These new fees are effective on January 16, 2018.

The Office prepared this Compliance Guide in accordance with the requirements of section 212 of the Small Business Regulatory Enforcement Fairness Act of 1996 (Public Law 104-121). The Office intends this Guide to serve as an introduction to the provisions of the Final Rule and more specifically the changes in patent fees most relevant to small entities (as defined in 37 CFR 1.27) and micro entities (as defined in 37 CFR 1.29), including independent patent applicants and holders.

This Guide is organized as follows:

- 1) Definitions
- 2) Fee Changes
- 3) Available Resources
- 4) How to Pay Fees

5) Effective Dates

This Guide does not supersede the Final Rule or any existing regulations or guidance issued in other agency regulations. The Final Rule remains the official record of the Office's section 10 fee and procedural changes. For the full text of the Final Rule, *see* 82 FR 52780 (Nov. 14, 2017).

Definitions

The Final Rule does not alter the definition of a small entity established prior to the enactment of the AIA nor does it alter the definition of a micro entity established in the AIA. An overview of each definition is presented below.

Small Entity

The Office's definition of a small entity for the purpose of paying small entity patent fees is established in 37 CFR 1.27, which is entitled "Definition of small entities and establishing status as a small entity to permit payment of small entity fees; when a determination of entitlement to small entity status and notification of loss of entitlement to small entity status are required; fraud on the Office." Any party (person, small business concern who meets the size standard in 13 CFR 121.801 through 13 CFR 121.805, or nonprofit organization) who is entitled to small entity status in accordance with the definitions set forth in 37 CFR 1.27(a) is entitled to a 50 percent discount on eligible fees. For the purpose of paying reduced fees under the authority of section 10, this definition does not change.

Micro Entity

The Office's definition of a micro entity for the purpose of paying micro entity patent fees was created by section 10(g) of the AIA. 125 Stat. at 318-19; see also 35 U.S.C. 123. The definition of micro entity established in the AIA includes limitations based on the number of previously filed applications, gross income, and ownership interests, as well as a separate provision concerning institutions of higher education. For the purpose of paying reduced fees under the authority of section 10, the Final Rule primarily tracks the eligibility requirements set forth in the law. With the implementation of micro entity fees in 2013, the Office set forth rules of practice pertaining to how an applicant can qualify for micro entity discounts. (*See* Changes to Implement Micro Entity Status for Paying Patent Fees, 77 FR 75019 (Dec. 19, 2012)).

Fee Reductions

<u>Small Entities:</u> The AIA provides that fees set or adjusted under section 10(a) "for filing, searching, examining, issuing, appealing, and maintaining patent applications and patents shall be reduced by 50 percent" with respect to the application of such fees to any "small entity" (as defined in 37 CFR 1.27) that qualifies for reduced fees under 35 U.S.C. 41(h)(1). 125 Stat. at 316-17. 35 U.S.C. 41(h)(1), in turn, provides that certain patent fees "shall be reduced by 50 percent" for a small business concern as defined in section 3 of the SBA, and to any independent inventor or nonprofit organization as defined in regulations described by the Director. Of the 50 small entity fees affected in the Final Rule, 48 are adjusted, and 2 new small entity fees are established in the Final Rule.

Micro Entities: Section 10(b) of the Act provides that the fees set or adjusted under section 10(a)

"for filing, searching, examining, issuing, appealing, and maintaining patent applications and patents shall be reduced . . . by 75 percent with respect to the application of such fees to any micro entity as defined by [new 35 U.S.C.] 123." 125 Stat. at 315-17. Of the 49 micro entity fees affected in the Final Rule, 43 are adjusted and 6 new micro entity fees are established and set at a reduction of 75 percent from the large entity fee amounts.

Fee Changes

The Final Rule sets or adjusts patent fees consistent with section 10 authority and the Office's rulemaking goals. The Final Rule does not implement any changes to the process of paying fees, nor does it introduce any new regulations by which entities eligible for fee reductions must comply.

See the Table of Patent Fee Changes appended to this Guide for the full list of current and prior fee amounts as well as the dollar change in large entity fees. The table lists "Current Fees" for large, small, and micro entities and the "Final Fee Schedule" set in the Final Rule.

This section of the Guide offers an overview of both new and restructured fee changes as well as a specific discussion of changes for small and micro entities.

New and Restructured Fees

In the Final Rule, 42 new fees are being introduced or replaces one of the 14 fees that are being discontinued, amends fees to include discounts for small and micro entities, and revises fee rates for existing fees. An overview of the new fees that the Final Rule creates is below.

Ex Parte Reexamination (§ 1.510(a)) Streamlined: The Office is establishing a new fee for smaller, streamlined reexamination filings. This fee will apply to ex parte reexamination requests having: (i) 40 pages or less; (ii) lines that are double-spaced or one-and-a-half spaced; (iii) text written in a non-script type font such as Arial, Times New Roman, or Courier; (iv) a font size no smaller than 12 point; (v) margins which conform to the requirements of 37 CFR 1.52(a)(1)(ii); and (vi) sufficient clarity and contrast to permit direct reproduction and electronic capture by use of digital imaging and optical character recognition. The large entity fee for this service is \$6,000, and the small and micro entity fees are set to \$3,000 and \$1,500 accordingly. Note that micro entity status is only available to patent owner requesters, not to third party requesters.

<u>Submission of sequence listings of 300MB to 800MB</u>: In the Final Rule, the Office sets two new fees to manage handling of sequence listings of 300 MB or more. Pricing for this fee is divided into two tiers with Tier 1 for file sizes 300 MB to 800 MB and Tier 2 for file sizes greater than 800 MB. Tier 1 fees are set, in the Final Rule, at \$1,000 for a large entity and \$500 and \$250 for small and micro entities. Tier 2 fees are set at \$10,000 for a large entity, \$5,000 for a small entity, and \$2,500 for a micro entity.

Late Furnishing Fee for Providing a Sequence Listing in Response to an Invitation Under PCT <u>Rule 13ter:</u> The Final Rule sets a new fee to encourage timely filing of sequence listings in international applications as another way to facilitate the effective administration of the patent system. The large entity fee for this service is \$300 and the small and micro entity fees are set to \$150 and \$75 accordingly. Petition for the Delayed Payment of the Fee for Maintaining a Patent in Force: In the Current Fee schedule, the fee (Fee Codes 1558/2558/3558) is \$1,700 for a large entity (\$850 for a small or micro entity). In the Final Rule, the Office increases the large and small entity fees as well as establishes a new fee rate for micro entities. The fee rates for large, small, and micro entities effective January 16, 2018 are as follows: \$2,000 (1558), \$1,000 (2558), and \$500 (3558). It is assumed that, prior to the Fee schedule effective January 16, 2018, an applicant or patent holder would have paid the small entity fee (because there was no micro entity fee rate available). Therefore, the dollar changes from the Current Fee schedule to the Fee schedule effective January 16, 2018 are calculated from the current small entity fee amount (or large entity fee, where applicable) and can be found in the Table of Patent Fee Changes.

Petition for Revival of an Abandoned Application for a Patent, for the Delayed Payment of the Fee for Issuing Each Patent, or for the Delayed Response by the Patent Owner in any Reexamination Proceeding: In the Current Fee schedule, the fee (Fee Codes 1453/2453/3453) is \$1,700 for a large entity (\$850 for a small or micro entity). In the Final Rule, the Office increases the large and small entity fees as well as establishes a new fee rate for micro entities. The fee rates for large, small, and micro entities effective January 16, 2018 are as follows: \$2,000 (1453), \$1,000 (2453), and \$500 (3453). It is assumed that, prior to the Fee schedule effective January 16, 2018, an applicant or patent holder would have paid the small entity fee (because there was no micro entity fee rate available). Therefore, the dollar changes from the Current Fee schedule to the Fee schedule effective January 16, 2018 are calculated from the current small entity fee amount (or large entity fee, where applicable) and can be found in the Table of Patent Fee Changes.

Petition for the Delayed Submission of a Priority or Benefit Claim: In the Current Fee schedule, the fee (Fee Codes 1454/2454/3454) is \$1,700 for a large entity (\$850 for a small entity or micro entity). In the Final Rule, the Office increases the large and small entity fees as well as establishes a new fee rate for micro entities. The fee rates for large, small, and micro entities effective January 16, 2018 are as follows: \$2,000 (1454), \$1,000 (2454), and \$500 (3454). It is assumed that, prior to the Fee schedule effective January 16, 2018, an applicant or patent holder would have paid the small entity fee (because there was no micro entity fee rate available). Therefore, the dollar changes from the Current Fee schedule to the Fee schedule effective January 16, 2018 are calculated from the current small entity fee amount (or large entity fee, where applicable) and can be found in the Table of Patent Fee Changes.

Petition to Excuse Applicant's Failure to Act Within Prescribed Time Limits in an International Design Application: In the Current Fee schedule, the fee (Fee Codes 1784/2784/3784) is \$1,700 for a large entity (\$850 for a small or micro entity). In the Final Rule, the Office increases the large and small entity fees as well as establishes a new fee rate for micro entities. The fee rates for large, small, and micro entities effective January 16, 2018 are as follows: \$2,000 (1784), \$1,000 (2784), and \$500 (3784). It is assumed that, prior to the Fee schedule effective January 16, 2018, an applicant or patent holder would have paid the small entity fee (because there was no micro entity fee rate available). Therefore, the dollar changes from the Current Fee schedule to the Fee schedule effective January 16, 2018 are calculated from the current small entity fee amount (or large entity fee, where applicable) and can be found in the Table of Patent Fee Changes.

Petition to Convert an International Design Application to a Design Application Under 35 U.S.C. Chapter 16: In the Current Fee schedule, the fee (Fee Codes 1783/2783/3783) is \$180 regardless of entity type. In the Final Rule, the Office establishes small and micro entity fee rates. The fee rates for large, small, and micro entities effective January 16, 2018 are as follows: \$180 (1783), \$90 (2783), and \$45 (3783). It is assumed that, prior to the Fee schedule effective January 16, 2018, an applicant or patent holder would have paid the large entity fee (because there was no small or micro entity fee rate available). Therefore, the dollar changes from the Current Fee schedule to the Fee schedule effective January 16, 2018 are calculated from the current large entity fee amount (or large entity fee, where applicable) and can be found in the Table of Patent Fee Changes.

<u>Hague International Design Application Fees – Transmittal Fee:</u> In the Current Fee schedule, the fee (Fee Codes 1781/2781/3781) is \$120 for all entity types. In the Final Rule, the Office establishes small and micro entity fee rates. The fee rates for large, small, and micro entities effective January 16, 2018 are as follows: \$120 (1781), \$60 (2781), and \$30 (3781). It is assumed that, prior to the Fee schedule effective January 16, 2018, an applicant or patent holder would have paid the large entity fee (because there was no small or micro entity fee rate available). Therefore, the dollar changes from the Current Fee schedule to the Fee schedule effective January 16, 2018 are calculated from the current large entity fee amount (or large entity fee, where applicable) and can be found in the Table of Patent Fee Changes.

Small and Micro Entity Fee Changes

As noted above, all fees set or adjusted in the Final Rule are included in the Table of Patent Fee Changes appended to this Guide. The table lists "Current Fees" for large, small, and micro entities and the "Final Fee Schedule" set in the Final Rule. For the purpose of discussing dollar and percent fee changes, the Office compares the fees for micro entities to Current Fees for small entities where available or to Current Fees for large entities where no discounts were previously offered. Increases and decreases are summarized below.

- <u>New Fee Discounts</u>: Section 10(b) extends the small entity discount and establishes the micro entity discount. All fees that are eligible for small entity discounts are also eligible for micro entity discounts. The Final Rule creates a small and micro entity discount for a few fees, as discussed above.
- <u>Fee Increases:</u> Of the 50 small entity fees affected by the Final Rule, 48 fees were increases. Of the 49 micro entity fees affected in the Final Rule, 43 fees were increases.

Notable fee increases for small and micro entities include:

- Filing, search, examination, and issue fees for design fees for both small and micro entities under the Final Rule fee schedule. For example, Fee Code 2502 "Design Issue Fee", increases from \$280 to \$350 for small entities, and from \$140 to \$175 for micro entities in the Final Rule. Because filing, search, and examination fees must be paid together at filing, the total increase for both small and micro entities is 26.3 percent.
- Filing, search, examination, and issue fees for utility applications. Because filing, search, and examination fees must be paid together at filing, the total increase for

both small and micro entities is 7.5 percent.

Other notable increases to existing fees include Fee Codes 2801/3801 "Request for continued examination," (increasing 8 percent), Fee Codes 2820/3820 "Request for continued examination (RCE) - 2nd and subsequent request" (increasing 12 percent), and excess claims fees (Fee Codes 2201/3201, 2202/3202, and 2203/3203) increasing 25 percent and 5 percent respectively.

How to Pay Fees

As noted above, the Final Rule does not change the way that an applicant or other patent stakeholder pays patent fees to the USPTO.

Any fee adjusted by the Final Rule that is paid on or after the effective date of the Final Rule is subject to the new fee amount. The amount of the fee to be paid for a given item will be determined by the time of filing of that item with the Office. The time of filing will be determined either according to the date of receipt in the Office (37 CFR 1.6) or the date reflected on a proper Certificate of Mailing or Transmission, where such a certificate is authorized under 37 CFR 1.8. Use of a Certificate of Mailing or Transmission is not authorized for items that are specifically excluded from the provisions of 37 CFR 1.8. Items for which a Certificate of Mailing or Transmission under 37 CFR 1.8 is not authorized include, for example, filing of national and international applications for patents. *See* 37 CFR 1.8(a)(2).

Patent-related correspondence delivered by the "Express Mail Post Office to Addressee" service of the United States Postal Service (USPS) is considered filed or received in the USPTO on the date of deposit with the USPS. See 37 CFR 1.10(a)(1). The date of deposit with the USPS is shown by the "date-in" on the "Express Mail" mailing label or other official USPS notation.

Applicants should continue to follow the guidance issued by the USPTO concerning fee payment processes and procedures. *See* the USPTO websites listed under "Available Resources" for more information.

Effective Dates

The fees set in the Final Rule are effective on January 16, 2018.

Available Resources

Setting and Adjusting Patent Fees during Fiscal Year 2017, 82 FR 52780 (Nov. 14, 2017).

Changes to Implement Micro Entity Status for Paying Patent Fees, 77 FR 75019 (Dec. 19, 2012).

Setting and Adjusting Patent Fees, 78 FR 4212 (Jan. 18, 2013).

USPTO, Fees and Payments Frequently Asked Questions (FAQ), available at http://www.uspto.gov/main/faq/index_feefaq.html. USPTO, How to Pay Fees Guide, available at http://www.uspto.gov/main/howtofees.htm.

USPTO, Inventor Resources, available at http://www.uspto.gov/inventors/index.jsp.

- USPTO, Leahy-Smith America Invents Act Implementation Information, "Fees and Budgetary Issues," available at http://www.uspto.gov/aia_implementation/fees.jsp.
- USPTO, Small Business Education Campaign, available at http://www.uspto.gov/smallbusiness/about/.
- USPTO, USPTO Fee Information, available at http://www.uspto.gov/about/offices/cfo/finance/fees.jsp.

Appendix A

				Current Fee	5	Fi	nal Rule Fee	5	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
Pate	nt Applicati	ion Filing Fees													
1011/ 2011/ 3011	1.16(a)	Basic Filing fee - Utility (Paper Filing – Also Requires Non-Electronic Filing Fee under 1.16(t))	\$280	\$140	\$70	\$300	\$150	\$75	\$20	\$10	\$5	\$262	\$257	\$277	
4011 +	1.16(a)	Basic Filing Fee - Utility (Electronic Filing)	n/a	\$70	n/a	n/a	\$75	n/a	n/a	\$5	n/a	\$262	\$257	\$277	
1012/ 2012/ 3012	1.16(b)	Basic Filing Fee - Design	\$180	\$90	\$45	\$200	\$100	\$50	\$20	\$10	\$5	\$262	\$257	\$277	
1017/ 2017/ 3017	1.16(b)	Basic Filing Fee - Design (CPA)	\$180	\$90	\$45	\$200	\$100	\$50	\$20	\$10	\$5	\$707	\$772	\$884	
1013/ 2013/ 3013	1.16(c)	Basic Filing Fee - Plant	\$180	\$90	\$45	\$200	\$100	\$50	\$20	\$10	\$5	\$262	\$257	\$277	
1005/ 2005/ 3005	1.16(d)	Provisional Application Filing Fee	\$260	\$130	\$65	\$280	\$140	\$70	\$20	\$10	\$5	\$152	\$144	\$154	
1014/ 2014/ 3014	1.16(e)	Basic Filing Fee - Reissue	\$280	\$140	\$70	\$300	\$150	\$75	\$20	\$10	\$5	\$260	\$256	\$277	
1019/ 2019/ 3019	1.16(e)	Basic Filing Fee - Reissue (CPA)	\$280	\$140	\$70	\$300	\$150	\$75	\$20	\$10	\$5	\$707	\$772	\$884	
1051/ 2051/ 3051	1.16(f)	Surcharge - Late Filing Fee, Search Fee, Examination Fee, Inventor's Oath or Declaration, or Application Filed Without at least One Claim or by Reference	\$140	\$70	\$35	\$160	\$80	\$40	\$20	\$10	\$5	n/a	n/a	n/a	
1052/ 2052/ 3052	1.16(g)	Surcharge - Late Provisional Filing Fee or Cover Sheet	\$60	\$30	\$15		no change			no change	2	n/a	n/a	n/a	
1053/ 2053/ 3053	1.17(i)(1)	Non-English Translation	\$140	\$70	\$35		no change			no change	2	n/a	n/a	n/a	
1201/ 2201/ 3201	1.16(h)	Each Independent Claim in Excess of Three	\$420	\$210	\$105	\$460	\$230	\$115	\$40	\$20	\$10	n/a	n/a	n/a	
1204/ 2204/ 3204	1.16(h)	Each Reissue Independent Claim in Excess of Three	\$420	\$210	\$105	\$460	\$230	\$115	\$40	\$20	\$10	n/a	n/a	n/a	
1202/ 2202/ 3202	1.16(i)	Each Claim in Excess of 20	\$80	\$40	\$20	\$100	\$50	\$25	\$20	\$10	\$5	n/a	n/a	n/a	

				Current Fee	5	Fi	nal Rule Fee	s	Incre	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
1205/ 2205/ 3205	1.16(i)	Each Reissue Claim in Excess of 20	\$80	\$40	\$20	\$100	\$50	\$25	\$20	\$10	\$5	n/a	n/a	n/a	
1203/ 2203/ 3203	1.16(j)	Multiple Dependent Claim	\$780	\$390	\$195	\$820	\$410	\$205	\$40	\$20	\$10	n/a	n/a	n/a	
1081/ 2081/ 3081	1.16(s)	Utility Application Size Fee - for Each Additional 50 Sheets That Exceeds 100 Sheets	\$400	\$200	\$100		no change	<u> </u>		no change	<u> </u>	n/a	n/a	n/a	
1082/ 2082/ 3082	1.16(s)	Design Application Size Fee - for Each Additional 50 Sheets That Exceeds 100 Sheets	\$400	\$200	\$100					no change		n/a	n/a	n/a	
1083/ 2083/ 3083	1.16(s)	Plant Application Size Fee - for Each Additional 50 Sheets That Exceeds 100 Sheets	\$400	\$200	\$100		no change no change			no change		n/a	n/a	n/a	
1084/ 2084/ 3084	1.16(s)	Reissue Application Size Fee - for Each Additional 50 Sheets That Exceeds 100 Sheets	\$400	\$200	\$100					no change		n/a	n/a	n/a	
1085/ 2085/ 3085	1.16(s)	Provisional Application Size Fee - for Each Additional 50 Sheets That Exceeds 100 Sheets	\$400	\$200	\$100					no change		n/a	n/a	n/a	
10XX/ 20XX/ 30XX	1.21(o)(1)	Submission of sequence listings of 300MB to 800MB	n/a	n/a	n/a	\$1,000	\$500	\$250	n/a	n/a	n/a	n/a	n/a	n/a	Yes
10XY/ 20XY/ 30XY	1.21(0)(2)	Submission of sequence listings of more than 800 MB	n/a	n/a	n/a	\$10,000	\$5,000	\$2,500	n/a	n/a	n/a	n/a	n/a	n/a	Yes
Pater Fees	nt Search														
1111/ 2111/ 3111	1.16(k)	Utility Search Fee	\$600	\$300	\$150	\$660	\$330	\$165	\$60	\$30	\$15	\$1,603	\$2,020	\$1,773	
1112/ 2112/ 3112	1.16(l)	Design Search Fee	\$120	\$60	\$30	\$160	\$80	\$40	\$40	\$20	\$10	\$354	\$425	\$397	Yes
1113/ 2113/ 3113	1.16(m)	Plant Search Fee	\$380	\$190	\$95	\$420	\$210	\$105	\$40	\$20	\$10	\$1,603	\$2,020	\$1,773	Yes
1114/ 2114/ 3114	1.16(n)	Reissue Search Fee	\$600	\$300	\$150	\$660	\$330	\$165	\$60	\$30	\$15	\$1,603	\$2,020	\$1,773	
Pater	nt Iination														
Fees	mation														

				Current Fees	5	Fi	nal Rule Fee	s	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
1311/ 2311/ 3311	1.16(0)	Utility Examination Fee	\$720	\$360	\$180	\$760	\$380	\$190	\$40	\$20	\$10	\$1,981	\$2,195	\$2,205	
1312/ 2312/ 3312	1.16(p)	Design Examination Fee	\$460	\$230	\$115	\$600	\$300	\$150	\$140	\$70	\$35	\$560	\$566	\$608	Yes
1313/ 2313/ 3313	1.16(q)	Plant Examination Fee	\$580	\$290	\$145	\$620	\$310	\$155	\$40	\$20	\$10	\$1,981	\$2,195	\$2,205	
1314/ 2314/ 3314	1.16(r)	Reissue Examination Fee	\$2,160	\$1,080	\$540	\$2,200	\$1,100	\$550	\$40	\$20	\$10	\$1,981	\$2,195	\$2,205	
Pater	nt Post-All	owance Fees													
1501/ 2501/ 3501	1.18(a)(1)	Utility Issue Fee	\$960	\$480	\$240	\$1,000	\$500	\$250	\$40	\$20	\$10	\$270	\$280	\$314	
1511/ 2511/ 3511	1.18(a)(1)	Reissue Issue Fee	\$960	\$480	\$240	\$1,000	\$500	\$250	\$40	\$20	\$10	\$270	\$280	\$314	
1502/ 2502/ 3502	1.18(b)(1)	Design Issue Fee	\$560	\$280	\$140	\$700	\$350	\$175	\$140	\$70	\$35	\$270	\$280	\$314	Yes
1503/ 2503/ 3503	1.18(c)(1)	Plant Issue Fee	\$760	\$380	\$190	\$800	\$400	\$200	\$40	\$20	\$10	\$270	\$280	\$314	
n/a	1.18(d)(1)	Publication Fee for Early, Voluntary, or Normal Publication	\$0	\$0	\$0		no change			no change		\$195	\$196	\$213	
1505	1.18(d)(3)	Publication Fee for Republication		\$300			no change			no change	е	\$150	\$156	\$163	
Pater 1251/	nt Extensio	on of Time Fees													
2251/ 3251	1.17(a)(1)	Extension for Response Within First Month	\$200	\$100	\$50		no change			no change	e	n/a	n/a	n/a	
1252/ 2252/ 3252	1.17(a)(2)	Extension for Response Within Second Month	\$600	\$300	\$150		no change			no change	e	n/a	n/a	n/a	
1253/ 2253/ 3253	1.17(a)(3)	Extension for Response Within Third Month	\$1,400	\$700	\$350		no change			no change	e	n/a	n/a	n/a	
1254/ 2254/ 3254	1.17(a)(4)	Extension for Response Within Fourth Month	\$2,200	\$1,100	\$550		no change			no change		n/a	n/a	n/a	
1255/ 2255/ 3255	1.17(a)(5)	Extension for Response Within Fifth Month	\$3,000	\$1,500	\$750		no change			no change		n/a	n/a	n/a	

Small Ent	tity Compliance G	uide				ting - Table of		-	r						
				Current Fee	6	Fi	nal Rule Fee	s	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
Pater	nt														
Main	tenance														
Fees															
1551/ 2551/ 3551	1.20(e)	For Maintaining an Original or Any Reissue Patent, Due at 3.5 years	\$1,600	\$800	\$400		no change			no chang	2	n/a	n/a	n/a	
1552/ 2552/ 3552	1.20(f)	For Maintaining an Original or Any Reissue Patent, Due at 7.5 years	\$3,600	\$1,800	\$900		no change			no chang		n/a	n/a	n/a	
1553/ 2553/ 3553	1.20(g)	For Maintaining an Original or Any Reissue Patent, Due at 11.5 years	\$7,400	\$3,700	\$1,850		no change			no chang	9	n/a	n/a	n/a	
1554/ 2554/ 3554	1.20(h)	Surcharge - 3.5 year - Late Payment Within 6 Months	\$160	\$80	\$40		no change			no chang	9	n/a	n/a	n/a	
1555/ 2555/ 3555	1.20(h)	Surcharge - 7.5 year - Late Payment Within 6 Months	\$160	\$80	\$40		no change			no chang	e	n/a	n/a	n/a	
1556/ 2556/ 3556	1.20(h)	Surcharge - 11.5 year - Late Payment Within 6 Months	\$160	\$80	\$40		no change			no chang	e	n/a	n/a	n/a	
1558/ 2558/ 3558	1.17(m)	Petition for the Delayed Payment of the Fee for Maintaining a Patent in Force	\$1,700	\$8	350	\$2,000	\$1,000	\$500	\$300	\$150	(\$350)	\$115	\$113	\$121	Yes
Misc	ellaneous I	Patent Fees													
1801/ 2801/ 3801	1.17(e)(1)	Request for Continued Examination (RCE) - 1st Request (see 37 CFR 1.114)	\$1,200	\$600	\$300	\$1,300	\$650	\$325	\$100	\$50	\$25	\$1,864	\$1,776	\$2,187	
1820/ 2820/ 3820	1.17(e)(2)	Request for Continued Examination (RCE) - 2nd and Subsequent Request (see 37 CFR 1.114)	\$1,700	\$850	\$425	\$1,900	\$950	\$475	\$200	\$100	\$50	\$1,322	\$1,310	\$1,540	Yes
1817/ 2817/ 3817	1.17(c)	Request for Prioritized Examination	\$4,000	\$2,000	\$1,000		no change			no chang	e	n/a	n/a	n/a	
1819/ 2819/ 3819	1.17(d)	Correction of Inventorship After First Action on Merits	\$600	\$300	\$150		no change			no chang	e	n/a	n/a	n/a	
1830/ 2830/ 3830	1.17(i)(1)	Processing Fee, Except in Provisional Applications	\$140	\$70	\$35		no change			no chang	e	n/a	n/a	n/a	
1808	1.17(i)(2)	Other Publication Processing Fee		\$130			no change			no chang	9	\$39	\$27	\$31	
1803	1.17(i)(2)	Request for Voluntary Publication or Republication		\$130			no change			no chang	e	\$115	\$113	\$121	

				Current Fee	s	F	inal Rule Fee	s	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
1802/ 2802/ 3802	1.17(k)	Request for Expedited Examination of a Design Application	\$900	\$450	\$225		no change			no chang	<u>م</u>	\$115	\$113	\$121	
1818/ 2818	1.17(o)	Document Fee For Third-Party Submissions (see 37 CFR 1.290(f))	\$180	<u> </u>	\$90		no change			no change		n/a	n/a	n/a	
1806/ 2806/ 3806	1.17(p)	Submission of an Information Disclosure Statement	\$180	\$90	\$45	\$240	\$120	\$60	\$60	\$30	\$15	n/a	n/a	n/a	Yes
1807	1.17(q)	Processing Fee for Provisional Applications		\$50			no change			no change	e	\$39	\$27	\$31	
1809/ 2809/ 3809	1.17(r)	Filing a Submission After Final Rejection (see 37 CFR 1.129(a))	\$840	\$420	\$210					no chang	e	\$1,864	\$1,776	\$2,187	
1810/ 2810/ 3810	1.17(s)	For Each Additional Invention to be Examined (see 37 CFR 1.129(b))	\$840	\$420	\$210					no chang	e	\$1,864	\$1,776	\$2,187	
Post Fees	Issuance														
1811	1.20(a)	Certificate of Correction		\$100			\$150			\$50		\$69	\$74	\$93	Yes
1816	1.20(b)	Processing Fee for Correcting Inventorship in a Patent		\$130			\$150			\$20		n/a	n/a	n/a	
18AA /28A A/38 AA	1.20(c)(1)	Ex Parte Reexamination (§ 1.510(a)) Streamlined	n/a	n/a	n/a	\$6,000	\$3,000	1,500*	n/a	n/a	n/a	n/a	n/a	n/a	
1812/ 2812/ 3812	1.20(c)(2)	Ex Parte Reexamination (§ 1.510(a)) Non-Streamlined	\$12,000	\$6,000	\$3,000*		no change			no change		\$26,046	\$28,503	\$23,288	
1821/ 2821/ 3821	1.20(c)(3)	Each Reexamination Independent Claim in Excess of Three and Also in Excess of the Number of Such Claims in the Patent Under Reexamination	\$420	\$210	\$105	\$460	\$230	\$115	\$40	\$20	\$10	n/a	n/a	n/a	
1822/ 2822/ 3822	1.20(c)(4)	Each Reexamination Claim in Excess of 20 and Also in Excess of the Number of Claims in the Patent Under Reexamination	\$80	\$40	\$20	\$100	\$50	\$25	\$20	\$10	\$5	n/a	n/a	n/a	
1814	1.20(d)	Statutory Disclaimer, Including Terminal Disclaimer		\$160	<i>\</i>	0 \$100 \$50 \$25 no change				no chang		n/a	n/a	n/a	
1826/ 2826/ 3826	1.20(k)(1)	Request for Supplemental Examination	\$4,400	\$2,200	\$1,100					no chang		\$5,490	\$5,445	\$6,224	
1827/ 2827/ 3827	1.20(k)(2)	Reexamination Ordered as a Result of Supplemental Examination	\$12,100	\$6,050	\$3,025					no chang	e	\$26,338	\$29,140	\$22,347	

				Current Fees	;	F	inal Rule Fee	s	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
1828/ 2828/ 2828	1.20/1-)/2)/;)	Supplemental Examination Document Size Fee - for Nonpatent Document	¢100	ćoo	Ċ 4 F							- /-	- (-	- /-	
3828 1829/ 2829/ 3829	1.20(k)(3)(i) 1.20(k)(3)(ii)	Having Between 21 and 50 Sheets Supplemental Examination Document Size Fee - for Each Additional 50 Sheets or a Fraction Thereof in a Nonpatent Document	\$180 \$280	\$90 \$140	\$45 \$70		no change no change			no chang no chang		n/a n/a	n/a n/a	n/a n/a	
									* Th	ird-Party	Filers Are I	Not Eligible fo	or the Micro	Entity Fee.	
Pate	nt Trial and	Appeal Fees													
1405	41.20(a)	Petitions to the Chief Administrative Patent Judge Under 37 CFR 41.3		\$400			no change			no chang	e	n/a	\$4,762	\$4,596	
1401/ 2401/ 3401	41.20(b)(1)	Notice of Appeal	\$800	\$400	200*	no change				no chang		n/a	\$33	\$45	
n/a	41.20(b)(2)(i)	Filing a Brief in Support of an Appeal	\$0	\$0	\$0	D no change				no chang	e	n/a	n/a	n/a	
1404/ 2404/ 3404	41.20(b)(2)(ii)	Filing a Brief in Support of an Appeal in an Inter Partes Reexamination Proceeding	\$2,000	\$1,000	500*					no chang	e	n/a	\$16,545	\$20,874	
1403/ 2403/ 3403	41.20(b)(3)	Request for Oral Hearing	\$1,300	\$650	325*				no chang	e	n/a	\$1,657	\$1,483		
1413/ 2413/ 3413	41.20(b)(4)	Forwarding an Appeal in an Application or Ex Parte Reexamination Proceeding to the Board	\$2,000	\$1,000	500*	\$2,240	\$1,120	560*	\$240	\$120	\$60	n/a	\$4,736	\$4,815	Yes
1406	42.15(a)(1)	Inter Partes Review Request Fee - Up to 20 Claims		\$9,000			\$15,500			\$6,500	1	n/a	\$20,467	\$22,165	Yes
1414	42.15(a)(2)	Inter Partes Review Post-Institution Fee - Up to 15 Claims		\$14,000			\$15,000			\$1,000		n/a	\$15,263	\$12,674	
1407	42.15(a)(3)	Inter Partes Review Request of Each Claim in Excess of 20		\$200			\$300			\$100		n/a	n/a	n/a	Yes
1415	42.15(a)(4)	Inter Partes Post-Institution Request of Each Claim in Excess of 15		\$400			\$600			\$200		n/a	n/a	n/a	Yes
1408	42.15(b)(1)	Post-Grant or Covered Business Method Review Request Fee - Up to 20 Claims		\$12,000		\$600 \$16,000				\$4,000		n/a	\$23,589	\$16,213	Yes
1416	42.15(b)(2)	Post-Grant or Covered Business Method Review Post-Institution Fee - Up to 15 Claims		\$18,000		\$22,000				\$4,000		n/a	\$34,721	\$23,060	Yes
1409	42.15(b)(3)	Post-Grant or Covered Business Method Review Request of Each Claim in Excess of 20		\$250		\$22,000				\$125		n/a	n/a	n/a	Yes
		Post-Grant or Covered Business Method Review Post-Institution Request of Each													
1417	42.15(b)(4)	Claim in Excess of 15		\$550		\$825				\$275		n/a	n/a	n/a	Yes
1412	42.15(c)(1)	Petition for a Derivation Proceeding		\$400			no change			no chang	e	n/a	\$24,193	\$51,949	

				Current Fee	S	Fi	inal Rule Fee	S	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
1411	42.15(d)	Request to Make a Settlement Agreement Available and Other Requests Filed in a Patent Trial Proceeding		\$400			no change			no chang		n/a	n/a	n/a	
_									* Th	ird-Party	Filers Are I	Not Eligible fo	or the Micro	Entity Fee.	
Pater															
	ion Fees	1													-
1462/ 2462/ 3462	1.17(f)	Petitions Requiring the Petition Fee Set Forth in 37 CFR 1.17(f) (Group I)	\$400	\$200	\$100		no change			no chang	9	\$307	\$211	\$244	
1463/ 2463/ 3463	1.17(g)	Petitions Requiring the Petition Fee Set Forth in 37 CFR 1.17(g) (Group II)	\$200	\$100	\$50		no change			no chang	e	\$307	\$211	\$244	
1464/ 2464/ 3464	1.17(h)	Petitions Requiring the Petition Fee Set Forth in 37 CFR 1.17(h) (Group III)	\$140	\$70	\$35		no change			no chang	e	\$115	\$113	\$121	
1453/ 2453/ 3453	1.17(m)	Petition for Revival of an Abandoned Application for a Patent, for the Delayed Payment of the Fee for Issuing Each Patent, or for the Delayed Response by the Patent Owner in any Reexamination Proceeding	\$1,700	\$70 \$35		\$2,000	\$1,000	\$500	\$300	\$150	(\$350)	\$307	\$211	\$244	Yes
1454/ 2454/ 3454	1.17(m)	Petition for the Delayed Submission of a Priority or Benefit Claim	\$1,700	\$8	850	\$2,000	\$1,000	\$500	\$300	\$150	(\$350)	\$307	\$211	\$244	Yes
1781	1.1031(a)	Hague International Design Application - Transmittal Fee		\$120		\$120	\$60	\$30	\$0	(\$60)	(\$90)	n/a	n/a	n/a	
1783	1.17(t)	Petition to Convert an International Design Application to a Design Application Under 35 U.S.C. Chapter 16		\$180		\$180	\$90	\$45	\$0	(\$90)	(\$135)	n/a	n/a	n/a	
1784/ 2784/ 3784	1.17(m)	Petition to Excuse Applicant's Failure to Act Within Prescribed Time Limits in an International Design Application	\$1,700	\$8	850	\$2,000	\$1,000	\$500	\$300	\$150	(\$350)	n/a	n/a	n/a	Yes
1455	1.18(e)	Filing an Application for Patent Term Adjustment		\$200			no change			no chang	e	\$115	\$113	\$121	
1456	1.18(f)	Request for Reinstatement of Term Reduced		\$400			no change			no chang	e	\$115	\$113	\$121	
1824/ 2824/ 3824	1.20(c)(6)	Petitions in a Reexamination Proceeding, Except for those Specifically Enumerated in 37 CFR 1.550(i) and 1.937(d)	\$1,940	\$970	485*		no change			no chang	2	n/a	n/a	n/a	
1457	1.20(j)(1)	Extension of Term of Patent		\$1,120			no change			no chang	e	\$115	\$113	\$121	
1458	1.20(j)(2)	Initial Application for Interim Extension (see 37 CFR 1.790)		\$420			no change			no chang	9	\$115	\$113	\$121	

				Current Fees	5	Fi	nal Rule Fee	5	Incre	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
		Subsequent Application for Interim										4	4	4	
L459	1.20(j)(3)	Extension (see 37 CFR 1.790) Not Eligible for the Micro Entity Fee.		\$220			no change			no change	9	\$115	\$113	\$121	
	,	Not Eligible for the Micro Entity ree.													
	ees -														
	onal Stage														1
L631/ 2631/	1.492(a)	Basic National Stage Fee													
631	1.02(0)		\$280	\$140	\$70	\$300	\$150	\$75	\$20	\$10	\$5	\$338	\$350	\$343	
	1.402(b)(1)	National Stage Search Fee - U.S. was the ISA or IPEA and All Claims Satisfy PCT	\$0	\$0	\$0		na shanga			na ahana		\$272	\$300	\$324	
n/a L641/	1.492(b)(1)	Article 33(1)-(4)	ŞΟ	<u> </u>			no change			no change	=	3272	\$500	Ş524	
2641/ 3641	1.492(b)(2)	National Stage Search Fee - U.S. Was the ISA	\$120	\$60	\$30	\$140	\$70	\$35	\$20	\$10	\$5	\$272	\$300	\$324	
L642/ 2642/ 3642	1.492(b)(3)	National Stage Search Fee - Search Report Prepared and Provided to USPTO	\$480	\$240	\$120	\$520	\$260	\$130	\$40	\$20	\$10	\$1,603	\$2,020	\$1,773	
L632/ 2632/ 3632	1.492(b)(4)	National Stage Search Fee - All Other Situations	\$600	\$300	\$150	\$660	\$330	\$165	\$60	\$30	\$15	\$1,603	\$2,020	\$1,773	Yes
n/a	1.492(c)(1)	National Stage Examination Fee - U.S. was the ISA or IPEA and All Claims Satisfy PCT Article 33(1)-(4)	\$0	\$0	\$0		no change			no change	2	\$1,981	\$2,195	\$2,205	
L633/ 2633/ 3633	1.492(c)(2)	National Stage Examination Fee - All Other Situations	\$720	\$360	\$180	\$760	\$380	\$190	\$40	\$20	\$10	\$1,981	\$2,195	\$2,205	
L614/ 2614/ 3614	1.492(d)	Each Independent Claim in Excess of Three	\$420	\$210	\$105	\$460	\$230	\$115	\$40	\$20	\$10	n/a	n/a	n/a	
1615/ 2615/ 3615	1.492(e)	Each Claim in Excess of 20	\$80	\$40	\$20	\$100	\$50	\$25	\$20	\$10	\$5	n/a	n/a	n/a	
616/ 616/ 616	1.492(f)	Multiple Dependent Claim	\$780	\$390	\$195	\$820	\$410	\$205	\$40	\$20	\$10	n/a	n/a	n/a	
1617/ 2617/ 3617	1.492(h)	Search Fee, Examination Fee or Oath or Declaration After the Date of Commencement of the National Stage	\$140	\$70	\$35		no change	,200		no change			n/a	n/a	
.618/ .618/ .618/	1.492(i)	English Translation After Thirty Months From Priority Date	\$140	\$70	\$35		no change			no change		n/a n/a	n/a	n/a	
.681/ .681/		National Stage Application Size Fee - for Each Additional 50 Sheets That Exceeds													
3681	1.492(j)	100 Sheets	\$400	\$200	\$100		no change			no change	9	n/a	n/a	n/a	<u> </u>

Small En	tity Compliance Gu	ide		51 10 5000	in 10 Fee Set			changes							
				Current Fees	;	F	inal Rule Fee	s	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
1601/ 2601/ 3601	1.445(a)(1)(i) (A)	Transmittal Fee	\$240	\$120	\$60		no change			no chang	e	\$328	\$310	\$302	
1602/ 2602/ 3602	1.445(a)(2)(i)	Search Fee - Regardless of Whether There is a Corresponding Application (see 35 U.S.C. 361(d) and PCT Rule 16)	\$2,080	\$1,040	\$520		no change			no chang	e	\$1,979	\$1,839	\$1,911	
1604/ 2604/ 3604	1.445(a)(3)(I)	Supplemental Search Fee When Required, per Additional Invention	\$2,080	\$1,040	\$520		no change			no chang	e	\$1,979	\$1,839	\$1,911	
1621/ 2621/ 3621	1.445(a)(4)(I)	Transmitting Application to Intl. Bureau to Act as Receiving Office	\$240	\$120	\$60		no change			no chang	e	\$11	\$5	\$5	
1605/ 2605/ 3605	1.482(a)(1)(i) (A)	Preliminary Examination Fee - U.S. Was the ISA	\$600	\$300	\$150					no chang	e	\$824	\$827	\$866	
1606/ 2606/ 3606	1.482(a)(1)(ii)(A)	Preliminary Examination Fee - U.S. Was Not the ISA	\$760	\$380	\$190					no chang	e	\$824	\$827	\$866	
1607/ 2607/ 3607	1.482(a)(2)(I)	Supplemental Examination Fee per Additional Invention	\$600	\$300	\$150					no chang	e	\$824	\$827	\$866	
1619/ 2619/ 3619		Late Payment Fee		variable			no change			no chang	e	n/a	n/a	n/a	
16XX/ 26XX/ 36XX	1.445(a)(5)	Late Furnishing Fee for Providing a Sequence Listing in Response to an Invitation Under PCT Rule 13 <i>ter</i>	n/a	n/a	n/a	\$300	\$150	\$75	n/a	n/a	n/a	n/a	n/a	n/a	Yes
Pate	nt Service														
Fees															
8001	1.19(a)(1)	Printed Copy of Patent W/O Color, Delivery by USPS, USPTO Box, or Electronic Means		\$3			no change			no chang	е	n/a	n/a	n/a	
8003	1.19(a)(2)	Printed Copy of Plant Patent in Color		\$15			no change			no chang	e	n/a	n/a	n/a	
8004	1.19(a)(3)	Color Copy of Patent (Other Than Plant Patent) Containing a Color Drawing		\$25		no change no change				no chang		n/a	n/a	n/a	
8005	1.19(a)(1)	Patent Application Publication (PAP)		\$3		no change				no chang	e	n/a	n/a	n/a	
8007	1.19(b)(1)(i)(A) and (ii)(A)	Copy of Patent Application as Filed		\$20		\$35				\$15		n/a	n/a	n/a	
8008	1.19(b)(1)(i)(B)	Copy of Patent-Related File Wrapper and Contents of 400 or Fewer Pages, if Provided on Paper Additional Fee for Each Additional 100		\$200		\$35 discontinue				n/a		n/a	n/a	n/a	
8009	1.19(b)(1)(i)(C)	Additional Fee for Each Additional 100 Pages of Patent-Related File Wrapper and (Paper) Contents, or Portion Thereof		\$40			discontinue	2		n/a		n/a	n/a	n/a	

				Current Fee	5	F	inal Rule Fee	s	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
	1.19(b)(1)(i)(Individual Application Documents, Other													
8010	D)	Than Application as Filed, per Document		\$25			no change			no chang	e	n/a	n/a	n/a	
80AA	1.19(b)(1)(i)(B)	Copy Patent File Wrapper, Paper Medium, Any Number of Sheets		n/a			\$280			n/a		n/a	n/a	n/a	Yes
80BB	1.19(b)(1)(ii)(B)	Copy Patent File Wrapper, Electronic Medium, Any Size or Provided Electronically		n/a			\$55			n/a		n/a	n/a	n/a	Yes
	1.19(b)(1)(ii)(Copy of Patent-Related File Wrapper and Contents if Provided Electronically or on a Physical Electronic Medium as													
8011	B) and (iii)(B)	Specified in 1.19(b)(1)(ii)		\$55			discontinue			n/a		n/a	n/a	n/a	
8012	1.19(b)(1)(ii)(C)	Additional Fee for Each Continuing Physical Electronic Medium in Single Order of 1.19(b)(1)(ii)(B)	\$15				discontinue			n/a		n/a	n/a	n/a	
	1.19(b)(2)(i)(Copy of Patent-Related File Wrapper Contents That Were Submitted and are Stored on Compact Disk or Other Electronic Form (e.g., Compact Disks Stored in Artifact Folder), Other Than as Available in 1.19(b)(1); First Physical	\$55							·					
8041	A)	Electronic Medium in a Single Order		\$55			discontinue			n/a		n/a	n/a	n/a	
8042	1.19(b)(2)(i)(B)	Additional Fee for Each Continuing Copy of Patent-Related File Wrapper Contents as Specified in 1.19(b)(2)(i)(A)		\$15			discontinue			n/a		n/a	n/a	n/a	
8043	1.19(b)(2)(ii)	Copy of Patent-Related File Wrapper Contents That Were Submitted and are Stored on Compact Disk, or Other Electronic Form, Other Than as Available in 1.19(b)(1); if Provided Electronically Other Than on a Physical Electronic Medium, per Order		\$55			discontinue			n/a		n/a	n/a	n/a	
8013	1.19(b)(3)	Copy of Office Records, Except Copies of Applications as Filed		\$25			no change			no chang	e	n/a	n/a	n/a	
8014	1.19(b)(4)	For Assignment Records, Abstract of Title and Certification, per Patent		\$25			\$35			\$10		n/a	n/a	n/a	
8904	1.19(c)	Library Service		\$50			no change			no chang	е	n/a	n/a	n/a	Ì
	1.19(e)	Uncertified Statement Re Status of					0			0					İ
8016		Maintenance Fee Payments	\$10				discontinue			n/a		n/a	n/a	n/a	
8017	1.19(f)	Copy of Non-U.S. Document		\$25			no change			no chang	e	n/a	n/a	n/a	
8050	1.19(g)	Petitions for documents in form other than that provided by this part, or in form other than that generally provided by Director, to be decided in accordance													
		with merits.		at cost \$40			discontinue			n/a		n/a	n/a	n/a	

			Cu	urrent Fees	5	F	inal Rule Fee	25	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
n/a	1.21(h)(1)	Recording Each Patent Assignment, Agreement or Other Paper, per Property – if Submitted Electronically		\$0			no change			no chang	e	n/a	n/a	n/a	
8021	1.21(h)(2)	Recording Each Patent Assignment, Agreement or Other Paper, per Property – if Not Submitted Electronically		\$40			\$50			\$10		n/a	n/a	n/a	
8022	1.21(i)	Publication in Official Gazette		\$25			no change			no chang	e	n/a	n/a	n/a	
8023	1.21(j)	Labor Charges for Services, per Hour or Fraction Thereof		\$40			discontinue	9		n/a		n/a	n/a	n/a	
8024	1.21(k)	Unspecified Other Services, Excluding Labor		at cost			discontinue	2		n/a		n/a	n/a	n/a	
8026	1.21(n)	Handling Fee for Incomplete or Improper Application	\$130 n/a				no change			no chang	e	n/a	n/a	n/a	
80CC	1.21(p)	Additional Fee for Overnight Delivery	n/a n/a				\$40			n/a		n/a	n/a	n/a	Yes
80DD	1.21(q)	Additional Fee for Expedited Service	n/a				\$160			n/a		n/a	n/a	n/a	Yes
Fees 9001	1.21(a)(1)(i)	Application Fee (Non-Refundable)		\$40			\$100			\$60		n/a	\$194	\$225	Yes
9001	1.21(a)(1)(i)			\$40			\$100			\$60		n/a	¢10/	່ຕ່າວ⊏	Voc
9003	1.21(a)(2)(i)											11/ 4	Ş154	3225	163
90AA	1.21(-)/2)(::)	On Registration to Practice Under §11.6 On Grant of Limited Recognition Under	\$100				\$200			\$100		n/a	\$427	\$493	Yes
	1.21(a)(2)(ii)	On Grant of Limited Recognition Under §11.9(b)		\$100 n/a						\$100 n/a					
9005	1.21(a)(2)(ii) 1.21(a)(4)(i)	On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard					\$200					n/a	\$427	\$493	Yes
9005 9006		On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an		n/a			\$200 \$200			n/a		n/a n/a	\$427 n/a	\$493 n/a	Yes Yes
	1.21(a)(4)(i)	On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an		n/a \$10			\$200 \$200 \$40			n/a \$30	6	n/a n/a n/a	\$427 n/a \$34	\$493 n/a \$39	Yes Yes Yes
9006	1.21(a)(4)(i) 1.21(a)(4)(ii) 1.21(a)(1)(ii)(On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an Attorney or Agent, Suitable for Framing For Test Administration by Commercial		n/a \$10 \$20			\$200 \$200 \$40 \$50			n/a \$30 \$30		n/a n/a n/a n/a	\$427 n/a \$34 \$42	\$493 n/a \$39 \$49	Yes Yes Yes
9006 9010	1.21(a)(4)(i) 1.21(a)(4)(ii) 1.21(a)(1)(ii)(1.21(a)(1)(ii)(A) 1.21(a)(1)(ii)(On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an Attorney or Agent, Suitable for Framing For Test Administration by Commercial Entity		n/a \$10 \$20 \$200			\$200 \$200 \$40 \$50 no change			n/a \$30 \$30 no chang		n/a n/a n/a n/a	\$427 n/a \$34 \$42 \$410	\$493 n/a \$39 \$49 \$49	Yes Yes Yes
9006 9010	1.21(a)(4)(i) 1.21(a)(4)(ii) 1.21(a)(1)(ii)(1.21(a)(1)(ii)(A) 1.21(a)(1)(ii)(On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an Attorney or Agent, Suitable for Framing For Test Administration by Commercial Entity For Test Administration by the USPTO Review of Decision by the Director of Enrollment and Discipline Under §11.2(c)		n/a \$10 \$20 \$200			\$200 \$200 \$40 \$50 no change			n/a \$30 \$30 no chang		n/a n/a n/a n/a	\$427 n/a \$34 \$42 \$410	\$493 n/a \$39 \$49 \$49	Yes Yes Yes
9006 9010 9011	1.21(a)(4)(i) 1.21(a)(4)(ii) 1.21(a)(1)(ii)(A) 1.21(a)(1)(ii)(B)	On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an Attorney or Agent, Suitable for Framing For Test Administration by Commercial Entity For Test Administration by the USPTO Review of Decision by the Director of Enrollment and Discipline Under		n/a \$10 \$20 \$200 \$450			\$200 \$200 \$40 \$50 no change no change			n/a \$30 \$30 no chang no chang		n/a n/a n/a n/a n/a n/a	\$427 n/a \$34 \$42 \$410 \$584 \$1,769	\$493 n/a \$39 \$49 \$476 \$676	Yes Yes Yes Yes
9006 9010 9011 9012	1.21(a)(4)(i) 1.21(a)(4)(ii) 1.21(a)(1)(ii)(A) 1.21(a)(1)(ii)(B) 1.21(a)(5)(i)	On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an Attorney or Agent, Suitable for Framing For Test Administration by Commercial Entity For Test Administration by the USPTO Review of Decision by the Director of Enrollment and Discipline Under §11.2(c) Review of Decision of the Director of Enrollment and Discipline Under		n/a \$10 \$20 \$200 \$450 \$130			\$200 \$200 \$40 \$50 no change no change \$400			n/a \$30 \$30 no chang no chang \$270		n/a n/a n/a n/a n/a	\$427 n/a \$34 \$42 \$410 \$584	\$493 n/a \$39 \$49 \$476 \$676 \$2,044	Yes Yes Yes Yes Yes
9006 9010 9011 9012 9013 9014	1.21(a)(4)(i) 1.21(a)(4)(ii) 1.21(a)(1)(ii)(A) 1.21(a)(1)(ii)(B) 1.21(a)(5)(i) 1.21(a)(5)(ii) 1.21(a)(5)(ii) 1.21(a)(10)(i)	On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an Attorney or Agent, Suitable for Framing For Test Administration by Commercial Entity For Test Administration by the USPTO Review of Decision by the Director of Enrollment and Discipline Under §11.2(c) Review of Decision of the Director of Enrollment and Discipline Under §11.2(d) On Petition for Reinstatement by a Person Excluded or Suspended on Ethical Grounds, or Excluded on Consent from Practice before the Office		n/a \$10 \$20 \$200 \$450 \$130 \$130 \$130			\$200 \$200 \$40 \$50 no change \$400 \$400 \$400			n/a \$30 \$30 no chang \$270 \$270 no chang	e	n/a n/a n/a n/a n/a n/a n/a	\$427 n/a \$34 \$42 \$410 \$584 \$1,769 \$1,581 \$2,845	\$493 n/a \$39 \$49 \$476 \$676 \$2,044 \$1,827 \$3,297	Yes Yes Yes Yes Yes
9006 9010 9011 9012 9013	1.21(a)(4)(ii) 1.21(a)(4)(ii) 1.21(a)(1)(ii)(A) 1.21(a)(1)(ii)(B) 1.21(a)(5)(i) 1.21(a)(5)(ii)	On Grant of Limited Recognition Under §11.9(b) Certificate of Good Standing as an Attorney or Agent, Standard Certificate of Good Standing as an Attorney or Agent, Suitable for Framing For Test Administration by Commercial Entity For Test Administration by the USPTO Review of Decision by the Director of Enrollment and Discipline Under §11.2(c) Review of Decision of the Director of Enrollment and Discipline Under §11.2(d) On Petition for Reinstatement by a Person Excluded or Suspended on Ethical Grounds, or Excluded on Consent		n/a \$10 \$20 \$200 \$450 \$130 \$130			\$200 \$200 \$40 \$50 no change \$400 \$400			n/a \$30 \$30 no chang no chang \$270 \$270	e	n/a n/a n/a n/a n/a n/a	\$427 n/a \$34 \$42 \$410 \$584 \$1,769 \$1,581	\$493 n/a \$39 \$49 \$476 \$676 \$2,044 \$1,827	Yes Yes Yes Yes Yes

				Current Fees		F	inal Rule Fee	s	Incr	ease/(Decr	ease)		Unit Cost		
Fee Code	37 CFR	Description	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	FY 2013	FY 2014	FY 2015	Change in Large Entity Fees is >10% AND >\$20
9024	1.21(k)	Unspecified Other Services, Excluding Labor		at cost			no change	1		no chang	e	n/a	\$65	\$75	
9025	1.21(a)(2)(iii)	On Change of Registration From Agent to Attorney		\$100			no change			no chang	e	n/a	\$69	\$80	
90BB	1.21(a)(6)(i)	For USPTO-Assisted Recovery of ID or Reset of Password for the Office of Enrollment and Discipline Information System		n/a			\$70			n/a		n/a	n/a	n/a	Yes
90CC	1.21(a)(6)(ii)	For USPTO-Assisted Change of Address Within the Office of Enrollment and Discipline Information System	n/a n/a				\$70			n/a		n/a	n/a	n/a	Yes
90DD	1.21(a)(1)(iii)	For USPTO-Administered Review of Registration Examination	n/a				\$450			n/a		n/a	\$445	\$515	Yes
Gene Servi	ce Fees	1							1			1			1
9201	1.21(b)(1) or 2.6(b)(13)(i)	Establish Deposit Account	\$10				discontinue	2		n/a		n/a	n/a	n/a	
	1.21(b)(2), (b)(3) or	Service Charge for Below Minimum Balance													
9202	2.6(b)(13)(ii) 1.21(m) or	Processing Each Payment Refused or		\$25			no change			no chang	e	n/a	n/a	n/a	
9101	2.6(b)(12)	Charged Back		\$50			no change			no chang	e	n/a	n/a	n/a	
8031		Computer Records		at cost			discontinue	2		n/a		n/a	n/a	n/a	
80EE	1.19(h)	Copy of Patent Grant Single-Page TIFF Images (52 week subscription)		n/a			\$10,400			n/a		n/a	n/a	n/a	Yes
		Copy of Patent Grant Full-Text W/Embedded Images, Patent Application Publication Single-Page TIFF Images, or Patent Application Publication Full-Text W/Embedded													
80FF	1.19(i)	Images (52 week subscription) Copy of Patent Technology Monitoring Team (PTMT) Patent Bibliographic	n/a				\$5,200			n/a		n/a	n/a	n/a	Yes
80GG	1.19(j)	Extract and Other DVD (Optical Disc)	n/a				\$50			n/a		n/a	n/a	n/a	Yes
80HH	1.19(k)	Copy of U.S. Patent Custom Data Extracts	n/a n/a				\$100			n/a		n/a	n/a	n/a	Yes
8011	1.19(l)	Copy of Selected Technology Reports, Miscellaneous Technology Areas		n/a			\$30			n/a		n/a	n/a	n/a	Yes
8902	1.21(g) or 2.6(b)(9)	Self-Service Copy Charge, per Page		\$0.25			discontinue	2		n/a		n/a	n/a	n/a	