D²SV & Texas Regional Office

Hope Shimabuku
Regional Director, USPTO – Texas Regional Office
D²SV

John Cabeca
Regional Director
West Coast Regional Office

Molly Kocialski
Regional Director
Rocky Mountain Regional Office

Christal Sheppard
Regional Director
Midwest Regional Office
USPTO Offices

**Detroit**
- Operational since July 2012

**Denver**
- Byron G. Rogers Federal Building
- Operational since July 2014

**Silicon Valley**
- San Jose City Hall Building
- Operational since October 2015

**Dallas**
- Terminal Annex Federal Building
- Operational since November 2015
Silicon Valley USPTO - West Coast Regional Office
- FY 2016 Highlights

• Now 1-Year Old!
• About 125 Employees:
  ~85 Patent Examiners/Managers; 25+ PTAB Judges
  + Outreach and Administrative Teams

Reaching Half a Million People Across the Region!
• Over 2,000 Stakeholders Visited the SV USPTO:
  ➢ Speed Dating for Startups on IP Strategy, Trademarks and Business Development
  ➢ Monthly Patent and Trademark Workshops for Entrepreneurs
  ➢ Private 1-on-1 Sessions with USPTO Patent Experts – now with online sign-up!
  ➢ IP Insight Workshops for Practitioners with USPTO Experts in Patents and PTAB
  ➢ STEM Workshops and Girl Scout IP Patch Sessions
• Active At Major Business and Community Events:
  ➢ Consumer Electronics Show and Hardware Show
  ➢ Innovation Booth at Super Bowl City and GO-Biz Small Business Summit
  ➢ Global Entrepreneurship Summit and the Maker Faire
  ➢ Biotechnology (BIO) and Cybersecurity (RSA) Conferences
  ➢ World IP Day Celebrations in Anchorage, Los Angeles, San Jose, Las Vegas, Portland
Outreach by Stakeholder Type
Jan-Sept FY16

- Independent Inventor/Startup: 28%
- Corporate: 15%
- IP Professional: 25%
- Government: 11%
- University: 14%
- K-12/Student: 7%

1st – Regional Office to host Inventor Trading Card Release
1st – to host an IP Basics Seminar all in Spanish
1st – Region-wide (6 locations) Trademark Tuesday web/seminar
1.18 – RM USPTO averaged an outreach event every 1.18 business days.
6-75 – Age of Stakeholders reached
7 – Extern opportunities with the RM USPTO to gain experience in patent examining, outreach and PTAB projects
73 – PTAB Hearings – AIA and Ex Parte hearings
220 (approx.) – Outreach and Education Events 2016 (Jan-Sept)
299 – Number of Visitors to the RM USPTO seeking help
1,382 – Pounds of food donated by RM USPTO during Feds Feed Families Campaign

Over 18,000 stakeholders reached in FY 16
Denver FY16 Highlights

Releasing the Dr. Temple Grandin Inventor Trading Card

On April 4, 2016, the RM USPTO, in conjunction with the USPTO Office of Education and Outreach, released the Dr. Temple Grandin inventor trading card. With the release of this card, children with autism have a role model as an inventor.

Celebrating the RM USPTO’s 2nd Anniversary

On June 30th, 2016, the RM USPTO celebrated its 2nd anniversary by graduating its 4th class of patent examiners and with a visit from Secretary of Commerce Penny Pritzker, Deputy Director Russell Slifer, Mayor Michael Hancock, Congressman Ed Perlmutter, and other dignitaries.

All Spanish IP Basics Seminar

On September 13, 2016, in connection with MiCasa Resource and HQ personnel, the RM USPTO conducted an IP Basics seminar completed in Spanish, creating materials and a program that will be replicated across the nation and reaches an underserved population.
Detroit Highlights

- Operational at 300 River Place since July 2012
- 144 Examiners Trained / 12 Administrative Patent Judges
- Outreach Highlights
  - AIA 5 Year Anniversary
    - September 15, 2016 Innovation Roundtable at The Henry Ford Museum
  - K-12, University, and Law School Outreach
    - October 21, 2016 Detroit Public Schools Innovation Professional Development
- Monthly Programming
  - Trademark Tuesdays
  - Patent Wednesdays
  - IP Basics and Resource Tour
The building is located in downtown Dallas adjacent to Dealey Plaza and Union Station. It is a federal facility, leased through the GSA.
Texas Regional Office

- **Office Hours:** 8:30-5 PM
- **Employees:**
  - 110 total employees
  - 80 patent examiners
  - 13 Patent Trial and Appeal Board (PTAB) judges
  - 3 Externs
  - 14 Detailees, Support Staff & Management

- **Services**
  - Public Search Facility
    - Available from 9:00-4:30 PM
Texas Regional Office

- PTAB Hearing Room
- Examiner Interview Room
- Training and Conferences
- Meeting Facilities

10/31/2016
## 2014 State by State Patent & Trademark Data

<table>
<thead>
<tr>
<th>State</th>
<th>Patent Applications</th>
<th>Patents Granted</th>
<th>Trademark Applications</th>
<th>Trademark Registrations</th>
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<tbody>
<tr>
<td>Alabama</td>
<td>1,089</td>
<td>532</td>
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<td>Arkansas</td>
<td>601</td>
<td>286</td>
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<td>Louisiana</td>
<td>951</td>
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<td>Mississippi</td>
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<td>179</td>
<td>844</td>
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<td>New Mexico</td>
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<td>Oklahoma</td>
<td>1,173</td>
<td>593</td>
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<tr>
<td>Tennessee</td>
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<tr>
<td>Texas</td>
<td>20,088</td>
<td>10,553</td>
<td>24,636</td>
<td>13,908</td>
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<td><strong>TOTAL</strong></td>
<td><strong>27,619</strong></td>
<td><strong>14,404</strong></td>
<td><strong>39,754</strong></td>
<td><strong>22,480</strong></td>
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### Highest # of Granted Patents by Technology
(Nationwide: 1963-2014)

<table>
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<tr>
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<tbody>
<tr>
<td>Organic Compounds (includes Classes 532-570)</td>
<td>Active Solid-State Devices (e.g., Electrical Connectors)</td>
<td>Adhesive Bonding Error</td>
<td>Optics Measuring Coating Processes</td>
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<tr>
<td>Synthetic Resins or Natural Rubbers (includes Classes 520-528)</td>
<td>Multicomputer Data Transferring</td>
<td>Static Information Electric Heating</td>
<td>Locks</td>
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<tr>
<td>Chemistry: Molecular Biology and Microbiology</td>
<td>Electricity: Measuring</td>
<td>Optical: Systems</td>
<td>Pumps</td>
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<tr>
<td>Multiplex Communications</td>
<td>Electricity: Electrical</td>
<td>Wells (shafts or Telephonic) Plastic and Nonmetallic</td>
<td>Tablets</td>
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<tr>
<td>Semiconductor Device Manufacturing:</td>
<td>Liquid Purification</td>
<td>Plastic and Nonmetallic</td>
<td>Tools</td>
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<td>Surgery (includes Class 600)</td>
<td>Static Structures</td>
<td>Radiation Imagery Supports (e.g., for Food or Edible)</td>
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<tr>
<td>Surgery (instruments)</td>
<td>Land Vehicles</td>
<td>Memory (Electrical)</td>
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<tr>
<td></td>
<td>Fluid Handling</td>
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**Utility Patent Count**
3 | 105,563

**State**
All

**Year**
All values
### Highest # of Granted Patents by Technology

**Texas: 1963-2014**

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<tbody>
<tr>
<td>Synthetic Resins or Natural Rubbers (includes Classes 520-528)</td>
<td>Input/Output (Electrical Computers and Systems and Support (Electrical Computers and Static Information Storage and)</td>
<td>Pulse or Digital</td>
<td>Earth Boring, Coded Data</td>
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<tr>
<td>Semiconductor Device Manufacturing Process</td>
<td>Multicomputer Data Transferring (electrical error)</td>
<td>Miscellaneous Active</td>
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<tr>
<td>Organic Compounds (includes Classes 532-570)</td>
<td>DP: Measuring, Calibrating, or Fluid Handling</td>
<td>Radiant Energy</td>
<td>Processing Architectures</td>
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<tr>
<td>Multiplex Communications</td>
<td>Memory (Electrical Computers and Telephonic)</td>
<td>Hydraulic and Earth</td>
<td>Chemistry of Hydrocarbon</td>
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<tr>
<td>Boring or Penetrating the Earth</td>
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<td>Television</td>
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**Utility Patent Count**

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</table>
Innovation Ecosystem

- USPTO
- K-12
- Legal
- Independent Inventor Entrepreneur
- Corporations
- Universities
- Congressional Offices
Texas Regional Office FY16 Outreach Summary

OUTREACH BY STAKEHOLDER TYPE

- K-12: 6%
- University: 17%
- Independent Inventor/Start up: 22%
- Corporation: 16%
- Legal: 26%
- Gov’t: 13%

# OF OUTREACH EVENTS BY STATE

<table>
<thead>
<tr>
<th>State</th>
<th>Events</th>
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<td>Texas</td>
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<tr>
<td>Oklahoma</td>
<td>3</td>
</tr>
<tr>
<td>Tennessee</td>
<td>6</td>
</tr>
</tbody>
</table>

245 Outreach Events Held
17,800 Stakeholders Reached
Sampling of Upcoming Events

- Nov 10: Meet the Patent Expert (TXRO)
- **Nov 10: Open Season Health Fair (TXRO) (internal only)**
- Nov 14: Subject Matter Eligibility Guidelines Roundtable (TXRO)
- Nov 16: Enhanced Patent Quality Initiative Roadtrip (Baton Rouge, LA)
- Nov 17: Canada/Mexico PPH Program (Dallas)
- **Nov 17: Flu Shot/Cholesterol Screening (TXRO) (internal only)**
- Nov 18: Spanish IP Seminar (Dallas)
- Nov 29: Examiner Time Analysis Roundtable (TXRO)
- Nov 29-Dec 1: SBIR/STTP Regional Innovation Summit (Austin)
- Dec 5: Subject Matter Eligibility Roundtable (TXRO)
- Dec 7: Meet the Trademark Expert (TXRO)
- Dec 8: **Holiday Party (Dallas) (internal only)**
- Dec 14: Advanced Patent & Trademark Seminar (San Antonio)
- Jan 10-12: STEPP Training (TXRO)
Thank You!

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