Patent Public Advisory Committee
Quarterly Meeting

Patent Operations Update

Don Hajec
Assistant Deputy Commissioner for Patent Operations
November 3, 2016
Serialized and RCE Filings FY10-16

The chart illustrates the number of applications filed during different fiscal years, distinguishing between RCE (Restricted Crown Entity) and serialized filings. The red bars represent serialized filings, while the blue bars represent RCE filings. The chart shows a steady increase in the total number of filings from fiscal year 2011 to 2016.
Unexamined Patent Application Inventory
FY 2011 – FY 2016

FY 2016 Result: 537,655
RCE Inventory
FY 2010 – FY 2016

27,394 RCE Applications as of September 30, 2016.
First Action Pendency and Total Pendency
FY 2011 – FY 2016

First Action Pendency as of September 30, 2016: 16.2 months.

Total Pendency as of September 30, 2016: 25.3 months.
Pendency from RCE Filing to Next Action
12-Month Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2016

FY 2016 Attrition Rate Less Transfers and Retirees: 2.9%
FY 2015 Overall Attrition Rate: 4.3%
Design Filings
FY 2009 – FY 2016

40,406 applications received in FY16
Design Unexamined Application Inventory
FY 2011 – FY 2016

FY 2016 Result: 41,419
Design First Action Pendency and Total Pendency
FY 2011 – FY 2016

Design Total Pendency as of September 30, 2016: 19.4 months.

First Action Pendency as of September 30, 2016: 13.8 months.
## Track One Filings

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<th>October</th>
<th>November</th>
<th>December</th>
<th>January</th>
<th>February</th>
<th>March</th>
<th>April</th>
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<th>June</th>
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|          | 855      | 855      | 390     | 302      | 285   | 292   | 371 | 442  | 457  | 516    | 436       | 470   | 517    | 559   | 5,037 |
|          | 505      | 513      | 575     | 504      | 468   | 1,029 | 400 | 508  | 530  | 584    | 642       | 636   | 642    | 636   | 6,894 |
|          | 715      | 570      | 732     | 650      | 629   | 1,028 | 822 | 803  | 795  | 796    | 673       | 911   | 911    | 911   | 9,124 |
| October  | 833      | 633      | 758     | 662      | 665   | 867   | 778 | 877  | 868  | 720    | 857       | 976   | 976    | 976   | 9,286 |
| Total   | 729      | 844      | 1,155   | 766      | 773   | 868   | 824 | 776  | 848  | 679    | 773       | 976   | 976    | 976   | 10,011 |
Track One Pendency

• Average time from Filing to Petition grant: 1.4 months
• Average time from petition grant to first action: 2.1 months
• Average time from petition grant to final disposition: 6.5 months
• Average time from petition grant to allowance: 5.2 months
Track One Results

Cumulative through August 9, 2016.
Questions and Comments

Don Hajec
Assistant Deputy Commissioner for Patent Operations
(571) 272-5100
Don.Hajec@USPTO.GOV