

**UNITED STATES
PATENT AND TRADEMARK OFFICE**



Patent Public Advisory Committee
Quarterly Meeting

USPTO Budget Update

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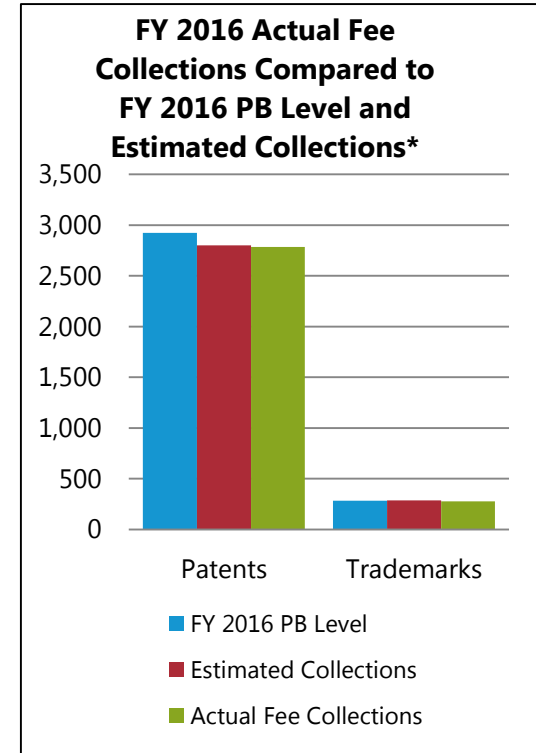


Agenda

- FY 2016 Summary
- FY 2017 Status
- FY 2018 Budget
- Fee Review/Fee Rulemaking

FY 2016 Summary: Patent Fees

- USPTO collected a total of \$3.06B
 - Patents: \$2.78B, Trademarks: \$277.6M
- Patents collections were:
 - \$17.9M, or 0.6%, below the estimated collections
 - \$139.3M, or 4.8%, below the level estimated in the FY 2016 President's Budget



*The estimated collection is the working estimates as reported in the FY 2017 President's Budget submission.

FY 2016 Summary: End-of-Year Results

	PATENTS	TRADEMARKS	TOTAL
Actual Fee Collections	\$2,784,139,598	\$277,578,931	\$3,061,718,529
Adjustment to Revenue	\$2,779,189	(\$1,250,244)	\$1,528,945
Actual Prior Year Operating Reserve	402,556,122	101,797,196	\$504,353,318
Actual Other Income	34,457,937	7,886,282	\$42,344,219
OIG and Dept of State Transfers	(1,913,477)	(186,523)	(2,100,000)
<i>Total Year-to-Date Available Income</i>	<i>3,222,019,369</i>	<i>385,825,642</i>	<i>3,607,845,011</i>
<i>Total Year-to-Date Spending</i>	<i>2,867,780,175</i>	<i>278,826,736</i>	<i>3,146,606,911</i>
EOY Operating Reserve	\$354,239,194	\$106,998,905	\$461,238,099

*Adjustment to Revenue includes in-transit revenue and prior years refunds



FY 2017 Status

- The current Continuing Resolution (CR) is through December 9, 2016
 - The USPTO is held to an adjusted spending level calculated off of \$3.2B (plus operating reserves and other income)
 - Spending is being monitored to ensure sufficient resources are available to accommodate current and emerging requirements

FY 2018 Budget

- USPTO is working on the FY 2018 President's Budget submission
 - PACs, DOC, and OMB will receive an initial draft to review with comments due late January 2017
 - Per OMB, the new Administration will likely submit the FY 2018 Budget in the March/April 2017 timeframe

Fee Review/Fee Rulemaking

- The NPRM was published in the Federal Register on October 3, 2016
 - Comments are due by December 2, 2016
- The fee changes are estimated to become effective in Q4 of FY 2017
- USPTO plans to begin its next biennial fee review in January 2017
 - The objective is to conduct a comprehensive review of the existing fee schedule as well as research, analyze, and recommend potential revisions and additions to the fee schedule

Questions and Comments

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