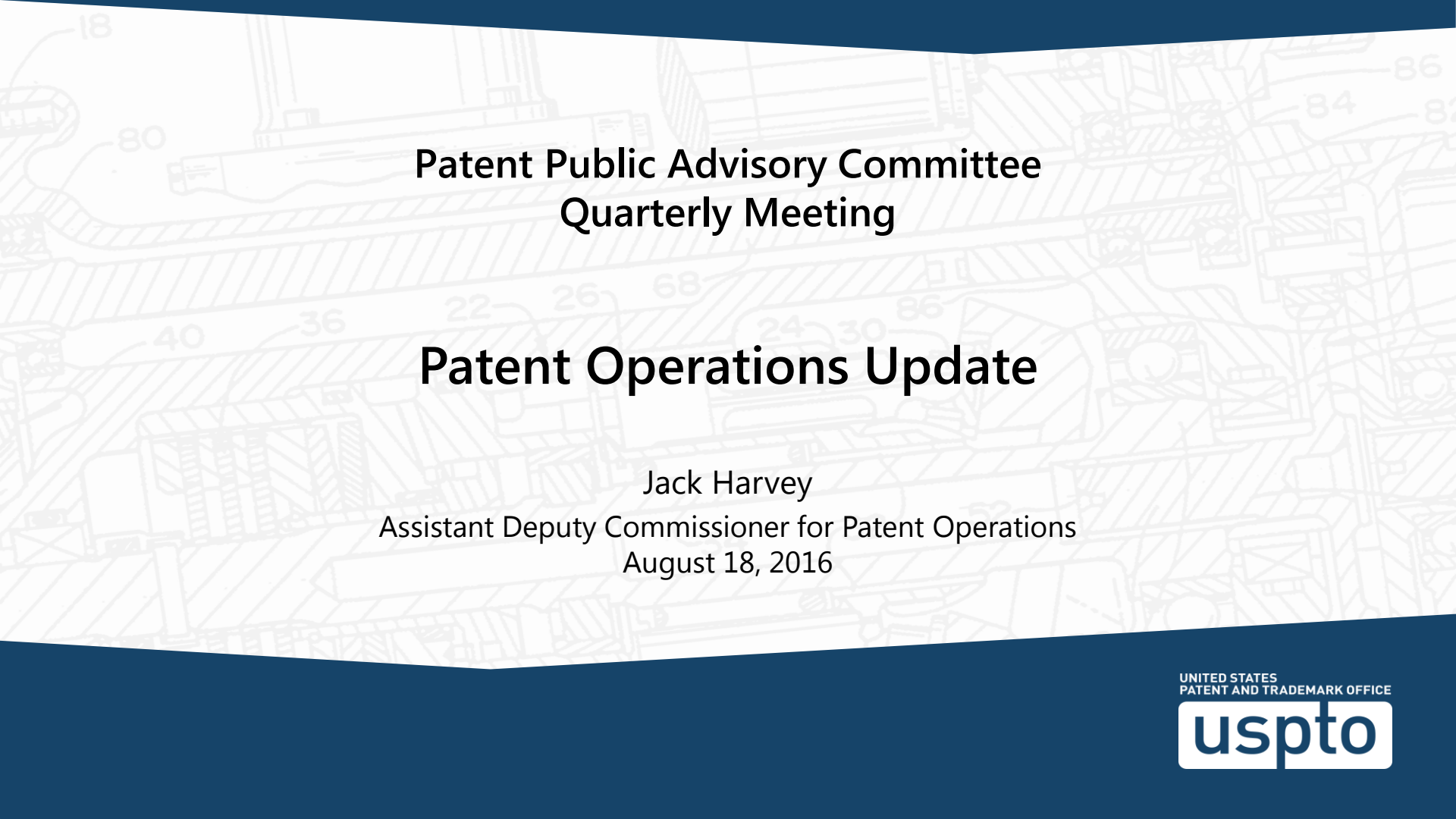


UNITED STATES
PATENT AND TRADEMARK OFFICE





Patent Public Advisory Committee Quarterly Meeting

Patent Operations Update

Jack Harvey

Assistant Deputy Commissioner for Patent Operations

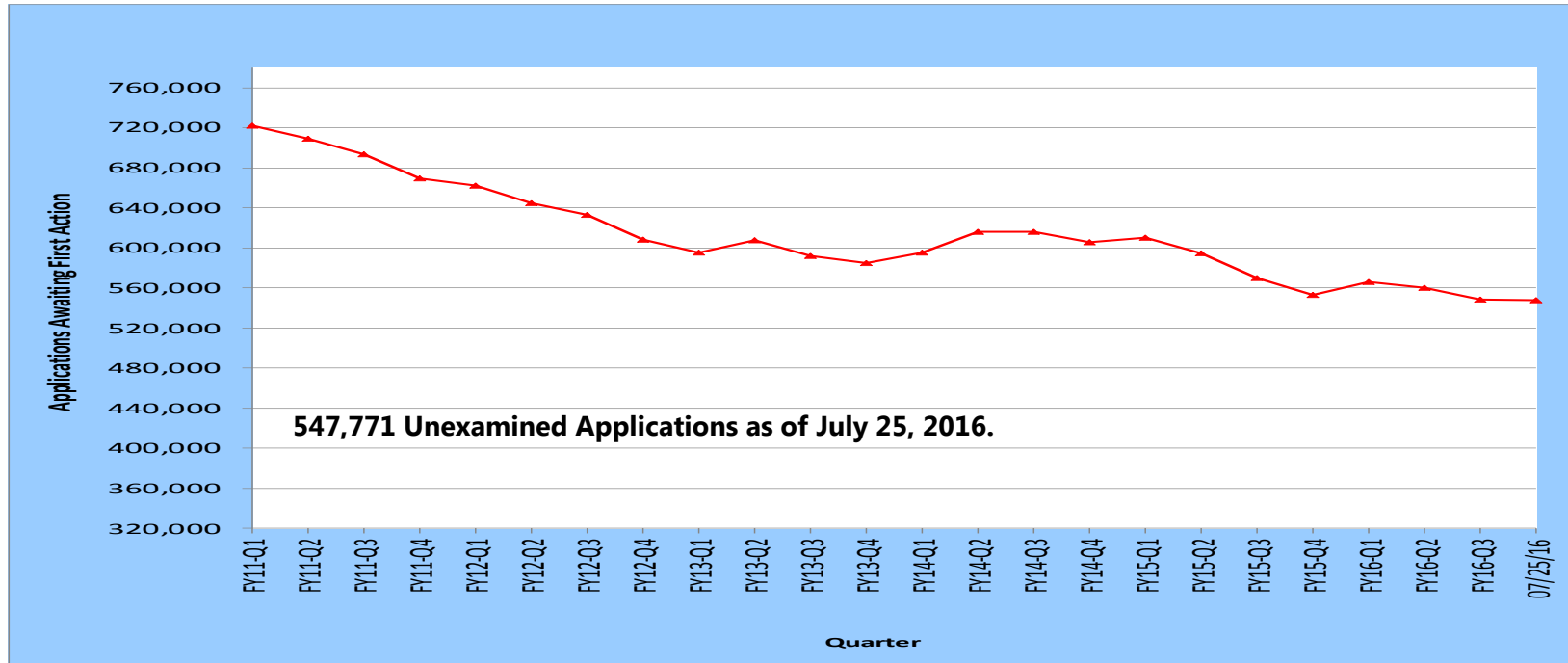
August 18, 2016

UNITED STATES
PATENT AND TRADEMARK OFFICE



Unexamined Patent Application Inventory

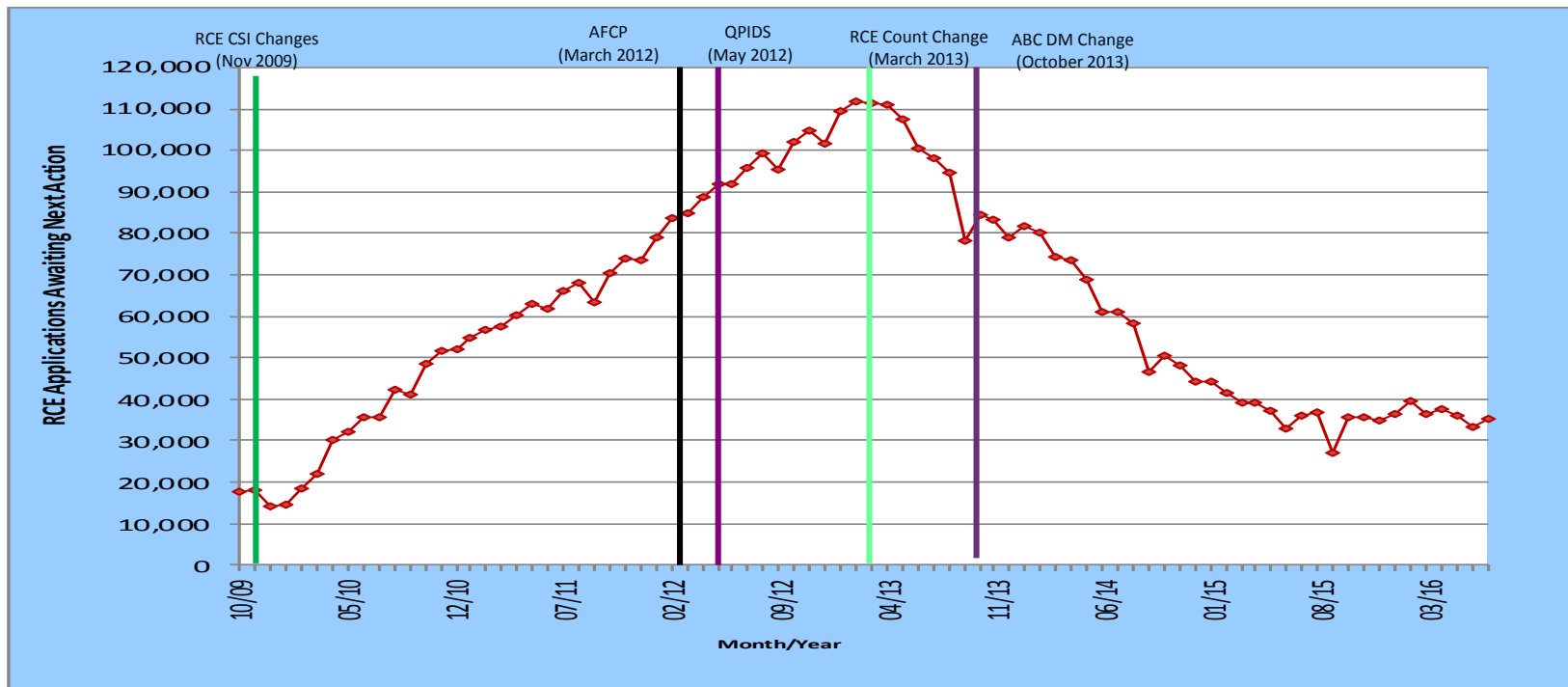
FY 2011 – FY 2016 (through July 25)



FY 2015 Result: 553,221.

RCE Inventory

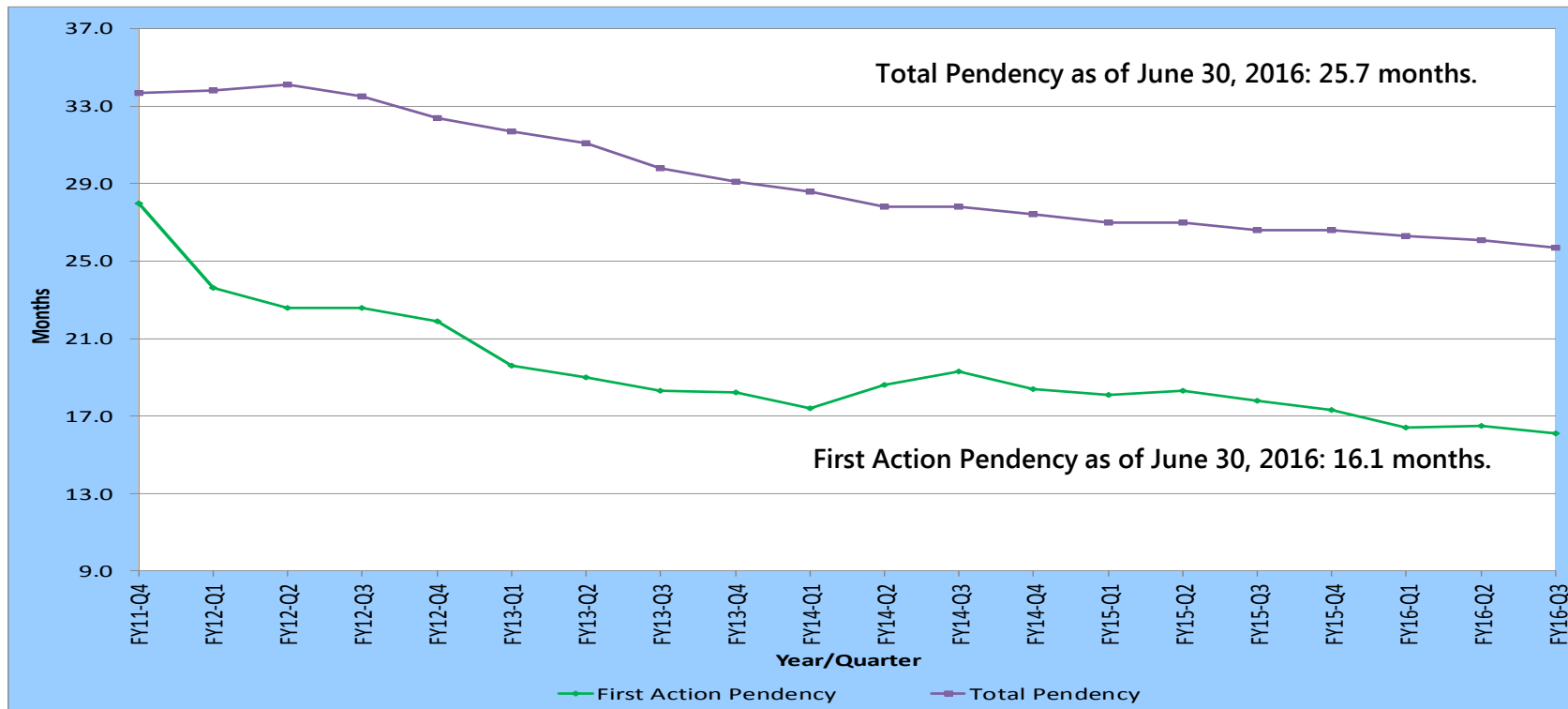
FY 2010 – FY 2016 (through July 25)



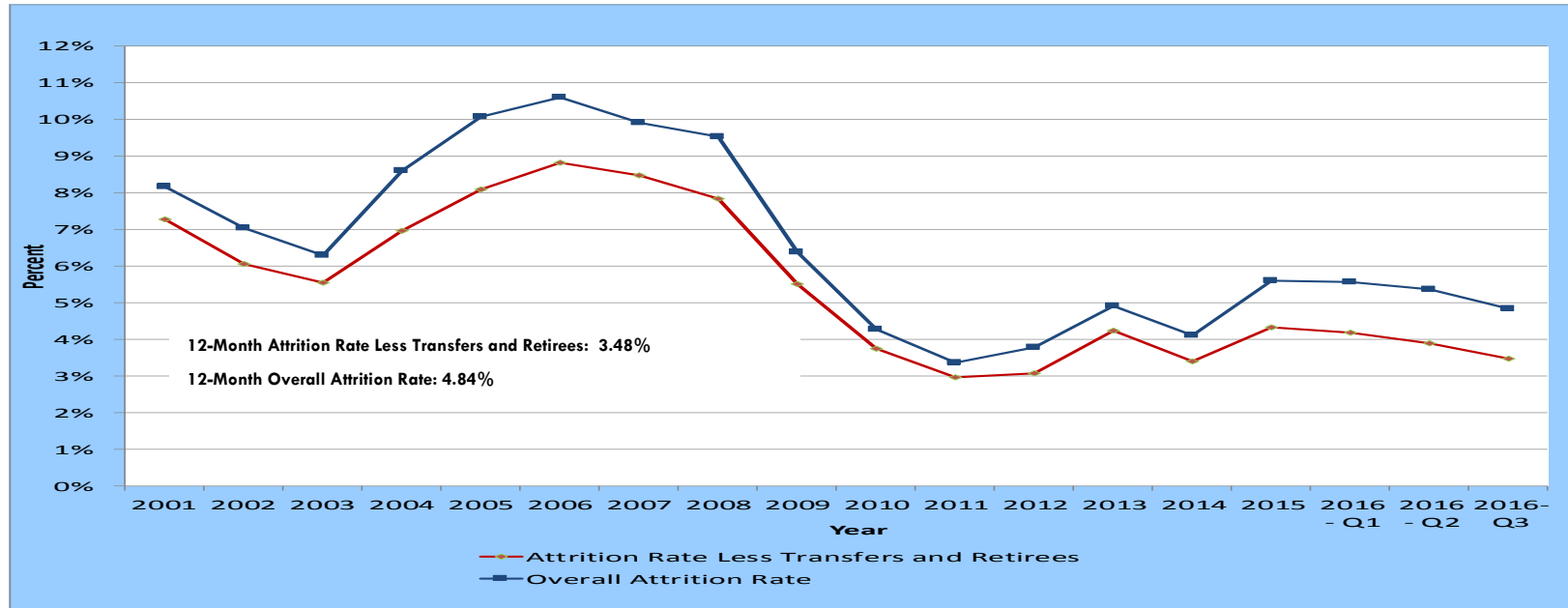
35,183 RCE Applications as of July 25, 2016.

First Action Pendency and Total Pendency

FY 2011 – FY 2016 (June)



12-Month Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2016 (June 30)

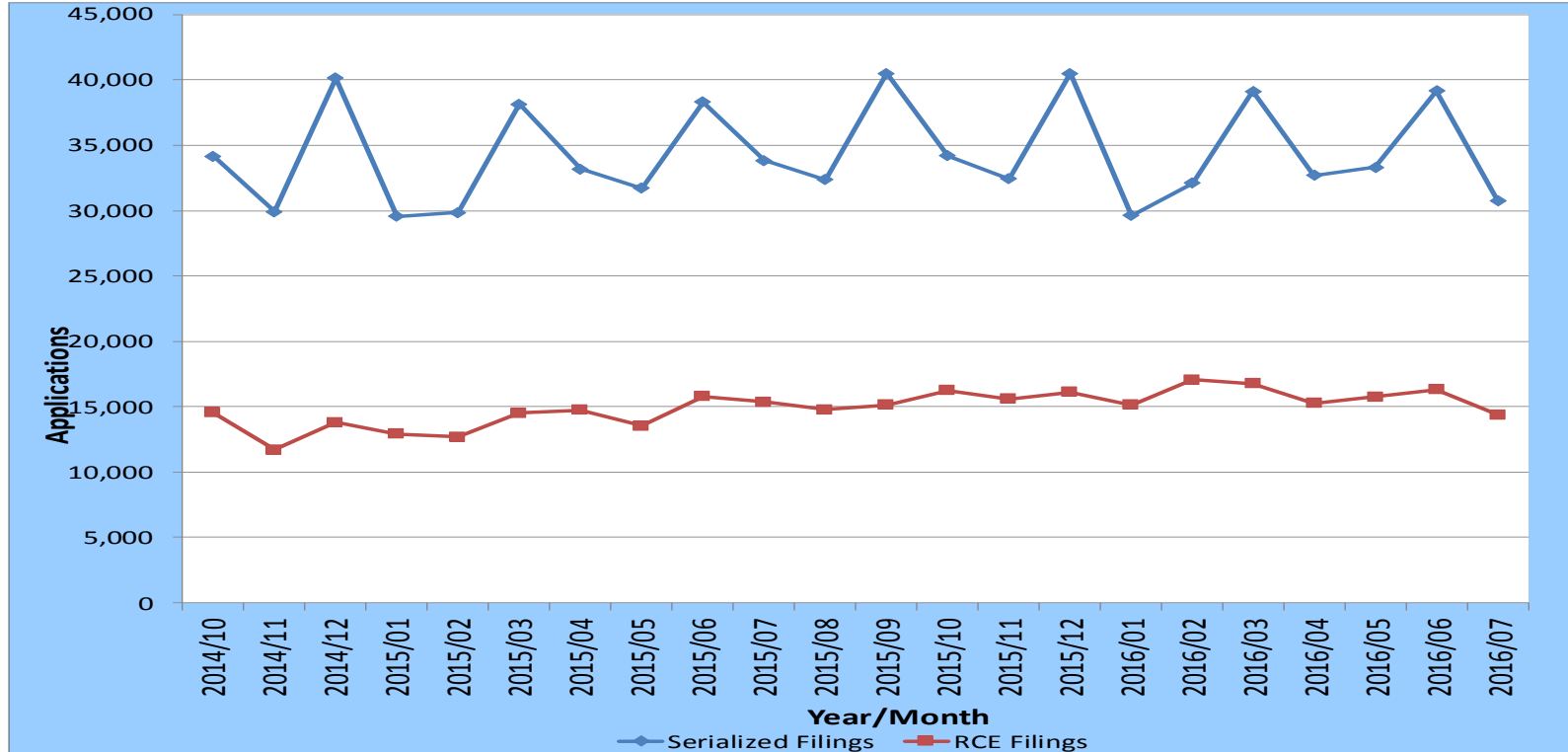


FY 2015 Attrition Rate Less Transfers and Retirees: 4.32%

FY 2015 Overall Attrition Rate: 5.6%

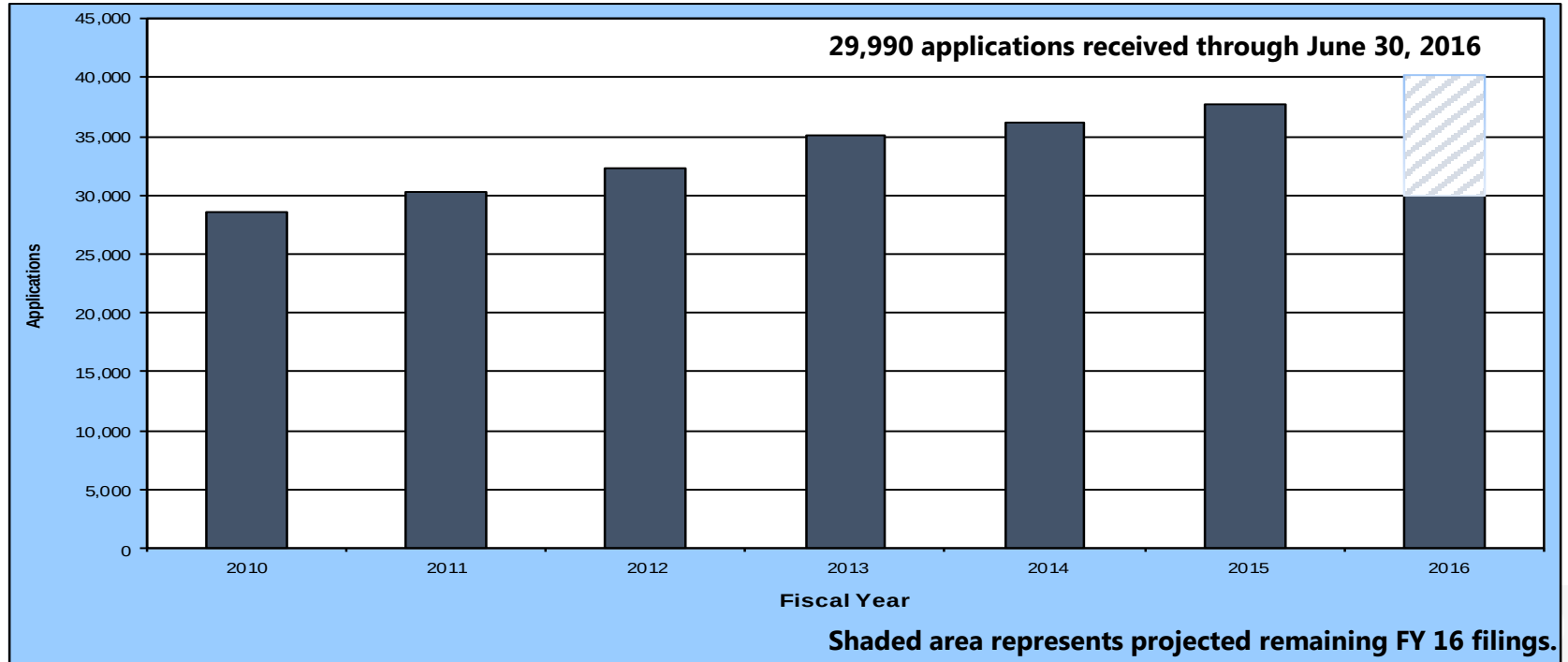
(Most recent overall government attrition rate was 6.2% in FY13)

Actual Monthly Serialized and RCE Filings Received in October 2014 through July 2016



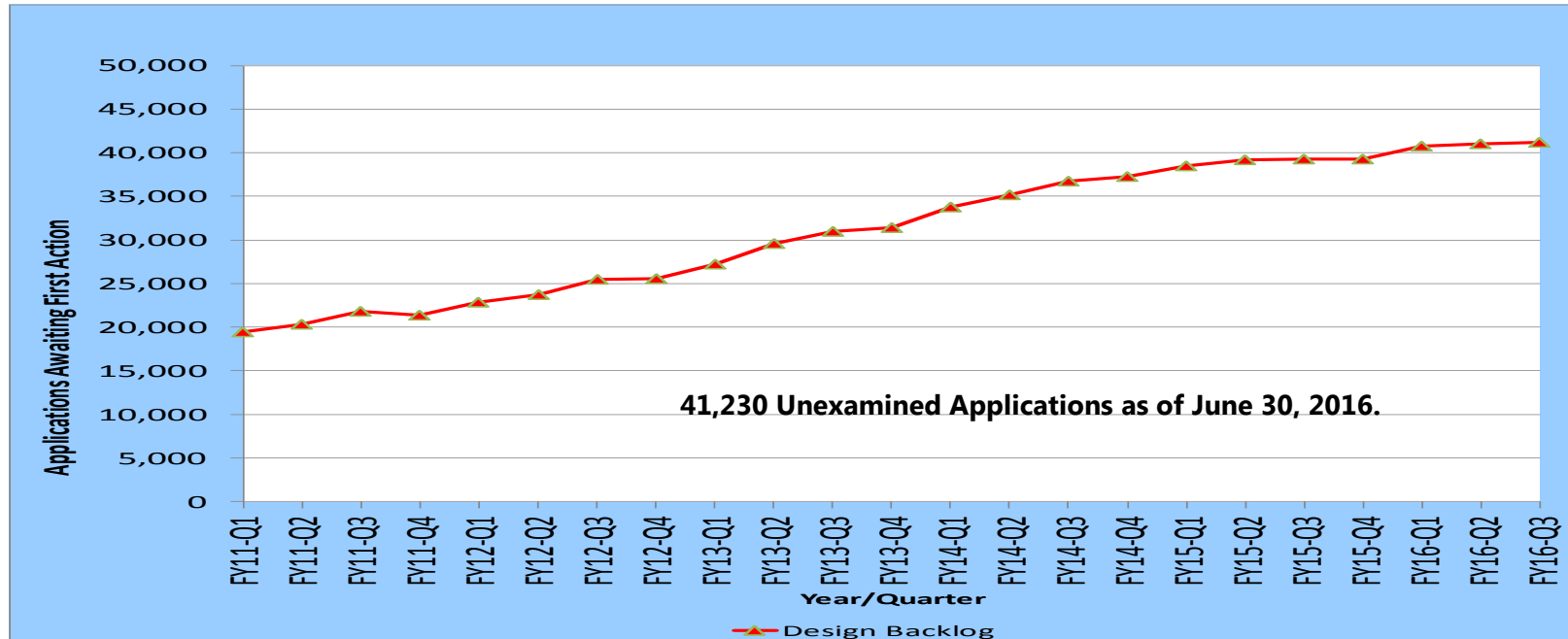
Design Filings

FY 2009 – FY 2016 (through June)



Design Unexamined Application Inventory

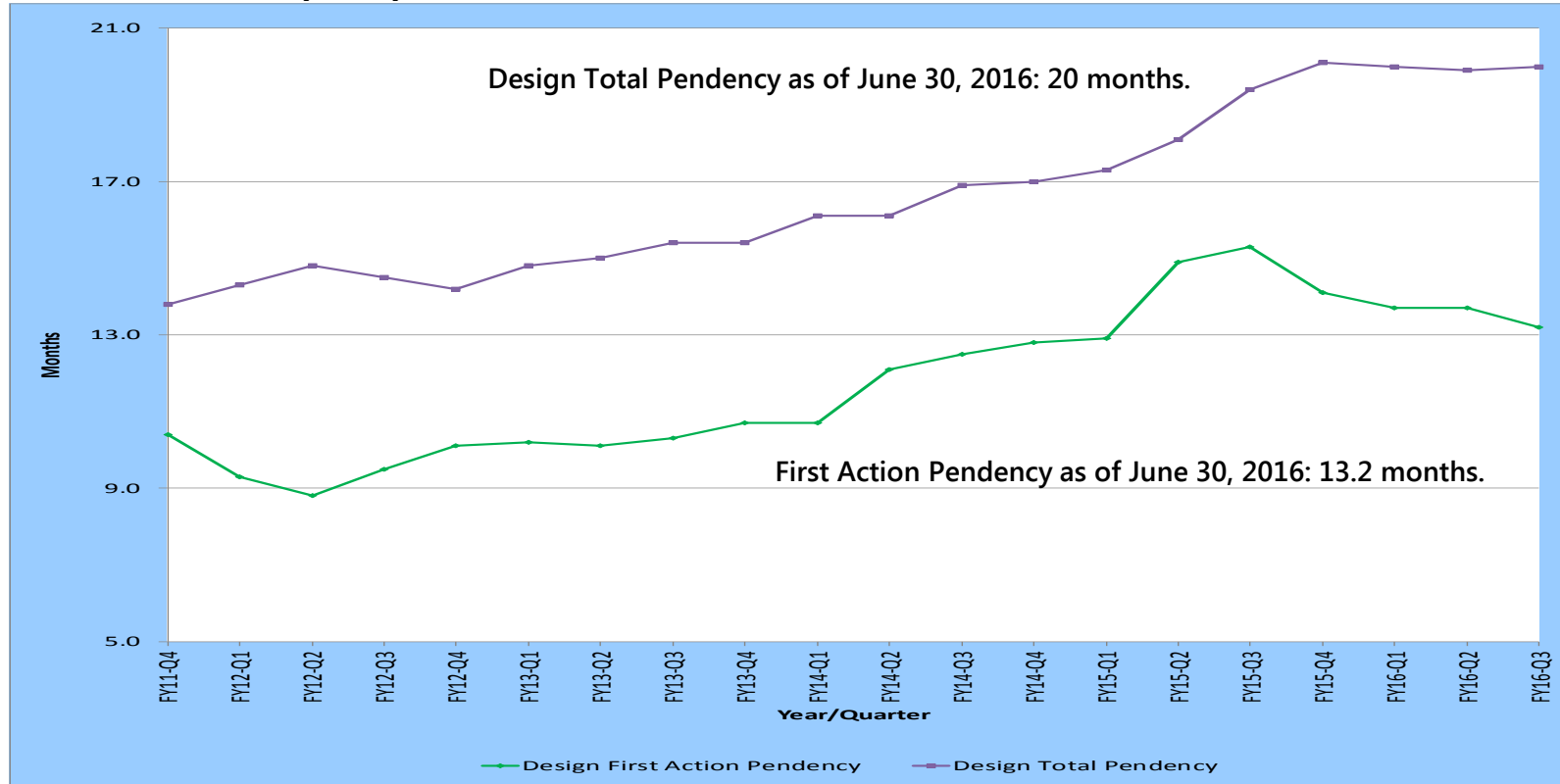
FY 2011 – FY 2016 (June)



FY 2015 Result: 39,295

Design First Action Pendency and Total Pendency

FY 2011 – FY 2016 (June)



Track One Filings

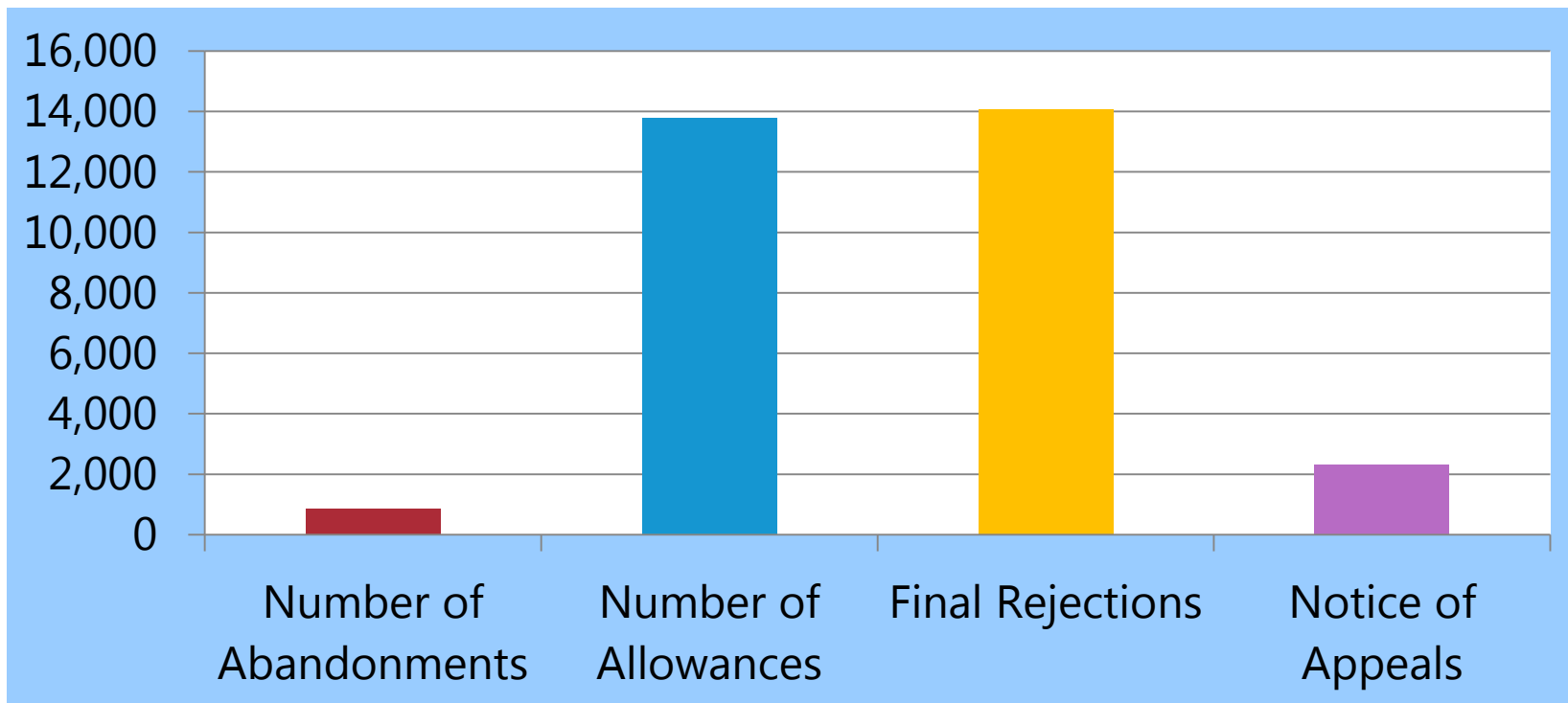
(through August 5, 2016)

October	November	December	January	February	March	April	May	June	July	August	September	Total
											855	855
390	302	285	292	371	442	457	516	436	470	517	559	5,037
505	513	575	504	468	1,029	400	508	530	584	642	636	6,894
715	570	732	650	629	1,028	822	803	795	796	673	911	9,124
833	633	758	662	665	867	778	768	877	868	720	857	9,286
729	844	1,155	766	773	868	824	776	848	679	65		8,327

Track One Pendency

- Average time from Filing to Petition grant: 1.4 months
- Average time from petition grant to first action: 2.1 months
- Average time from petition grant to final disposition: 6.5 months
- Average time from petition grant to allowance: 5.2 months

Track One Results



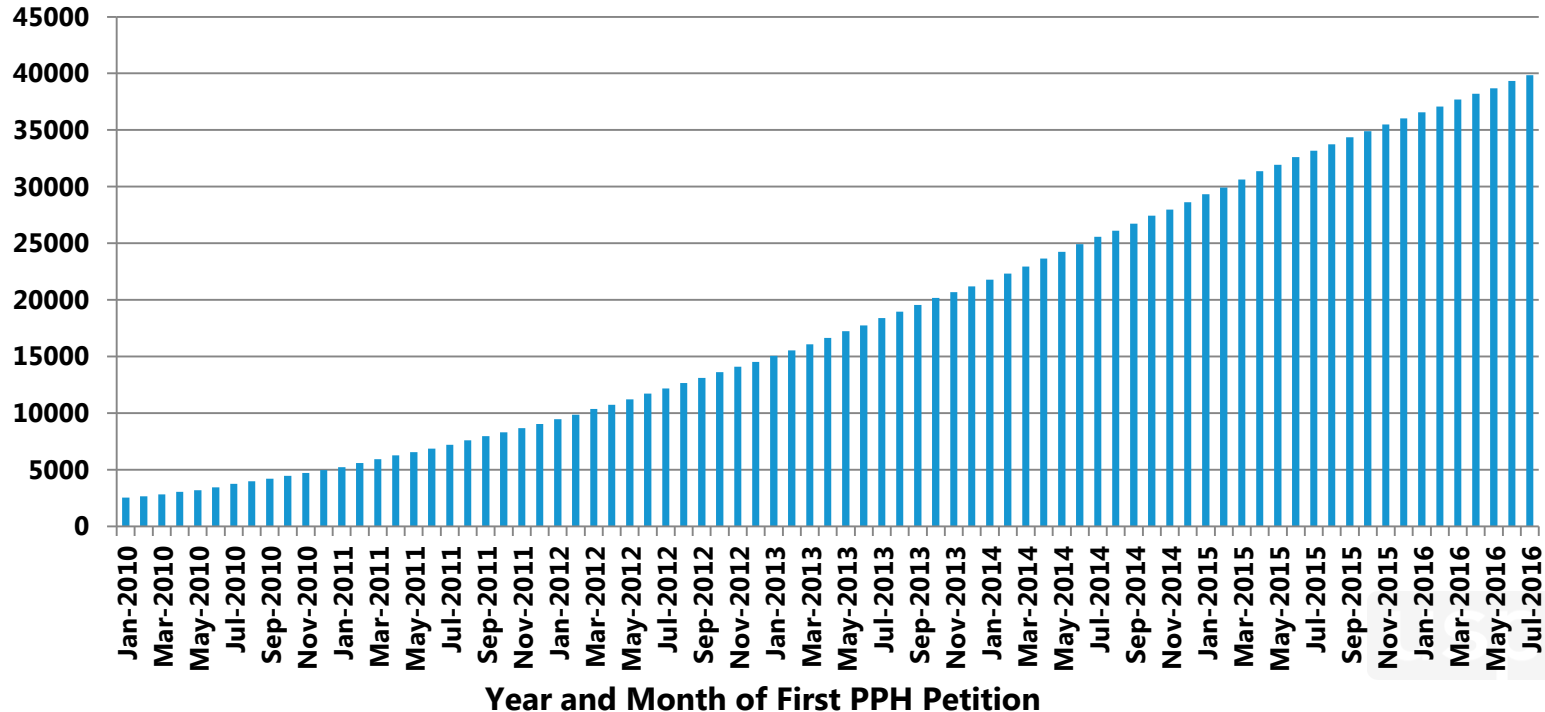
Cumulative through August 9, 2016.

First Action Interview Program

	Total
Total applications	7,440
Pre-interview Communications	4,733
Interviews	4,592
Total Allowances	4,311
First Action allowances	1,811
First Action allowance rate, FAI cases	29.5%
First Action allowance rate, all cases	11.9%

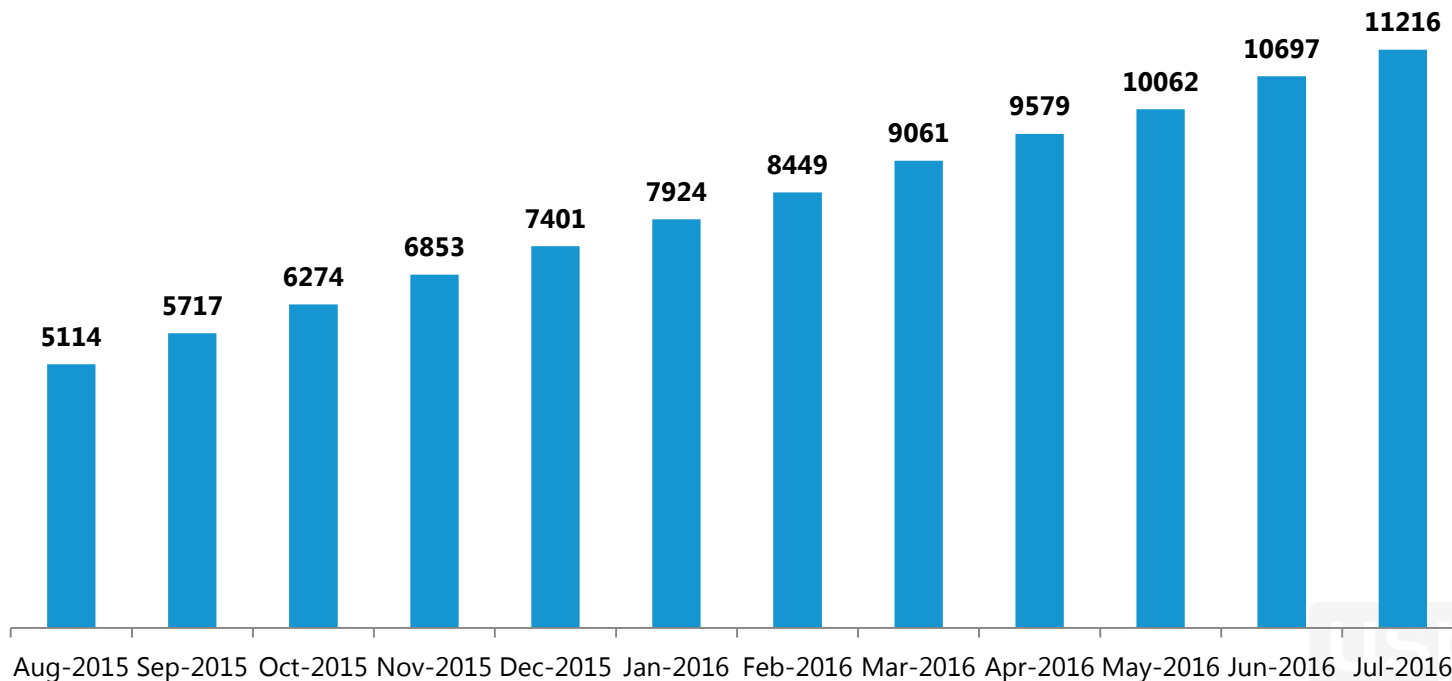
Applications with Petition Requests

Cumulative PPH Filings from 2010 – Present in CY



Applications with Petition Requests

Cumulative PPH Filings Last 12 Months



Questions and Comments

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uspto

