

Patent Public Advisory Committee  
Quarterly Meeting

**USPTO Budget Update**

Tony Scardino  
Chief Financial Officer  
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UNITED STATES  
PATENT AND TRADEMARK OFFICE

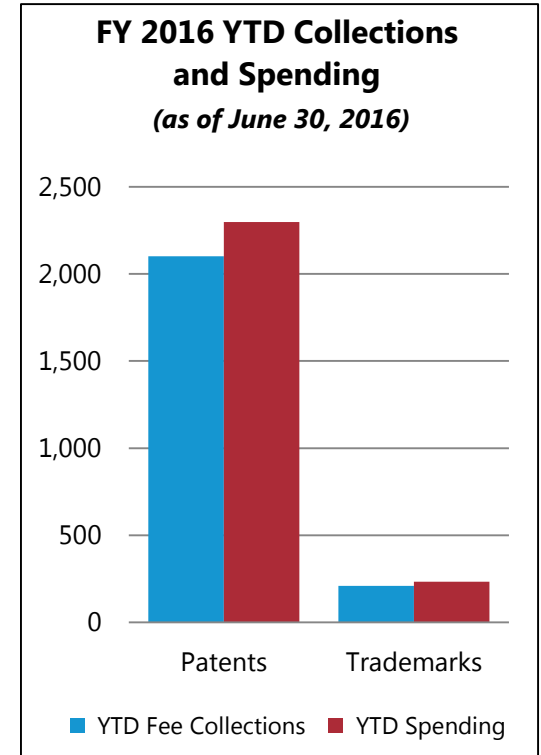


# Agenda

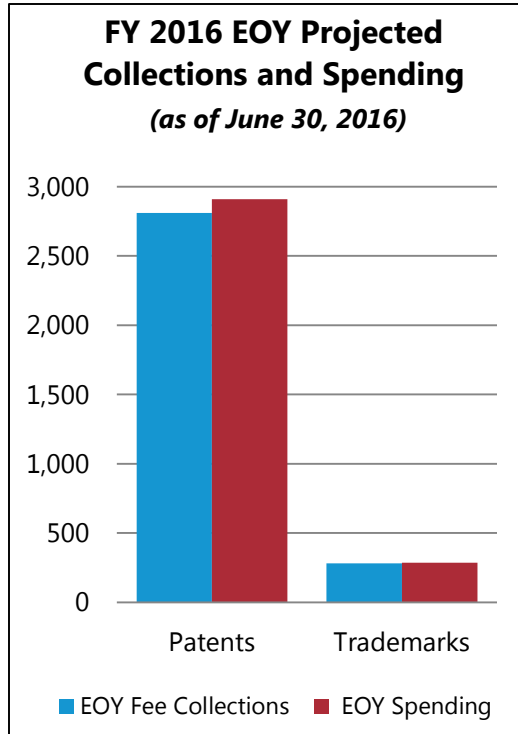
- FY 2016 Status
- FY 2017 Status
- FY 2018 Budget
- FY 2018 Fee Estimates
- Fee Review/Fee Rulemaking

# FY 2016 Status

- Through June 30, 2016
  - Planned fee collections of \$2.30B
    - Patents: \$2.09B, Trademarks: \$213.9M
  - Year to date fee collections of \$2.31B
    - Patents: \$2.10B, Trademarks: \$208.8M
  - Year to date spending of \$2.53B
    - Patents: \$2.29BM, Trademarks: \$232.5M



# FY 2016 Status *(continued)*



- As of June 30, 2016
  - End of year projected fee collections of \$3.09B
    - Patents: \$2.81B, Trademarks: \$282.2M
  - End of year projected spending of \$3.19B
    - Patents: \$2.91B, Trademarks: \$285.2M
  - End of year projected operating reserve of \$432.3M
    - Patents: \$328.0M, Trademarks: \$104.3M

# FY 2017 Status

- USPTO is marked up at \$3.23B by both the House and Senate Appropriation Committees. Congressional reporting requirements include:
  - Monthly reports on actual and projected fee collections and performance
  - Quarterly reports to include data on application volumes and staffing status
  - Quarterly reports on the status of Patent End to End, including the proposed retirement of legacy IT systems, cost savings associated with the system retirements, and any efficiencies achieved in patent processing as a result of IT investments
  - Within 90 days of enactment of the appropriation bill, a report on patent quality, to include the Patent Quality Initiative, steps taken to improve patent quality in fiscal year 2016, and planned actions for fiscal year 2017
- It is anticipated that USPTO will start the new fiscal year under a Continuing Resolution (CR)

# FY 2018 Budget

- There is no requirement this year for the Agency to submit a formal budget to OMB
- USPTO will continue its internal review process to formulate requirements for FY 2018
  - PACs and DoC will receive projected fee and budgetary requirements in August or September 2016

# Fee Review/Fee Rulemaking

- The NPRM is still planned to be published Fall 2016 and will include the revised fee proposal
- The estimated effective date of the fee changes is August 2017

# Questions and Comments

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