Unexamined Patent Application Inventory
FY 2011 – FY 2016 (through January 26)

FY 2015 Result: 553,221.

561,112 Unexamined Applications as of January 26, 2016.
RCE Inventory
FY 2010 – FY 2016 (through January 26)

35,371 RCE applications as of January 26, 2016.
First Action Pendency and Total Pendency
FY 2011 – FY 2016 (December)

First Action Pendency as of December 2015: 16.4 months.
Total Pendency as of December 2015: 26.3 months.
12-Month Average UPR Examiner Attrition Rate
Less Transfers and Retirees and Overall Attrition Rate
FY 2001 – FY 2016 (December)

FY 2015 Attrition Rate Less Transfers and Retirees: 4.32%
FY 2015 Overall Attrition Rate: 5.6%

(Most recent overall government attrition rate was 6.2% in FY13)
Actual Monthly Serialized and RCE Filings Received in October 2014 through December 2015
Design Filings
FY 2009 – FY 2016 (through December)

12,104 applications received through January 26, 2016.

Shaded area represents projected remaining FY 16 filings.
FY 2015 Result: 39,295

40,738 Unexamined Applications as of December 31, 2015.
Design First Action Pendency and Total Pendency
FY 2011 – FY 2016 (December)

Design Total Pendency as of December 2015: 20.0 months.

First Action Pendency as of December 2015: 13.7 months.
## Track One Filings
(through January 26, 2016)

<table>
<thead>
<tr>
<th>Petitions Received</th>
<th>October</th>
<th>November</th>
<th>December</th>
<th>January</th>
<th>February</th>
<th>March</th>
<th>April</th>
<th>May</th>
<th>June</th>
<th>July</th>
<th>August</th>
<th>September</th>
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<td>390</td>
<td>302</td>
<td>285</td>
<td>292</td>
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<td>516</td>
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<td>855</td>
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<td>FY 12</td>
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Track One Pendency

• Average time from Filing to Petition grant: 1.3 months
• Average time from petition grant to first action: 2.4 months
• Average time from petition grant to final disposition: 6.5 months
• Average time from petition grant to allowance: 5.2 months
Track One Results

Cumulative through January 26, 2016.

- Number of Abandonments
- Number of Allowances
- Final Rejections
- Notice of Appeals

Graph shows the following:
- Number of Abandonments is significantly lower compared to other categories.
- Number of Allowances is higher than other categories.
- Final Rejections is the highest category.
- Notice of Appeals is lower than other categories.
# First Action Interview Program

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<td>Pre-interview Communications</td>
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<td>Interviews</td>
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<td>First Action allowances</td>
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<td>First Action allowance rate, FAI cases</td>
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<td>First Action allowance rate, all cases</td>
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Applications with Petition Requests

Cumulative PPH Filings from 2010 – Present in CY
Applications with Petition Requests

Cumulative PPH Filings Last 12 Months

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Questions and Comments

Robert Oberleitner
Assistant Deputy Commissioner for Patent Operations
Robert.Oberleitner@USPTO.GOV
(571) 272-8800