Patent Public Advisory Committee
Quarterly Meeting

Patent Operations Update

Donald Hajec
Assistant Deputy Commissioner for Patent Operations

November 19, 2015
Unexamined Patent Application Inventory
FY 2011 – FY 2016 (October)

FY 2015 Result: 553,221.

558,091 Unexamined Applications as of October 31, 2015.
RCE Inventory
FY 2010 – FY 2016 (October)

- RCE CSI Changes (Nov 2009)
- AFCP (March 2012)
- QPIDS (May 2012)
- RCE Count Change (March 2013)
- ABC DM Change (October 2013)
First Action Pendency and Total Pendency
FY 2011 – FY 2016 (October)

First Action Pendency as of October 2015: 17.0 months.
Total Pendency as of October 2015: 26.6 months.
12-Month Average UPR Examiner Attrition Rate
Less Transfers and Retirees and Overall Attrition Rate
FY 2001 – FY 2016 (October)

FY 2015 Attrition Rate Less Transfers and Retirees: 4.32%
FY 2015 Overall Attrition Rate: 5.6%
(Most recent overall government attrition rate was 6.2% in FY13)
Actual Monthly Serialized and RCE Filings Received in October 2014 through October 2015
Design Filings
FY 2009 – FY 2015
Design Unexamined Application Inventory
FY 2011 – FY 2015

FY 2015 Result: 39,295
Design First Action Pendency and Total Pendency
FY 2011 – FY 2015

Design Total Pendency for FY 2015: 19.4 months.

First Action Pendency as of June 2015: 15.3 months.
# Track One Filings (through FY15)

<table>
<thead>
<tr>
<th>Petitions Received</th>
<th>October</th>
<th>November</th>
<th>December</th>
<th>January</th>
<th>February</th>
<th>March</th>
<th>April</th>
<th>May</th>
<th>June</th>
<th>July</th>
<th>August</th>
<th>September</th>
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<td>720</td>
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Track One Pendency

• Average time from Filing to Petition grant: 1.3 months
• Average time from petition grant to first action: 2.4 months
• Average time from petition grant to final disposition: 6.5 months
• Average time from petition grant to allowance: 5.2 months
Track One Results

The Track One final disposition numbers displayed are broken out by final rejections, abandonments, allowances and notice of appeals. The totals are cumulative since inception of the program.

Cumulative Through
October 2015
# First Action Interview Program

<table>
<thead>
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<tr>
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<tr>
<td>Pre-interview Communications</td>
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<td>Interviews</td>
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<td>Total Allowances</td>
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<td>First Action allowances</td>
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<td>First Action allowance rate, FAI cases</td>
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<td>First Action allowance rate, all cases</td>
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Cumulative PPH Filings from 2010 - Present in CY

<table>
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<tr>
<th>Year and Month of First PPH Petition Filing</th>
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Application with Petition Requests

Cumulative PPH Filings Last 12 Months
Questions and Comments

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