

**UNITED STATES
PATENT AND TRADEMARK OFFICE**



Patent Public Advisory Committee Quarterly Meeting

Patent Operations Update

Donald Hajec

Assistant Deputy Commissioner for Patent Operations

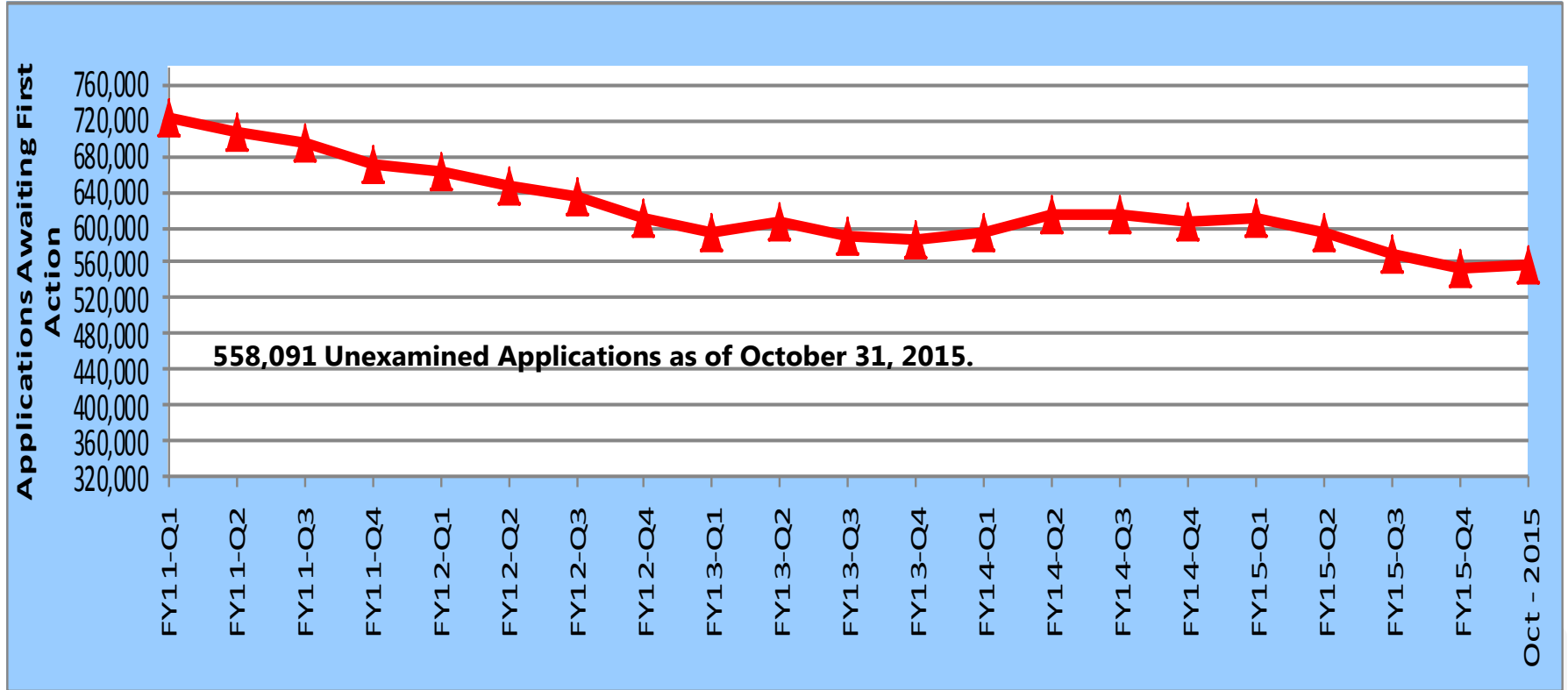
November 19, 2015

UNITED STATES
PATENT AND TRADEMARK OFFICE



Unexamined Patent Application Inventory

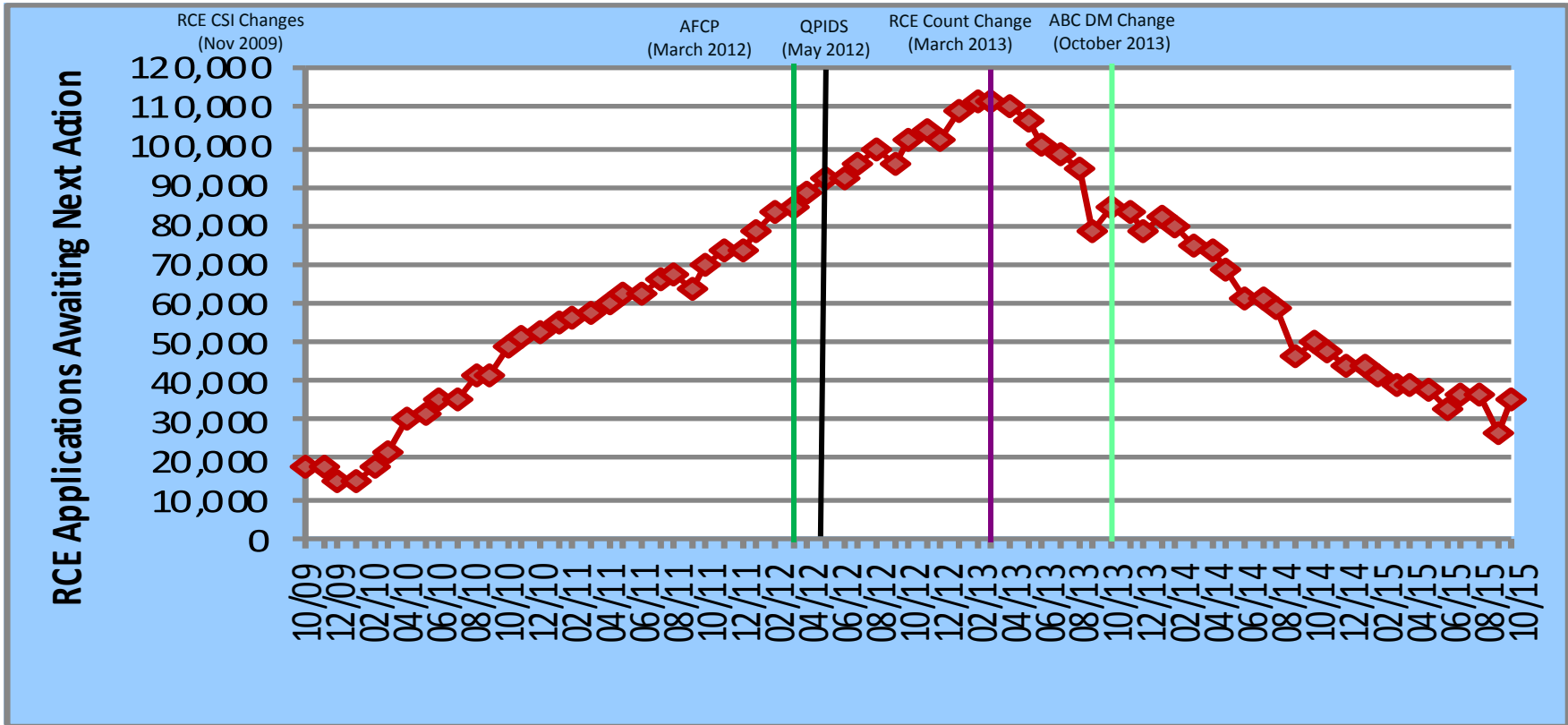
FY 2011 – FY 2016 (October)



FY 2015 Result: 553,221.

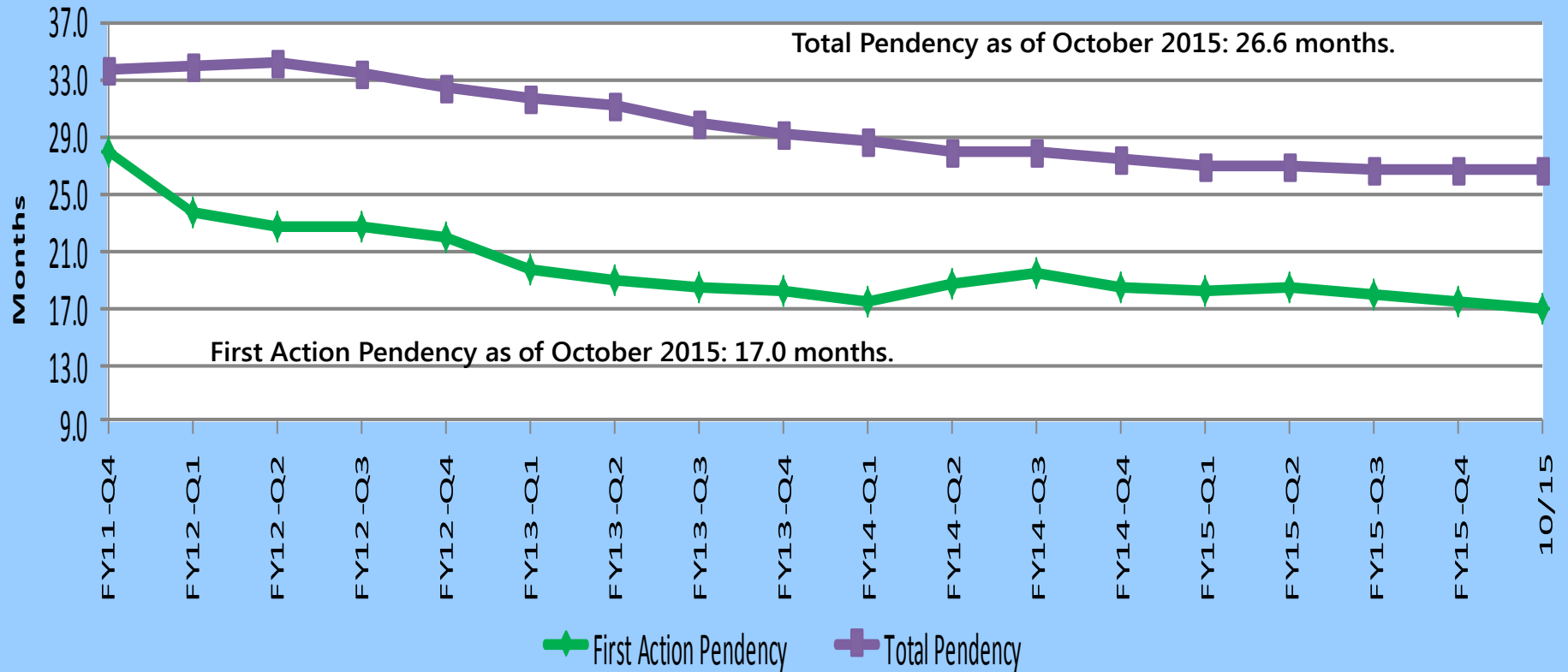
RCE Inventory

FY 2010 – FY 2016 (October)

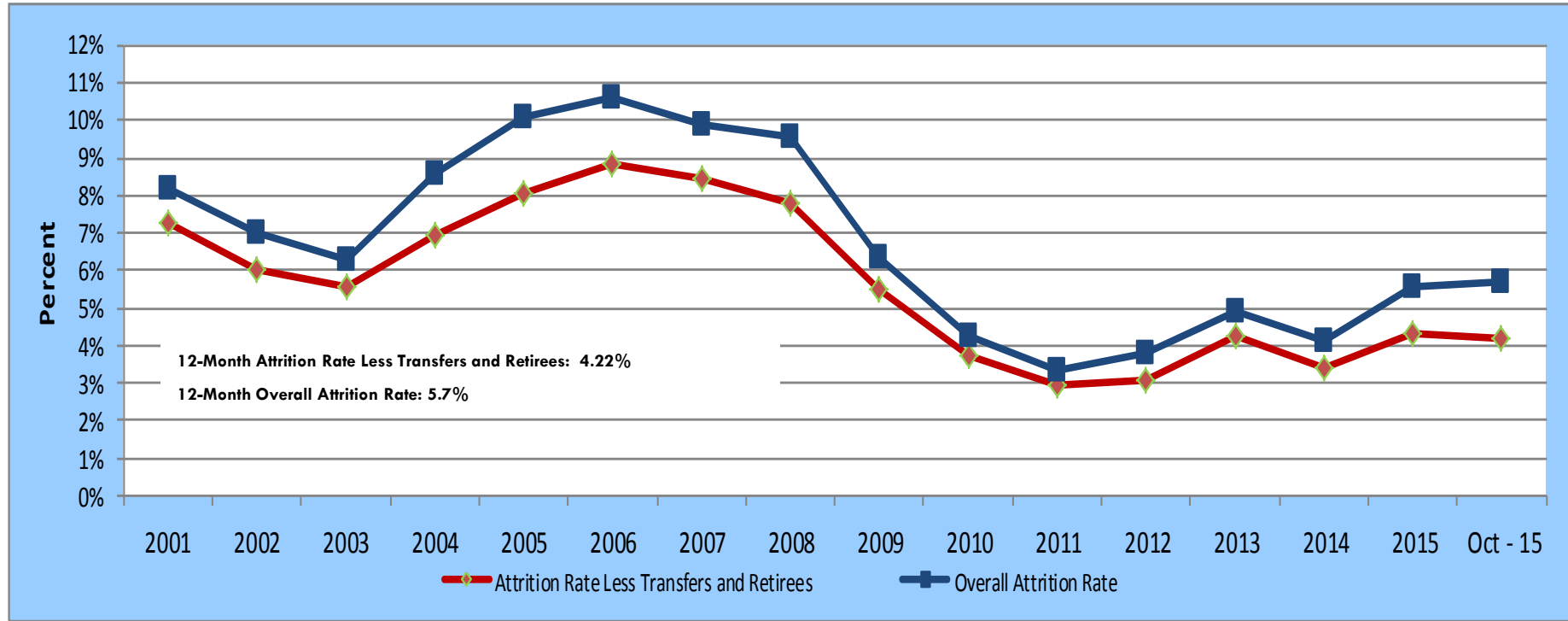


First Action Pendency and Total Pendency

FY 2011 – FY 2016 (October)



12-Month Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2016 (October)

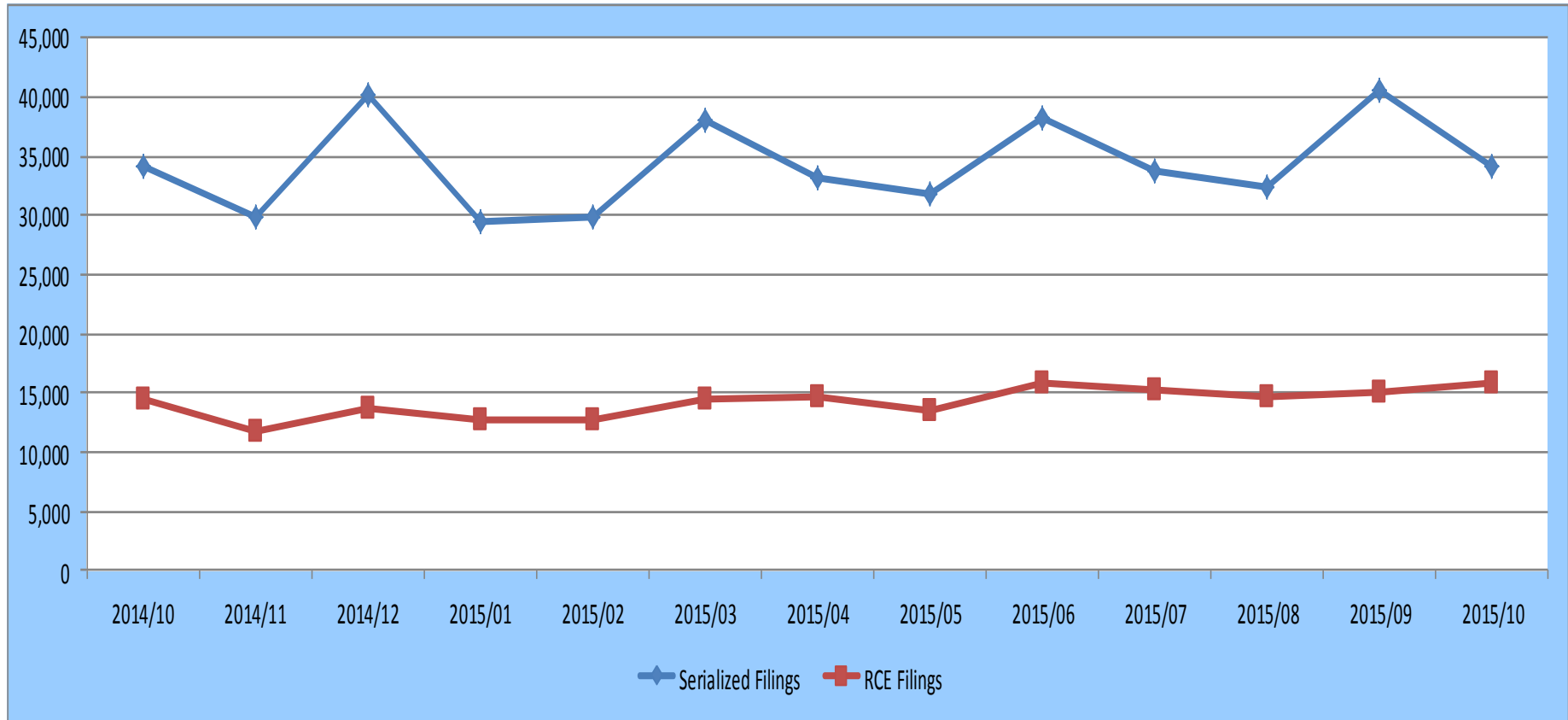


FY 2015 Attrition Rate Less Transfers and Retirees: 4.32%

FY 2015 Overall Attrition Rate: 5.6%

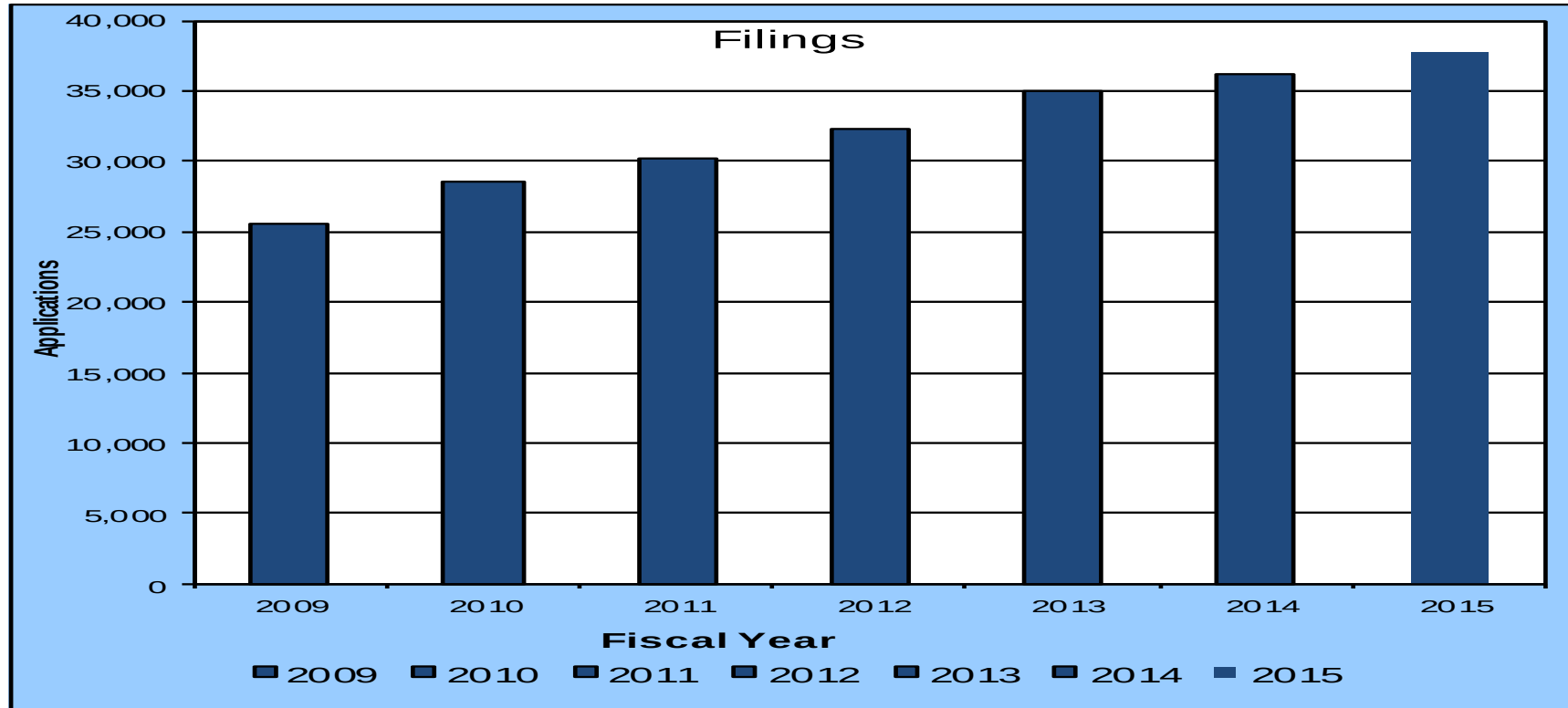
(Most recent overall government attrition rate was 6.2% in FY13)

Actual Monthly Serialized and RCE Filings Received in October 2014 through October 2015



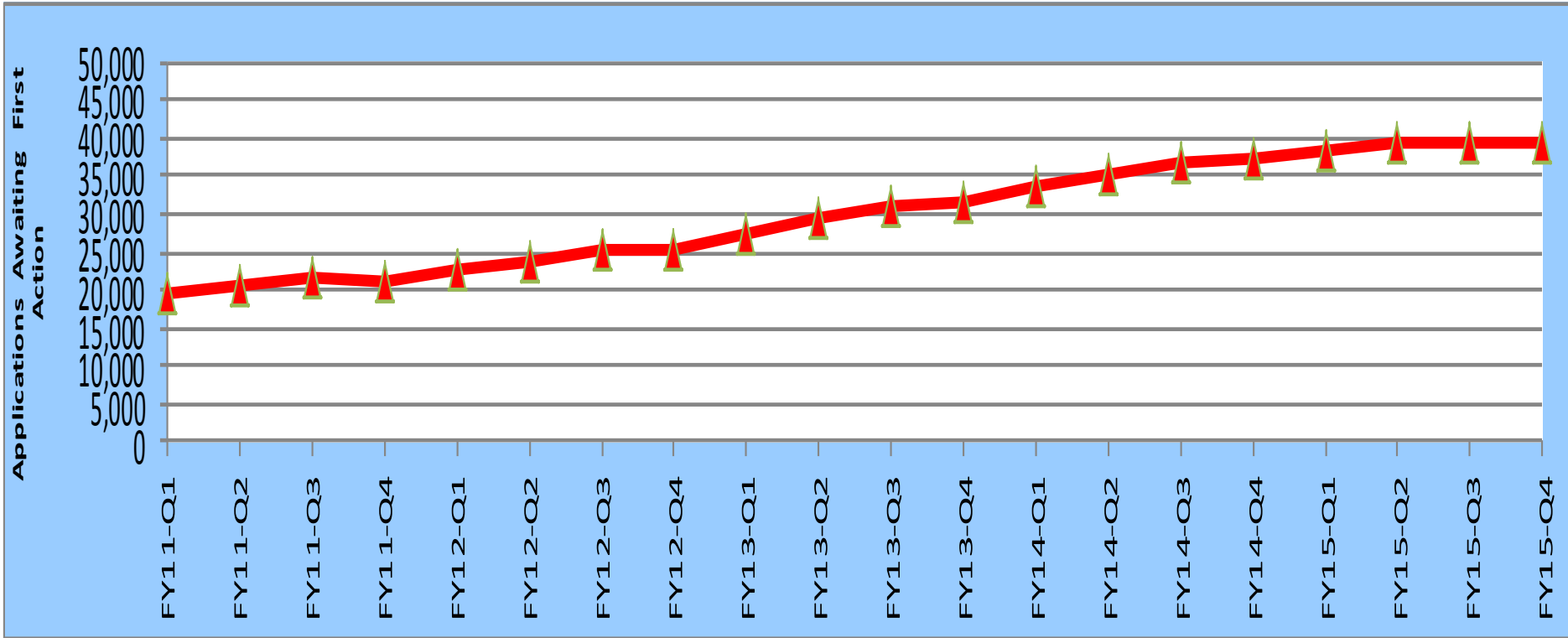
Design Filings

FY 2009 – FY 2015



Design Unexamined Application Inventory

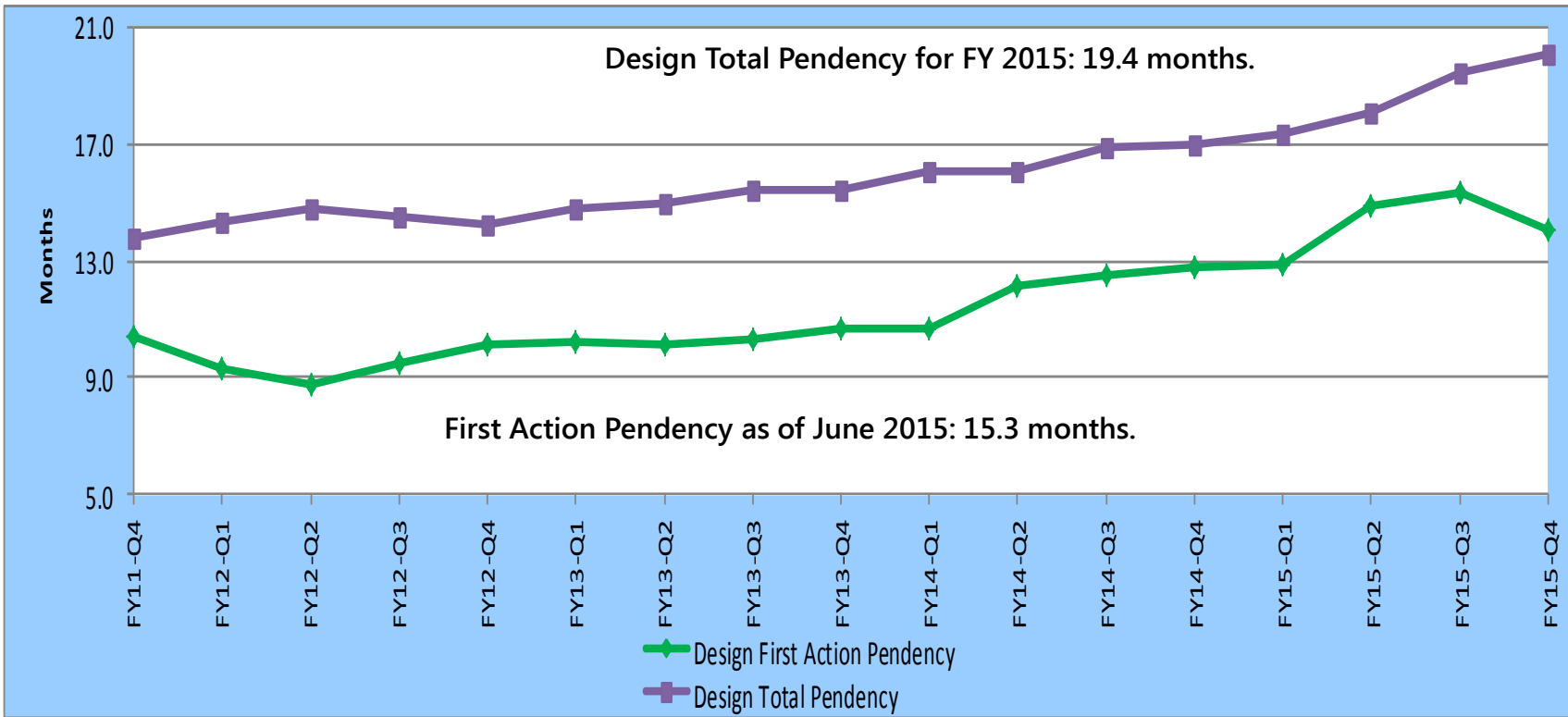
FY 2011 – FY 2015



FY 2015 Result: 39,295

Design First Action Pendency and Total Pendency

FY 2011 – FY 2015



Track One Filings

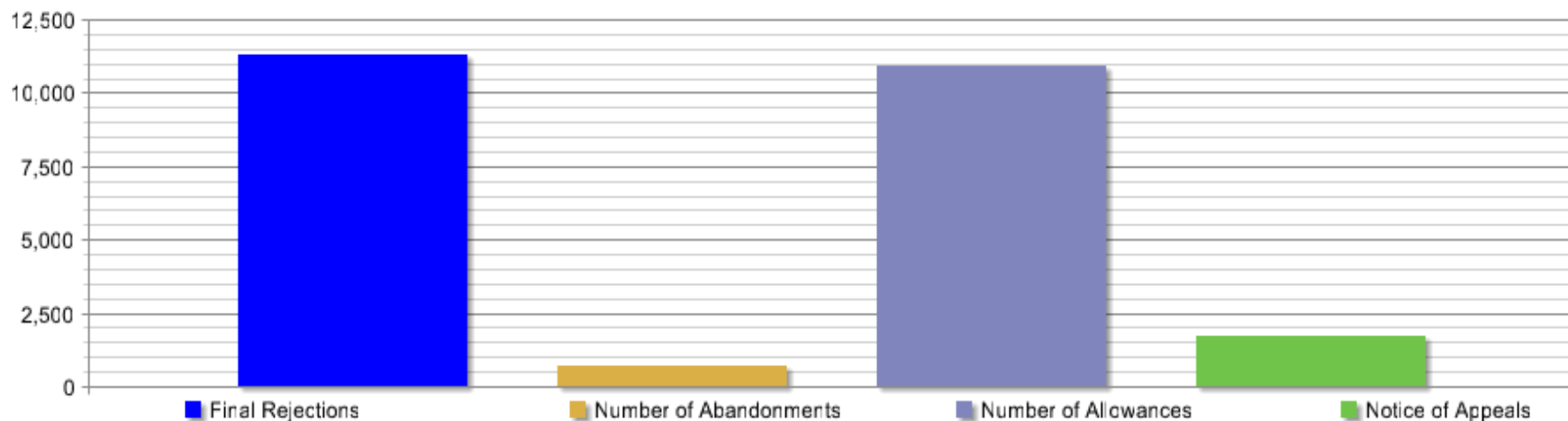
(through FY15)

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	513	575	504	468	1,029	400	508	530	584	642	636	6,894
FY 14	715	570	732	650	629	1,028	822	803	795	796	673	911	9,124
FY 15	833	633	758	662	665	867	778	768	877	868	720	857	9,286

Track One Pendency

- Average time from Filing to Petition grant: 1.3 months
- Average time from petition grant to first action: 2.4 months
- Average time from petition grant to final disposition: 6.5 months
- Average time from petition grant to allowance: 5.2 months

Track One Results



The Track One final disposition numbers displayed are broken out by final rejections, abandonments, allowances and notice of appeals. The totals are cumulative since inception of the program.

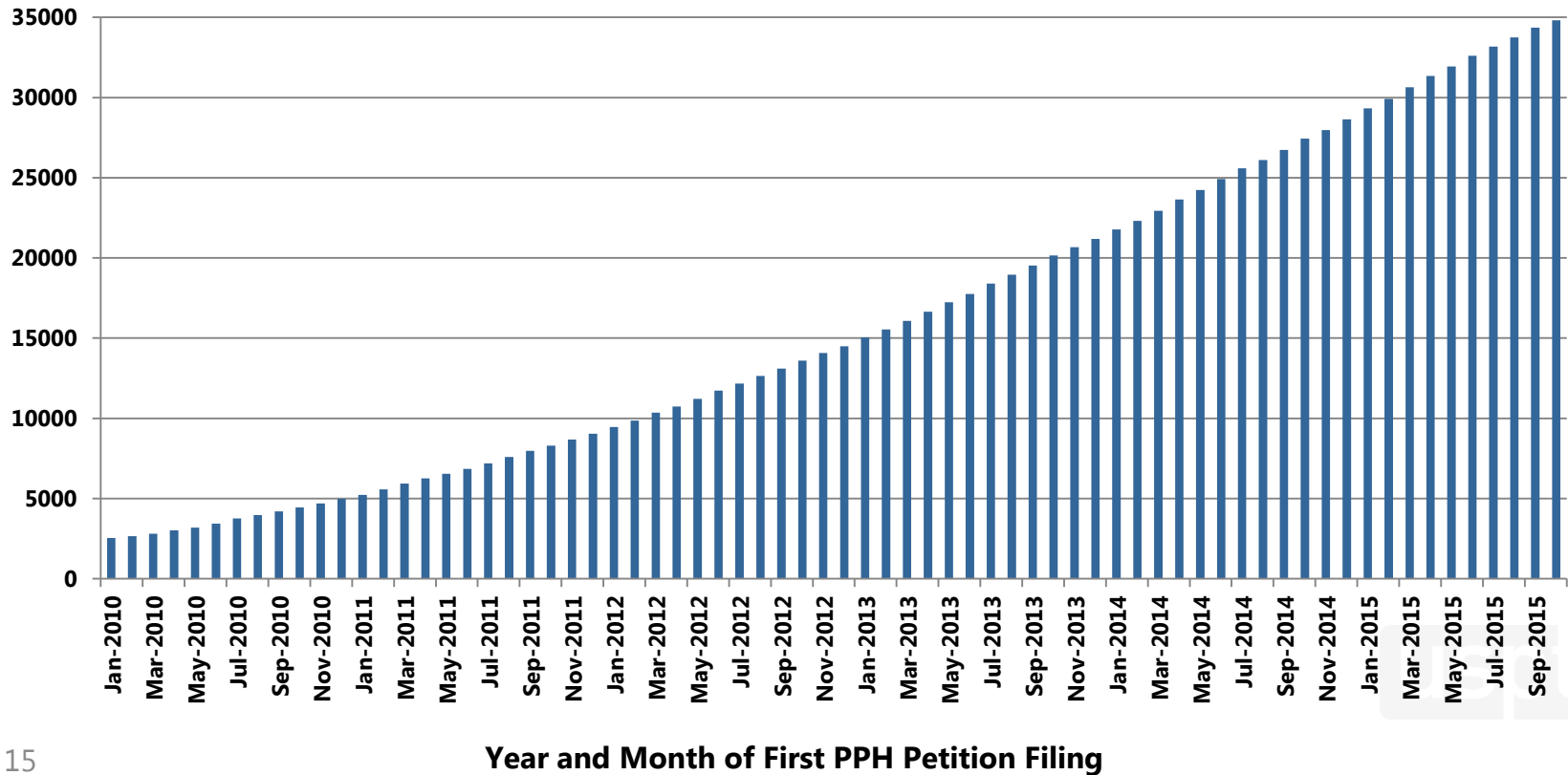
**Cumulative Through
October 2015**

First Action Interview Program

	Total
Total applications	6051
Pre-interview Communications	3754
Interviews	3604
Total Allowances	3501
First Action allowances	1464
First Action allowance rate, FAI cases	30%
First Action allowance rate, all cases	12%

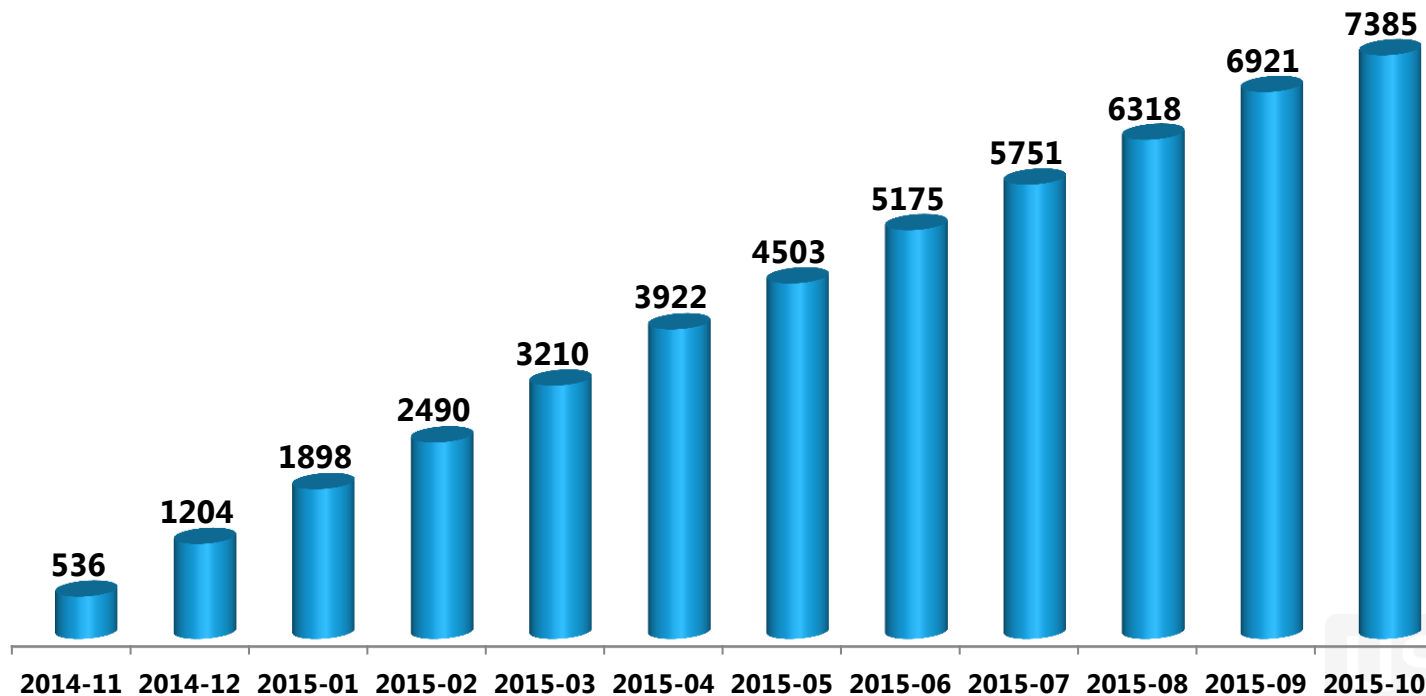
Cumulative PPH Filings from 2010 - Application with Petition Requests

Cumulative PPH Filings from 2010 – Present in CY



Application with Petition Requests

Cumulative PPH Filings Last 12 Months



Questions and Comments

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