Patent Public Advisory Committee
Quarterly Meeting

International Update
Global Dossier

Shira Perlmutter
Chief Policy Officer and Director for International Affairs

Mark Powell
Deputy Commissioner for International Patent Cooperation

November 19, 2015
Overview of Global Dossier
The IP5

First meeting held May 2007

Members:

• European Patent Office (EPO)
• Japan Patent Office (JPO)
• Korean Intellectual Property Office (KIPO)
• State Intellectual Property Office of the People’s Republic of China (SIPO)
• United States Patent & Trademark Office (USPTO)
Evolution of filings at the IP5 (2004-2014)

- EPO
- JPO
- KIPO
- SIPO
- USPTO

[Graph showing the evolution of filings at the IP5 from 2004 to 2014.]
Global Dossier is a set of business services modernizing the global patent system and delivering benefits to all stakeholders.
Global Dossier Task Force

Members:

- **IP5 Offices**
  - United States Patent and Trademark Office (USPTO)
  - European Patent Office (EPO)
  - Japan Patent Office (JPO)
  - Korean Intellectual Property Office (KIPO)
  - State Intellectual Property Office of the People's Republic of China (SIPO)

- **Industry IP5 Members**
  - American Intellectual Property Law Association (AIPLA)
  - Intellectual Property Owners Association (IPO)
  - Japan Intellectual Property Association (JIPA)
  - Korea Intellectual Property Association (KINPA)
  - Patent Protection Association of China (PPAC)
  - BUSINESSEUROPE

- **World Intellectual Property Organization (WIPO)**
Global Dossier
First Release Demo
Global Dossier Family List

Enter number

Welcome to Global Dossier

Global Dossier Public Access

The first service being provided by the Global Dossier Initiative is a secure, online access to the file histories of related applications from participating IP Offices, which currently include the USPTO.

By using this service, users can see the patent family for a specific application, containing all related applications filed at participating IP Offices, along with the dossier, classification, and citation data for these applications. This service also provides Office Action Indicators to help users identify applications that contain office actions, a Collections View for saving documents and applications for review later on in the session, and the ability to download the documents in an application.

For more information, please visit the Global Dossier initiative page.
Global Dossier Family List

Download list of patent family members

Publication Link
Global Dossier Quick View

Collapse/Expand Quick View

Quick View Display
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Global Dossier History

History of viewed applications
Global Dossier Classification Data

Classification Data

IPCB
H01L 21/077

CPC
B23K 26/0846
B23K 26/0853
B23K 26/0861

Classification Description

- B23K 26/0846: ... (for moving elongated workpieces longitudinally, e.g. wire or strip material)
- B23K 26/0853: ... {Devices involving movement of the workpiece in at least in two axial directions, e.g. in a plane}
- B23K 26/0861: ... (in at least in three axial directions)
### Classification Data

- IPC8: 843J 26/18
- US: 21/30

### Citation Data

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View Full Patent Family
View Application Dossier
View Publication
Global Dossier Availability

**Current Outages**
- JPO OPD Dossier Access Services are down for scheduled maintenance

**Service Availability Status**
- **Offices**
  - USPTO
  - EPO
  - JPO
  - KIPO
  - SIPO
- **Service Availability Status for -- USPTO**
  - **Actual**
    - USPTO
    - UP
  - **Scheduled**
    - USPTO
    - UP

**Service Hours**
- **SCHEDULED OUTAGE HOURS (EST/EDT)**
  - The Scheduled Outage Hours (EST/EDT) are displayed in red text indicated by an asterisk (*).
  - The scope of available document range coverage for EPO is from January 1, 1974 onwards.

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User Feedback

- Timeline view for applications
- Providing access to foreign publications
- Enhanced OA indicator
- Exchanging citation data between Offices
Global Dossier First Release

Coming November 2015:

- Access to all available information about applications and patents in the participating offices with the first service – Dossier access
- Applicants can efficiently track and manage related applications across jurisdictions
- Easier and less costly to perform functions associated with due diligence, technology transfer, and litigation and appeal processes.
IP5 Priorities for Global Dossier

- **USPTO – “Proof-of-Concept for Inter-Office Exchange”**
  - Sharing documents between offices including for example, prior art exchanges, bib data updates, and supporting documents. Viewed as a first step towards cross-filing

- **EPO – “Alerting”**
  - Automated mechanism whereby each office alerts all the other offices, applicants, and representatives of changes in status to an application

- **JPO – “XML”**
  - Enabling each office, and possibly applicants and representatives, to download all application-related data from applications pending in other offices in XML format

- **KIPO – “Applicant Name Standardization”**
  - An automated mechanism that will assign a single, unique name to entities with applications pending in multiple office, including in instances where those entities may have used multiple names, or variations of a single name, to identify themselves

- **SIPO – “Legal Status”**
  - A mechanism to allow users to view the legal status of an application in another office
Benefits of Global Dossier

Cost savings - exploit more IP!

Improved patent quality

Higher value patents

Decreased time to file internationally

Increased ease of international filing
Questions and Comments

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