

**UNITED STATES
PATENT AND TRADEMARK OFFICE**



Patent Public Advisory Committee Quarterly Meeting

USPTO Budget Update

Tony Scardino
Chief Financial Officer

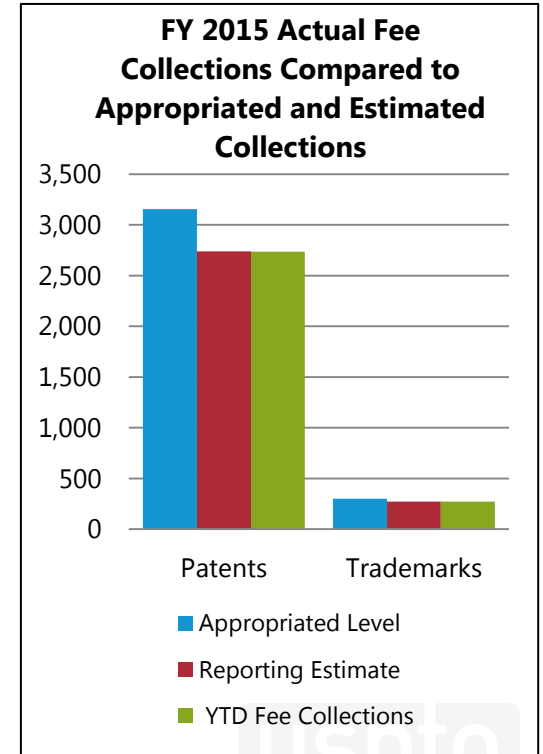
November 19, 2015

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FY 2015 Patent Fee Summary

- USPTO collected a total of \$3.0B
 - Patents: \$2.7B, Trademarks: \$272.0M
- Patents collections were:
 - \$4.0M, or 0.1%, below the reporting estimate
 - \$420.3M, or 13%, below the appropriation level



FY 2015 End-of-Year Funding

	PATENTS	TRADEMARKS	TOTAL
Actual Fee Collections	\$2,736,792,837	\$271,962,806	\$3,008,755,643
Actual Prior Year Operating Reserve	493,710,849	157,245,976	650,956,825
Actual Other Income	18,420,952	4,236,360	22,657,312
OIG Transfer	(1,817,844)	(182,156)	(2,000,000)
<i>Total Year-to-Date Available Income</i>	<i>3,247,106,795</i>	<i>433,262,985</i>	<i>3,680,369,780</i>
<i>Total Year-to-Date Spending</i>	<i>2,844,604,721</i>	<i>331,480,554</i>	<i>3,176,085,276</i>
EOY Available Resources	\$402,502,074	\$101,782,431	\$504,284,504

FY 2016 Status

- The current Continuing Resolution (CR) is through December 11, 2015
 - The USPTO is held at a seasonally adjusted rate of \$3.5B (plus carryover and other income)
 - Spending is being monitored to ensure sufficient resources are available to accommodate current and emerging requirements

FY 2017 Budget

- USPTO is working on the FY 2017 President's Budget submission
 - PACs, DOC, and OMB will receive a draft to review with comments due at the end of January 2016
 - Final document will be transmitted to Congress on February 1, 2016

Biennial Fee Review

- PPAC Public Hearing is scheduled for today
 - All materials have been provided to PPAC and are posted to our website
 - The Hearing allows the public the first opportunity to comment on fee proposals
 - USPTO looks forward to receiving PPAC's written report following the Hearing
- USPTO will incorporate fee proposal recommendations into the fee estimates supporting the FY 2017 President's Budget
- The NPRM is planned to be published Spring 2016
- The proposed effective date of the fee changes is January 2017

Questions and Comments

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