Patent Public Advisory Committee
Quarterly Meeting

USPTO Budget Update

Tony Scardino
Chief Financial Officer

November 19, 2015
FY 2015 Patent Fee Summary

- USPTO collected a total of $3.0B
  - Patents: $2.7B, Trademarks: $272.0M

- Patents collections were:
  - $4.0M, or 0.1%, below the reporting estimate
  - $420.3M, or 13%, below the appropriation level
## FY 2015 End-of-Year Funding

<table>
<thead>
<tr>
<th></th>
<th>PATENTS</th>
<th>TRADEMARKS</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actual Fee Collections</td>
<td>$2,736,792,837</td>
<td>$271,962,806</td>
<td>$3,008,755,643</td>
</tr>
<tr>
<td>Actual Prior Year Operating Reserve</td>
<td>493,710,849</td>
<td>157,245,976</td>
<td>650,956,825</td>
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<tr>
<td>Actual Other Income</td>
<td>18,420,952</td>
<td>4,236,360</td>
<td>22,657,312</td>
</tr>
<tr>
<td>OIG Transfer</td>
<td>(1,817,844)</td>
<td>(182,156)</td>
<td>(2,000,000)</td>
</tr>
<tr>
<td><strong>Total Year-to-Date Available Income</strong></td>
<td>3,247,106,795</td>
<td>433,262,985</td>
<td>3,680,369,780</td>
</tr>
<tr>
<td><strong>Total Year-to-Date Spending</strong></td>
<td>2,844,604,721</td>
<td>331,480,554</td>
<td>3,176,085,276</td>
</tr>
<tr>
<td><strong>EOY Available Resources</strong></td>
<td><strong>$402,502,074</strong></td>
<td><strong>$101,782,431</strong></td>
<td><strong>$504,284,504</strong></td>
</tr>
</tbody>
</table>
FY 2016 Status

• The current Continuing Resolution (CR) is through December 11, 2015
  – The USPTO is held at a seasonally adjusted rate of $3.5B (plus carryover and other income)
  – Spending is being monitored to ensure sufficient resources are available to accommodate current and emerging requirements
FY 2017 Budget

• USPTO is working on the FY 2017 President’s Budget submission
  – PACs, DOC, and OMB will receive a draft to review with comments due at the end of January 2016
  – Final document will be transmitted to Congress on February 1, 2016
Biennial Fee Review

- PPAC Public Hearing is scheduled for today
  - All materials have been provided to PPAC and are posted to our website
  - The Hearing allows the public the first opportunity to comment on fee proposals
  - USPTO looks forward to receiving PPAC’s written report following the Hearing
- USPTO will incorporate fee proposal recommendations into the fee estimates supporting the FY 2017 President’s Budget
- The NPRM is planned to be published Spring 2016
- The proposed effective date of the fee changes is January 2017
Questions and Comments

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