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PATENT AND TRADEMARK OFFICE**

**uspto**



# Patent Public Advisory Committee Quarterly Meeting Overview Enhanced Patent Quality Initiative Comments

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August 20, 2015

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# Sources

- ❖ Examiner Forums/Feedback
- ❖ Patent Quality Summit
- ❖ World Class Patent Quality (WCPQ) Box
  - Internal
  - External\*

\* Official FR Notice Responses

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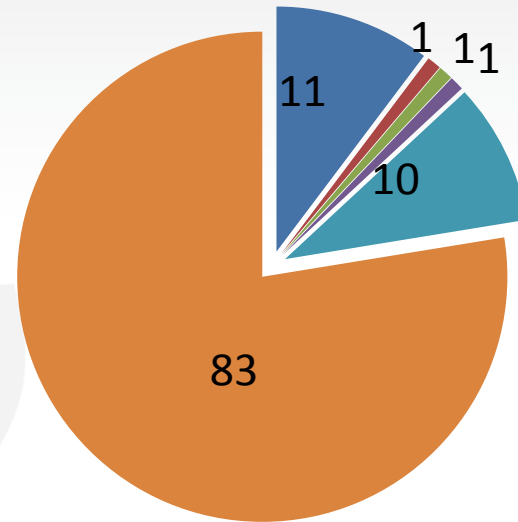




# Official Federal Register Responses

## 107 Responses/Emails

- IP Organizations and Associations
- Government Agencies
- Academic and Research Institutions
- Law Firms
- Companies



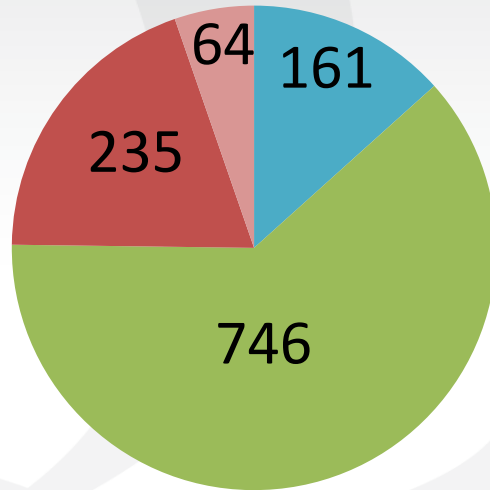
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# Submissions – All Sources

**1206 Submissions\***



- Examiner Forum/Feedback
- Patent Quality Summit
- WCPQ - External
- WCPQ - Internal

\* Response/emails were broken into submissions based on proposal categories. Each email/response, therefore, may map to more than one submission.

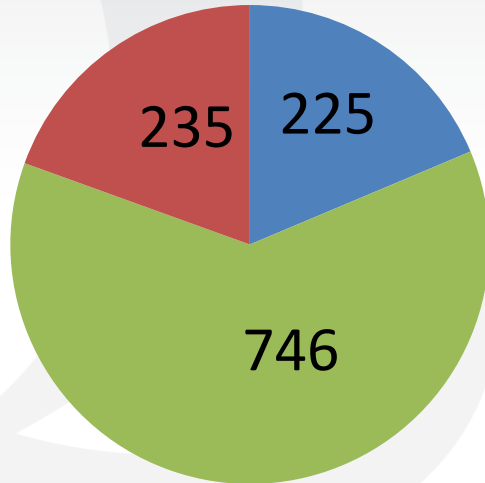
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# Submissions – All Sources

1206 Submissions

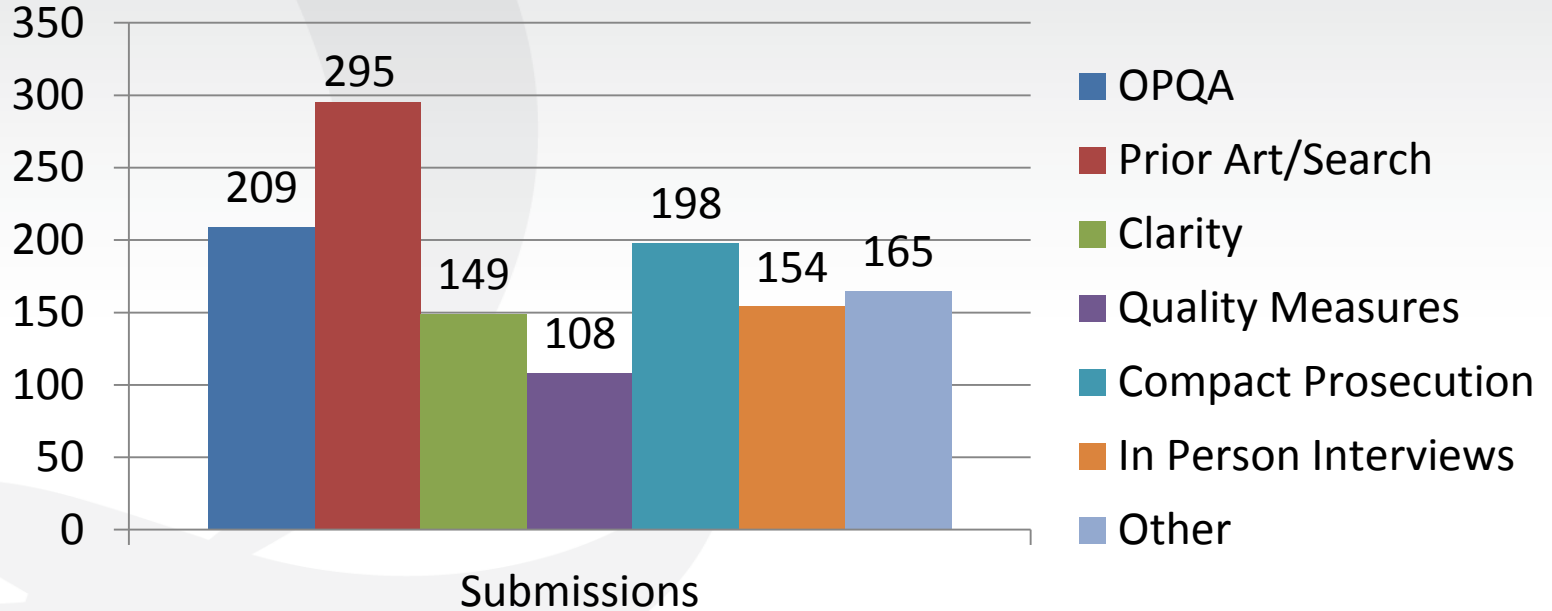


- Internal  
Includes Examiner Forum/Feedback and WCPQ Internal
- Patent Quality Summit
- WCPQ - External





# Topic Distribution – All Sources

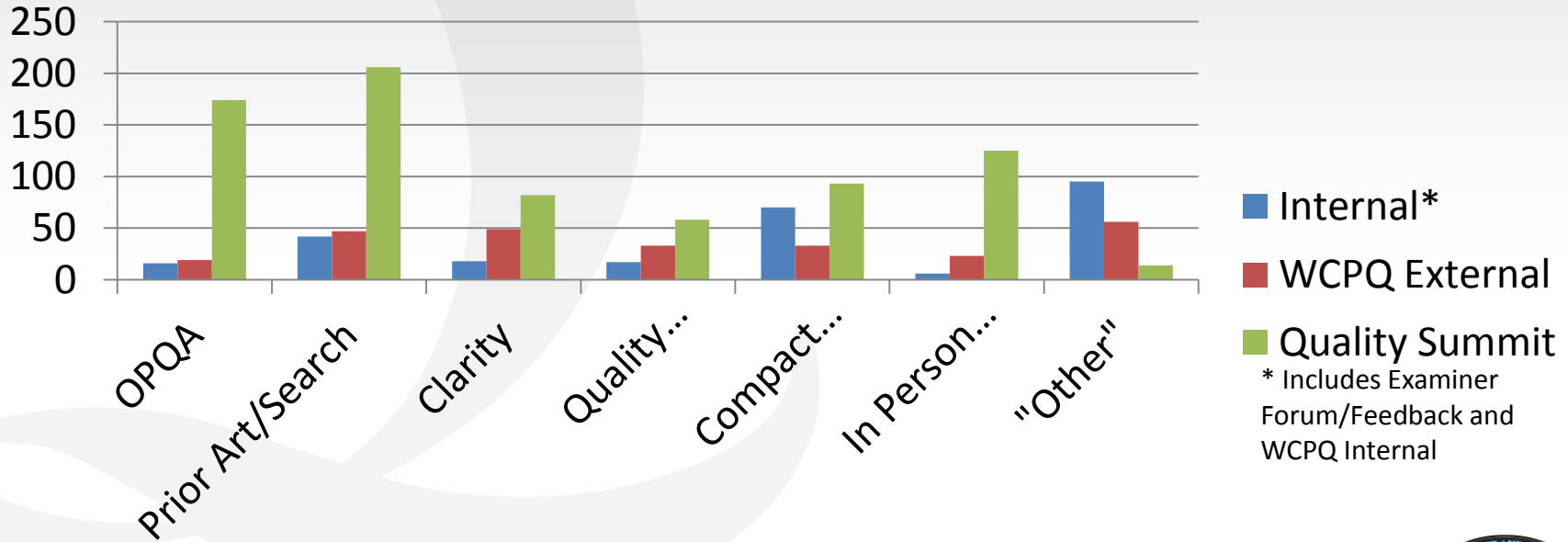


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# Topic Distribution – By Source



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**Enhanced  
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Initiative**

**Focus on  
Proposal 1 Comments  
Applicant Requests OPQA Review**

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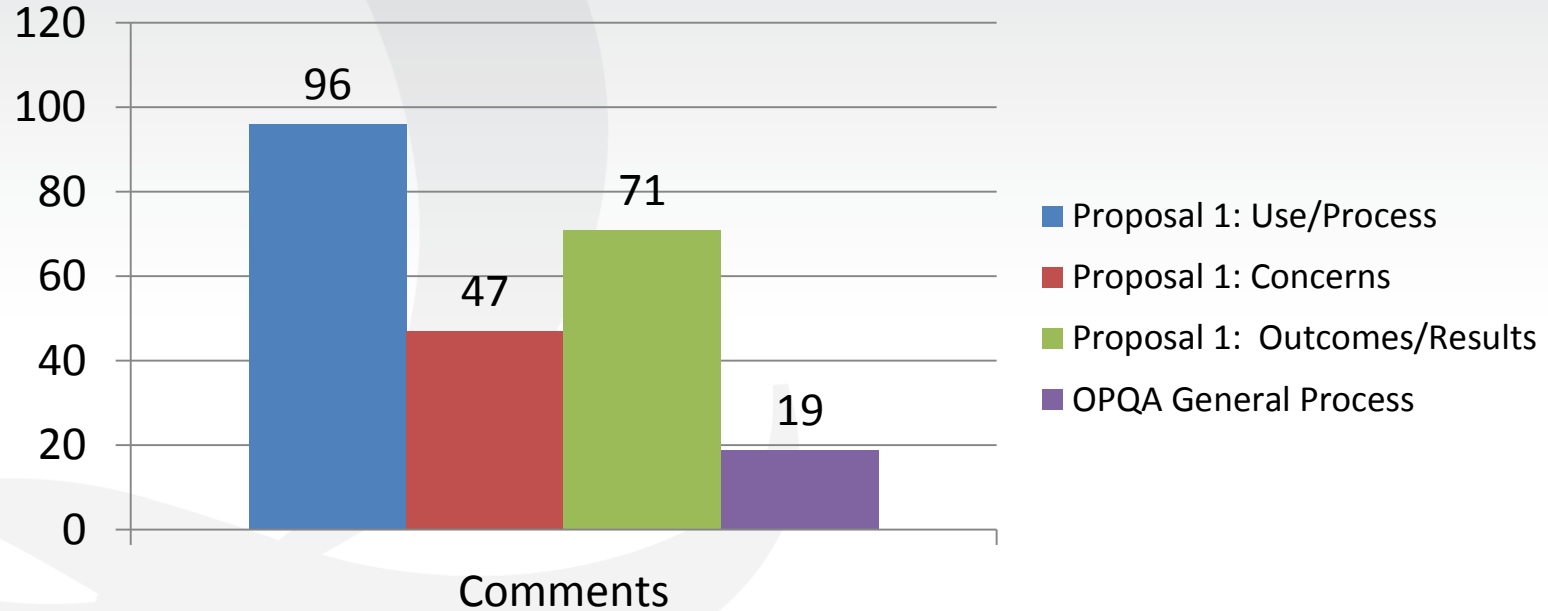
# OPQA Review Topic Distribution

- ❖ Proposal 1: Applicant Requests for Prosecution Review of Selected Applications
  - Use/Process
  - Concerns
  - Outcomes/Results
- ❖ OPQA General Process





# OPQA Review Distribution – All Sources

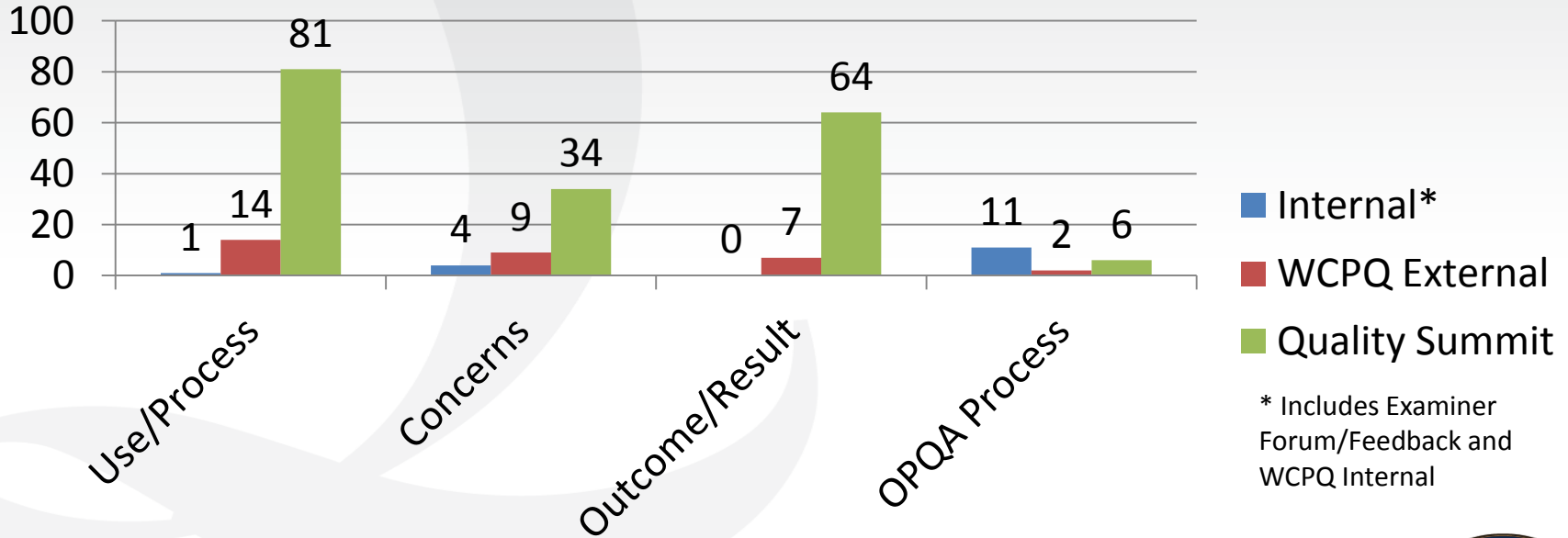


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# OPQA Review Distribution – By Source



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Focus on  
Proposal 2 Comments  
Automated Pre-Examination Search

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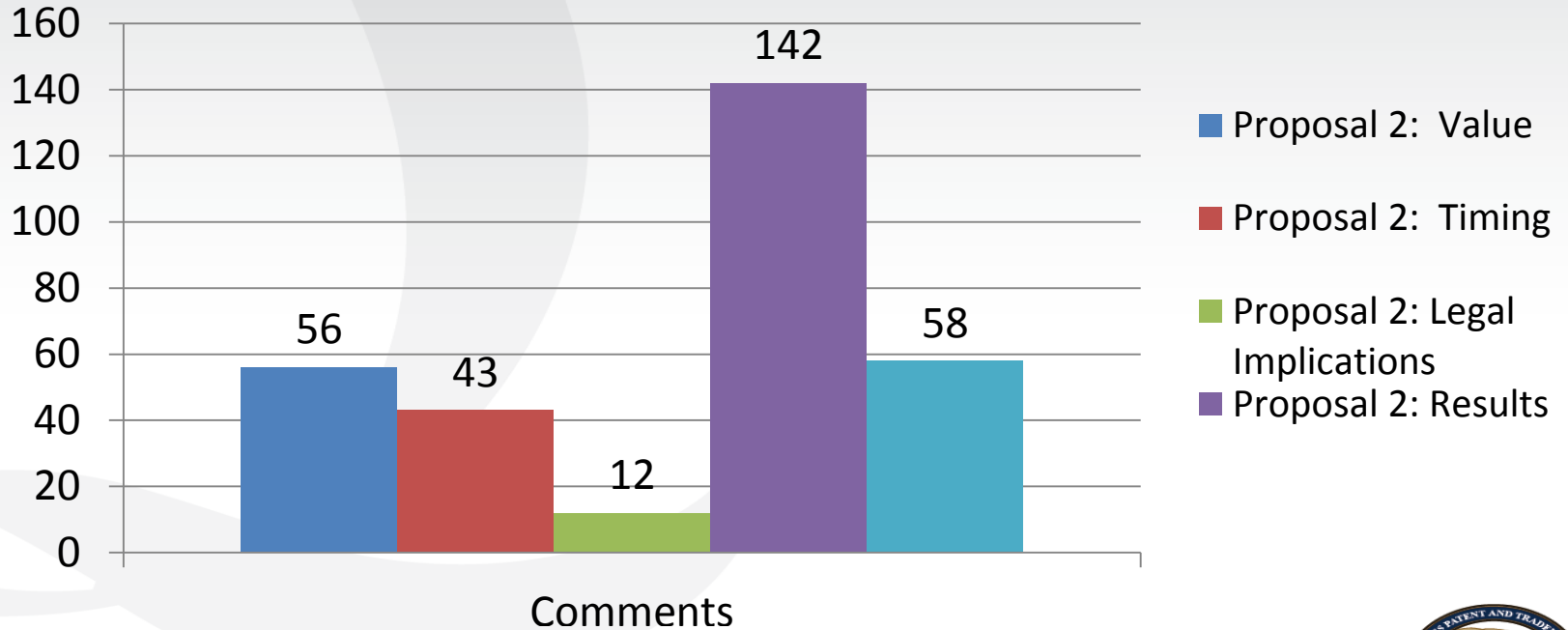
# Prior Art/Search Topic Distribution

- ❖ Proposal 2: Automated Pre-Examination Search
  - Value
  - Timing
  - Legal Implications
  - Results
- ❖ Prior Art/Search General





# Prior Art/Search Distribution – All Sources

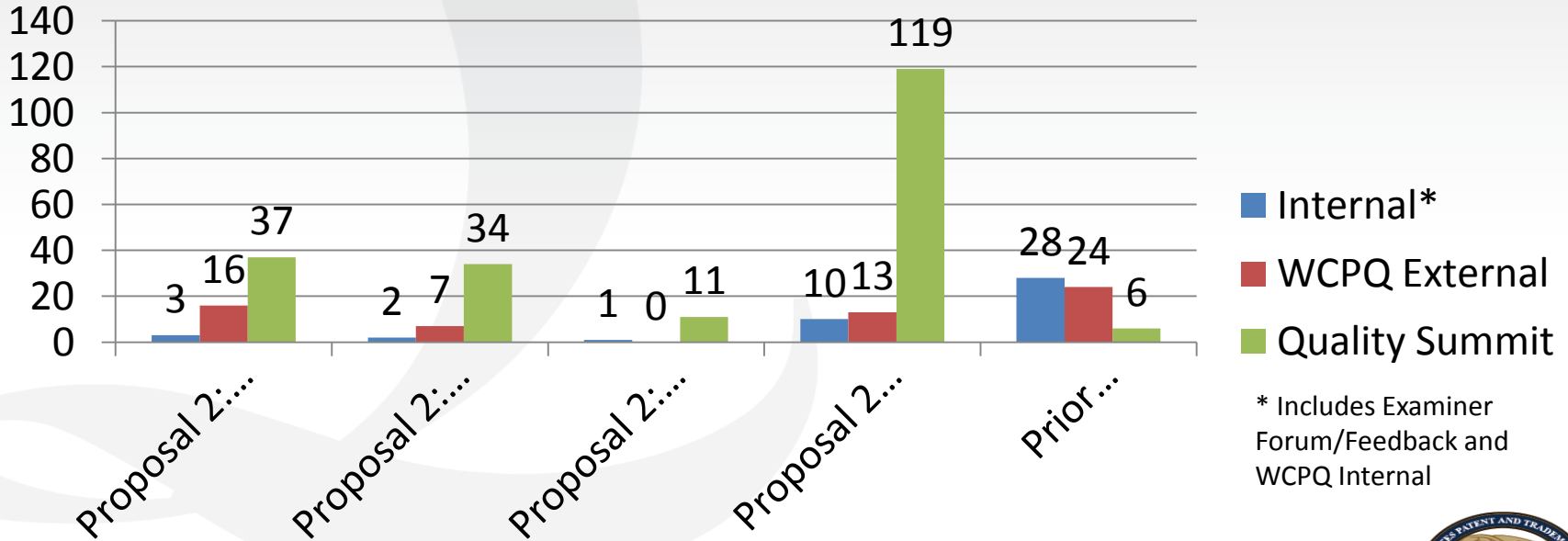


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# Prior Art/Search Distribution – By Source



\* Includes Examiner Forum/Feedback and WCPQ Internal







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**Focus on**  
**Proposal 3 Comments**  
**Clarity of Record**

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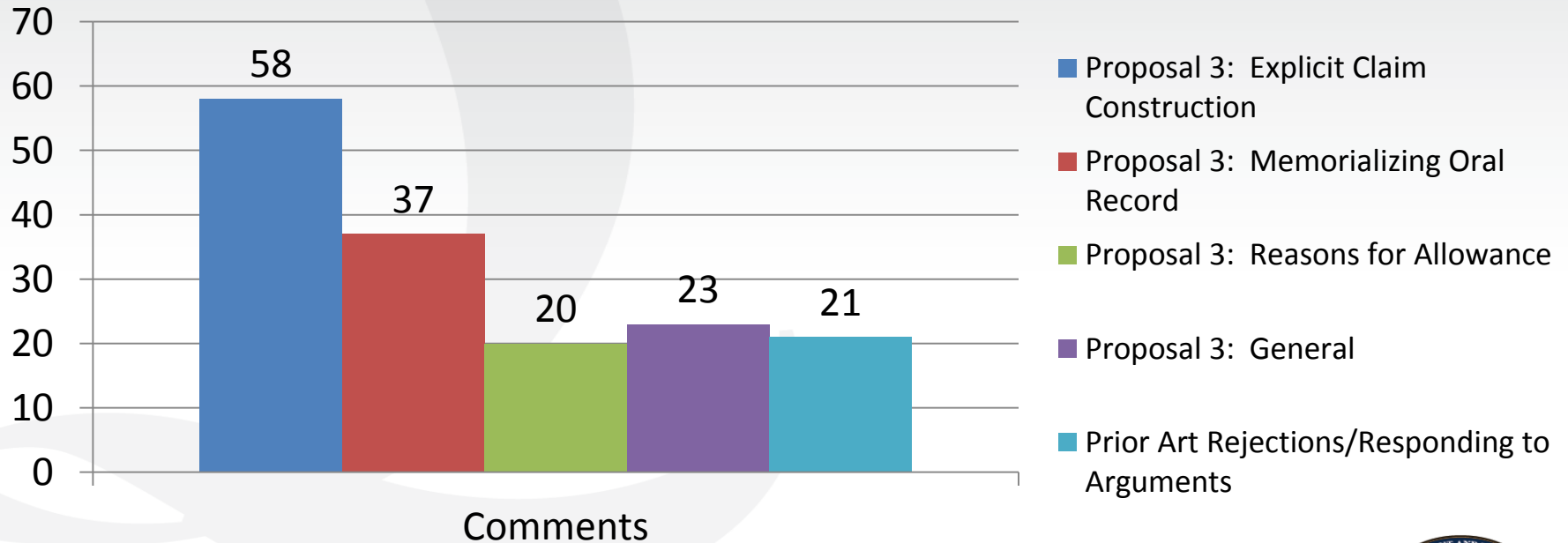
# Clarity of Record Topic Distribution

- ❖ Proposal 3: Clarity of Record
  - Explicit Claim Construction
  - Memorializing Oral Record
  - Reasons for Allowance
  - General
- ❖ Prior Art Rejections/Responding to Arguments





# Clarity Of Record Distribution – All Sources

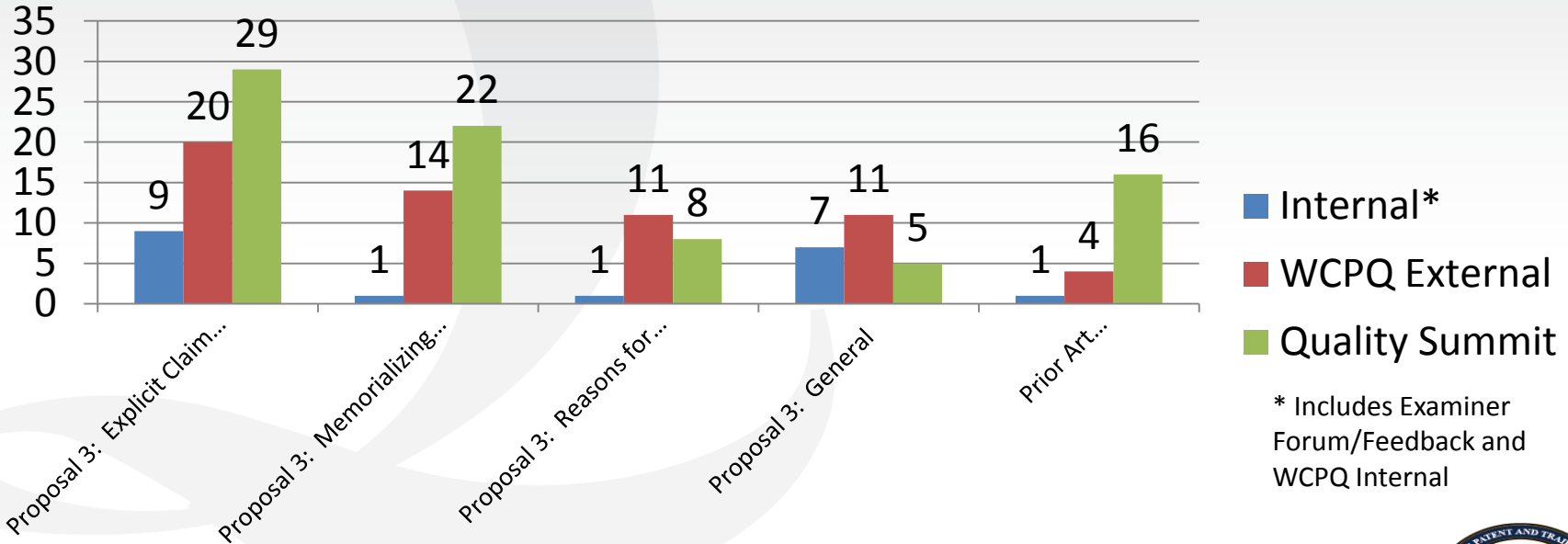


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# Clarity of Record Distribution – By Source



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**Focus on**  
**Proposal 4 Comments**  
**Quality Metrics**

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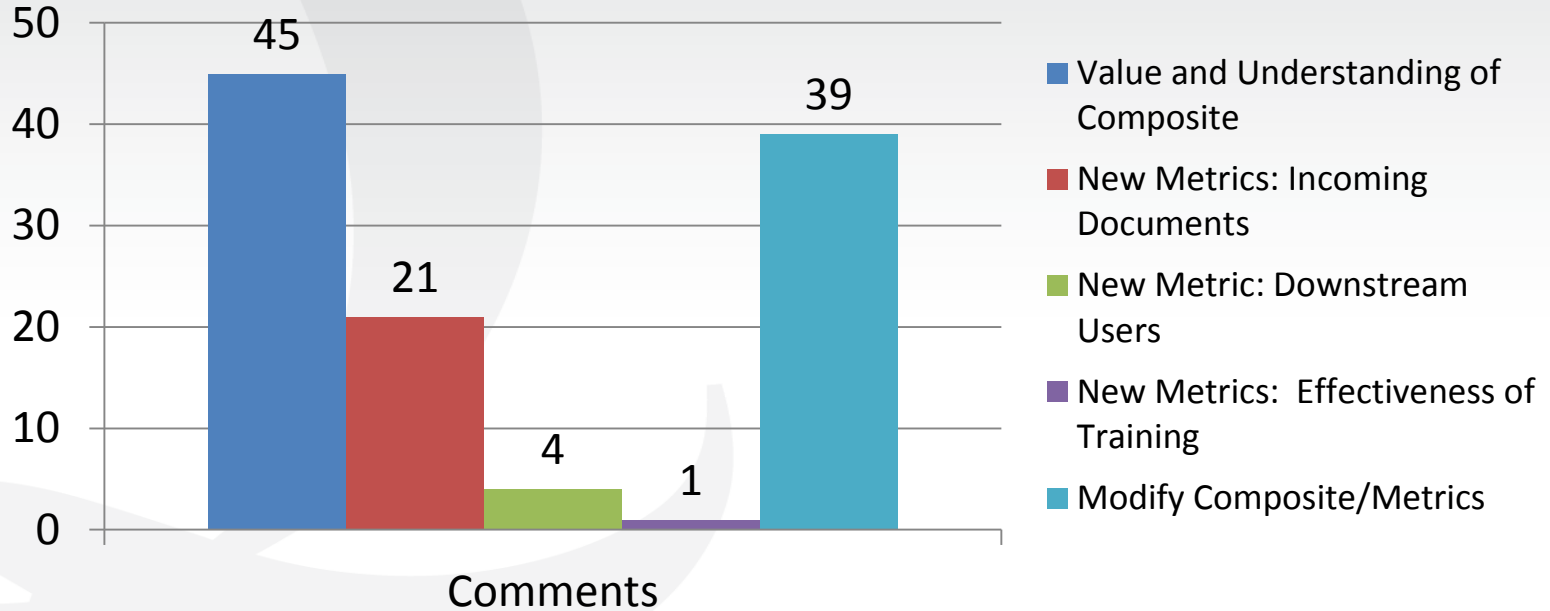
# Quality Metrics Topic Distribution

- ❖ Proposal 4: Review of and Improvements to Quality Metrics
  - Value and Understanding of Composite
  - New Measures
    - Incoming Documents
    - Downstream Users
    - Effectiveness of Training
  - Modify Composite





# Quality Metrics Distribution - All Sources

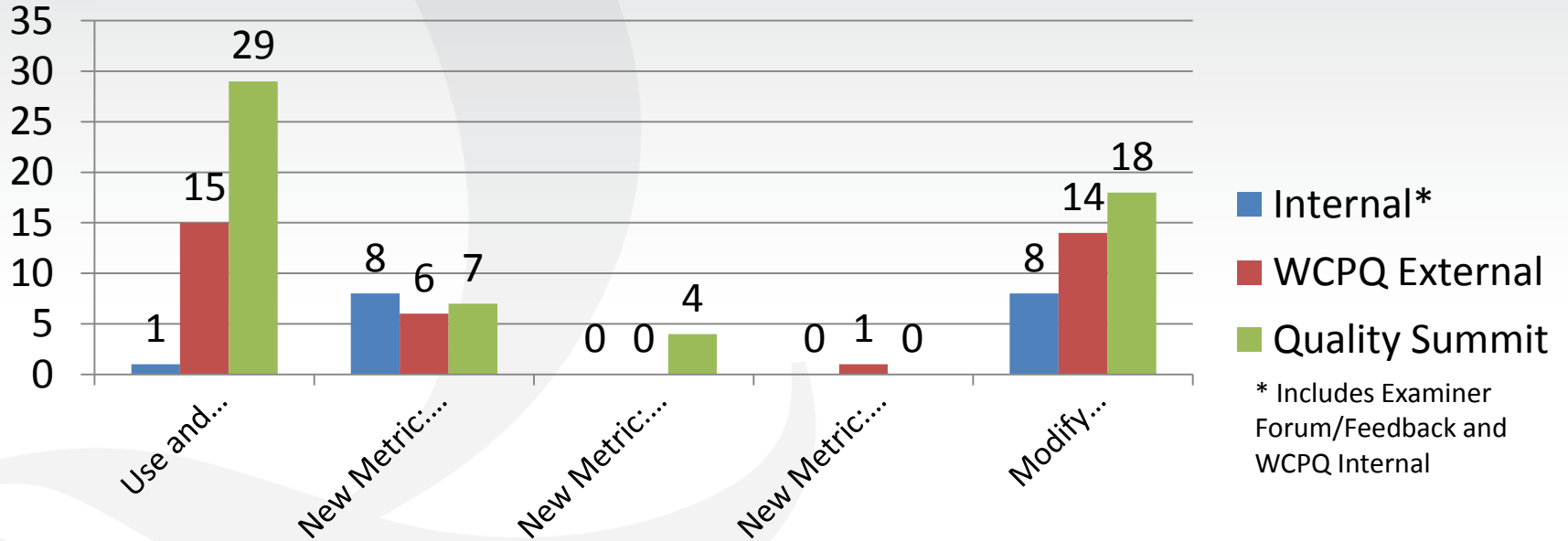


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# Quality Metrics Distribution – By Source







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**Focus on**  
**Proposal 5 Comments**  
**Compact Prosecution**

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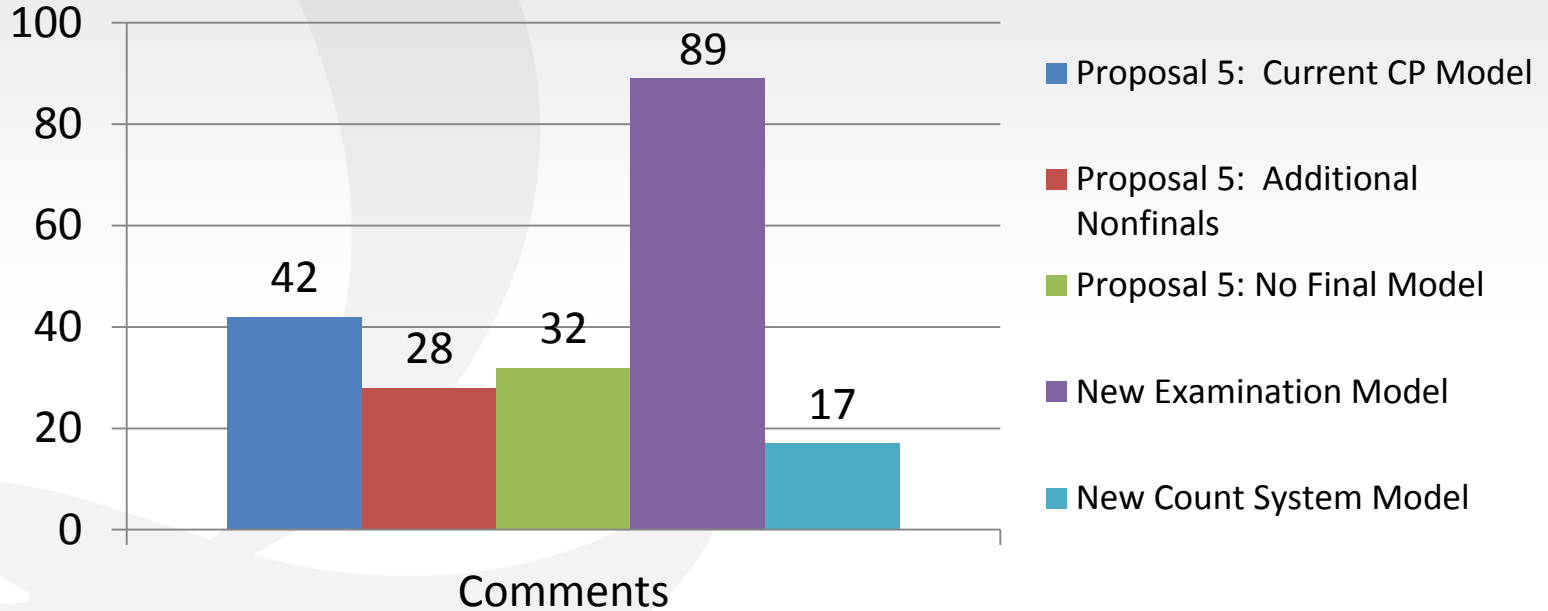
# Compact Prosecution Topic Distribution

- ❖ Proposal 5: Review of Current Compact Prosecution Model and the Effect on Quality
  - Current Compact Prosecution Model
  - Additional Action Before Final
  - No Final Action Model
- ❖ New Examination Model
- ❖ New Count Model





# Compact Prosecution Distribution – All Sources

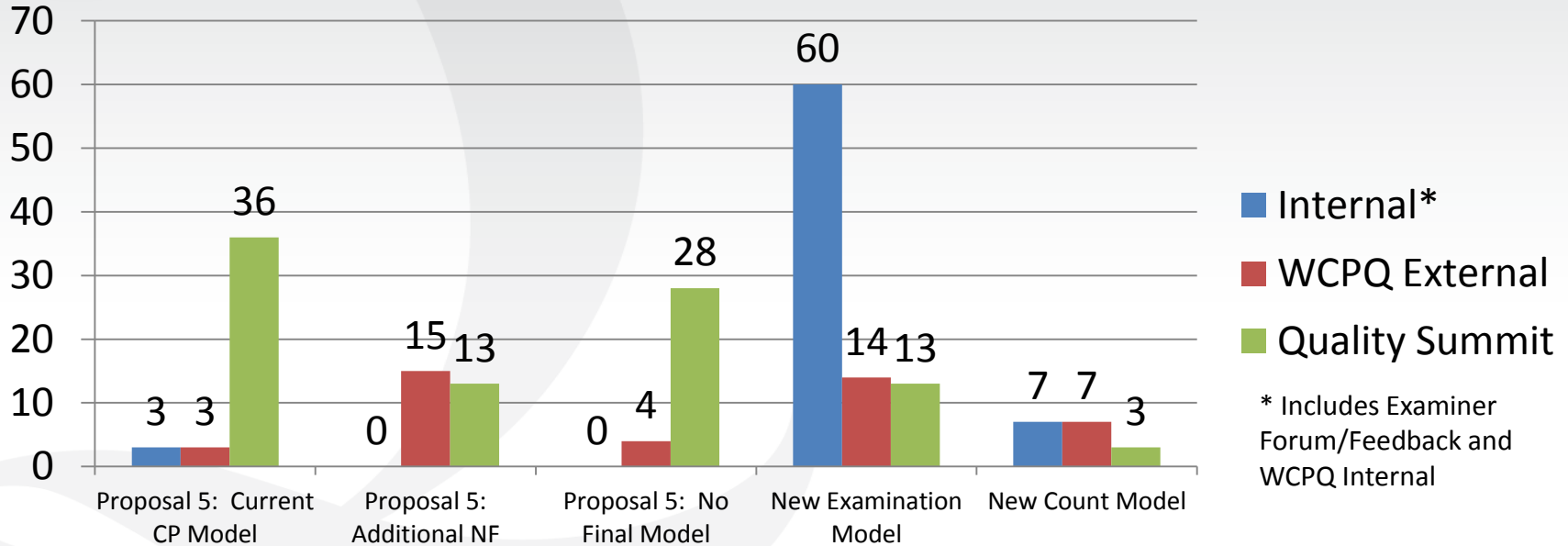


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# Compact Prosecution Distribution – By Source





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**Focus on**  
**Proposal 6 Comments**  
**In-Person Interviews**

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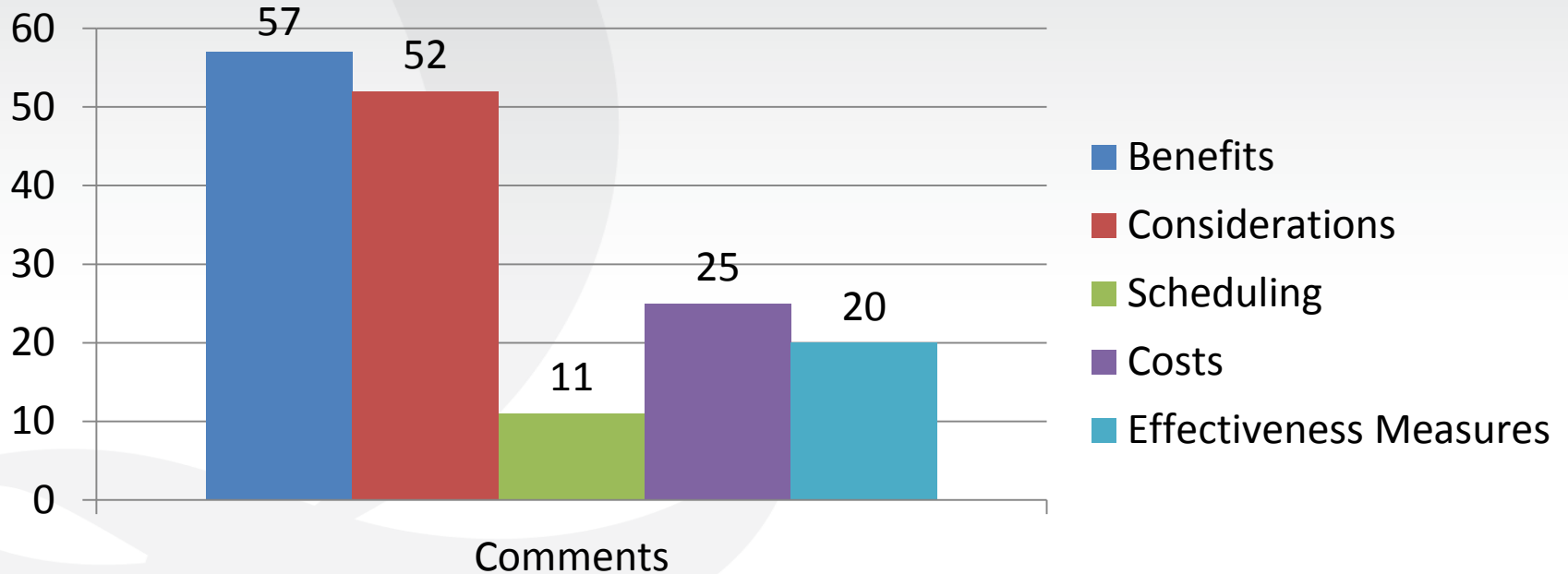
# In-Person Interview Topic Distribution

- ❖ Proposal 6: In-Person Interview Capability with All Examiners
  - Benefits of In Person Interviews Vs. Video Conferencing
    - No Difference
  - Considerations to In Person Interviews at Remote Locations
  - Remote In Person Interview Scheduling
  - Costs
  - Effectiveness Measures





# In-Person Interview Distribution – All Sources

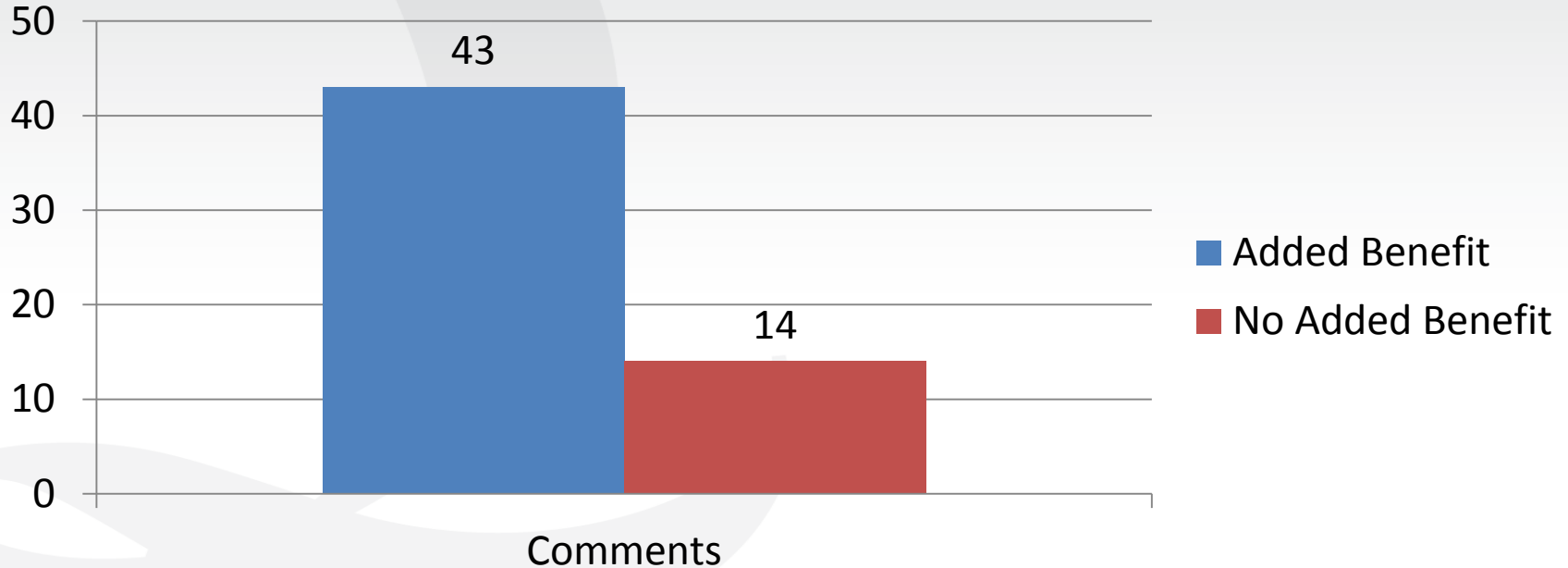


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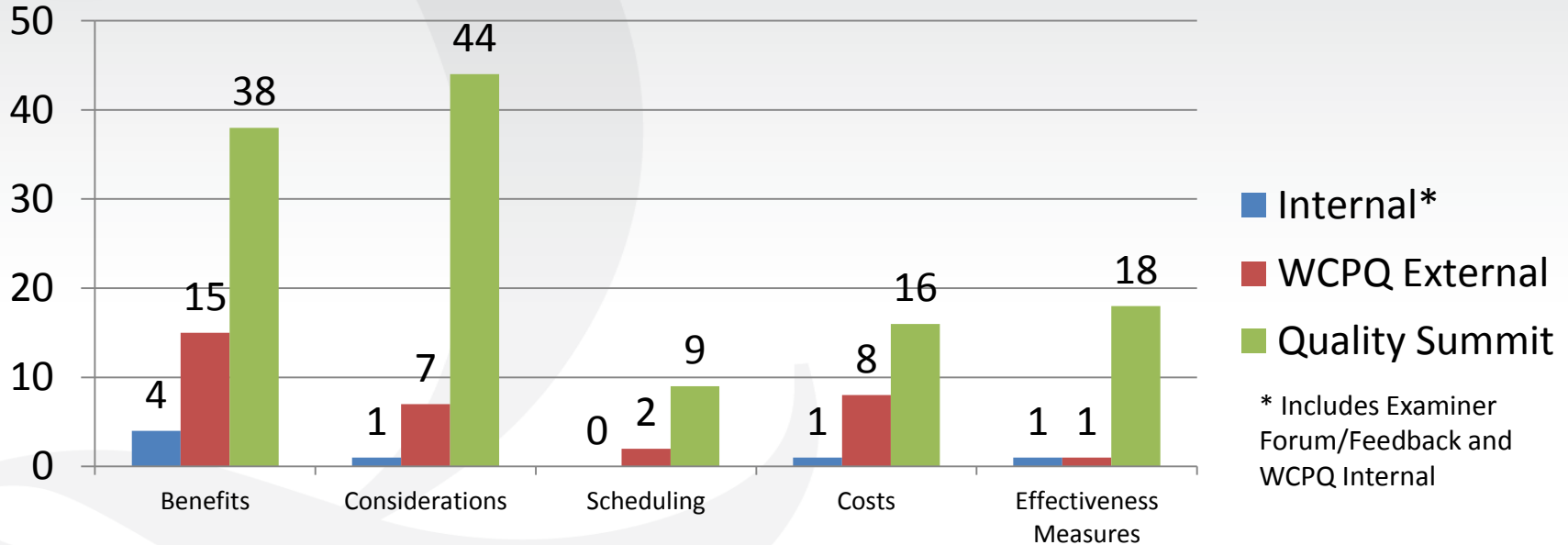
# In-Person Interview – Comment Highlight







# In Person Interviews Distribution – By Source





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Initiative

Focus on  
“Other” Comments  
Miscellaneous

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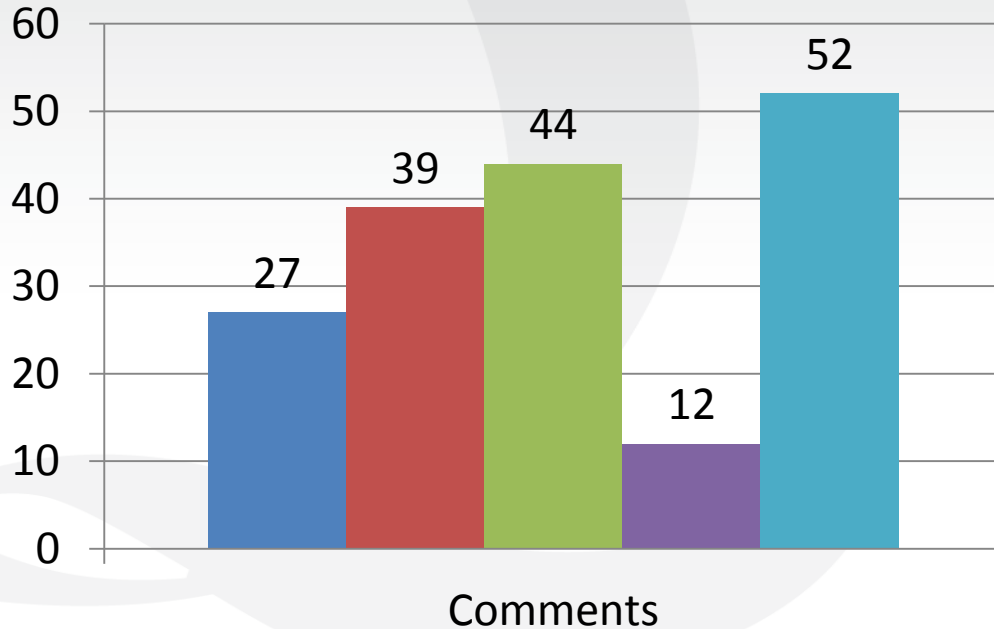
# “Other” Topic Distribution

- ❖ Additional Examiner/SPE Resources
- ❖ More Time for Examiners
- ❖ Improve/Additional Examiner Training
- ❖ Examiner PAP/Awards
- ❖ Misc.





# “Other” Distribution – All Sources

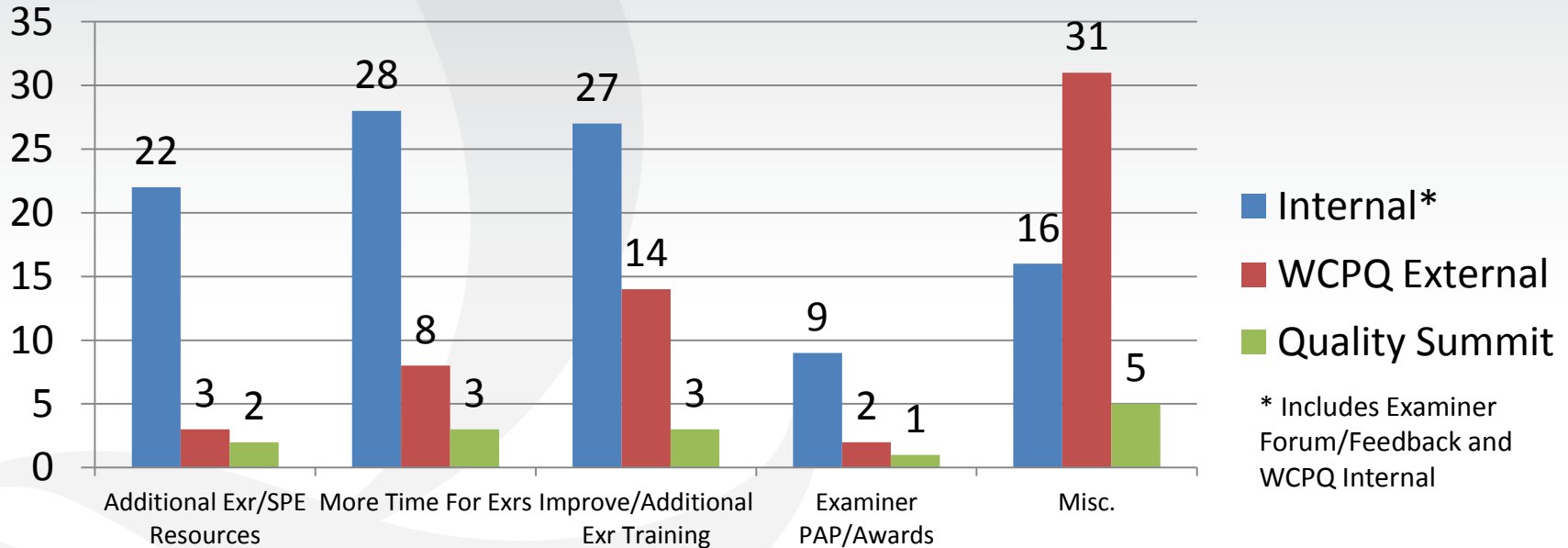


- Additional Examiner/SPE Resources
- More Time for Examiners
- Improve/Additional Examiner Training
- Examiner PAP/Awards





# “Other” Distribution – By Source



# Enhanced Patent Quality Initiative

## Thank You!

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# Questions and Comments

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