Patent Public Advisory Committee
Quarterly Meeting

Patent Operations Update

Andrew Faile
Deputy Commissioner for Patent Operations
August 20, 2015
491,245 filings received as of August 12, 2015.

FY 2015 total UPR filing growth rate is projected to be -1.8% over FY 2014.

FY 2014 total UPR filing growth rate was 2.8% (582,203 applications).
Design Filings
FY 2009 – FY 2015 (through August 12)

31,532 filings received as of August 12, 2015.
Actual Monthly UPR Filings Received vs. Planned Filings in FY 2015
Actual Monthly Serialized and RCE Filings Received in FY 2015 (through July)
Total Filings by Technology Center
FY 2010 – FY 2015 (projected)
RCE Filings by Technology Center
FY 2010 – FY 2015 (projected)
Monthly Serialized and RCE Filings Received in Class 705 (Business Methods) Between April 2014 and June 2015
Unexamined Patent Application Inventory
FY 2011 – FY 2015 (through August 12)

566,073 Unexamined Applications as of August 12, 2015.

FY 2014 Result: 605,646.

Optimal Inventory by FY 2019: 358,500
FY 2014 Result: 37,303.
RCE Inventory
FY 2010 – FY 2015 (through August 12)

35,354 as of August 12, 2015.
FY 2014 result: 46,441.
Pendency From RCE Filing to Next Action as of July 2015: 3.4 Months
Percent of Backlog Over 4 Months: 30.7%
Unexamined Patent Application Inventory by Technology Center
FY 2009 – FY 2015 (through July)
Unexamined Patent Application Inventory Per Examiner by Technology Center
FY 2009 – FY 2015 (through July)
Average Total Pendency FY 2015 Target: 27.7 months
Average First Action Pendency FY 2015 Target: 16.2 months
Forward Looking Pendency as of July 2015: 14.2 Months
First Action Pendency by Technology Center
FY 2011 – FY 2015 (through July)
First Action Pendency by Workgroup
July 2015
<table>
<thead>
<tr>
<th>Months</th>
<th>FY11-Q4</th>
<th>FY12-Q1</th>
<th>FY12-Q2</th>
<th>FY12-Q3</th>
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Design Total Pendency as of July 2015: 19.6 months.

First Action Pendency as of July 2015: 14.6 months.
Total Pendency by Technology Center
FY 2011 – FY 2015 (through July)
Average UPR Examiner Attrition Rate
Less Transfers and Retirees and Overall Attrition Rate
FY 2001 – FY 2015 (July)

12-Month Rolling Average Attrition Rate
- Attrition Rate Less Transfers and Retirees: 4.17%
- Overall Attrition Rate: 5.58%

Projected FY 15 Attrition Rate: 5%
12-Month Rolling Average Allowance Rate, by Quarter and 12-Month Rolling Average Allowance Rate, less RCE Abandonments by Quarter
FY 2009 – FY 2015 (July)

12-Month Rolling Average Allowance Rate as of July: 53.7%
12-Month Rolling Average Allowance Rate Less RCE Abandonments: as of July: 71.0%
# Track One Filings
(through August 12)

## Petitions Received

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<th></th>
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## Percent of Small and Micro Entity Applications

<table>
<thead>
<tr>
<th>Percent of Small and Micro Entity Applications</th>
<th>*Number of Micro Entity Applications</th>
<th>Track One Requests Filed After an RCE</th>
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<tr>
<td>51.9%</td>
<td>1,383</td>
<td>2,256</td>
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*Micro entity status was implemented March 19, 2013*
Questions and Comments

Andrew Faile
Deputy Commissioner for Patent Operations
(571) 272-8800
Andrew.Faile@USPTO.GOV