

**UNITED STATES
PATENT AND TRADEMARK OFFICE**

uspto

Patent Public Advisory Committee Quarterly Meeting

International Update

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UNITED STATES
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PCT Update (I)

- New International Searching Authorities for applications filed with US Receiving Office
 - Israel
 - From 01 October 2014
 - Not competent where
 - One or more claims directed to business methods
 - Received more than 75/quarter
 - Japan
 - From 01 July 2015 – 3 year pilot
 - Only competent where
 - Claims are directed to green technology
 - Less than 300/quarter received in 1st year
 - Less than 500/quarter received in 2nd – 3rd years

PCT Update (II)

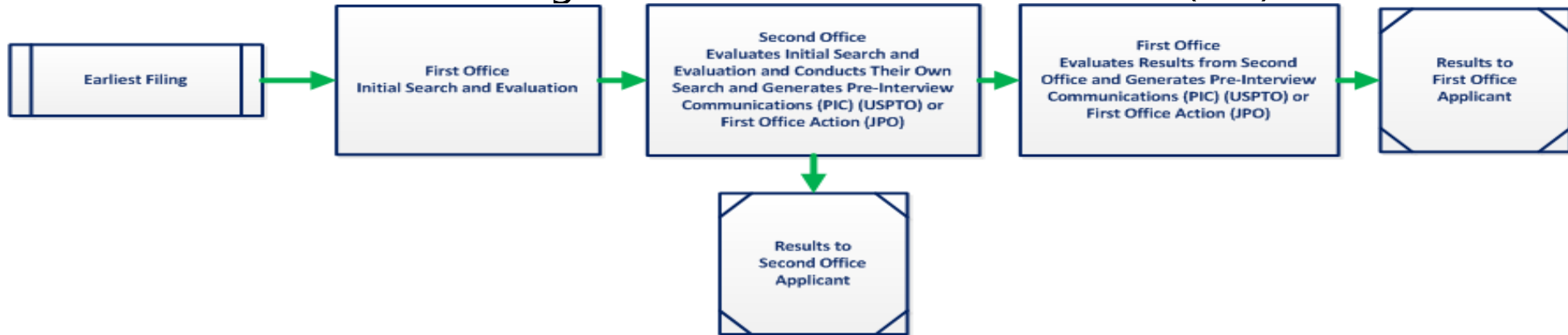
- PCT Working Group
 - Transmittal of search results & classification to ISA
 - Information concerning national phase entry to be published by WIPO
 - Personally Identifiable Information may be excluded from publication
 - Excuse of delay due to general unavailability of electronic communications

Collaborative Search Pilots (CSP)

- Collaborative Search Pilot provides contemporaneous search on correlated applications in two patent offices
- Based on First Action Interview (FAI) Program
 - Additional benefit to CSP applicants - Applications made special in both offices for first action
- Federal Register Notices Published July 2015
- Programs with JPO and KIPO last two years with application limits each year
 - Application counts in both offices set to ensure applicants from both countries can be equally represented

Collaborative Search Pilots (CSP)

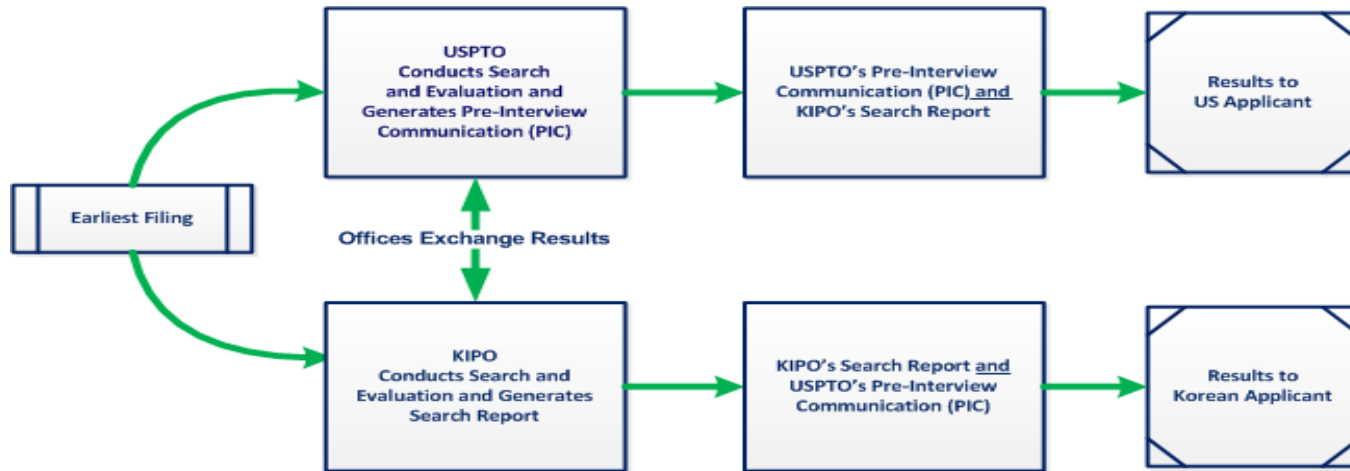
- JPO – exchange of search information will occur prior to the USPTO examiner establishing Pre-Interview Communication (PIC)



- Pilot program with JPO commenced 1 August 2015
 - 12 Petitions received in JPO in first week, corresponding petitions received in USPTO
 - Petition data updated on USPTO CSP website

Collaborative Search Pilots (CSP)

- KIPO – Both offices conduct independent search and evaluation. Results of both offices work is provided to applicants at time of Pre-Interview Communication (PIC)



Hague Agreement (I)

- The centralized acquisition and maintenance of industrial design rights
 - by filing a single international application
 - for a single international registration
 - with effect in one or more designated Contracting Parties

Hague Agreement (II)

- Geneva Act - effective in US on May 13, 2015
- WIPO Statistics
 - 2924 International Design Applications filed worldwide in 2014
 - 14,441 Designs covered
- USPTO statistics (to date)
 - Approx. 64 IDAs filed at USPTO as office of indirect filing
 - Approx. 83 IDAs sent from WIPO

PPH

- Cumulative - Applications with Petitions = 33,177
- Last 12 Months – Applications with Petitions = 7,597
- Month of July - Applications with Petitions = 575
- Monthly Average (last 12 months) = 633
- Effective July 6, Estonia and Germany agreed to participate in Global PPH pilot program.
- Mexico joined bilateral agreement under global principles on July 1.

Cooperative Patent Classification (CPC)

- USPTO examiners finished transition to CPC
 - Examiners concerns regarding CPC transition being addressed
- Effective June 2015, USPC static, historical collection
- All new utility patent documents published with only CPC
- Continuous collaboration and maintenance in CPC with partner EPO

Cooperative Patent Classification (CPC)

Expansion of CPC internationally

- 16 Patent Offices classifying directly into CPC
 - Additional Offices coming in 2016
- Working with Japan to increase classification cooperation
- Over 45 Offices and over 25,000 patent examiners search using CPC

Global Dossier

- Task Force meeting held in January 2015 to identify the next service(s) to be delivered
- Industry priorities:
 - Proof of Concept (Inter-office Document Sharing)
 - Indication of Patent Legal Status
 - Applicant Name Standardization
 - Provision of XML Documents
 - Alert Function (Change of Status, Due Dates)
- Studies and planning currently underway

Questions and Comments?

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