Patent Public Advisory Committee
Quarterly Meeting

Patent Operations

Andrew Faile
Deputy Commissioner for Patent Operations
May 14, 2015
Total Serialized and RCE Filings

FY 2002 – FY 2015 (through May 7)

336,018 filings received as of May 7, 2015.
FY 2015 total UPR filing growth rate is projected to be -1.8% over FY 2014.
FY 2014 total UPR filing growth rate was 2.8% (582,203 applications).
Unexamined Patent Application Inventory
FY 2009 – FY 2015 (through May 7)

FY 2014 Result: 605,646.

581,385 Unexamined Applications as of May 7, 2015.

FY 2014 Result: 605,646.
RCE Inventory
FY 2010 – FY 2015 (through May 7)

FY 2014 result: 46,441.

37,302 as of May 7, 2015.

FY 2014 result: 46,441.
Excess and Optimal Unexamined Patent Application Inventory
FY 2009 – FY 2015 (through April)

231,623 Excess Unexamined Applications as of April 2015.
Average Total Pendency FY 2015 Target (2016 President’s Budget): 27.7 months
Average First Action Pendency FY 2015 Target (2016 President’s Budget): 16.4 months
Forward Looking First Action Pendency as of April: 14.3 months

Forward Looking Pendency represents an estimate of the average number of months it would take to complete a first Office action under current and projected workload and resource levels for an application filed at the given date.
Average UPR Examiner Attrition Rate
Less Transfers and Retirees and Overall Attrition Rate
FY 2001 – FY 2015 (April)

12-Month Rolling Average Attrition Rate Less Transfers and Retirees: 3.91%
12-Month Rolling Average Overall Attrition Rate: 4.63%
## Track One Filings
(through May 7)

<table>
<thead>
<tr>
<th>Petitions Received</th>
<th>October</th>
<th>November</th>
<th>December</th>
<th>January</th>
<th>February</th>
<th>March</th>
<th>April</th>
<th>May</th>
<th>June</th>
<th>July</th>
<th>August</th>
<th>September</th>
<th>Total</th>
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<td>FY 11</td>
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<td>FY 12</td>
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<td>371</td>
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<td>673</td>
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<tr>
<th>Percent of Small and Micro Entity Applications</th>
<th>*Number of Micro Entity Applications</th>
<th>Track One Requests Filed After an RCE</th>
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<tbody>
<tr>
<td>52.0%</td>
<td>1,210</td>
<td>2,023</td>
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*Micro entity status was implemented March 19, 2013

http://www.uspto.gov/patent/initiatives/usptos-prioritized-patent-examination-program
Track One Office Time/Applicant Time – Track One Vs. Total Pendency
(12-month Rolling Average through April)
107,899 hours in March FY 2015, compared with 102,070 hours at the equivalent time in FY 2014.
Percent of Serial Disposals Having at Least One Interview by Month

FY 2008 – FY 2015 (through April)

29.5% of Serial Disposals completed in April 2015 had at least one interview.
AFCP 2.0

Percent of RCEs with no prior After Final submission

Note: AFCP 2.0 launched on May 19th, 2013
AFCP 2.0

Note: AFCP 2.0 Decision form was implemented in Dec. 2014
### Quick Path Information Disclosure Statement (QPIDS) FY 2012 – FY 2015 (April 24)

<table>
<thead>
<tr>
<th>QPIDS</th>
<th>FY 12</th>
<th>FY 13</th>
<th>FY 14</th>
<th>FY 15</th>
<th>Total</th>
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<tbody>
<tr>
<td><strong>Total Number filed</strong></td>
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<td>1,355</td>
<td>2,425</td>
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<tr>
<td><strong>Total QPIDS completed process</strong></td>
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<td>307</td>
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Questions and Comments

Andrew Faile
Deputy Commissioner for Patent Operations
(571) 272-8800
Andrew.Faile@USPTO.GOV