

**UNITED STATES
PATENT AND TRADEMARK OFFICE**

uspto

Patent Public Advisory Committee Quarterly Meeting

USPTO Budget Update

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Chief Financial Officer
May 14, 2015

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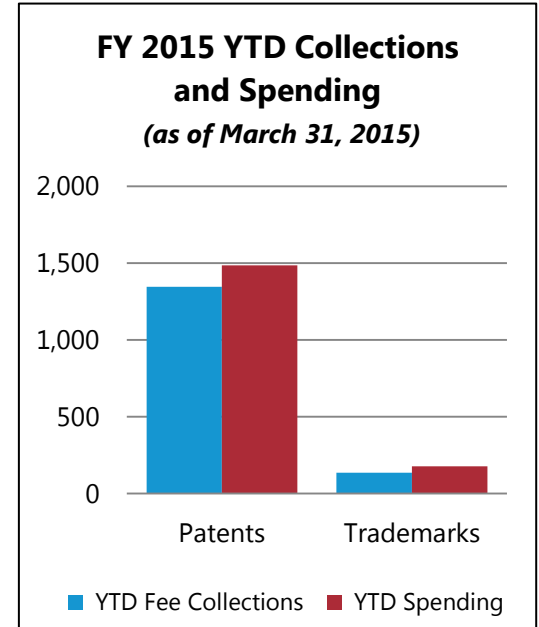


FY 2015 Status

- No changes have been made to fee estimates since the February 2015 PPAC meeting
 - Current working estimate for fee collections is \$3.14B
 - Patents: \$2.87B, Trademarks: \$273.4M

FY 2015 Status *(continued)*

- As of March 31, 2015
 - Year to date fee collections: \$1.48B
 - Patents: \$1.35B, Trademarks: \$135.1M
 - Year to date spending of \$1.66B
 - Patents: \$1.49B, Trademarks: \$176.5M
 - End of year projected spending of \$3.26B
 - Patents: \$2.92B, Trademarks: \$341.8M
 - End of year projected operating reserve of \$554.9M
 - Patents: \$462.0M, Trademarks: \$92.9M



FY 2017 Budget

- USPTO has started work on the FY 2017 OMB Budget submission
 - Internal guidance issued April 2015
 - PACs and DoC will receive a draft to review in August 2015
 - Final document will be completed by September 14, 2015

Biennial Fee Review

- Currently evaluating proposals and conducting analysis on potential adjustments to fees
- Decision on whether to initiate rulemaking process and formal notification to PPAC expected in September/October
- If USPTO intends to set or adjust fees, PPAC's role may vary
 - Dependent on the authority, such as whether fees set or adjusted will be pursuant to AIA section 10 or 35 U.S.C. section 41

Biennial Fee Review (*continued*)

- If AIA section 10 authority is employed and once USPTO's proposals are received, PPAC will have 30 days to:
 - Deliberate, consider, and comment on the fee adjustment proposal(s) and hold a public hearing
 - Accept written comments from the public
- Following receipt of PPAC initial comments, Notice of Proposed Rulemaking (NPRM) will be drafted

Biennial Fee Review (*continued*)

- NPRM requires clearance from USPTO, DOC, SBA, and OMB before publication in Federal Register
 - There will be a 60 day comment period for the NPRM
- PPAC is required to publish a report outlining comments and suggestions following the hearing
- Comments will be considered and responses provided in a Final Rule
- Final Rule will require additional additional clearance before publication

Questions and Comments

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